



Metropolitan Stock Exchange of India Limited

Department: Inspection

Segment: All Segments

Circular No: MSE/INSP/IAR-19-20(1)/8331/2019

Date: November 13, 2019

Sub: Amendment to Submission of Internal Audit Report for the Half Year ended September 30, 2019

This is in continuation to the Exchange Circular No. MSE/INSP/IAR-19-20(1)/8229/2019 dated October 15, 2019 with regards to submission of Internal Audit Report for the Half Year ended September 30, 2019.

The Exchange has amended the process of affixing the Digital Signature for the IAR submission in **Annexure V_Manual for Auditor** and **Annexure V_Manual for Member**.

Please find enclosed herewith, following Annexures applicable for the internal audit report for half year ended September 30, 2019:

- Internal Audit certificate - **Annexure I**.
- Format of Internal Audit Report - **Annexure II**. (Excel File)
- Guidelines on Sample selection & other points to be noted - **Annexure III**.
- List of Indicative Processes & reference of Exchange/SEBI circulars - **Annexure IV**.
- Detailed Manual for submission of Internal Audit Report for Member as well as Auditor-**Annexure V**

All the members are advised to take note of the above-mentioned changes and comply with the online submission of internal audit report positively by November 30, 2019

For and on behalf of
Metropolitan Stock Exchange of India Limited

Mahendra Choudhari
Asst. Vice President, Inspection Department

In-case of any query kindly contact Inspection Department on 61129000 or mail on compliancemsx@msei.in