**Annexure E**

**Action Taken Report**

*(To be on the letterhead of the Member)*

System Audit Report for the period \_\_\_\_\_\_\_\_\_\_\_\_\_\_to \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Sr No.** | **Preliminary Audit period** | **Preliminary Audit date** | **TOR submitted****(I, II or III)** | **TOR Clause** | **Observation raised (TOR clause)** | **Corrective Action Taken** | **Current Status****(Complied / Non-complied / work-in-progress)** |
|  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |

Name of Compliance officer/ Designated Director

Signature of Compliance officer/Designated Director

Date :