

Inspection Department

Ref No : MSEI/INSP/4799/2016

December 22, 2016

Sub: Enhanced Supervision of Stock Brokers – Extension of timeline.

In terms of the provision of Rules, Byelaws and Regulations of the Metropolitan Stock Exchange of India Ltd. (Exchange), the Members of the Exchange are notified as under:

This is with reference to the SEBI circular no. SEBI/HO/MIRSD/MIRSD2/CIR/2016/95 dated September 26, 2016 and Exchange circular no. MSEI/INSP/4554/2016 dated September 28, 2016, in respect of Enhanced Supervision of Stock Brokers/ Depository Participants.

SEBI has issued circular No. SEBI/HO/MIRSD/MIRSD2/CIR/P/2016/138 dated December 20, 2016 on captioned subject wherein they have extended the timelines for the implementation of provisions of the earlier circular. The copy of the said SEBI circular is enclosed.

Members are requested to take note and comply with the same.

Kirit Dodiya
Vice President – Inspection

Encl: SEBI circular No. SEBI/HO/MIRSD/MIRSD2/CIR/P/2016/138 dated December 20, 2016

In case of any query, members may contact the Compliance team on compliancemsx@msei.in

Metropolitan Stock Exchange of India Limited

(Formerly known as MCX Stock Exchange Ltd.)

Registered Office: 4th Floor, Vibgyor Towers, Plot No. C - 62, Opp. Trident Hotel, Bandra Kurla Complex, Bandra East, Mumbai 400098.

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