



## Metropolitan Stock Exchange of India Limited

**Department:** Investor Grievance & Arbitration

**Segment:** All

**Circular No:** MSE/ISC/8350/2019

**Date :** November 19, 2019

---

**Subject: Trading Members to Safeguard Investor Data**

---

To All Members,

In terms of the provisions of Rules, Bye-Laws and Regulations of the Exchange, the Members of the Exchange are notified as under:

The Exchange has received a communication from SEBI that certain fraudsters are collecting data of clients who are already into trading in Exchanges and sending them bulk messages on the pretext of providing investment tips and luring them to invest with them in their bogus firms by promising huge profits. In view of the above, all the members are required to sensitize their clients and create awareness on the above issue.

The Trading Members are thereby, advised to take necessary steps to safeguard data of the clients /investors registered with them and not to share or reveal such data to unauthorized persons.

Members are requested to take note of the same and ensure compliance.

**For and on behalf of  
Metropolitan Stock Exchange of India Limited**

**Shilpa Mhapsekar  
Asst. Manager – Investor Grievance & Arbitration and Compliance**