



Metropolitan Stock Exchange of India Limited

Department: Market Operations - Surveillance and Risk

Segment: All

Circular No: MSE/SURV/7294/2019

Date : February 5, 2019

Subject: Surveillance obligation for the Trading Members - Update

To All Members,

It may be noted that in view of the recent volatility in the market, Exchanges have stepped up their ongoing surveillance.

In view of the same and in continuation to Exchange circular no: MCX-SX/ID/1053/2013 dated March 8, 2013 on “Surveillance obligation for Trading Members of the Exchange”, trading members have the responsibility of monitoring the trading activity of their clients. Accordingly, trading members are advised to step-up monitoring of the trading activity of their clients including intra-day activity and proactively report to the Exchange observations / findings, if any.

Trading members are requested to take note of the above.

For any clarifications, kindly contact Surveillance & Risk Management Department on 022-61129031/62 or send an email to surveillance-cds@msei.in.

**For and on behalf of
Metropolitan Stock Exchange of India Limited**

**Sachin Nayak
Sr. Manager**