



## Metropolitan Stock Exchange of India Limited

Department: Investigation

Segment: All

Circular No: MSE/ID/7665/2019

Date : May 17, 2019

### SEBI Order in respect of Allied Financial Services Private Limited (AFSPL) and others

To All Members,

This is with reference to the Exchange circular no: MSE/ID/7375/2019 dated February 28, 2019 regarding SEBI Order no WTM/ AB / SEBI / NRO / 22 / 2019 dated February 27, 2019, in the matter of Allied Financial Services Private Limited (AFSPL) and others.

SEBI now vide Order no WTM/ AB / SEBI / MIRSD/ NRO / 06/ 2019-20 dated May 17, 2019, has issued the following directions, for below mentioned Noticees:-

Sr. No.	Name of the Noticees	PAN
1	Allied Financial Services Private Limited	AAACA2020K
2	Mr Rajeev Kumar Asopa	AASPA3260C
3	Mr Lalit Agarwal	AFKPA9024P
4	Mr Rajendra Prasad Basia	AAEPB2709B
5	Mr Awanish Kumar Mishra	AGOPM7538H
6	Mr Jitendra Kumar Tiwari	ALBPT6629A
7	Money Mishra Financial Services	AAZFM1357R
8	Money Mishra Overseas Pvt. Ltd	AAJCM8612N
9	M/s Mutual Fund Digilocker LLP	ABJFM9337L
10	Mr Pankaj Garg, Partner, M/s Digi locker	AERPG5388J
11	Mr Jitender Malhotra, Partner, M/s Digi locker	ALRPM2119G

- The directions mentioned at para 8(a), 8(b), 8(c), 8(e), 8(f), 8(g) and 8(i) of the interim order dated February 27, 2019, stand confirmed against Noticee no. 1, 5, 6, 7, 8, 9, 10 and 11.
- The directions mentioned at para 8 of the interim order against Noticee no. 2, 3 and 4, shall be discontinued.
- Further proceedings, if any, initiated based on findings of Forensic Audit by NSE/SEBI investigation shall take place before the competent Whole Time Member

This Order shall come into force with immediate effect

Members of the Exchange are advised to take note of the full text of the Order available on SEBI's website [[www.sebi.gov.in](http://www.sebi.gov.in)] and ensure compliance.

**For and on behalf of  
Metropolitan Stock Exchange of India Limited**

Prachi Lendhe  
Assistant Vice President