

Department: Compliance	Segment: All
Circular No: MSE/COMP/12145/2022	Date : August 05, 2022

Subject: Unique Device Identifier for orders placed through Internet Based Trading (IBT) and Securities trading using Wireless Technology (STWT).

This has reference to MSE circular no. MCX-SX/CTCL/596/2011 dated July 05, 2011 & SEBI circular no. CIR/MRD/DP/8/2011 dated June 30, 2011; regarding review of Internet Based Trading (IBT) and Securities trading using Wireless Technology (STWT) and MSE circular no. MSE/SURV/10627/2021 dated July 01, 2021.

In view of the aforesaid circulars, Trading Members are required to capture the IP (Internet Protocol) address (from where the orders are originating) for all IBT/ STWT orders and monitor the same.

SEBI and Exchanges pursuant to discussions in joint surveillance meetings, have decided as under:

- Trading Members are required to capture each device (Computer/Tablet/Mobile including Executable Applications, Browser based Apps, Mobile Apps) used to place enter/modify/cancel IBT/STWT orders by each client and store the prescribed fields as mentioned in point no. 3 below.
- Trading Members are required to submit to the Exchange, the prescribed fields for each order that resulted in a trade on the Exchange separately in CM and F&O segment.
- The aforesaid information would be in addition to the current trade and order structure. This information is required to be uploaded on SFTP folder in CSV format file at the end of trading day on daily basis as per below mentioned format:

Order timestamp	Order No	Symbol	Order Type	Trade Flag	Client IP address	Client User-Agent string	App install ID	Custom field 1	Custom field 2
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4. Trading Members may refer the **Annexure** to the circular for details of file structure, file naming convention and relevant technology details.

5. In case of single IBT/STWT orders placed through various devices, the prescribed fields have to be maintained for all such devices.

6. The trading members are required to take a note of the above and put in place the modifications in their procedures, systems, policies and processes etc. as may be required at Member's end for implementation of the same.

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The collection of Device Identifier Attributes and processing of the collected data is for the following stated purpose(s):

- a. To aid SEBI & MIIs in effectively discharging their surveillance duties
- b. Protection of Investors by SEBI / MIIs / TMs

In this regard, Trading Members are advised to ensure that such data resides in India only.

This circular shall come into effect from August 26, 2022. As of date, this circular shall be applicable to Trading Members having 25 lakhs or more active clients during the F.Y. 2021-22 on either Exchange. In due course the aforesaid guidelines will be applicable to all Trading Members trading through all trading modes in a phased manner.

For any clarifications, contact Customer Service on 022-61129010 or send an email to customerservice@msei.in.

**For and on behalf of
Metropolitan Stock Exchange of India Limited**

**Praveenkumar Acharya
Assistant Vice President
Compliance & Internal Control**