

Department: Listing	Segment: Capital Market
Circular No: MSE/LIST/CIR/2023/437	Date: July 25, 2023

Subject: Master Circular – Listing Compliance

To, The Company Secretary All Listed Companies

Dear Sir/Madam

Exchange periodically consolidates circulars issued by the department over the period of time to provide one single document to refer for various policy and operational matters. This circular is consolidation of the previous circulars issued by the Listing Compliance as on April 12, 2023 towards the respective subject mentioned in Annexure I. (Attached)

All Listed entities are requested to take note and comply accordingly.

For any further clarifications, you are advised to contact on email id listing@msei.in

For and on behalf of Metropolitan Stock Exchange of India Limited

Praveenkumar Acharya Assistant Vice President

Metropolitan Stock Exchange of India Limited

<u>ANNEXURE - I</u>

Circular No	Date	Subject	Link
		Grievance Redressal Mechanism	https://www.msei.in/SX-Content/Company-
MSE/LIST/CIR/2 022/382	25/07/2022	Guidance Note on Regulation 13(3) of SEBI (Listing	Circulars/2022/July/EXCHANGE-25-JUL-2022-GUIDANCE-NOTE-ON- REGULATION-13(3)-OF-SEBI-(LISTING-OBLIGATIONS-AND- DISCLOSURE-REQUIREMENTS)-REGULATIONS-2015-INVESTOR- COMPLAINTS-REPORT.pdf
		Corporate Governance	
MSE/LIST/CIR/2 023/422	12/04/2023	FAQ - Corporate Governance	https://www.msei.in/SX-Content/Company- Circulars/2023/April/EXCHANGE-12-APR-2023-FAQCORPORATE- GOVERNANCEpdf
MSE/LIST/CIR/2 018/118		Enforcement of SEBI Orders regarding appointment or Directors by listed companies	https://www.msei.in/SX-Content/Company- Circulars/2018/June/EXCHANGE-22-JUN-2018-ENFORCEMENT-OF- SEBI-ORDERS-REGARDING-APPOINTMENT-OF-DIRECTORS-BY- THE-LISTED-COMPANIES.pdf
MSE/LIST/CIR/2 018/108	16/04/2018	Mandatory Filing of Corporate Governance	https://www.msei.in/SX-Content/Company- Circulars/2018/April/EXCHANGE-16-APR-2018-INTRODUCTION-OF- XBRL-BASED-FILING-AT-MSE.pdf
		Related Party Transaction	
MSE/LIST/CIR/2 022/358	26/04/2022	Guidance Note on disclosure of Related Party Transactions mode	https://www.msei.in/SX-Content/Company- Circulars/2022/April/EXCHANGE-26-APR-2022-GUIDANCE-NOTE- ON-DISCLOSURE-OF-RELATED-PARTY-TRANSACTIONSpdf

Circular No	Date	Subject	Link
		Annual Secretarial Compliance Report	
MSE/LIST/CIR/2 023/421	12/04/2023	Additional affirmations by Practicing Company Secretaries (PCS) in Annual Secretarial Compliance Report (ASCR)	https://www.msei.in/SX-Content/Company- Circulars/2023/April/EXCHANGE-12-APR-2023-ADDITIONAL- AFFIRMATIONS-BY-PRACTICING-COMPANY-SECRETARIES- (PCS)-IN-ANNUAL-SECRETARIAL-COMPLIANCEREPORT- (ASCR)pdf
MSE/LIST/CIR/2 023/417	17/03/2023	Additional affirmations by Practicing CompanySecretaries (PCS) in Annual Secretarial Compliance Report (ASCR)	https://www.msei.in/SX-Content/Company- Circulars/2023/March/EXCHANGE-17-MAR-2023-ADDITIONAL- AFFIRMATIONS-BY-PRACTICING-COMPANY-SECRETARIES- (PCS)-IN-ANNUAL-SECRETARIAL-COMPLIANCE-REPORT- (ASCR).pdf
MSE/LIST/CIR/2 019/148	15/05/2019	Clarification on Annual Secretarial Audit Report and Annua Secretarial Compliance Report for listed entities and thei material subsidiaries	Ihttps://www.msei.in/SX-Content/Company- rCirculars/2019/May/EXCHANGE-15-MAY-2019-CLARIFICATION-ON- ANNUAL-SECRETARIAL-AUDIT-REPORT-AND-ANNUAL- SECRETARIAL-COMPLIANCE-REPORT-FOR-LISTED-ENTITIES- AND-THEIR-MATERIAL-SUBSIDIARIES.pdf
MSE/LIST/CIR/2 019/135	11/02/2019	Format for annual secretarial audit report and Annua Secretarial Compliance Report for listed entities and thei material subsidiaries	https://www.msei.in/SX-Content/Company- rCirculars/2019/February/EXCHANGE-11-FEB-2019-FORMAT-FOR- ANNUAL-SECRETARIAL-AUDIT-REPORT-AND-ANNUAL- SECRETARIAL-COMPLIANCE-REPORT-FOR-LISTED-ENTITIES- AND-THEIR-MATERIAL-SUBSIDIARIES.pdf

Circular No	Date	Subject	Links		
	Disclosure of events or information				
MSE/LIST/393/2 022	07/09/2022	Guidance Note on use of digital signature certificate for announcements submitted by listed companies	https://www.msei.in/SX-Content/Company- Circulars/2022/September/EXCHANGE-07-SEP-2022-GUIDANCE- NOTE-ON-USE-OF-DIGITAL-SIGNATURE-CERTIFICATE-FOR- ANNOUNCEMENTS-SUBMITTED-BY-LISTED-COMPANIES.pdf		
MSE/LIST/389/2 022	02/08/2022	Circular on use of digital signature certificate for announcements submitted by listed companies	https://www.msei.in/SX-Content/Company- Circulars/2022/August/EXCHANGE-02-AUG-2022-CIRCULAR-ON- USE-OF-DIGITAL-SIGNATURE-CERTIFICATE-FOR- ANNOUNCEMENTS-SUBMITTED-BY-LISTED-COMPANIESpdf		
MSE/LIST/388/2 022	29/07/2022	Guidance note on disclosures pertaining to analysts , institutional investors meet	https://www.msei.in/SX-Content/Company- Circulars/2022/July/EXCHANGE-29-JUL-2022-GUIDANCE-NOTE-ON- ANALYST-INSTITUTIONAL-INVESTORS-MEETpdf		
MSE/LIST/CIR/2 021/303	15/07/2021	Guidance note on communications by Listed Entities	https://www.msei.in/SX-Content/Company- Circulars/2021/July/EXCHANGE-15-JUL-2021-GUIDANCE-NOTE-ON- COMMUNICATIONS-BY-LISTED-ENTITIES.pdf		
MSE/LIST/CIR/2 021/301	15/07/2021	Guidance note for companies undergoing Corporate Insolvency Resolution Process	https://www.msei.in/SX-Content/Company- Circulars/2021/July/EXCHANGE-15-JUL-2021-GUIDANCE-NOTE- FOR-COMPANIES-UNDERGOING-CORPORATE-INSOLVENCY- RESOLUTION-PROCESS.pdf		
MSE/LIST/CIR/2 021/298	05/07/2021	Guidance Note on Analyst/Institutional Investors meet	https://www.msei.in/SX-Content/Company- Circulars/2021/July/EXCHANGE-05-JUL-2021-GUIDANCE-NOTE-ON- ANALYST-INSTITUTIONAL-INVESTORS-MEET.pdf		

Circular No	Date	Subject	Links
MSE/LIST/CIR/2 021/302	15/07/2021	FAQ's - LODR amendments dated May 05, 2021	https://www.msei.in/SX-Content/Company- Circulars/2021/July/EXCHANGE-15-JUL-2021-FAQ-SLODR- AMENDMENTS-DATED-MAY-052021.pdf
MSE/LIST/CIR/2 019/165	20/00/2010	Disclosure of Default / InterCreditor Agreement (ICA) by listed companies	https://www.msei.in/SX-Content/Company- Circulars/2019/September/EXCHANGE-25-SEP-2019-DISCLOSURE- OF-DEFAULTINTER-CREDITOR-AGREEMENT-(ICA).pdf
MSEI/LIST/CIR/2 016/053		Frequently Asked Questions on SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015	https://www.msei.in/SX-Content/Company- Circulars/2016/January/EXCHANGE-21-JAN-2016-FREQUENTLY- ASKED-QUESTIONS-ON-SEBI-(LISTING-OBLIGATIONS-AND- DISCLOSURE-REQUIREMENTS)REGULATIONS2015.pdf
		Shareholding Pattern	
MSE/LIST/CIR/2 022/403	30/12/2022	FAQ's - Disclosure of holding of specified securities and holding of specified securities in dematerialized form	https://www.msei.in/SX-Content/Company- Circulars/2022/December/EXCHANGE-30-DEC-2022-FAQ-S DISCLOSURE-OF-HOLDING-OF-SPECIFIED-SECURITIES-AND- HOLDING-OF-SPECIFIED-SECURITIES-IN-DEMATERIALIZED- FORM.pdf
MSE/LIST/CIR/2 022/394			https://www.msei.in/SX-Content/Company- Circulars/2022/October/EXCHANGE-01-OCT-2022-DISCLOSURE-OF- HOLDING-OF-SPECIFIED-SECURITIES-AND-HOLDING-OF- SPECIFIED-SECURITIES-IN-DEMATERIALIZED-FORMLATEST- XBRL-UTILITY.pdf

MSE/LIST/CIR/20 22/377	01/07/2022	FAQs-Disclosure of holding of specified securities and holding of specified securities in dematerialized form - Lates XBRL utility	https://www.msei.in/SX-Content/Company- Circulars/2022/October/EXCHANGE-01-OCT-2022- DISCLOSURE-OF-HOLDING-OF-SPECIFIED-SECURITIES-AND- HOLDING-OF-SPECIFIED-SECURITIES-IN-DEMATERIALIZED- FORMLATEST-XBRL-UTILITY.pdf
		Statement of deviation(s) or variation(s)	
MSE/LIST/CIR/20 20/187	20/01/2020	Format for Statement indicating Deviation or Variation in the use of proceeds of issue of listed non-convertible deb securities or listed non-convertible redeemable Preference Shares	https://www.msei.in/SX-Content/Company- Circulars/2020/January/EXCHANGE-20-JAN-2020-FORMAT- FOR-STATEMENT-INDICATING-DEVIATION-OR-VARIATION.pdf
	•	Financial Results	
MSE/LIST/CIR/20 23/416	16/03/2023	Manner of filing financial results as required under Regulation 33 of SEBI(LODR), 2015	https://www.msei.in/SX-Content/Company- Circulars/2023/March/EXCHANGE-16-MAR-2023-MANNER-OF- FILING-FINANCIAL-RESULTS-AS-REQUIRED-UNDER- REGULATION-33-OF-SEBI-(LODR)-REGULATIONS2015.pdf
MSE/LIST/CIR/20 19/166	27/09/2019	Submission of Consolidated Financial Results	https://www.msei.in/SX-Content/Company- Circulars/2019/September/EXCHANGE-27-SEP-2019- SUBMISSION-OF-CONSOLIDATED-FINANCIAL-RESULTS.pdf
MSE/LIST/CIR/20 18/124A	22/11/2018	Format for publishing financial results- Clarification	https://www.msei.in/SX-Content/Company- Circulars/2018/November/EXCHANGE-22-NOV-2018- CLARIFICATION-ON-FORMATS-FOR-PUBLISHING-FINANCIAL- RESULTS.pdf

MSE/LIST/CIR/20 18/119	29/06/2018	Mandatory filing of Financial Results in XBF	RL Mode	https://www.msei.in/SX-Content/Company- Circulars/2018/June/EXCHANGE-29-JUN-2018-INTRODUCTION- OF-XBRL-BASED-FILING-AT-MSE-FOR-FINANCIAL- RESULTS.pdf
MSEI/LIST/CIR/20 16/067		Clarification by Submission of Limited F Consolidated Financial Results	Review Report	on https://www.msei.in/SX-Content/Company- Circulars/2016/November/EXCHANGE-30-NOV-2016- CLARIFICATION-REGARDING-SUBMISSION-OF-LIMITED- REVIEW-REPORT-ON-FINANCIAL-RESULTS.pdf
		Annual Reports		
MSE/LIST/CIR/20 22/384		Filing of Business Responsibility and Sur Reporting(BRSR) with the Exchange	stainability	https://www.msei.in/SX-Content/Company- Circulars/2022/July/EXCHANGE-25-JUL-2022-FILNG-OF- BUSINESS-RESPONSIBILITY-AND-SUSTAINABILITY- REPORTING-(BRSR)-WITH-THE-EXCHANGE.pdf

Circular No	Date	Subject	Links
		Website	
MSE/LIST/CIR/2 022/378	14/07/2022	Advisory under Regulation 46 and 62 of SEBI (Listing Obligation and Disclosure Requirements) Regulation, 2015	https://www.msei.in/SX-Content/Company- Circulars/2022/July/EXCHANGE-14-JUL-2022-ADVISORY-UNDER- REGULATION-46-AND-62-OF-SEBI-(LISTING-OBLIGATION-AND- DISCLOSURE-REQUIREMENTS)-REGULATION2015pdf
		SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011	
MSE/LIST/CIR/2 022/372	22/06/2022	Filing of Report to SEBI in respect of any acquisition made in reliance upon exemption provided for in regulation10 of SAST Regulations, 2011	https://www.msei.in/SX-Content/Company- Circulars/2022/June/EXCHANGE-22-JUN-2022-FILING-OF-REPORT- TO-SEBI-IN-RESPECT-OF-ANY-ACQUISITION-MADE-IN-RELIANCE- UPON-EXEMPTION-PROVIDED-FOR-IN-REGULATION-10-OF- SAST-REGULATIONS2011pdf
		Prohibition of Insider Trading	
MSE/LIST/CIR/2 023/419	31/03/2023	Standard Operating Process under SEBI(PIT) Regulations, 2015 for ensuring compliance with Structured Digital Database (SDD)	https://www.msei.in/SX-Content/Company- Circulars/2023/March/EXCHANGE-31-MAR-2023-STANDARD- OPERATING-PROCESS-UNDER-SEBI-(PIT)-REGULATIONS2015- FOR-ENSURING-COMPLIANCE-WITH-STRUCTURED-DIGITAL- DATABASE-(-SDD-).pdf
MSE/LIST/CIR/2		FAQ's - System driven disclosures under SEBI (Prohibition of Insider Trading) Regulations, 2015	https://www.msei.in/SX-Content/Company- Circulars/2021/January/EXCHANGE-29-JAN-2021-FAQ-SSYSTEM-

Circular No	Date	Subject	Links
021/275			DRIVEN-DISCLOSURES-UNDER-SEBI-(PROHIBITION-OF-INSIDER- TRADING)-REGULATIONS2015.pdf
MSE/LIST/CIR/2 020/241		Filing of reporting to Stock Exchanges for violations unde SEBI (Prohibition of Insider Trading) Regulations, 2015 relating to the Code of Conduct(COC)	rhttps://www.msei.in/SX-Content/Company- 5Circulars/2020/July/EXCHANGE-23-JUL-2020-REPORTING-TO- STOCK-EXCHANGES-REGARDING-VIOLATIONS-UNDER-SEBI- (PROHIBITION-OF-INSIDER-TRADING)-REGULATIONS2015- RELATING-TO-THE-CODE-OF-CONDUCT-(COC).pdf
MSE/LIST/CIR/2 019/144	22/04/2019	Clarification regarding trading restriction period	https://www.msei.in/SX-Content/Company- Circulars/2019/April/EXCHANGE-22-APR-2019-CLARIFICATION- REGARDING-TRADING-RESTRICTION-PERIOD.pdf
MCX- SX/LIST/CIR/20 14/012	07/02/2014	Trading Window Disclosures by Companies	https://www.msei.in/SX-Content/Company- Circulars/2014/February/Exchange-07-Feb-2014-17.pdf
		Others	
MSE/LIST/CIR/2 022/402		Submission of the Aadhar numbers in the Announcements/Offer Documents submitted to Exchange	https://www.msei.in/SX-Content/Company- Circulars/2022/December/EXCHANGE-22-DEC-2022-SUBMISSION- OF-THE-AADHAR-NUMBERS-IN-THE-ANNOUNCEMENTS-OFFER- DOCUMENTS-SUBMITTED-TO-THE-EXCHANGE.pdf

	Circular No	Date	Subject	Links
-		-		https://www.msei.in/SX- Content/static/Corporates/Listing@MSEI/Documents/SOP_Delisting_of _Equity_Shares.pdf

Circular No	Date	Subject	Links
MSE/LIST/387/2 022		,	https://www.msei.in/SX-Content/Company- Circulars/2022/July/EXCHANGE-29-JUL-2022-PROCESSING-OF- WAIVER-APPLICATIONS-BY-EXCHANGE-IN-CASE-OF- EXCLUSIVELY-LISTED-ENTITIESpdf
MSE/LIST/CIR/2 018/103	17/01/2018		https://www.msei.in/SX-Content/Company- Circulars/2018/January/EXCHANGE-17-JAN-2018-DISSEMINATION- IN-SEARCHABLE-FORMAT.pdf
MSE/LIST/CIR/2 020/190		and the Standard Operating Procedure for suspension and	https://www.msei.in/SX-Content/Company- Circulars/2020/January/EXCHANGE-22-JAN-2020-STANDARD- OPERATING-PROCEDURE-FOR-SUSPENSION-AND-REVOCATION- OF-TRADING-OF-SPECIFIED-SECURITIES.pdf