Annexure VI

Application form for Empanelment of Backoffice Vendor

(To be executed on letterhead of the Vendor)

I / We _____, an individual / a firm registered under the Indian Partnership Act, 1932 / a LLP registered under LLP Act 2008, a Company / Body Corporate incorporated under the Companies Act of 2013/ _____ Act, ____, and residing at / having our registered office at _____. We are desirous of making available Back office facility to trading members of the Exchange in EQ / FAO / CDS / CO Segments; hereby apply for the permission of the Exchange for providing back office facility.

S.No	Particulars	Details
1.	Name of the Software Vendor	
2.	Registered Office/ Office address: Telephone : Mobile No. Email ID	
3.	Name of the Authorised Signatory and Designation	
4.	contact address of the Authorised Signatory: Telephone No.: Mobile No. Email ID	
5.	Back office facility Name	
6.	Version	

Signature of Authorized Signatory of the Vendor
Name of the Vendor
Date:
Place:
Stamp/Seal:

BO vendors should also provide details to Exchange based on various parameters inter alia including background, infrastructure, systems etc, some of which are listed below:

1. Back-ground of the vendor. (In case of newly formed firm /company the Directors/partners & KMP of the firm / company to fulfil the criteria)
   - Organizational structure, Directors/ partners & KMPs and their background.
   - Details of affiliation with other entities like brokers, including beneficial interests held by / in such brokers.
   - The criteria for considering whether a relevant person is fit and proper includes **honesty, integrity and reputation, competence and capability, and financial soundness**. The onus is on the vendor to establish that it is a fit and proper person.

- Relevant disclosures and details, if Directors/partners / KMPs are debarred by any regulatory authority (worldwide) from rendering services
- Relevant disclosures (Conflict of Interest) in case Directors / partners/KMPs of BO vendor is associated with any particular member

2. Organization infrastructure in terms of:
   - Hardware & software facilities available
   - Communication & networking facilities within the organization
   - General office facilities in terms of office space and infrastructure
   - Total qualified staff
3. Skills available within the organization (can be a value-added reseller and must have necessary system integration and porting skills)
4. Platforms for the proposed solution.