

Department: Investigation	Segment: All
Circular No: MSE/ID/11983/2022	Date: June 24, 2022

Subject: Compliant UCCs ONLY to place orders w.e.f. July 4, 2022

To All Members,

This is with reference to Exchange circular no. MSE/ID/11623/2022 dated March 25, 2022 and Exchange circular no. MSE/ID/11658/2022 dated April 01, 2022 wherein it was informed that only compliant UCCs shall place orders and the last date to update above referred KYC attributes for existing clients is on or before June 30, 2022.

Pursuant to discussion between MIIs, it has been decided that that the check for compliant UCC shall be effective from Monday, July 04, 2022. Members are required to ensure, that the UCCs for OWN (proprietary orders) and Clients are compliant with the below guidelines under all the segments (Equity, Equity Derivatives, Currency Derivatives)

1. Compliant with 6 KYC attributes viz Name, Complete address (including PIN code No. in case of address of India), PAN, valid Mobile number, valid email-id, Income details/range.
2. Custodian details updated for clients availing custodian services.
3. PAN verification status of the client is Valid.

The trading members are advised to keep their non-compliant clients having open position informed of the aforesaid timelines. The clients not fulfilling the above guidelines are advised not to trade w.e.f. Monday, July 4, 2022, until they comply with the aforesaid requirement.

The non-compliant UCCs on fulfilment of the conditions specified above and on due verification /approval of Exchange shall be considered compliant. The extant provisions not stated in this notice shall continue as defined in the previous notices.

Members of the Exchange are advised to take note of the above and ensure compliance.

**For and on behalf of
Metropolitan Stock Exchange of India Limited**

**Shalini Rebeiro
Assistant Vice President**

Metropolitan Stock Exchange of India Limited