

Department: Inspection	Segment: All Segments
Circular No: MSE/INSP/11855/2022	Date : May 24, 2022

Subject: Reminder for submission of Internal Audit Report and Risk Based Supervision for the year ended March 31, 2022

To All Members,

This has reference to Exchange circulars Ref. No. MSE/INSP/IAR-21-22(2)11741/2022 dated April 21, 2022 on "Internal Audit of Trading members / Clearing members" and circular ref. No MSE/INSP/11707/2022 dated April 11, 2022 on "Risk Based Supervision - Submission of information" for the half-year ended March 31, 2022.

Members are reminded to submit the below mentioned submission through web based application on or before May 31, 2022 to avoid any penal charges/disciplinary action for the non/late submission of the report.

- Submission of Internal Audit Report for the half year ended on March 31, 2022 through a web-based application at the link https://inspection-os.msei.in/MemberLogin.aspx
- Submission of Risk Based Supervision for the period April 01, 2021 to March 31, 2022 through a web-based application at the link https://mseconnect.msei.in/enhancedsupervision/

In the view of the above, members are advised to take note of the above and comply.

For and on behalf of Metropolitan Stock Exchange of India Limited

Mahendra Choudhari Asst. Vice President, Inspection Department

In-case of any query kindly contact Inspection Department on <u>61129000</u> or mail on <u>compliancemsx@msei.in</u>

Metropolitan Stock Exchange of India Limited