

Annexure - A

Data of complaints against the Stock Exchange

Data for the January 2026 month ending

| Sr. No. | Received from | Carried forward from previous month | Received during the month | Total Complaints | Resolved during the month* | Pending at the end of the month** | | Average Resolution time^ |
|--------------------|-------------------------|-------------------------------------|---------------------------|------------------|----------------------------|-----------------------------------|--------------------------------|--------------------------|
| | | | | | | Pending for less than 3 months | Pending for more than 3 months | |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | |
| 1 | Directly from Investors | 1 | 0 | 1 | 1 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 1 | 1 | 1 | 0 | 0 | 0 |
| 3 | Members | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Grand Total | | 1 | 1 | 2 | 2 | 0 | 0 | 0 |

*Including complaints of previous months resolved in the current month, if any.

**Including total complaints pending as on the last day of the month, if any.

^ Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Month-wise data for the current financial year

| Sr. No. | Month | Carried forward from previous month | Received | Resolved | Pending |
|--------------------|-------|-------------------------------------|----------|----------|---------|
| 1 | 2 | 3 | 4 | 5 | 6 |
| 1 | April | 2 | 8 | 10 | 0 |
| 2 | May | 0 | 2 | 2 | 0 |
| 3 | June | 0 | 5 | 5 | 0 |
| 4 | July | 0 | 5 | 5 | 0 |
| 5 | Aug | 0 | 6 | 6 | 0 |
| 6 | Sept | 0 | 3 | 3 | 0 |
| 7 | Oct | 0 | 1 | 1 | 0 |
| 8 | Nov | 0 | 4 | 4 | 0 |
| 9 | Dec | 0 | 2 | 1 | 1 |
| 10 | Jan | 1 | 1 | 2 | 0 |
| 11 | Feb | | | | |
| 12 | Mar | | | | |
| Grand Total | | 3 | 37 | 39 | 0 |

Year-wise data (for 5 years on rolling basis)

| Sr. No. | Year | Carried forward from previous year | Received | Resolved | Pending |
|--------------------|---------|------------------------------------|-----------|----------|---------|
| 1 | 2 | 3 | 4 | 5 | 6 |
| 1 | 2021-22 | 0 | 15 | 13 | 2 |
| 2 | 2022-23 | 2 | 5 | 7 | 0 |
| 3 | 2023-24 | 0 | 5 | 5 | 0 |
| 4 | 2024-25 | 0 | 33 | 31 | 2 |
| 5 | 2025-26 | 2 | 37 | 39 | 0 |
| Grand Total | | - | 94 | 93 | 1 |