

Metropolitan Stock Exchange of India Limited

Department: Inspection

Circular No: MSE/INSP/6344/2018

Segment: All Segments

Date : May 24, 2018

Subject: Reminder for Submission of Risk Based Supervision Data for the F.Y. 2017-18

To All Members,

This has reference to our Exchange Circular No. MSE/INSP/6199/2018 dated April 03, 2018 requiring all the members to collate data/details required for the risk assessment template and submit the same for FY 2017-18 (i.e. from April 01, 2017 to march 31, 2018) **on or before May 31, 2018**.

It is to be noted that the submission of RBS data is mandatory for all trading members of the Exchange (i.e. those who have executed/cleared even a single trade during the aforementioned period). It is once again reiterated that the submission is to be made electronically through the system. No physical copies are required to be submitted to the Exchange.

All Members are advised to ensure that the submission is made positively by the due date to avoid any penal charged/disciplinary action as prescribed by Exchange Circular No. MSE/INSP/6199/2018 dated April 03, 2018.

For and on behalf of Metropolitan Stock Exchange of India Limited

Mahendra Choudhari Asst. Vice President - Inspection

In-case of any query kindly contact 61129000 Ext No: 9148/9151/9153/9154/9155 or mail on compliancemsx@msei.in