



Metropolitan Stock Exchange of India Limited

Department: Investigation

Segment: All

Circular No: MSE/ID/6959/2018

Date : November 02, 2018

SEBI order in the matter of Parekh Aluminex Limited

To All Members,

This is with reference to Exchange circular no. MSE/ID/5461/2017 dated August 30, 2017, regarding SEBI order no: SEBI/WTM/SR/CFD-FAC/53/08/2017 dated August 30, 2017 in respect of Parekh Aluminex Limited (PAL).

SEBI now vide order no. WTM/MPB/ISD-FAC/57/2018 dated October 31, 2018 has, issued following directions:

- a) Mr. Rajendra Gothi (DIN: 02211363) shall not buy, sell or otherwise deal in the securities market in any manner whatsoever or access the securities market, directly or indirectly, for a period of ten years from the date of this order. Further, Mr. Rajendra Gothi is also restrained from associating himself with any listed public company and any public company which intends to raise money from the public, or any intermediary registered with SEBI for a period of five years from the date of this order.
- b) M/s C V Pabari & Co. (PAN: AABPP5757A) shall not directly or indirectly issue any certificate of audit of listed companies, compliance of obligations of listed companies and intermediaries registered with SEBI and the requirements under SEBI Act, Securities Contracts (Regulation) Act, 1956, Depositories Act, 1996, those provisions of the Companies Act 2013 which are administered by SEBI under Section 24 thereof, the Rules, Regulations and Guidelines made under those Acts which are administered by SEBI for a period of five years.
- c) Listed companies and intermediaries registered with SEBI shall not engage M/s C V Pabari & Co. and Mr. C.V. Pabari, for issuing any certificate with respect to compliance of statutory requirements which SEBI is competent to administer and enforce, under various laws for a period of five years.

Members of the Exchange are advised to take note of the full text of the order available on SEBI's website [www.sebi.gov.in] and ensure compliance.

**For and on behalf of
Metropolitan Stock Exchange of India Limited**

Prachi Lendhe
Assistant Vice President