

Department: Investigation	Segment: All
Circular No: MSE/ID/9324/2020	Date : August 05, 2020

Subject: SEBI Order in the matter of Chetan Yashwant Shukla

To All Members,

SEBI vide order no. WTM/MPB/IMD-CIS/127/2020 dated August 04, 2020, has issued, the following directions:

1. Mr. Chetan Yashwant Shukla; SEBI Registration No. INH000001402; PAN: ABBPS2467H is directed:
 - i. To cease and desist from acting as an investment advisor and cease to solicit or undertake such activity or any other activities in the securities market, directly or indirectly, in any matter whatsoever until further orders;
 - ii. To not to access the securities market and buy, sell or otherwise deal in securities in any manner whatsoever, directly or indirectly, till further orders;
 - iii. To not to associate or work with any intermediary, listed entities in the securities market in any manner whatsoever;
 - iv. To provide a full inventory of all assets, whether movable or immovable, (or any interest or investment or charge on any of such assets), including details of all bank accounts, demat accounts and mutual fund investments, immediately;
 - v. To not to dispose of or alienate any assets, whether movable or immovable, (or any interest or investment or charge on any of such assets), including moneys lying in any bank accounts except with the prior permission of SEBI.
 - vi. Not to divert any funds raised from investors, kept in bank account(s) and/or in their custody until further orders.
2. Immediately withdraw and remove all advertisements, representations, literatures, brochures, materials, publications, documents, websites, etc. in relation to their investment advisory and or any other unregistered activity in the securities market.
3. If Chetan Shukla has any open positions in any exchange traded derivative contracts, as on the date of the order, he can close out/ square off such open positions within 3 months from the date of order or at the expiry of such contracts, whichever is earlier. Chetan Shukla is permitted to settle the pay-in

Metropolitan Stock Exchange of India Limited

and pay-out obligations in respect of transactions, if any, which have taken place before the close of trading on the date of this order

This order shall come into force with immediate effect.

Members of the Exchange are advised to take note of the full text of the order available on SEBI's website [www.sebi.gov.in] and ensure compliance.

**For and on behalf of
Metropolitan Stock Exchange of India Limited**

**Prachi Lendhe
Assistant Vice President**