



Metropolitan Stock Exchange of India Limited

Department: Investigation

Segment: All

Circular No: MSE/ID/7228/2019

Date : January 17, 2019

SEBI order in the matter of Ficus Securities Private Limited (FSPL) , Ficus Commodities Private Limited (FCPL) and Others

To All Members,

This is with reference to Exchange circular no. MSE/ID/6127/2018 dated March 21, 2018, regarding SEBI order no. WTM/MPB/NRO/ 154 /2018 dated March 20, 2018 in the matter of Ficus Securities Private Limited, Ficus Commodities Private Limited and Others.

SEBI now vide order no WTM/MPB/NRO/ 06 /2019 dated January 17, 2019, has confirmed the directions issued vide ex-parte ad interim order dated March 20, 2018 against all the Noticees, except in respect of Mr. Prashant Kumar Nayak.

Sr. No.	NOTICEES	PAN
1	FICUS SECURITIES PVT. LTD	AAACV0429K
2	FICUS COMMODITIES PVT. LTD.	AABCV4377P
3	MR. VINOD KUMAR BANSAL	AAGPB8255Q
4	MR. SURENDER SINGH	EFTPS4215D
5	MS. NEENA BANSAL	AIDPB7112P
6	MR. PRASHANT KUMAR NAYAK	AFTPN9303D
7	MS. POONAM RAJBHAR	AGQPR0343D
8	MS. TRIPTA KAPOOR	ASVPK5946Q
9	MS. SHABNAM JOHN	AGUPJ1830F

As regards Mr. Prashant Kumar Nayak, the directions issued vide the interim order shall cease to continue from the date of this order. The same is however without prejudice to any action that SEBI may take in accordance with law against Mr. Prashant Kumar Nayak upon completion of the detailed examination in the matter. Further, as a director of FCPL, he shall continue to be responsible to ensure FCPL's compliance with the directions issued by SEBI in the matter.

This order shall come into force with immediate effect.

Members of the Exchange are advised to take note of the full text of the order available on SEBI's website [www.sebi.gov.in] and ensure compliance.

**For and on behalf of
Metropolitan Stock Exchange of India Limited**

Prachi Lendhe
Assistant Vice President