

Inspection Department

Ref: MSEI/INSP/4433/2016

August 19, 2016

SUB: Schemes/Leagues/Competitions Launched by Registered Stock Brokers

This is further to Exchange circulars no. MSEI/INSP/4428/2016 dated August 16, 2016 and no. MSEI/INSP/3674/2015 dated December 21, 2015, in relation to schemes/ leagues/ competitions etc. offered by members or by any third party/ Group Company/ associate of the member.

Please note, based on the communication received from SEBI, members are hereby requested:

1. That approval shall not be granted to any advertisement by brokers which are related to leagues/schemes/competitions etc. till further communication.
2. Not to carry out advertisements, in which celebrities form part of the advertisement, as is the case for advertisements by Mutual Funds and for Public Issues.

Members are further advised to withdraw any advertisements already issued which are related to leagues/schemes/competitions launched by members or by any third party/ Group Company/ associate of the member and/or any advertisement featuring celebrities with immediate effect.

Members are requested to take note of the same and ensure compliance.

Vandana D. Vania
Asst. Vice President – Inspection

In case of any query, members may contact the Compliance team on compliancemsx@msei.in

Metropolitan Stock Exchange of India Limited

(Formerly known as MCX Stock Exchange Ltd.)

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