

| Department: Inspection           | Segment: All            |  |
|----------------------------------|-------------------------|--|
| Circular No: MSE/INSP/11327/2022 | Date : January 05, 2022 |  |

Subject: Relaxation in timelines for compliance with regulatory requirements – COVID 19

To All Trading Members,

Members' attention is drawn to SEBI circular SEBI/HO/MIRSD/DOP/P/CIR/2021/607 dated July 30, 2021, on the subject "Relaxation in timelines for compliance with regulatory requirements", wherein relaxations have been given to Members with respect to certain regulatory submissions/requirements and a copy of the said circular was enclosed vide Exchange circular MSE/INSP/10731/2021 dated July 30, 2021.

In view of the representations received from Members', on account of the prevailing Covid-19 situation, and in consultation with SEBI and other Stock Exchanges, it has been decided to extend the timeline for compliance with the following regulatory requirement by the Trading Members.

| S. No. | Submission/ Compliance  | Current timeline | Extended timeline      |
|--------|---|------------------|------------------------|
| 1      | To operate the trading terminals from designated alternate locations, subject to the conditions specified in the Exchange circular MSE/INSP/8862/2020 dated March 27, 2020. |                  | Till March 31,<br>2022 |

Further, it is reiterated that the relaxation in timelines for compliance with the requirement of "Maintaining call recordings of orders/instructions received from clients" was extended till September 30, 2021, vide aforesaid SEBI circular SEBI/HO/MIRSD/DOP/P/CIR/2021/607 dated July 30, 2021, and no further extension has been granted after that. Hence, Members are advised to ensure compliance with the said regulatory requirement.

All Members are advised to take note of the above and strictly comply.

For and on behalf of Metropolitan Stock Exchange of India Limited

Mahendra Choudhari AVP – Inspection and Membership Department

In case of any query kindly contact Inspection Department on 6112 9000 or mail on compliancemsx@msei.in

## Metropolitan Stock Exchange of India Limited