

**Kamini Finance and Investment
Company Limited**

CIN : L65929AS1986PLC002518

Regd. Off. : Room No. 5, 1st Floor
H.M. Market, T.R. Phookan Road
Guwahati - 781 001, Assam
Corp. Off. : 4, Synagogue Street
Room No. : 405, 4th Floor
Kolkata - 700 001, W.B., India

To
The Metropolitan Stock Exchange of India Ltd. (MSEI)
Vibgyor Towers, 4th Floor,
Plot No C 62, G-Block,
Opp. Trident Hotel,
Bandra Kurla Complex,
Bandra (E), Mumbai-400098, India.

Date: 28/05/22

Scrip Code: KAMINI

Madam/ Sir,

Sub: Annual Secretarial Compliance Report of the Company for the year ended 31st March' 2022.

Ref: SEBI Circular CIR/CFD/CMDI/27/2019 dated 8th February' 2019

With reference to SEBI Circular CIR/CFD/CMDI/27/2019 dated 8th February' 2019, please find enclosed Annual Secretarial Compliance Report duly signed by the Practicing Company Secretaries for the year ended 31st March' 2022.

Please take the above information on record.

For Kamini Finance and Investment Company Limited
KAMINI FINANCE & INVESTMENT CO. LTD.



Director

Chand Ratan Modi
Managing Director
DIN: 00343685

**Secretarial Compliance Report of Kamini Finance And Investment
Company Limited for the year ended March 31, 2022**

We, Mankani & Associates, have examined:

- (a) all the documents and records made available to us and explanation provided by Kamini Finance And Investment Company Limited (“the listed entity)
- (b) the filings/submissions made by the listed entity to the Stock Exchanges
- (c) website of the listed entity
- (d) Any other document/filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31/03/2022 (“Review Period”) in respect of compliance with the provisions of:

- (a) The Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued thereunder; and
- (b) The Securities Contracts (Regulation) Act, 1956 (“SCRA”) rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”)

The specific Regulations, whose provisions and the circulars/guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018
- (e) Securities and Exchange Board of India (Share Based Employee Benefit) Regulations, 2014;
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013;

(h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015

(i) any other regulations, as applicable

and circulars, guidelines issued there under;

and based on the above examination, We hereby report that, during the review period:

(a) The Listed Entity has complied with the provisions of the above Regulations and Circulars/ guidelines issued there under, except in respect of matters specified below:-

SL. NO	Compliance Requirement (Regulations/Circulars/ Guidelines including specific Clause)	Deviations	Observations/Remarks of the Practicing Company Secretary
1.	NIL	NIL	NIL

(b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued there under insofar as it appears from my/our examination of these records.

(c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/guidelines issued there under:

Sr. No	Action Taken by	Details of violation	Details of action taken e.g. Fines, warning, letter, debarment, etc	Observations/ Remarks of the Practicing Company Secretary, if any
1.	Not Applicable	Not Applicable	Not Applicable	Not Applicable

(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No	Observations of the Practicing Company Secretary	Observation made in the secretarial compliance report	Actions taken by the listed entity,	Comments of the Practicing Company Secretary on the
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	in the previous reports	for the year ended 31.03.2022	if any	action taken by the listed entity
1	Not Applicable	Not Applicable	Not Applicable	Not Applicable



**For Mankani & Associates
Company Secretaries**

Priya Mankani

**Place: Kolkata
Date: 27.05.2022**

**Priya Mankani
Proprietor
Membership No. 34744
Certificate of Practice No. : 17947
Udin: A034744D000409591**