



Metropolitan Stock Exchange of India Limited

Department: Investigation

Segment: All

Circular No: MSE/ID/7212/2019

Date : January 15, 2019

SEBI order in the matter of NCL Research & Financial Services Limited (NCL)

To All Members,

SEBI vide order no WTM/MPB/EFD1-DRA4/04/2019 dated January 10, 2019, has restrained Ms. B. Jayanthi (PAN: ASTPJ0593Q) from accessing the securities market for a period of two years from the date of this order and further prohibited her from buying, selling or otherwise dealing in securities, directly or indirectly, or being associated with the securities market in any manner, whatsoever, for a period of two years, from the date of this order. In view of prohibition on sale of securities, it is clarified that during the period of restraint, the existing holding of securities, including units of mutual funds, of the Noticee shall remain frozen.

The order shall come into force with immediate effect.

Members of the Exchange are advised to take note of the full text of the order available on SEBI's website [www.sebi.gov.in] and ensure compliance.

**For and on behalf of
Metropolitan Stock Exchange of India Limited**

Shailendra Singh
Sr. Manager