

Department: Inspection	Segment: All
Circular No: MSE/INSP/12603/2022	Date : November 18, 2022

Subject: Submission of data pertaining to Internal Audit Report, Risk Based Supervision, Cyber Security & Cyber Resilience Audit and System Audit Report - Reminder

To All Trading Members,

As per SEBI Directives, Members are required to submit the submissions for period ended September 30, 2022 on or before due date **November 30, 2022** as stated in the table below:

Sr. No.	Compliance	Exchange Circular No.	Submission Method
1.	Internal Audit Report (IAR)	MSE/INSP/12474/2022 dated October 21, 2022 & MSE/INSP/12565/2022 dated November 11, 2022	Exchange Inspection Portal - https://inspection-os.msei.in/
2.	Risk Based Supervision (RBS)	MSE/INSP/12446/2022 dated October 17, 2022	Exchange Enhanced Supervision Portal - https://mseconnect.msei.in/enhancedsupervision/
3.	Cyber Security & Cyber Resilience Audit - Type III Using ALGO (CS&CRA)	MSE/INSP/12412/2022 dated October 10, 2022	Email CS &CRA to compliancemsx@msei.in
4.	System Audit Report - Type III Using ALGO (SAR)	MSE/INSP/12413/2022 dated October 10, 2022	Email SAR to compliancemsx@msei.in

Members are reminded to submit the aforesaid submissions (if not submitted) on or before due date to avoid any disciplinary action. Non-submission / delayed submission shall attract penalty / disciplinary actions as per the aforesaid referred circulars, as applicable.

All members are requested to take note of the above and comply.

**For and on behalf of
Metropolitan Stock Exchange of India Limited**

**Mahendra Choudhari
AVP – Inspection and Membership Department**

In case of any query kindly contact Inspection Department on 6112 9000 or mail on compliancemsx@msei.in

Metropolitan Stock Exchange of India Limited