ClientPAN	Client name	Subject Line	Reference number	Date of Deactivation
AANFB4683M	BANSAL IMPEX	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/IID/P/OW/2022/46881/1	28-11-2022
ADVPG3256J	BED PRAKASH GUPTA	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/IID/P/OW/2022/35657	28-11-2022
AABTA0540L	ABHISHEK PERIWAL FAMILY TRUST	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	ISD-SEC-6/AO-SM/OW/2022/38040/1	28-11-2022
AALCA2193C	ABUMPENT TRADELINK PVT LTD.	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	ISD-SEC-6/AO-SM/OW/2022/38099/1	28-11-2022
AALHA1183P	ABHISHEK GUPTA & SONS HUF	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	ISD-SEC-6/AO-SM/OW/2022/38001/1	28-11-2022
AAKCA8787H	ACCENTUATE TRADECOM PVT LTD.	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	ISD-SEC-6/AO-SM/OW/2022/38110/1	28-11-2022
ACIPA3984B	INDRA DEVI AGRAWAL	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD/EFD1/P/OW/2022/33904/1	28-11-2022
CEVPP5588R	AISHWARYA PADIA	ADJUDICATION PROCEEDINGS IN RESPECT OF AISHWARYA PADIA DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE: SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	OIAE/IGRDMJS/17682/2021	28-11-2022
AAHCA1249F	AKANSHA VINCOM PVT LTD.	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/OIAE/IGRD/P/OW/2022/000034550/1	28-11-2022
AAMFB8544J	BHARAT POWER INC	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/VS/30718/2022	28-11-2022
AADCB7016R	BHAVYA GOLD PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/VS/30794/2022	28-11-2022

AADCB8143K	BHOLANATH TEXTILE PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/VS/30821/2022	28-11-2022
AAECD1524F	DHANRAKSHA TRADERS PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/VS/36434/2022	28-11-2022
AADCD2953K	DHRUV BROKING SERVICES INDIA PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/VS/35977/2022	28-11-2022
AAECD1732F	DIGANTA AGENCIES PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/VS/36438/2022	28-11-2022
AAACD2736K	DIMCO FINANACE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/VS/31798/2022	28-11-2022
AABCG9728R	DM VINIMAY PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/VS/34250/2022	28-11-2022
AAAFP4737H	PLASTIKA INDUSTRIES	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/VS/33096/2022	28-11-2022

AADCP3467C	POPULAR IMPEX PVT. LTD.	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/VS/35993/2022	28-11-2022
AABCP6088R	PRACHI PLASTICS PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/VS/34300/2022	28-11-2022
AAFCP7889L	PRACHI TRADEVINE PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/VS/36563/2022	28-11-2022
AABHR7225K	RAMESH AGARWAL HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/VS/34375/2022	28-11-2022
AACCR2788P	RANGVARDHAN FEBTRADE PVT. LTD.	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/VS/35900/2022	28-11-2022
AADCR3261Q	RANISATI AAWAS PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/VS/36013/2022	28-11-2022
AAFCR6033G	RCB INFRA PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/VS/36592/2022	28-11-2022

AAFCR6566C	REALSUNRISE TRADERS PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/VS/36605/2022	28-11-2022
AAECS0708A	SAFFIRE EXPORTS AND AGENCY PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/VS/36489/2022	28-11-2022
AADCS7349J	SAHUL INDIA LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/VS/36069/2022	28-11-2022
AADCS1409H	SAI KANAKA MAHALAKSHMI FINANCE PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/VS/36060/2022	28-11-2022
AALHA8228H	AMIT KUMAR CHOWDHURY (HUF)	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/AO/IAD_1/SMR/40198/1/2022	28-11-2022
AAHCA1114H	AMRAPALI MARKETING PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/AO/IAD_1/SMR/40355/1/2022	28-11-2022
AAGCA4091A	AMRIT AUTOR ANCIALLIES PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/VS/36675/2022	28-11-2022

BWVPB7271E	AMRIT BHOUTIKA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.39439/1/2022	28-11-2022
AAHCA8127E	AMRIT LOGISTICS P LTD.	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/AO/IAD_1/SMR/40891/1/2022	28-11-2022
AAECR4394M	RAJWADI RETAIL TRADE SYSTEMS PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/VS/36484/2022	28-11-2022
ABRPM7436N	RAMA DEVI MAHESHWARI	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/AK/41782/1/2022	28-11-2022
AIBPD5871D	RAMESH KUMAR DEOSARIA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/SM/32583/1/2022	05-12-2022
BYMPK3820M	RAMKRISHNA KARMAKAR	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND LM POSING PENALTIES) RULE, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/SM/33610/1/2022	05-12-2022
ADZPC5855H	DIPEN D CHANDRUVA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/SM/32182/1/2022	05-12-2022

ADKPA7122J	DHARAM CHAND AGARWAL	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/SM/31200/1/2022	05-12-2022
AJZPA6310R	REKHA M AGARWAL	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/SM/32598/1/2022	05-12-2022
AEKPC4067M	RASHMI CHANDAK	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND LM POSING PENALTIES) RULE, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/SM/32238/1/2022	05-12-2022
ASBPS7044M	RASHMI SRIVASTAVA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND LM POSING PENALTIES) RULE, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/SM/33274/1/2022	05-12-2022
BYUPK3013N	RANJIT KARMAKAR	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND LM POSING PENALTIES) RULE, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/SM/33627/1/2022	05-12-2022
AGJPA2721R	DONNA ABRAHAM TIJO	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/SM/32537/1/2022	05-12-2022
ADXPM6378D	PRABHU DAYAL MALPANI	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/SM/31267/1/2022	05-12-2022

AAFCR1143M	RISING IRON MERCHANTS PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS AGAINST RISING IRON MERCHANTS PVT LTD	EAD-8/PM/GD/ISO-II/25378/1/2021	05-12-2022
AAFCR9256B	ROLEX DEALER PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS AGAINST ROLEX DEALER PRIVATE LIMITED	EAD-8/PM/GD/ISO-II/29759/1/2021	05-12-2022
AAOHR1617N	ROMESH CHAND AGARWALA HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS AGAINST ROMESH CHAND AGARWALA HUF	EAD-8/PM/GD/ISO-II/15036/13/2021	05-12-2022
AAFCR6435C	ROYALPET EXIM PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS AGAINST ROYALPET EXIM PRIVATE LIMITED	EAD-8/PM/GD/ISO-II/25379/1/2021	05-12-2022
AAFHB4428J	BASUDEV JOARDER HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND LM POSING PENALTIES) RULE, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/GD/2022/37011/1	05-12-2022
AAECB7768B	BASUNDHARA MARCOM PVT LTD.	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS AGAINST BASUNDHARA MARCOM PVT LTD ("NOTICE")	EAD-8/PM/NJMR/ISO-II/2021	05-12-2022
AAJPK2039A	RAJESH KAPOOR	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS AGAINST RAJESH KAPOOR	EAD-8/PM/GD/ISO-II/39828/1/2021	05-12-2022

ALLPR2863E	RINA ROY	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND LM POSING PENALTIES) RULE, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/GD/2022/35831/1	05-12-2022
ADQPV3158F	RAKHI VOHRA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND LM POSING PENALTIES) RULE, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/SM/31253/1/2022	05-12-2022
AAECR0507L	RPS MERCHANTS PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS AGAINST RPS PROPERTIES PVT LTD	EAD-8/PM/NS/ISO-II/32066/1/2021	05-12-2022
AFBPA5176P	KUSUM DEVI AGARWAL	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/AO/AC/P/OW2022/41285/1	05-12-2022
AAAHL4134N	LALIT KUMAR BHALOTIA HUF	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/AO/AC/P/OW2022/42246/1	05-12-2022
ACOPC0249F	LALITA CHOWDHARY	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/AO/AC/P/OW2022/42308/1	05-12-2022
AABHL1687B	LAKHMICHAND SHREECHAND HUF	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/AO/AC/P/OW2022/41452/1	05-12-2022
AABCG2794B	GOPAL RESORTS LTD	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/AO/AC/P/OW2022/41394/1	05-12-2022
AABHG3632H	GOVARDHAN LAL SIKARIA HUF	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/AO/AC/P/OW2022/41414/1	05-12-2022
AABHG5168A	GOVIND KUMAR GUPTA HUF	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/AO/AC/P/OW2022/41317/1	05-12-2022
AABHG5795F	GOPAL RAM GUPTA HUF	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/AO/AC/P/OW2022/41454/1	05-12-2022
AABTG2809E	GOURAV BEGNANI BENIFIT TRUST	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/AO/AC/P/OW2022/41459/1	05-12-2022

AAGHG2297F	GOVIND JI SHUKLA HUF	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	HRD/ADJ/PM/OW/P/41154/1/2022	05-12-2022
AMKPB0815E	DIPAYAN BOSE	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/AO/AC/P/OW2022/41355/1/2022	05-12-2022
COTPM6524G	GUNJAN MOGRA	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	HRD/ADJ/PM/OW/P/41287/1/2022	05-12-2022
ADJPR1716N	KUMAR KAREL RAJ	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	AO/PS/NS/2022/39487/1	05-12-2022
AETPP7789L	SITA DEVI PANASARI	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	AO/BRK/JS/OW/31404/1/2022	05-12-2022
AXUPJ6002E	SITARAM JAYANT	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	AO/BRK/JS/OW/31461/1/2022	05-12-2022
AANCS7906B	SKYLAND CONSTRUCTIONS PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	AO/BRK/JS/OW/31437/1	05-12-2022
AAJHK7693C	KRISHNA KUMAR JHUNJHUNWALA HUF	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	AO/PS/NS/2022/39510/1	05-12-2022
BIYPS8509M	MANOJ T. SHAH	UNDER SECTION 15-1 OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	SD/AO/78/2011	05-12-2022
ADZPK9831B	PURSHOTTAM KHANDELWAL	UNDER SECTION 15-1 OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	JJ/AK/AO-103/2014	05-12-2022

ACUPV1785B	GIRDHARBHAI VAGADIYA	UNDER SECTION 15-1 OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	EAD-2/AO/19/2012	05-12-2022
ACSPT7007J	PRASAD TANDEL	UNDER SECTION 15-1 OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	SD/AO/01/2010	05-12-2022
ARIPK3214D	YOGESH KURADIYA	UNDER SECTION 15-1 OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	BM/AO/-128/2011	05-12-2022
ACPPH5612Q	HEMLATA RAMESH HANKARE	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-2/AO/170/2013	05-12-2022
ABPPH6308A	SURESH HANSWAL	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-2/DSR/KM/105/2014	05-12-2022
ACJPN0418I	K.NATARAHJAN	UNDER SECTION 15-1 OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	BM/AO-112-114-2011	05-12-2022
AHUPM3772J	MR. CHETAN MEHTA	ADJUDICATION ORDER AGAINST SHRI CHETAN MEHTA IN THE MATTER OF CHAMATKAR.NET (INDIA) LIMITED	PKK/AO/16/2011	12-12-2022
AABCC2133Q	CALYPSO TIEUP AND FINCO PRIVATE LIMITED	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/OCH/P/OW/2022/41723/1	12-12-2022

AABCC9516H	CAMPBELL STOCK AND SECURITIES PVT. LTD.	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/OCH/P/OW/2022/41742/1	12-12-2022
AADCR1312E	ROSE SECURITIES LIMITED	SCN UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS WITH ILLIQUID STOCK OPTIONS ON BSE	EAD-8/PM/NS/ISO-II/29966/1/2021	12-12-2022
AADCR5262R	RAMEL PHARMA LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF RAMEL PHARMA LIMITED AND ITS DIRECTORS	WTM/MB/SEBI/EFD-DRA4/7/2017	12-12-2022
AAECB6182B	BASUNDHARA AGRO RESOURCES (INDIA) LTD.	UNDER SECTIONS 11 (1), 11(4) AND 11B OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF BASUNDARA AGRO RESOURCES (INDIA) LIMITED	WTM/GM/SEBI/ERO/IMD/19/JUNE/2017	12-12-2022
AAECR5537N	REAL AGRI INDUSTRIES AND SERVICES LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/06/ERO-BLO/APR/2016	12-12-2022
AAECR7871B	ROSEVALLEY VINTRADE PVT LTD	SCN UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS WITH ILLIQUID STOCK OPTIONS (ISO) ON BSE	EAD-8/AS/GD/2022/36487/1	12-12-2022
AAFCA5616C	ARYAVISH PROPERTIES PRIVATE LIMITED	SCN UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS WITH ILLIQUID STOCK OPTIONS (ISO) ON BSE	SEBI/AS/OR/2022/32/43124/1	12-12-2022
AAFCR7667C	RITUDHARA TRADERS PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS AGAINST RITUDHARA TRADERS PRIVATE LIMITED	EAD-8/PM/NS/ISO-II/25371/1/2021	12-12-2022
AAFHR7017H	RAJBIR GOYAL SONS HUF	SCN UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS WITH ILLIQUID STOCK OPTIONS (ISO) ON BSE	EAD-8/AS/GD/2022/37096/1	12-12-2022
AAFHS3855J	SHIV AGARWAL	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/IVD/ID18/P/OW/2022/31030/1	12-12-2022

AAHHA5905K	AMIT KUMAR SINGH HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/AO/IAD-1/SMR/40900/1/2022	12-12-2022
AAHHR0564B	RAJESH KUMAR AGARWAL HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/GD/2022/36532/1	12-12-2022
AAKFJ0204F	JAYVEER COMMODITIES BROKER	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/MRD/MRD-SEC_3/P/OW/2022/44574/1	12-12-2022
AALHM3697J	MANISH AGGARWAL HUF	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/OCH/P/OW/2022/41822/1	12-12-2022
AALHR7398H	RAJENDRA MADAN LAL HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS AGAINST RAJENDRA MADAN LAL HUF ("NOTICEE")	EAD-8/PM/NJMR/ISO-II/15671/1/2021	12-12-2022
AALHR9308B	RIJU RAJPAL HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS AGAINST RIJU RAJPAL HUF	EAD-8/PM/GD/ISO-II/29751/1/2021	12-12-2022
AAPCS4311L	SANYUKTA DEALERS PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/IVD/ID5/SCN/AO-MS/NS/34560/12022	12-12-2022

ABUPD3892Q	SANTOSH DEVI	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.42012/1/2022	12-12-2022
ACBPJ2127L	SHASHI JAIN	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/WRO/SCN/RB/OW/39254/1/2022	12-12-2022
ACLPA9190Q	SHIV AGARWAL	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/IVD/ID18/OW/2022/31033/1	12-12-2022
ACPPD0411M	SUJIT DUTTA	UNDER SECTIONS 11 (1), 11(4) AND 11B OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF BASUNDARA AGRO RESOURCES (INDIA) LIMITED	WTM/GM/SEBI/ERO/IMD/19/JUNE/2017	12-12-2022
ACXPJ4973G	ASHA DEVI JALAN	SCN UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS WITH ILLIQUID STOCK OPTIONS ON BSE	SEBI/AO/SR/2022/44/43184/1	12-12-2022
ADCPA3298H	SANWARMAL AGARWAL	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBIHO/IVD/ID5/AO-MS/NS/34486/1/2022	12-12-2022
AEBPA9277Q	SANTOSH AGARWAL	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/IVD/ID5/SCN/AO-MS/SP/32865/1/2022	12-12-2022
AETPP7792R	SHASHI PRABHA PANSARI	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/WRO/SCN/RB/OW/35117/1/2022	12-12-2022
AFJPR2105P	MANGU RAM	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/OCH/P/OW/2022/41706/1	12-12-2022

AGVPD9209K	BYOMKESH DAS	UNDER SECTIONS 11 (1), 11(4) AND 11B OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD-DRA-IV/189/2016	12-12-2022
AHQPB9848J	MRITYUNJOY BISWAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/06/ERO-BLO/APR/2016	12-12-2022
AIDPB6313L	MR. KAMAL KUMAR BISWAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/06/ERO-BLO/APR/2016	12-12-2022
AIYPS6389J	SHIV CHARAN SHARMA	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/IVD/ID18/P/OW/2022/31040/1	12-12-2022
AKDPA2022P	MD MAHFUZ ALAM	UNDER SECTIONS 11, 11(4), 11 (A) AND 11B (1) OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF ORION INDUSTRIES LIMTIED	WTM/MPB/ERO/ERO-RLO/105/2020	12-12-2022
AKEPP6209Q	SUBHASH PAUL	UNDER SECTIONS 11 (1), 11(4) AND 11B OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF BASUNDARA AGRO RESOURCES (INDIA) LIMITED	WTM/GM/SEBI/ERO/IMD/19/JUNE/2017	12-12-2022
AKIPA6043M	PARWEZ ALAM	UNDER SECTIONS 11, 11(4), 11 (A) AND 11B (1) OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF ORION INDUSTRIES LIMTIED	WTM/MPB/ERO/ERO-RLO/105/2020	12-12-2022
ALLPA8121E	MOHAMMAD SALIMUDDIN ANSARI	UNDER SECTIONS 11, 11(4), 11 (A) AND 11B (1) OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF ORION INDUSTRIES LIMTIED	WTM/MPB/ERO/ERO-RLO/105/2020	12-12-2022
ALQPS3953D	JEAN SAMTANI	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ILO) AT BSE	SEBI/HO/MRD-SEC-3/P/OW/2022/41890/1	12-12-2022
ANFPA9734M	MANZUR ALAM	UNDER SECTIONS 11, 11(4), 11 (A) AND 11B (1) OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF ORION INDUSTRIES LIMTIED	WTM/MPB/ERO/ERO-RLO/105/2020	12-12-2022
AODPC0097C	DHANANJAY CHALCRABORTY	UNDER SECTIONS 11 (1), 11(4) AND 11B OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD-DRA-IV/189/2016	12-12-2022

AQJPA8887E	SHIKHA AGRAWAL	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/IVD/ID18/P/OW/2022/30970/1	12-12-2022
AQLPD9139L	PRANESH DEBNATH,	UNDER SECTIONS 11 (1), 11(4) AND 11B OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD-DRA-IV/189/2016	12-12-2022
ASPPD7921E	TAPAS DEY	UNDER SECTIONS 11 (1), 11(4) AND 11B OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD-DRA-IV/189/2016	12-12-2022
ATOPB8487H	REKHA BISWAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/06/ERO-BLO/APR/2016	12-12-2022
AVQPD8818E	SUNIPA DAS	UNDER SECTIONS 11 (1), 11(4) AND 11B OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD-DRA-IV/189/2016	12-12-2022
AYKPP8684R	BIBHAS PAL	UNDER SECTIONS 11 (1), 11(4) AND 11B OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF BASUNDARA AGRO RESOURCES (INDIA) LIMITED	WTM/GM/SEBI/ERO/IMD/19/JUNE/2017	12-12-2022
AYMPK6977G	SHILPA KUMARI	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/IVD/ID18/P/OW/2022/30989/1	12-12-2022
AZMPC2370A	RAHUL CHATTERJEE	UNDER SECTIONS 11 (1), 11(4) AND 11B OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD-DRA-IV/189/2016	12-12-2022
BAAPM8405Q	RADHASHYAM MANNA	UNDER SECTIONS 11 (1), 11(4) AND 11B OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD-DRA-IV/189/2016	12-12-2022
BADPM1727J	ASHOK MAJI	UNDER SECTIONS 11 (1), 11(4) AND 11B OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD-DRA-IV/189/2016	12-12-2022

BBAPK4611F	MD KAMAL KOSHAR	UNDER SECTIONS 11, 11(4), 11 (A) AND 11B (1) OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF ORION INDUSTRIES LIMTIED	WTM/MPB/ERO/ERO-RLO/105/2020	12-12-2022
BDQPK1075F	AMITAVA KOLEY	UNDER SECTIONS 11 (1), 11(4) AND 11B OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD-DRA-IV/189/2016	12-12-2022
BEOPS6023M	GORA CHAND SARKAR	UNDER SECTIONS 11 (1), 11(4) AND 11B OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD-DRA-IV/189/2016	12-12-2022
BEYPD4346D	SANJAY DAS	UNDER SECTIONS 11 (1), 11(4) AND 11B OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD-DRA-IV/189/2016	12-12-2022
BHAPM2160H	SOMENATH MUKHERJEE	UNDER SECTIONS 11 (1), 11(4) AND 11B OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF BASUNDARA AGRO RESOURCES (INDIA) LIMITED	WTM/GM/SEBI/ERO/IMD/19/JUNE/2017	12-12-2022
CHFPS4590F	GOUTAM SAHA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/06/ERO-BLO/APR/2016	12-12-2022
AAACU7914N	UNITED COSMETICS MANUFACTURING (I) LIMITED	UNDER SECTIONS 11(1), 11(4), 11(A) AND 11(B) OF THE SECURITIES BOARD OF INDIA ACT, 1992 IN THE MATTER OF ISSUANCE OF REDEEMABLE PREFERENCE SHARES BY UNITED COSMETICS MANUFACTURING (I) LIMITED	WTM/RKA/EFD/150/2016	12-12-2022
AABCO6831B	OMISHA AGRI INDUSTRIES (INDIA) LIMITED	UNDER SECTIONS 11(1), 11(4), 11(A) AND 11(B) OF THE SECURITIES BOARD OF INDIA ACT, 1992, IN THE MATTER OF OMISHA AGRI INDUSTRIES LIMITED AND ITS DIRECTORS	WTM/RKA/EFD/185/2016	12-12-2022
AABHC1518G	RAMPRASAD CHAMARIA HUF	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/MRD/MRD-POD-3/P/OW/2022/42914/1	12-12-2022

AACCD7561J	DYNASTY TRADELINK PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/IVD/ID8/SCN /AO-SS/VS/35381/1/2022	12-12-2022
AACCE6890R	ERIS ENERGY LIMITED	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITES AND EXCHANGE BOARD OF INIDA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA (LSSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008, IN RESPECT OF:	WTM/RKA/EFD/175/2016	12-12-2022
AADCD6348J	DYNAMIC ASSET MANAGEMENT LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/05/ERO-BLO/APR/2016	12-12-2022
AADHD7260E	DURLABH SINGH AND SONS HUF	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/IVD-8/SCN/AO-SS/PH/2022/31762	12-12-2022
AAECA2227N	AMAZAN AGRO PRODUCTS LTD.	UNDER SECTIONS 11(1), 11(4), 11(A) AND 11(B) OF THE SECURITIES BOARD OF INDIA ACT, 1992, IN THE MATTER OF AMAZON AGRO PRODUCTS LIMITED AND ITS DIRECTORS	WTM/PS/145/ERO/JAN /2016	12-12-2022
AAECG0029L	GREAT OVERSEAS COMMODEAL LIMITED	UNDER SECTIONS 11(1), 11(4), 11(A) AND 11(B) OF THE SECURITIES BOARD OF INDIA ACT, 1992 IN THE MATTER OF ISSUANCE OF REDEEMABLE PREFERENCE SHARES BY GREAT OVERSEAS COMMODEAL LIMITED	WTM/RKA/EFD/127/2016	12-12-2022
AAECG0030B	GMS INFRASTRUCTURE LIMITED	UNDER SECTIONS 11(1), 11(4), 11(A) AND 11(B) OF THE SECURITIES BOARD OF INDIA ACT, 1992, IN THE MATTER OF AMAZON AGRO PRODUCTS LIMITED AND ITS GMS INFRASTRUCTURE LIMITED	WTM/AB/EFD-1/DRA-1V/05/2018-19	12-12-2022
AAECG3774E	GULSHAN NIRMAN INDIA LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/128/2018	12-12-2022
AAECG5486F	GOLDMINE INDUSTRIES LIMITED	UNDER SECTIONS 11(1), 11(4), 11(A) AND 11(B) OF THE SECURITIES BOARD OF INDIA ACT, 1992 IN RESPECT OF GOLDMINE INDUSTRIES LIMITED	WTM/RKA/EFD/190/2016	12-12-2022
AAECR6118F	ROOFERS INFRA - PROJECTS LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTN/SR/SEBI-ERO/IMD/21/03/2016	12-12-2022

AAFCA5687H	ASOKA LIFE SCIENCE LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF ASOKA LIFE SCIENCE LIMITED	WTM/GM/EFD/28/2018-19	12-12-2022
AAFCR9720E	RBX LAND DEVELOPERS INDIA LIMITED	UNDER SECTIONS 11(1), 11(4), 11(A) AND 11(B) OF THE SECURITIES BOARD OF INDIA ACT, 1992, IN THE MATTER OF RBX LAND DEVELOPERS INDIA LIMITED	WTM/RKA/EFD/137/2016	12-12-2022
AAGCP6749N	POLARIS REALTORS INDIALIMITED	ORDER U/S 11, 11(4), 11A AND IIB OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	ORDER U/S 11, 11(4), 11A AND IIB OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	12-12-2022
AAHCM0793Q	MULTINATIONAL INDUSTRIES LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992,	WTM/MPB/EFD-1-DRA-IV/21/2017	12-12-2022
AAICA4906A	ATM AGRO INDUSTRIES INDIA LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992,	WTM/PS/15/ERO/APR/2016	12-12-2022
AAICA6147R	ASSDA AGRO PROJECTS LTD	UNDER SECTIONS 11, 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992,	WTM/MPB/EFD-1-DRA-IV/22/2018	12-12-2022
AAKCS2718Q	SEBA REAL ESTATE LIMITED	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTS/PS/138/ERO/JAN/2016	12-12-2022
AALCS5321G	SWABHUMI REAL ESTATE INDIA LTD.	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/51/2017	12-12-2022
AAMCS8354E	SLB INVEST (INDIA) LIMITED	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/08/ERO-BLO/APR/2016	12-12-2022
AARCS4721N	STPL INFRASTRUCTURES LIMITED	SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF STPL INFRASTRUCTURES LIMITED	WTM/RKA/EFD/167/2016	12-12-2022
AAYPL5577B	KAMAL KISHORE LODHA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992	WTM/PS/15/ERO/APR/2016	12-12-2022
ABUPH6271K	RAKABUL HAQUE	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD/1/DRA-IV/21/2017	12-12-2022
ACEPC4432C	NANDINI CHATTERJEE	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD/1/DRA-IV/21/2017	12-12-2022

ACFPC4630F	SUKUMAR CHATTERJEE	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD/175/2016	12-12-2022
ACXPB9394B	PAHARI BASU	UNDER SECTIONS 11(1), 11(4), 11(A) AND 11(B) OF THE SECURITIES BOARD OF INDIA ACT, 1992, IN THE MATTER OF AMAZON AGRO PRODUCTS LIMITED AND ITS DIRECTORS	WTM/PS/145/ERO/JAN/2016	12-12-2022
ACYPG7856L	SRINIBASH GHOSH	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD/1/DRA/IV/128/2018	12-12-2022
ACZPN6252A	MRINAL CHANDRA NANDI,	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/138/ERO/JAN/2016	12-12-2022
ADAPD1592M	SAMIR DAS	UNDER SECTIONS 11(1), 11(4), 11(A) AND 11(B) OF THE SECURITIES BOARD OF INDIA ACT, 1992, IN THE MATTER OF AMAZON AGRO PRODUCTS LIMITED AND ITS DIRECTORS	WTM/PS/145/ERO/JAN/2016	12-12-2022
ADEPD8146M	RANU MURARKA	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/MRD/MRD-POD/P/OW/2022/0000042182/1	12-12-2022
ADFPN9130J	KANAK RANJAN	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/138/ERO/JAN/2016	12-12-2022
ADRPB4364N	BARUN BISWAS	UNDER SECTIONS 11(1), 11(4), 11(A) AND 11(B) OF THE SECURITIES BOARD OF INDIA ACT, 1992	WTM/RKA/EFD/186/2016	12-12-2022
ADTPR0390N	SMT. SUSMITA ROYCHOUDHARY	UNDER SECTIONS 11(1), 11(4), 11(A) AND 11(B) OF THE SECURITIES BOARD OF INDIA ACT, 1992	WTM/RKA/EFD/186/2016	12-12-2022
AEKPK6205P	RANJEET KUMAR	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/MRD/MRD-POD-3/P/OW/2022/42898/1	12-12-2022
AEMPN1456K	GOPAL NAYEK	ORDER U/S 11, 11(4), 11A AND IIB OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	ORDER U/S 11, 11(4), 11A AND IIB OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	12-12-2022
AEOPN7091L	SANJOY NAG	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/138/ERO/JAN/2016	12-12-2022

AEOPN7092K	SIDDHARTHA NAG	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/138/ERO/JAN /2016	12-12-2022
AEPPG8484F	JOYDEB GARAI	UNDER SECTIONS 11(1), 11(4), 11(A) AND 11(B) OF THE SECURITIES BOARD OF INDIA ACT, 1992, IN THE MATTER OF AMAZON AGRO PRODUCTS LIMITED AND ITS DIRECTORS	WTM/PS/145/ERO/JAN /2016	12-12-2022
AEWPD5164N	DINABANDHU DAS	UNDER SECTIONS 11(1), 11(4), 11(A) AND 11(B) OF THE SECURITIES BOARD OF INDIA ACT, 1992, IN THE MATTER OF AMAZON AGRO PRODUCTS LIMITED AND ITS DIRECTORS	WTM/PS/145/ERO/JAN /2016	12-12-2022
AFBPR4794Q	SOURAV ROY	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF ASOKA LIFE SCIENCE LIMITED	WTM/GM/EFD/28/2018-19	12-12-2022
AFVPD2306Q	AJAYA KUMAR DAS	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/05/ERO-BLO/APR/2016	12-12-2022
AFVPR5832F	SIMANTA ROY	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF ASOKA LIFE SCIENCE LIMITED	WTM/GM/EFD/28/2018-19	12-12-2022
AFWPG1415L	DEBABRATA GHOSH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/15/ERO/APR/ 2016	12-12-2022
AGDPD7440A	SHIBENDU D	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/138/ERO/JAN /2016	12-12-2022
AGNPG3984G	BASUDEB GARAI	UNDER SECTIONS 11(1), 11(4), 11(A) AND 11(B) OF THE SECURITIES BOARD OF INDIA ACT, 1992, IN THE MATTER OF AMAZON AGRO PRODUCTS LIMITED AND ITS DIRECTORS	WTM/PS/145/ERO/JAN /2016	12-12-2022
AHEPD4809K	ABHIJIT DASGUPTA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATIONS 28 OF SEBI (LSSUE AND LISTING OF DEBT SECURITIES)REGULATIONS, 2088 IN RESPECT OF;	WTM/GM/NRO/30/2017	12-12-2022
AHMPR4384B	UJJAL KUMAR ROY	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/GM/NRO/30/2017	12-12-2022

AHPPD8992C	RAJESH DAS	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF DYNAMIC ASSET MANAGEMENT LIMITED	WTM/PS/05/ERO-BLO/APR/2016	12-12-2022
AHQPJ8186K	KARTIK JANA	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/RKA/EFD/150/2016	12-12-2022
AHTPD9166G	SUMITA DAS	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD/175/2016	12-12-2022
AHUPH9113Q	DEVID HASLAF	UNDER SECTIONS 11 (1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008, IN RESPECT OF:	WTM/RKA/EFD/175/2016	12-12-2022
AHXPD8258R	DEBASISH DUTTA	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/22/2018	12-12-2022
AHYPC4447J	MANABENDRA CHAKRABORTY	UNDER SEC 11 (1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF OMISHA AGRI INDUSTRIES LIMITED AND ITS DIRECTORS	WTM/RKA/EFD/185/2016	12-12-2022
AIDPG1944N	SAUROVEMOY GHOSH	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD/175/2016	12-12-2022
AIEPB7483J	NIHAR RANJAN BIDHAR	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF DYNAMIC ASSET MANAGEMENT LIMITED	WTM/PS/05/ERO-BLO/APR/2016	12-12-2022
AIHPM6556G	NIRAPADA MAITI	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/RKA/EFD/150/2016	12-12-2022
AIIPM2433R	ARUN KUMAR MISHRA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992	WTM/PS/15/ERO/APR/2016	12-12-2022

AIYPR3451L	SUJIT KUMAR ROY	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/138/ERO/JAN /2016	12-12-2022
AJIPB7361C	MS. DEBASHREE BHOWMIK	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/RKA/EFD/150/20 16	12-12-2022
AJJPD4880B	SANTANU DAS	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/138/ERO/JAN /2016	12-12-2022
AJLPD1430D	SATYENDRA KUMAR DEY	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/138/ERO/JAN /2016	12-12-2022
AJTPM8782F	ARUNANGSHU MANNA	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/SEBI/ERO/I MD/23/JUNE/2017	12-12-2022
AKAPD0726A	MS. BAISALINI DAS	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/08/ERO-BLO/APR/2016	12-12-2022
AKEPS8245D	KHUDIRAM SOUNTH	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD/175/20 16	12-12-2022
ALDPB2568G	PRALAY KANTA BHATTACHARYYA	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/SEBI/ERO/I MD/23/JUNE/2017	12-12-2022
ALDPP2259C	SUSANTA KUMAR PANDA	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF DYNAMIC ASSET MANAGEMENT LIMITED	WTM/PS/05/ERO-BLO/APR/2016	12-12-2022
ALIPB5094L	CHANDAN BISWAS	UNDER SECTIONS 11(1), 11(4), 11(A) AND 11(B) OF THE SECURITIES BOARD OF INDIA ACT, 1992, IN THE MATTER OF AMAZON AGRO PRODUCTS LIMITED AND ITS GMS INFRASTRUCTURE LIMITED	WTM/AB/EFD-1/DRA-1V/05/2018-19	12-12-2022
ALVPS9791G	SUDHIR SHARMA	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF GULSHAN NIRMAN PVT. LTD.	WTM/MPB/EFD-1-DRA-IV/128/2018	12-12-2022

AMGPB0981P	RAJIB BHATTACHARYA	UNDER SEC 11 (1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF ISSUANCE OF REDEEMABLE PREFERENCE SHARES BY UNITED COSMETICS MANUFACTURING (I) P. LTD.	UNDER SEC 11 (1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF ISSUANCE OF REDEEMABLE PREFERENCE SHARES BY UNITED COSMETICS MANUFACTURING (I) P. LTD.	12-12-2022
AMHPD9189J	MS. SHARMILA DAS	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1982 IN RESPECT OF OMISHA AGRI INDUSTRIES (INDIA) LIMITED	WTM/RKA/EFD/ 185/2016	12-12-2022
AMPPD6913J	BISWAJIT DAS	UNDER SECTIONS 11 (1), 11 (4), 11 B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/EFD-1/DRA-IV/05/2018-19	12-12-2022
ANDPC7427M	DINESH CHOWHAN	UNDER SECTIONS 11 (1), 11 (4), 11 B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/EFD-1/DRA-IV/05/2018-19	12-12-2022
ANNPS2028N	PRABIR SENGUPTA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/RKA/EFD/186/2016	12-12-2022
ANRPB9054H	SUBHRAJIT BANIK	UNDER SECTIONS 11 (1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008, IN RESPECT OF:	WTM/RKA/EFD/175/2016	12-12-2022
ANZPP6080B	BHADRESHWAR PAL	UNDER SECTION 11, 11(4),11A AND 14B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/SR/SEBI-ERO/IMD/21/03/2016	12-12-2022
AOQPD8911D	TIRTHANKAR DASGUPTA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/RKA/EFD/27/2016	12-12-2022
APDPD8203N	DEBASISH DASGUPTA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992	WTM/PS/15/ERO/APR/2016	12-12-2022
APEPC8255N	AVJIT CHOWDHURY	UNDER SECTION 11, 11(4),11A AND 14B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008.	WTM/RKA/EFD/175/2016A	12-12-2022

APKPS6248B	HIRAK NATH SOUNTH	UNDER SECTION 11, 11(4),11A AND 14B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/SR/SEBI-ERO/IMD/21/03/2016	12-12-2022
AQFPB2001D	LOPAMUDRA BANDYAPADHYAY	UNDER SECTIONS 11 (1), 11 (4), 11 B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/EFD-1/DRA-IV/05/2018-19	12-12-2022
AQQPR8167F	MANISH ROY	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/RKA/EFD/186/2016	12-12-2022
AQRPC9091N	SAMIR CHATTOPADHYAY KUMAR	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008.	WTM/RKA/EFD/150/2016	12-12-2022
AQSPM6019P	BIBEKANANDA MAITI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/05/ERO-BLO/APR/2016	12-12-2022
AQVPA0028K	RAJESH ACHARYA	UNDER SECTION 11, 11(4),11A AND 14B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-IV/21/2017	12-12-2022
AQXPG2563P	TAPAS KUMAR GIRI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/05/ERO-BLO/APR/2016	12-12-2022
ARBPD8838K	NABARUN DUTTA	UNDER SECTION 11, 11(4),11A AND 14B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-IV/22/2018	12-12-2022
ASWPD5852F	GOURI DUTTA	UNDER SECTION 11, 11(4),11A AND 14B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-IV/22/2018	12-12-2022
ASWPR0853J	OBAIDUR RAHAMAN	UNDER SECTION 11, 11(4),11A AND 14B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-IV/21/2017	12-12-2022
ATFPM5403K	ANTARA MUKHERJEE	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF ASOKA LIFE SCIENCE LIMITED	WTM/GM/EFD/28/2018-19	12-12-2022
AUDPB3585G	KEDAR CHANDRA BERA	UNDER SECTIONS 11 (1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008, IN RESPECT OF:	WTM/RKA/EFD/175/2016	12-12-2022
AUDPS9698F	RASIKLAL S SHETH	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/MRD/MRD-POD-3/P/OW/2022/0000040060/1	12-12-2022

AUMPD7059E	PRADIP DHARA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1982 IN RESPECT OF OMISHA AGRI INDUSTRIES (INDIA) LIMITED	WTM/KRA/EFD/185/2016	12-12-2022
AUZPP0701A	AMAL PATRA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008.	WTM/RKA/EFD/150/2016	12-12-2022
AVFPA7748F	SAIFUL ALAM	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992	WTM/PS/15/ERO/APR/2016	12-12-2022
AVFPK1594B	MOHAMMAD ATTAULLAH KHAN	UNDER SECTION 11, 11(4),11A AND 14B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MP/MOB/EFD-1-DRA-IV/128/2018	12-12-2022
AVIPS6571K	ARJUN SAHA	UNDER SECTION 11, 11(4),11A AND 14B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008.	WTM/RKA/EFD/175/2016A	12-12-2022
AWEPS6381C	NITYANANDA SARKAR	UNDER SECTION 11, 11(4),11A AND 14B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MP/MOB/EFD-1-DRA-IV/128/2018	12-12-2022
AWIPP6778J	TAPAN KUMAR POLLEY	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1982 IN RESPECT OF OMISHA AGRI INDUSTRIES (INDIA) LIMITED	WTM/KRA/EFD/185/2016	12-12-2022
AWLPM7483Q	DURGA CHARAN MUDI	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1982 IN RESPECT OF OMISHA AGRI INDUSTRIES (INDIA) LIMITED	WTM/KRA/EFD/185/2016	12-12-2022
AYCPS4451M	SUBHASH CHANDRA SAHA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008.	WTM/PS/138/ERO/JAN/2016	12-12-2022
AYLPB1956B	DEBASHISH BHATTACHARYYA	UNDER SECTION 11, 11(4),11A AND 14B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MP/MOB/EFD-1-DRA-IV/128/2018	12-12-2022
AYNPG5900E	YUSUF ALI GAYEN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992	WTM/PS/15/ERO/APR/2016	12-12-2022
AYYPM2926P	KISHORE CHANDRA MAHARATHI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/05/ERO-BLO/APR/2016	12-12-2022

AZGPB7889M	PRABIR KUMAR BAUL	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1982 IN RESPECT OF OMISHA AGRI INDUSTRIES (INDIA) LIMITED	WTM/KRA/EFD/185/2016	12-12-2022
BAGPM1763F	HASEM MIRZA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992	WTM/PS/15/ERO/APR/2016	12-12-2022
BAGPM1764C	MIRZA DINAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992	WTM/PS/15/ERO/APR/2016	12-12-2022
BBHPM8968G	PRATAP MONDAL	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/RKA/EFD/27/2016	12-12-2022
BCLPR9255A	KAUSTABH ROY	UNDER SECTION 11, 11(4),11A AND 14B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MP/MOB/EFD-1-DRA-IV/128/2018	12-12-2022
BDKPP3859C	NARGIS PARVIN	UNDER SECTION 11, 11(4),11A AND 14B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-IV/21/2017	12-12-2022
BDOPG6790F	TAHIDUR RAHAMAN GAYEN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992	WTM/PS/15/ERO/APR/2016	12-12-2022
BEJPR5386E	PRIYANKA ROY	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF ASOKA LIFE SCIENCE LIMITED	WTM/GM/EFD/ 28 /2018-19	12-12-2022
BHFPR1071R	SRIKANTA RUIDAS	UNDER SECTIONS 11(1), 11(4), 11(A) AND 11(B) OF THE SECURITIES BOARD OF INDIA ACT, 1992, IN THE MATTER OF OMISHA AGRI INDUSTRIES LIMITED AND ITS DIRECTORS	WTM/RKA/EFD/185/2016	12-12-2022
BHQPR8645J	INDRAJIT ROY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992	WTM/PS/15/ERO/APR/2016	12-12-2022
BJKPR7315B	BAPAN RUIDAS	UNDER SECTIONS 11(1), 11(4), 11(A) AND 11(B) OF THE SECURITIES BOARD OF INDIA ACT, 1992, IN THE MATTER OF OMISHA AGRI INDUSTRIES LIMITED AND ITS DIRECTORS	WTM/RKA/EFD/185/2016	12-12-2022
BKBPK8720K	ASHMIN KHATUN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992	WTM/PS/15/ERO/APR/2016	12-12-2022
BLQPM6927A	RAJIB MONDAL	UNDER SECTIONS 11(1), 11(4), 11(A) AND 11(B) OF THE SECURITIES BOARD OF INDIA ACT, 1992, IN THE MATTER OF OMISHA AGRI INDUSTRIES LIMITED AND ITS DIRECTORS	WTM/RKA/EFD/185/2016	12-12-2022

BMEPB2162H	GARGI BISWAS	UNDER SECTIONS 11(1), 11(4), 11(A) AND 11(B) OF THE SECURITIES BOARD OF INDIA ACT, 1992, IN THE MATTER OF AMAZON AGRO PRODUCTS LIMITED AND ITS DIRECTORS	WTM/PS/145/ERO/JAN /2016	12-12-2022
BMPPP2155K	ANIL PANDEY	UNDER SECTIONS 11(1), 11(4), 11(A) AND 11(B) OF THE SECURITIES BOARD OF INDIA ACT, 1992, IN THE MATTER OF ISSUANCE OF SECURED REDEEMABLE NON CONVERTIBLE DEBENTURES BY MEGASYS HEALTHCARE LIMITED	WTM/RKA/EFD/186/20 16	12-12-2022
BMUPM1800K	PRADIP KUMAR MAHAPATRA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/O5/ERO-BLO/APR/2016	12-12-2022
BOEPP0786H	GORA CHAND PANDEY	UNDER SECTIONS 11(1), 11(4), 11(A) AND 11(B) OF THE SECURITIES BOARD OF INDIA ACT, 1992 IN THE MATTER OF ISSUANCE OF REDEEMABLE PREFERENCE SHARES BY GREAT OVERSEAS COMMODEAL LIMITED	WTM/RKA/EFD/127/20 16	12-12-2022
BREPS6947N	BALWANT KUMAR SINGH	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/RKA/EFD/150/20 16	12-12-2022
BUOPK8353F	SEKHAR CHANDRA KOLEY	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD/175/20 16	12-12-2022
BXHPS2674H	RAGHUVEER SINGH SISODIYA	UNDER SECTIONS 11(1), 11(4), 11(A) AND 11(B) OF THE SECURITIES BOARD OF INDIA ACT, 1992, IN THE MATTER OF RBX LAND DEVELOPERS INDIA LIMITED	WTM/RKA/EFD/137/20 16	12-12-2022
BYYPK8546E	AVEDANANDA KHILA	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/SEBI/ERO/I MD/23/JUNE/2017	12-12-2022
BZMPS1847R	AKMAL SEKH	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD/1/DR A/IV/21/2017	12-12-2022
CAYPS9419K	MIARUL SEKH	UNDER SECTIONS 11 (1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008, IN RESPECT OF:	WTM/RKA/EFD/175/20 16	12-12-2022

CLKPS6324N	RAJESH SINGH	UNDER SECTIONS 11(1), 11(4), 11(A) AND 11(B) OF THE SECURITIES BOARD OF INDIA ACT, 1992, IN THE MATTER OF AMAZON AGRO PRODUCTS LIMITED AND ITS GMS INFRASTRUCTURE LIMITED	WTM/AB/EFD-1/DRA-1V/05/2018-19	12-12-2022
CQYPS9419K	MIARUL SEKH	UNDER SECTIONS 11 (1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008, IN RESPECT OF:	WTM/RKA/EFD/175/2016	12-12-2022
DCTPS2901E	KALYAN SAHA	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD/1/DRA/IV/21/2017	12-12-2022
DUGPS0846K	AJOY SANKAR SANYAL	UNDER SEC 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD/175/2016	12-12-2022
AAACJ2532P	JAITRA ESTATE HOLDINGS PVT. LTD.	UNDER SECTION 15-1 OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	PKB/AO-101/2010]	12-12-2022
AAAPI2482J	MR KOLLENMARETH OOMMAN ISSAC	UNDER SECTION 11 & 11B OF THE SECURITIES & EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF ABL BIOTECHNOLOGIES LTD.	WTM/SKM/EFD1-DRAI/02/2019-20	12-12-2022
AACCS1185R	SONAL INTERNATIONAL LTD	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 ("SEBI ACT") READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER} RULES.1896 ("SEBI AO RULES")	EAD-12/ AO/SM/57-72/2017)	12-12-2022

AGLPP8818Q	NILESH KRUSHNA PALANDE	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 ("SEBI ACT") READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER} RULES.1896 ("SEBI AO RULES")	EAD-12/ AO/SM/57-72/2017)	12-12-2022
AKSPM3939N	KIRTI KANTILAL MEHTA	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 ("SEBI ACT") READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER} RULES.1896 ("SEBI AO RULES")	EAD-12/ AO/SM/57-72/2017)	12-12-2022
AKZPG4168Q	VAISHALI SHARAD GAWDE	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 ("SEBI ACT") READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER} RULES.1896 ("SEBI AO RULES")	EAD-12/ AO/SM/57-72/2017)	12-12-2022
AOZPP4022M	GHANSHYAM DAYABHAI PATEL	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 ("SEBI ACT") READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER} RULES.1896 ("SEBI AO RULES")	EAD-12/ AO/SM/57-72/2017)	12-12-2022
AWMPG6377L	RAJNEE RAJAN GITAYE	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 ("SEBI ACT") READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER} RULES.1896 ("SEBI AO RULES")	EAD-12/ AO/SM/57-72/2017)	12-12-2022

AXGPP4301A	NEHA BIPIN PATEL	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 ("SEBI ACT") READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER} RULES.1896 ("SEBI AO RULES")	EAD-12/ AO/SM/57-72/2017)	12-12-2022
CFPPS4835H	CHIRAG DINESHKUMAR SHAH	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 ("SEBI ACT") READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER} RULES.1896 ("SEBI AO RULES")	EAD-12/ AO/SM/57-72/2017)	12-12-2022
AAACV2912N	VISHVAS SECURITIES LTD.	UNDER SECTIONS 11 (1) AND 11B (1) OF THE SECURITIES AND EXCHANGE BOARD OFLNDIA ACT, 1992	WTM/MPB/EFD-1-DRA-4/101-103/2020	12-12-2022
AABCS7644G	SUNDEEP CREDITS PRIVATE LIMITED	UNDER SECTION 15-1 OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	EAD-2/DSR/KM/122-127 /2014	12-12-2022
AAFCP0825N	PROGRESS CULTIVATION LIMITED	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/194/IMD-ERO/MAR/2016	12-12-2022
AAFFI0479L	INDIRA TRADING CO.	UNDER SECTIONS 11(1), 11(4) AND 11(B) OF THE SECURITIES BOARD OF INDIA ACT, 1992, IN RE. SECURITIES EXCHANGE BOARD OF INDIA (INVESTMENT ADVISORS) REGULATIONS AND THE SECURITIES EXCHANGE BOARD OF INDIA (RESEARCH ANALYST) REGULATION, 2014	WTM/MB/IMD/DOF-1/10676/2020-21	12-12-2022
AAFFI1014H	INDIAN STOCK TIPS	UNDER SECTIONS 11(1), 11(4)(B) AND LLB OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH SECURITIES AND EXCHANGE BOARD OF INDIA (INVESTMENT ADVISERS) REGULATIONS, 2013 AND THE SECURITIES AND EXCHANGE BOARD OF INDIA (RESEARCH ANALYST) REGULATIONS, 2014, IN RESPECT OF	WTM/MPB/SEBI/EFD-DRA4/108/2017	12-12-2022

AAHCS1332H	STARDOM TRADING COMPANY PVT LTD	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 ("SEBI ACT") READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENAL TIES BY ADJUDICATING OFFICER} RULES.1896 ("SEBI AO RULES")	EAD-12/ AO/SM/57-72/2017)	12-12-2022
AAICS2382L	SPECTRUM CHEMICALS PVT LIMITED	UNDER SECTION 15 I OF SECURITIES .AND EXCHANGE ,BOARD OF INDIA ACT. 1992 READ WITH RULE & OF SECURITIES AND EXCHANGE BOARD OF IMDIA F PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER RULES 1996	EAD-2/AD/DSR/RG/BKM/276-308/2014	12-12-2022
ABYPH4184M	BIKASH HOWLADER	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/194/IMD-ERO/MAR/2016	12-12-2022
AJHPG6989J	BIPIN KUMAR GANDHI	UNDER SECTION 15-1 OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQ11M.Y AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	EAD-2/DSR/RG/PU/320-339/2014	12-12-2022
AKTPD7363C	AJOY DAS	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/194/IMD-ERO/MAR/2016	12-12-2022
AKWPM4694A	SOUVIK MISTRY	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/194/IMD-ERO/MAR/2016	12-12-2022
ARLPG5832C	JAGDISH LALMANI GUPTA	UNDER SECTIONS 11 (1), 11 (4), 11 B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MB/IMD/DOF-1/10676/2020-21	12-12-2022
AXTPM7326D	SOHINI MISTRY	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/163/2018	12-12-2022
BAHPG8679M	VISHAL ANAJI GIRKAR	UNDER SECTIONS 11 (1), 11 (4), 11 B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MB/IMD/DOF-1/10676/2020-21	12-12-2022
BEPPM1043D	JOHEPH CHAND MALIKSHAIKH	UNDER SECTIONS 11 (1), 11 (4), 11 B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MB/IMD/DOF-1/10676/2020-21	12-12-2022
CPDPS5191C	MOHD. ZAID SIDDIQUE	UNDER SECTIONS 11 (1), 11 (4), 11 B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MB/IMD/DOF-1/10676/2020-21	12-12-2022

AAACT9882C	TOUCH WOOD LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OFLNDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF TOUCH WOOD LIMITED.	WTM/GM/EFD/47/2018-19	12-12-2022
AAAFK8628A	KHERA MOTOR FINANCE PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/MIRSD/MIRSD-RAC-2/P/OW/2022/0000043655/1	12-12-2022
AABCJ1721D	JAI AMBE CASSETTES PVT	ADJUDICATION ORDER U/S 15-I OF SEBI ACT, 1992, AND U/S 23-I OF SECURITIES CONTRACTS (REGULATIONS), ACT, 1956	ORDER/VV/AS/2022-23/15978 - 16000	12-12-2022
AABCN6212F	NEHA CASSETTES PVT. LTD	ADJUDICATION ORDER U/S 15-I OF SEBI ACT, 1992, AND U/S 23-I OF SECURITIES CONTRACTS (REGULATIONS), ACT, 1956	ORDER/VV/AS/2022-23/15978 - 16000	12-12-2022
AABHR9686J	RAM PRASAD ASHISH GUPTA HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/VS/34377/2022	12-12-2022
AACCE1268R	EVERLINK DISTRIBUTORS PVT LTD	ADJUDICATION ORDER U/S 15-I OF SEBI ACT, 1992, AND U/S 23-I OF SECURITIES CONTRACTS (REGULATIONS), ACT, 1956	ORDER/VV/AS/2022-23/15978 - 16000	12-12-2022
AACCH1630A	HALDHAR REALTY AND ENTERPRISES LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OFLNDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN RESPECT OF INTERIM ORDER -CUM- SHOW CAUSE NOTICE DATED JUNE 17, 2014 IN THE MATTER OF HALDHAR REALTY AND ENTERPRISES LIMITED.	WTM/GM/EFD/90 /2017-18	12-12-2022
AACCH5757N	HONESTY GOODS TRADER PVT LTD	ADJUDICATION ORDER U/S 15-I OF SEBI ACT, 1992, AND U/S 23-I OF SECURITIES CONTRACTS (REGULATIONS), ACT, 1956	ORDER/VV/AS/2022-23/15978 - 16000	12-12-2022

AACCJ5132F	JASPER VINTRADE PVT LTD	ADJUDICATION ORDER U/S 15-I OF SEBI ACT, 1992, AND U/S 23-I OF SECURITIES CONTRACTS (REGULATIONS), ACT, 1956	ORDER/VV/AS/2022-23/15978 - 16000	12-12-2022
AACCK9762E	KIM INFRASTRUCTURE & DEVELOPERS LTD	UNDER SECTIONS 11(1), 11B AND 11(4) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999	WTM/PS/51/CIS/NRO/DEC/2014	12-12-2022
AACCN4643D	NATRAJ VINIMAY PVT LTD	ADJUDICATION ORDER U/S 15-I OF SEBI ACT, 1992, AND U/S 23-I OF SECURITIES CONTRACTS (REGULATIONS), ACT, 1956	ORDER/VV/AS/2022-23/15978 - 16000	12-12-2022
AADCG6785A	GAUNGOUR SUPPLIERS PVT LTD	ADJUDICATION ORDER U/S 15-I OF SEBI ACT, 1992, AND U/S 23-I OF SECURITIES CONTRACTS (REGULATIONS), ACT, 1956	ORDER/VV/AS/2022-23/15978 - 16000	12-12-2022
AADCN4728Q	NICL INDIA LIMITED	UNDER SECTIONS 11(1), 11(4) AND 118 OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JANUARY 14, 2015 IN THE MATTER OFNICL INDIA LIMITED (NICL).	WTM/GM/EFD/30/2018-19	12-12-2022
AAECD0982H	DHANKAMAL VANIJYA PRIVATE LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OFLNDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF TOUCH WOOD LIMITED.	WTM/GM/EFD/47/2018-19	12-12-2022
AAECR1541A	RAGHAV CAPITAL AND INFRASTRUCTURE LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 4(2) (T) OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/PS/75/CIS-NRO/LKO/OCT/2015	12-12-2022

AAFCM3291B	MADHUVAN DATAMATICS TRADERS PVT LTD	ADJUDICATION ORDER U/S 15-I OF SEBI ACT, 1992, AND U/S 23-I OF SECURITIES CONTRACTS (REGULATIONS), ACT, 1956	ORDER/VV/AS/2022-23/15978 - 16000	12-12-2022
AAFCM3292C	MATARA ELECTRICAL TRADERS PVT LTD	ADJUDICATION ORDER U/S 15-I OF SEBI ACT, 1992, AND U/S 23-I OF SECURITIES CONTRACTS (REGULATIONS), ACT, 1956	ORDER/VV/AS/2022-23/15978 - 16000	12-12-2022
AAFCP3908E	PRAGYA DAIRIES & AGRO LIMITED	UNDER SECTIONS 11(1), 11(4), 11B AND 11AA OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 11 OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/AB/WRO/WRO/7170/2019-20	12-12-2022
AAHCS8640N	SAHAYTA FINANCIAL CONSULTANCY SERVICES INDIA PVT. LTD	ADJUDICATION ORDER U/S 15-I OF SEBI ACT, 1992, AND U/S 23-I OF SECURITIES CONTRACTS (REGULATIONS), ACT, 1956	ORDER/VV/AS/2022-23/15978 - 16000	12-12-2022
AAKCA2105M	ALLNEW DISTRIBUTORS PVT LTD.	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OFLNDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF TOUCH WOOD LIMITED.	WTM/GM/EFD/47/2018-19	12-12-2022
AALCS8092C	SWAR AGROTEAK AND HOUSING (INDIA) PRIVATELIMITED	ADJUDICATION ORDER U/S 15-I OF SEBI ACT, 1992, AND U/S 23-I OF SECURITIES CONTRACTS (REGULATIONS), ACT, 1956	ORDER/VV/AS/2022-23/15978 - 16000	12-12-2022
AANCS1132M	SUPER TRADECOM PRIVATE LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OFLNDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF TOUCH WOOD LIMITED.	WTM/GM/EFD/47/2018-19	12-12-2022

AAPCS3390F	SUNPLANT BIO ENERGY PRIVATE LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED DECEMBER 09, 2015 IN THE MATTER OF SUNPLANT BIO ENERGY PRIVATE LIMITED (SBEPL).	WTM/GM/EFD /52/2017-18	12-12-2022
AARPA7028K	SANJIB SIKDAR	UNDER SECTIONS 11(1), 11B AND 11(4) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999	WTM/PS/51/CIS/NRO/ DEC/2014	12-12-2022
AAXPM7090B	VIRENDER KUMAR MITTAL	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OFLNDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF TOUCH WOOD LIMITED.	WTM/GM/EFD/47/2018- 19	12-12-2022
ACSPT3646D	PREM PRAKASH THANVI	ADJUDICATION ORDER U/S 15-I OF SEBI ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING ENQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES 1995.	PB/AO-79/2010	12-12-2022
ADPPN6255N	RAGHVENDRA SINGH NARWARIA	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED MARCH 31, 2015 IN THE MATTER OF BNP INDIA DEVELOPERS AND INFRASTRUCTURE LIMITED (BNP).	WTM/GM/EFD/60/2017- 18	12-12-2022

AGOPC3742P	ABHISHEK S CHAUHAN	UNDER SECTIONS 11(1), 11(4) AND 118 OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JANUARY 14, 2015 IN THE MATTER OFNICL INDIA LIMITED (NICL).	WTM/GM/EFD/30/2018-19	12-12-2022
AHWPN9045M	MS. NIRMALA RAGHAV	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 4(2) (T) OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/PS/75/CIS-NRO/LKO/OCT/2015	12-12-2022
AHZPN2835J	PADMALOCHAN NAYAK	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED DECEMBER 09, 2015 IN THE MATTER OF SUNPLANT BIO ENERGY PRIVATE LIMITED (SBEPL).	WTM/GM/EFD /52/2017-18	12-12-2022
AIKPC8055F	PHOOL SINGH CHOUDHARY	UNDER SECTIONS 11(1), 11(4) AND 118 OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JANUARY 14, 2015 IN THE MATTER OFNICL INDIA LIMITED (NICL).	WTM/GM/EFD/30/2018-19	12-12-2022

AITPM7648D	SANGEETA MITTAL	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OFLNDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF TOUCH WOOD LIMITED.	WTM/GM/EFD/47/2018-19	12-12-2022
AJWPP3490J	NANA LAL PATIDAR	UNDER SECTIONS 11(1), 11(4), 11B AND 11AA OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 11 OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/AB/WRO/WRO/7170/2019-20	12-12-2022
AMHPJ3198H	SUNIL KUMAR JHA	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED DECEMBER 09, 2015 IN THE MATTER OF SUNPLANT BIO ENERGY PRIVATE LIMITED (SBEPL).	WTM/GM/EFD /52/2017-18	12-12-2022
AOMPM8097D	SUNITA MODI	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OFLNDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF TOUCH WOOD LIMITED.	WTM/GM/EFD/47/2018-19	12-12-2022
APGPT3272C	MANJULABEN BHUPENDRABHAI THAKKAR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OFLNDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF TOUCH WOOD LIMITED.	WTM/GM/EFD/47/2018-19	12-12-2022

APJPP6921M	DURGA PATIDAR	UNDER SECTIONS 11(1), 11(4), 11B AND 11AA OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 11 OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/AB/WRO/WRO/7170/2019-20	12-12-2022
APRPD7072D	SOM DATTA	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED MARCH 31, 2015 IN THE MATTER OF BNP INDIA DEVELOPERS AND INFRASTRUCTURE LIMITED (BNP).	WTM/GM/EFD/60/2017-18	12-12-2022
ARRPK5013B	RAJESH KUMAR	UNDER SECTIONS 11(1), 11B AND 11(4) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999	WTM/PS/51/CIS/NRO/DEC/2014	12-12-2022
ATSPK4627Q	MR. PRAVESH KUMAR KAROWLIYA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/22/EFD/MAY/2016	12-12-2022
AVBPP1293Q	POOJA PATIDAR	UNDER SECTIONS 11(1), 11(4), 11B AND 11AA OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 11 OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/AB/WRO/WRO/7170/2019-20	12-12-2022

BCUPS2748L	DEVENDRA KUMAR SAHU	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED MARCH 31, 2015 IN THE MATTER OF BNP INDIA DEVELOPERS AND INFRASTRUCTURE LIMITED (BNP).	WTM/GM/EFD/60/2017-18	12-12-2022
BKHPS1704D	MR. KULBIR SINGH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/31/CIS-NRO/AUG/2015	12-12-2022
BLGPK9508K	MR. SHIV KUMAR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/ 22/EFD/MAY/2016	12-12-2022
BONPG5600Q	SUBIR GUPTA	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED DECEMBER 09, 2015 IN THE MATTER OF SUNPLANT BIO ENERGY PRIVATE LIMITED (SBEPL).	WTM/GM/EFD /52/2017-18	12-12-2022
CGFPS6608M	MR. HARJIT SINGH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/31/CIS-NRO/AUG/2015	12-12-2022
CIRPK5759F	MS. RANJIT KAUR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/31/CIS-NRO/AUG/2015	12-12-2022

COUPS0677H	HARISH SHARMA	UNDER SECTIONS 11(1), 11(4) AND 118 OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JANUARY 14, 2015 IN THE MATTER OFNICL INDIA LIMITED (NICL).	WTM/GM/EFD/30/2018-19	12-12-2022
DQTPS4378R	AKASHDEEP SINGH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OFLNDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF TOUCH WOOD LIMITED.	WTM/GM/EFD/47/2018-19	12-12-2022
AAACY5285E	YIN TREXIM PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.42074/1/2022	12-12-2022
AAACZ3842Q	ZIYA MERCHANDISE PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.44094/1/2022	12-12-2022
AAAHP3310G	PARMOD JAIN HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD6/GG/AS/41490/1/2022	12-12-2022
AABCD9928L	DRISHA IMPEX PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.44096/1/2022	12-12-2022

AABCG0653R	GALAXY EXPORTS PRIVATE LIMITED	SCN UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	41496/1/2022	12-12-2022
AABCW0409M	WINSOME VINCOM PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.44971/1/2022	12-12-2022
AABCW0423F	WELSPUN TIE UP PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.44970/1/2022	12-12-2022
AABCW1311Q	WATERFLOW COMMOTRADE PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.44969/1/2022	12-12-2022
AACCV1835D	VKJ TREXIM PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.42065/1/2022	12-12-2022
AACHC3523N	CHANDRESH MEGHANI HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.44706/1/2022	12-12-2022
AADCC4989N	CHIRAG VINCOM PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.39809/1/2022	12-12-2022

AADCC6699R	CREDENCE PROJECTS PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.42002/1/2022	12-12-2022
AADCD5746L	DAREDEVIL AGENCIES PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.42729/1/2022	12-12-2022
AAECC7265L	CYPRESS GOODS PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.41991/1/2022	12-12-2022
AAECC7267J	CHEN VYAPAAR PRIVATE LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.39780/1/2022	12-12-2022
AAGCP7939J	PLUTOCRAT TRADECOM PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/AK/38266/1/2022	12-12-2022
AAGCR7248J	RIYA OIL INDUSTRIES PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/AK/38558/1/2022	12-12-2022
AAGHS5421P	SUDESH KUMAR SOMANI HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.43435/1/2022	12-12-2022

AAGHS9027R	SANJOY KUMAR GUPTA FAMILY	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.39060/1/2022	12-12-2022
AAHHR0559Q	RAM NIWAS JAIN HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/AK/38346/1/2022	12-12-2022
AAICS5236K	SADGURU ISPAT PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/AK/38733/1/2022	12-12-2022
AAIHR4812B	RAM MANOHAR VIJAY HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/AK/38336/1/2022	12-12-2022
AAJHA7611L	ATUL TANNA HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.44082/1/2022	12-12-2022
AAJHS4607J	SUDESH KUMAR AGGARWAL SONS	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.43432/1/2022	12-12-2022
AALHR4375J	RAKESH KUMAR KHETAN HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/AK/40956/1/2022	12-12-2022

AAMCS1343P	STATUS VYAPAAR PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.41513/1/2022	12-12-2022
AAMCS6736Q	SRM COMMODITIES PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.41502/1/2022	12-12-2022
AAMCS7057E	SR REALBUILD PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.42987/1/2022	12-12-2022
AAMHR2718E	RAMESH KUMAR SARIA HUF	SCN UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/AK/41554/1/2022	12-12-2022
AAOCS1393R	SRIJAN DEALERS PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.44983/1/2022	12-12-2022
AAOCS7375K	SOUNDLIGHT PROJECTS PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.44978/1/2022	12-12-2022
AAOHR1833A	RANJAN KARMAKAR HUF	SCN UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/AK/40181/1/2022	12-12-2022

AAPCS4843R	SAGAROTHARAKA VANIJYA PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/AK/40399/1/2022	12-12-2022
AAPHR3293F	RANJEET SINGH BAID HUF	SCN UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/AK/40199/1/2022	12-12-2022
AAQCS8259L	SAAKSHEE SALES PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/AK/40375/1/2022	12-12-2022
AARCS0082L	SAFALTA VINIMAY PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/AK/40388/1/2022	12-12-2022
AARFR9719A	RAKSHAK TRADERS	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/AK/41039/1/2022	12-12-2022
AAVHS3867D	SUDHIR KUMAR KHIRWAL HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/AK/43437/1/2022	12-12-2022
AAZHS0892R	SANJAY KUMAR AGARWAL HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.39035/1/2022	12-12-2022

ABAHS3525H	SANJEEV MITTAL HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.39024/1/2022	12-12-2022
ABGDP1800J	SANTOSH DEVI	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.42012/1/2022	12-12-2022
ACEPB6328Q	SUMAN BHATTER	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.41514/1/2022	12-12-2022
ADYPG3727C	VIVEK COMPANY	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.44968/1/2022	12-12-2022
AMCPK8964B	DEVENDRA KUMAR SHARMA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.44236/1/2022	12-12-2022
AAACW9754E	WEIRD INFRASTRUCTURE CORPORATION LIMITED	UNDER SECTIONS 11 AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/24/NRO/JULY/2015	12-12-2022
AABCC0334M	M/S CHANDRIKA DEALCOM PRIVATE LIMITED	ADJUDICATING PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/IMD/IMD-1/DOF1//P/OW/2022/35773/1	12-12-2022
AABCF4251E	FALKON INDUSTRIES INDIA LIMITED	UNDER SECTIONS 11, 11(4), LLA AND LLB OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF FALKON INDUSTRIES INDIA LIMITED	WTM/PS/79/ERO/OCT/2015	12-12-2022
AACCV9807F	VAIBHAV PARIWAR INDIA PROJECTS LIMITED	UNDER SECTIONS 11(1), 11(4), 11,A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/143/ERO/JAN/2016	12-12-2022

AADCC6789J	CHERRY PROJECTS PVT. LTD.	ADJUDICATION PROCEEDINGS IN RESPECT OF CHERRY PROJECTS PVT LTD IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS AT BSE - SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	SEBI/HO/IMD/IMD-1/DOF1/P/OW/38839/1	12-12-2022
AAFCP1275L	PRISM INFRACON LIMITED	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008 AND SEBI (DEBENTURE TRUSTEES) REGULATIONS, 1993	WTM/PS/160/IMD/ERO/FEB/2016	12-12-2022
AAGCM8612H	MGH PROJECT INDIA LTD	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/SEBI/ERO/IMD/37 /2017-18	12-12-2022
AAHCA9909Q	ATM AGRO PROJECTS INDIA LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/ERO/MAY/2016	12-12-2022
AAJPB4769J	SACHINDRA NATH BHATTACHARYA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	12-12-2022
AAOHR3968L	RATAN KUMAR SIKARIA HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS AGAINST RTL TECHNOLOGIES PRIVATE LIMITED	EAD-8/AS/AK/40219/1/2022	12-12-2022
AAPCS8543L	SHINE INDIA INFRA PROJECT LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/97/2017	12-12-2022
AARHR4213D	RANJIT KUMAR HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/AK/40205/1/2022	12-12-2022
AARPI8233N	MANIRUL ISLAM	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF FALKON INDUSTRIES INDIA LIMITED	WTM/PS/ERO/OCT/2015	12-12-2022

ABIPH8726P	SYED SHOFIQUE HOSSAIN	INTERIM ORDER CUN SHOW CAUSE NOTIE UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	INTERIM ORDER CUN SHOW CAUSE NOTIE UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	12-12-2022
ACHPB8357J	BISWAJIT BHATTACHARYA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF FALKON INDUSTRIES INDIA LIMITED	WTM/PS/ERO/OCT/2015	12-12-2022
ACJPH4538B	DILWAR HOSSAIN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF FALKON INDUSTRIES INDIA LIMITED	WTM/PS/ERO/OCT/2015	12-12-2022
ADGPL3888E	BINAY KUMAR LALL	UNDER SECTIONS 11(1), 11(4), 11,A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/143/ERO/JAN/2016	12-12-2022
AEBPG4001N	TAIMUR ALI GAYEN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/ERO/MAY/2016	12-12-2022
AEFPD9926H	MR. GOPAL DALAPATI	UNDER SECTIONS 11 AND 11N OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/24/NRO/JULY/2015	12-12-2022
AFIPC2205A	MR. AVIJIT CHATTERJEE	UNDER SECTIONS 11 AND 11N OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/24/NRO/JULY/2015	12-12-2022
AFQPD0757C	CHANDRANI DUTTA	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/IMD/IMD-1/DOF1/P/OW/2022/35808/1	12-12-2022
AGMPR5640P	SHOME SHANKAR RAY	INTERIM ORDER CUN SHOW CAUSE NOTIE UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	INTERIM ORDER CUN SHOW CAUSE NOTIE UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	12-12-2022
AGUPC5065H	SMT. PRITI RANI CHOWDHURY	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/IMD/63/2018-19	12-12-2022
AGUPC5065H	SMT. PRITI RANI CHOWDHURY	UNDER SECTIONS 11(1), 11(4), 11A AND 11B (1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/SM/ERO/ERO/9710/2020-21	12-12-2022
AGUPD3964E	MR. SANJAY KUMAR DAS	UNDER SECTIONS 11 AND 11N OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/24/NRO/JULY/2015	12-12-2022

AHCPJ1271D	MR. MUKUND YADU JAMBHALE	UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005.	ADJUCATION ORDER NO PG/AB/AO-24/20/2012	12-12-2022
AHLPG4351N	KOUSHIK GHOSH	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008 AND SEBI (DEBENTURE TRUSTEES) REGULATIONS, 1993	WTM/PS/160/IMD/ERO/FEB/2016	12-12-2022
AIIPA2213P	TASLIM ANSARY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF FALKON INDUSTRIES INDIA LIMITED	WTM/PS/ERO/OCT/2015	12-12-2022
AJFPG5051P	MR. CHAND MOHAN GHOSH	UNDER SECTIONS 11 AND 11N OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/24/NRO/JULY/2015	12-12-2022
AJUPT3341C	MR. SACHIN KUMAR THAKUR	UNDER SECTIONS 11 AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/24/NRO/JULY/2015	12-12-2022
AJUPT3341C	MR. SACHIN KUMAR THAKUR	UNDER SECTIONS 11(1), 11(4), 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/NRO/20/2020-21	12-12-2022
AJUPT3341C	MR. SACHIN KUMAR THAKUR	UNDER SECTIONS 11(1), 11(4), 11(A) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/166/ERO/FEB/2016	12-12-2022
AKIPB6561F	LAXMANBHAI SITARAMBHAI BHOYA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF FALKON INDUSTRIES INDIA LIMITED	WTM/PS/ERO/OCT/2015	12-12-2022
AKNPK3850F	SANJAY KUMAR KOCHAR	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EAD/AK/OW/2022/42798/30	12-12-2022
AMPPP5677D	AJOY PAUL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/SM/ERO/9710/2020-21	12-12-2022
ANKPG5521D	CHANDRA GHOSH	ADJUTICATION PROCEEDINGS IN RESPECT OF CHANDRA GHOSH IN THE MATTER OF DEALINS IN ILLIQUID STOCK OPTIONS AT BSE - SHOW CAUSE NOTIVE UNDER RULE 4(1) OFTHE SEIBI (PROEDURE FOR HOLDING INQUIRY AND IMPOSINT PENALTIES) RULES 1995	SEBI/HO/IMD/IMD-1/DOF1/P/OW/36327/1	12-12-2022

ANUPJ8465D	MR. JADUNATH JENA	UNDER SECTIONS 11 AND 11N OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/24/NRO/JULY/2015	12-12-2022
AODPG3970J	TAPAS GHOSH	INTERIM ORDER CUN SHOW CAUSE NOTIE UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	INTERIM ORDER CUN SHOW CAUSE NOTIE UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	12-12-2022
AOGPG4013B	PRADYUT KUMAR GHOSH	INTERIM ORDER CUN SHOW CAUSE NOTIE UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	INTERIM ORDER CUN SHOW CAUSE NOTIE UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	12-12-2022
AOJPR4225D	RAJESH KUMAR RAI	UNDER SECTIONS 11(1), 11(4), 11,A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/143/ERO/JAN/2016	12-12-2022
AOJPR4226A	INDRAKALA RAI	UNDER SECTIONS 11(1), 11(4), 11,A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/143/ERO/JAN/2016	12-12-2022
APDYG4586R	MITHU GHOSH	INTERIM ORDER CUN SHOW CAUSE NOTIE UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	INTERIM ORDER CUN SHOW CAUSE NOTIE UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	12-12-2022
APFDH7958P	SYED SAFKAT HOSSAIN	INTERIM ORDER CUN SHOW CAUSE NOTIE UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	INTERIM ORDER CUN SHOW CAUSE NOTIE UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	12-12-2022
AQQPR5328E	MANOJ KUMAR RAI	UNDER SECTIONS 11(1), 11(4), 11,A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/143/ERO/JAN/2016	12-12-2022
ARNPM5830G	KOUSHIK MUKHERJEE	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/SEBI/ERO/IMD/37 /2017-18	12-12-2022
ASWPB3847N	MR. BHABAGRAHI BEHERA	UNDER SECTIONS 11 AND 11N OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/24/NRO/JULY/2015	12-12-2022
AUEPB3112A	MR. SANATAN BANERJEE	UNDER SECTIONS 11 AND 11N OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/24/NRO/JULY/2015	12-12-2022

AVCPM2314E	AFZAL MIAH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF FALKON INDUSTRIES INDIA LIMITED	WTM/PS/ERO/OCT/2015	12-12-2022
AVUPS8738C	NAVIN PRATAP SINGH	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008 AND SEBI (DEBENTURE TRUSTEES) REGULATIONS, 1993	WTM/PS/160/IMD/ERO/FEB/2016	12-12-2022
AXYPD2036N	MANGLUBHAI CHILYABHAI DHUM	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF FALKON INDUSTRIES INDIA LIMITED	WTM/PS/ERO/OCT/2015	12-12-2022
AYDPB5615K	SUDIP BANERJEE	INTERIM ORDER CUN SHOW CAUSE NOTIE UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	INTERIM ORDER CUN SHOW CAUSE NOTIE UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	12-12-2022
AZAPA3366Q	MR. SEKH RANJAN ALI	UNDER SECTIONS 11 AND 11N OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/24/NRO/JULY/2015	12-12-2022
BCJPM2413D	SAJAHAN MIDYA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008 ABD SEBI(DEBENTURE TRUSTEES) REGULATIONS , 1993	WTM/PS/60/IMD/ERO/FEB/2016	12-12-2022
BUOPK6993F	BUDHAN CHANDRA KUNDU	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF FALKON INDUSTRIES INDIA LIMITED	WTM/PS/ERO/OCT/2015	12-12-2022
CCQPS8194F	LITAN SAHA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/SM/ERO/9710/2020-21	12-12-2022
DAPPS8724B	MR. SOMNATH SANTRA	UNDER SECTIONS 11 AND 11N OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/24/NRO/JULY/2015	12-12-2022
AADHR3379C	RITU RAJ AGRAWAL HUF	ADJUDICATION PROCEEDING IN THE MATTER OF DEALINGS IN II LIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/MRD2/MRD2_ICC2/9/OW/2022/45209/1	12-12-2022
ALXPS6979E	RITU SINGHANIA	ADJUDICATION PROCEEDING IN THE MATTER OF DEALINGS IN II LIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/MRD2/MRD2_ICC2/9/OW/2022/45215/1	12-12-2022

AABCT3010D	T H VAKIL SHARES & SECURITIES P LTD.	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. BM/AO-108/2010	19-12-2022
AKRPK7116L	RAMDAS KSHIRSAGAR	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO.: - PKK/AO/274/2010	19-12-2022
AFXPC6574P	VIJAY KUMAR CHAUDHARY	ADJUDICATION PROCEEDING IN THE MATTER OF DEALINGS IN II LIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD2/EFD2_SLD2/P/OW/2022/42224/1	19-12-2022
AIPPC9212K	PRABIR CHOWDHURY	UNDER SECTIONS 11(1), 11(4) 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF BISHAI ABASAN INDIA LIMITED	WTM/GM/IMD/63/2018-19	19-12-2022
AQYPK8593N	MANOJ KUMAR	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/109/ERO/BLO/DEC/2015	19-12-2022
AJNPB0934C	MR. MRIDUL KANTI BHAUMIK	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/122/IMD-ERO/DEC/2015	19-12-2022
ACZPD8269R	PRADIP DAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/15/ERO/APR/2016	19-12-2022
AKLPR6157J	AJIJUR RAHAMAN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008	WTM/PS/119/IMD-ERO/DEC/2015	19-12-2022
BXUPS5883F	BABLU SANFUI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/168/ERO/FEB/2016	19-12-2022
BEZPS2003H	MR. VIKAS SAINI	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23 I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956	ADJUDICATION ORDER: ORDER/AP/SK/2020-21-10071-10075	19-12-2022
AENPN4881R	BIBHUTI BHUSAN NANDA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992, IN THE MATTER OF ADARSH WEALTH VENTURES LIMITED	WTM/PS/107/ERO/BLO/DEC/2015	19-12-2022

AACCV8003B	VIEWPOINT MARKETING PRIVATE LIMITED	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD2/EFD2_SLD2/P/OW/2022/42211 /1	19-12-2022
ASZPA9649Q	SUJIT ACHARJEE	UNDER SECTIONS 11(1), 11(4) 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF BISHAI ABASAN INDIA LIMITED	WTM/GM/IMD/63/2018-19	19-12-2022
BDJPS3387K	BIRJU KUMAR SHARMA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/171/IMD-ERO/MAR/2016	19-12-2022
AASHS0443M	SANJEEV KANODIA HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-6/AK/BS/50513/1/2022	19-12-2022
AESPC7283B	MR. AJOY CHAKRABORTY	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008. IN RESPECT OF:	WTM/RKA/EFD/135/2016	19-12-2022
AHUPC4690H	MR. SANJIT CHAKRABORTY	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008. IN RESPECT OF:	WTM/RKA/EFD/135/2016	19-12-2022
AJKPA9285C	SHRI RAJU ACHARJYA	UNDER SECTIONS 11(1), 11(4), AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF RELIABLE MULTI MANAGERIAL SERVICES LTD.	WTM/AB/EFD-1/DRA-4/17/2018-19	19-12-2022
AOLPG4335H	AJAY GOSAI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/65/2017	19-12-2022
AXIPS2067E	SNEHASISH SARKAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/95/ERO-IMD/DEC/2015	19-12-2022
CASPK9438A	ARINDAM KUNDU	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/85/2017	19-12-2022
AACCT2139G	TIRUMALA COMMERCIAL AND CAPITAL SERVICES PRIVATE LIMITED	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/LAD1/LAD1_DOP4/P/OW/2022/0000041532/1	19-12-2022

AAECT8281D	TIMELINK VYAPAAR PRIVATE LTD	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/LAD1/LAD1_DOP4/P/OW/2022/0000039455/1	19-12-2022
AAECV1457D	VISHWAMITRA INTERNATIONAL INFRA LIMITED	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/RKA/EFD/92/2016	19-12-2022
AEVPT7357L	THAKKER PRAGNESH BHUPENDRABHAI	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/LAD1/LAD1_DOP4/P/OW/2022/0000041865/1	19-12-2022
AFOPT8328K	GANESH THAKUR	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 73 OF COMPANIES ACT, 1956	WTM/SM/ERO/ERO/9172/2020-21	19-12-2022
AFVPP6295E	ASHOK PATTNAIK	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF REAL VISION INTERNATIONAL LIMITED	WTM/PS/106/ERO/BLO/DEC/2015	19-12-2022
AGWPD4747K	DEBASISH DAS	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/166/ERO/FEB/2016	19-12-2022
AJPPM0546D	SUBRATA MANNA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/IMD/49/2017-18	19-12-2022
AJXPC4970D	DEBAJYOTI CHAKRABORTY	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/94/2018-19	19-12-2022
AKKPS7894Q	AMITAVA SARKAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/155/2018	19-12-2022
AMFPD5940F	SUSWETA DUTTA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/SEBI/ERO/IMD/01/APR/2017	19-12-2022
APSPG1415Q	PRODYUMMA KUMAR GHOSH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/IMD/49/2017-18	19-12-2022
AWMPM2320F	MR. SANTOSH SHRAWAN MALI	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999 - IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED MARCH 31, 2015 IN THE MATTER OF PROSPERITY AGRO INDIA LIMITED	WTM/GM/EFD/40/2017-18	19-12-2022

BBMPM9254J	ANUP KUMAR MAITI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/141/2018	19-12-2022
BJJPM1012K	DEENANATH MAURYA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/83/NRO/IMD/NOV/2015	19-12-2022
BLXPS0512A	ASHOK SAW	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 73 OF COMPANIES ACT, 1956	WTM/SM/ERO/ERO/9172/2020-21	19-12-2022
BNVPS8079L	MUKESH SINGH	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 73 OF COMPANIES ACT, 1956	WTM/SM/ERO/ERO/9172/2020-21	19-12-2022
BSSPS9845L	PURNENDU SANTRA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/IMD/49/2017-18	19-12-2022
AAACA5463G	ABL BIOTECHNOLOGIES LTD.	ADJUDICATION ORDER IN THE MATTER OF ABL BIOTECHNOLOGIES LTD.	SEBI LETTER NO.EAD/BJD/NJMR/12476/2018 DATED APRIL 24, 2018	19-12-2022
AABTT9593Q	TANVI KABRA BENEFIT TRUST	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD2/SAT-LIT/P/OW/36350/1/2022	19-12-2022
AACCA2123R	AAWAS CONSTRUCTION PRIVATE LIMITED	ADJUDICATION PROCEEDING IN THE MATTER OF DEALINGS IN II LIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/LAD2/LAD2_DRAII/P/OW/2022/0000034269/1	19-12-2022
AADCS6971Q	STHIRLAKSHMI MERCANTILE PRIVATE LIMITED	ADJUDICATION PROCEEDING IN THE MATTER OF DEALINGS IN II LIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/LAD2/LAD2_DRAII/P/OW/2022/0000034226/1	19-12-2022
AAECS7186C	SUBH CHINTAK COMMOTRADE PVT. LTD	ADJUDICATION PROCEEDING IN THE MATTER OF DEALINGS IN II LIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/LAD2/LAD2_DRAII/P/OW/2022/0000034213/1	19-12-2022
AAMCS5250P	SPRINT MARKETING PVT. LTD	ADJUDICATION PROCEEDING IN THE MATTER OF DEALINGS IN II LIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/LAD2/LAD2_DRAII/P/OW/2022/0000034194/1	19-12-2022
AANCS0444G	STRATEGIC VANIJYA PRIVATE LIMITED	ADJUDICATION PROCEEDING IN THE MATTER OF DEALINGS IN II LIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/LAD2/LAD2_DRAII/P/OW/2022/0000034217/1	19-12-2022
AAOCS2233C	SRIRAM COMMOSALE PRIVATE LIMITED	ADJUDICATION PROCEEDING IN THE MATTER OF DEALINGS IN II LIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/LAD2/LAD2_DRAII/P/OW/2022/0000034249/1	19-12-2022

AAPCS3334R	STARSHINE COMMODITIES MARKET PRIVATE LIMITED	ADJUDICATION PROCEEDING IN THE MATTER OF DEALINGS IN II LIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/LAD2/LAD2_DRAII/P/OW/2022/0000034229/1	19-12-2022
ACUPV1795B	VINOD PATEL	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. BM/AO-22/2010	19-12-2022
AFBPP0203Q	DALSUKHBHAI PATEL	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. BM/AO-19/2010	19-12-2022
AFXQA4536B	NAVIN PATEL	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. BM/AO-37/2010	19-12-2022
AFYPJ2696E	JAYESH KUMAR SHAH	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. BM/AO-36/2010	19-12-2022
ALFPG6480L	MR. BHARAT GUPTA	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23 I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956	ADJUDICATION ORDER: ORDER/AP/SK/2020-21-10071-10075	19-12-2022
AMEPS8521L	TAPAN SARKAR	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALING IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD2/SAT-LIT/P/OW/36284/1/2022	19-12-2022
AOFPG8504E	MS. ANITA GUPTA	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23 I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956	ADJUDICATION ORDER: ORDER/AP/SK/2020-21-10071-10075	19-12-2022

BDUPK1521Q	MR. VINOD KUMAR	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23 I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956	ADJUDICATION ORDER: ORDER/AP/SK/2020-21-10071-10075	19-12-2022
BHIPM5966R	SWATI MAHESHWARI	ADJUDICATION PROCEEDING IN THE MATTER OF DEALINGS IN II LIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD2/SAT-LIT/P/OW/36404/1/2022	19-12-2022
BJLPD2873H	VARSHA DHANDHARIA	ADJUDICATION PROCEEDING IN THE MATTER OF DEALINGS IN II LIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD2/AO/PKS/AR/2022/42526/1	19-12-2022
AAAHU1239F	UMESH KUMAR TULSYAN HUF	ADJUDICATION PROCEEDING IN THE MATTER OF DEALINGS IN II LIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD-1/DRA-4/AA/32750/6/2022	19-12-2022
AABCU3449D	URJA MULTITRADE PRIVATE LIMITED	ADJUDICATION PROCEEDING IN THE MATTER OF DEALINGS IN II LIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD-1/DRA-4/AA/32750/21/2022	19-12-2022
AADCI0486L	IPN DEVELOPERS & ALLIED LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPM/EFD-1-DRA-III/152/2018	19-12-2022
AADCV4004B	VINAYAK HOMES & REAL ESTATE LIMITED	UNDER SECTIONS 11(1), 11(4), 11B AND 11AA OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 11 OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET REGULATIONS, 2003.	WTM/MB/WRO/WRO/5275/2019-20	19-12-2022
AAECR6852L	RAMEL REAL ESTATE & INFRASTRUCTURE LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/53/ERO-IMD/FEB/2016	19-12-2022
AAICS5911M	SWASATA CEMENT LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/130/2018	19-12-2022
ACEPC5756F	SATYA RANJAN CHAUDHURI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV /130/2018	19-12-2022
ADIPY9791P	RAM SAMUJH YADAV	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/58/NRO-CIS/DEC/2014	19-12-2022

AFYPN4413K	GANGADHARNAYAK	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992, IN THE MATTER OF ADARSH WEALTH VENTURES LIMITED	WTM/PS/107/ERO/BLO/DEC/2015	19-12-2022
AGLPK5139K	URMILA KUMARI	ADJUDICATION PROCEEDING IN THE MATTER OF DEALINGS IN II LIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD-1/DRA-4/AA/33432/5/2022	19-12-2022
AGOPD6484H	CHANDRANI DAN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV /130/2018	19-12-2022
AGOPY4099F	SHRISHANKAR LAL YADAV	UNDER SECTIONS 11, 11(4),11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB /EFD-1-DRA-III/152/2018	19-12-2022
AJYPV9387Q	CHANDRA PRAKASH VERMA	UNDER SECTIONS 11, 11(4), AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/58/NRO-CIS/DEC/2014	19-12-2022
AKYPY9430C	YUVRAJ SHRIRAM MALAKAR	UNDER SECTIONS 11(1), 11(4), 11B AND 11AA OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 11 OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003.	WTM/MB/WRO/WRO/5275/2019-20	19-12-2022
ALAPP3677Q	CHOUDHURY NIRANJAN PRADHAN	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992, IN THE MATTER OF ADARSH WEALTH VENTURES LIMITED	WTM/PS/107/ERO/BLO/DEC/2015	19-12-2022
ALTPP5193K	SHRINAGESHWAR PANCHAL	UNDER SECTIONS 11, 11(4),11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB /EFD-1-DRA-III/152/2018	19-12-2022
AUUPK3066A	MR. LALIT KUMAR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/53/CIS-NRO/SEPT/2015	19-12-2022
BAVAM4368K	GANESWAR MAHANT	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992, IN THE MATTER OF ADARSH WEALTH VENTURES LIMITED	WTM/PS/107/ERO/BLO/DEC/2015	19-12-2022
BDJPG6082P	USHA GUPTA	ADJUDICATION PROCEEDING IN THE MATTER OF DEALINGS IN II LIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD-1/DRA-4/AA/33432/22/2022	19-12-2022

BIGPD0520B	SHRIDILIP DEVDA	UNDER SECTIONS 11, 11(4),11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB /EFD-1-DRA-III/152/2018	19-12-2022
BSMPS0016F	SWATI BAJAJ	ADJUDICATION PROCEEDING IN THE MATTER OF DEALINGS IN II LIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD2_SATLIT/P/OW/2022/31255/1	19-12-2022
DOZPS1931J	SHRIHARI SINGH	UNDER SECTIONS 11, 11(4),11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB /EFD-1-DRA-III/152/2018	19-12-2022
AAIHM2672Q	MANOJ KUMAR MITTAL HUF	ADJUDICATION PROCEEDING IN THE MATTER OF DEALINGS IN II LIQUID STOCK OPTIONS (ISO) AT BSE	AO/RRM/RV/OW/2022/39901/1	19-12-2022
AAKHA8273M	ATISH JHAWAR HUF	ADJUDICATION PROCEEDING IN THE MATTER OF DEALINGS IN II LIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD1/EFD1_DRA2/P/OW/2022/33822/21	19-12-2022
AALHM5267Q	MANOJ KUMAR GOENKA HUF	ADJUDICATION PROCEEDING IN THE MATTER OF DEALINGS IN II LIQUID STOCK OPTIONS (ISO) AT BSE	AO/RRM/RV/OW/2022/45850/1	19-12-2022
AAWHS4326M	SURAJIT RAY BARMAN HUF	ADJUDICATION PROCEEDING IN THE MATTER OF DEALINGS IN II LIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD2/AO/RLJ/PG/33020/2022	19-12-2022
ABXPL4997R	ASHWANI KUMAR LAMBA	ADJUDICATION PROCEEDING IN THE MATTER OF DEALINGS IN II LIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD1/EFD1_DRA2/P/OW/2022/33822/8	19-12-2022
ACUPJ8970E	SURENDRA KUMAR JOSHI	ADJUDICATION PROCEEDING IN THE MATTER OF DEALINGS IN II LIQUID STOCK OPTIONS (ISO) AT BSE	PD1/AO/RLJ/SK/2022/34613/1	19-12-2022
ALIPS3240B	VIDYA DEVI SRIMAL	ADJUDICATION PROCEEDING IN THE MATTER OF DEALINGS IN II LIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD2/EFD2_SLD2/P/OW/2022/42204/1	19-12-2022
ATCPA4518Q	SURBHI AGARWAL	ADJUDICATION PROCEEDING IN THE MATTER OF DEALINGS IN II LIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD2/AO/RLJ/PG/33012/2022	19-12-2022
AABCH8362A	HOOGHLY AGROTECH LIMITED	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/162/ERO/FEB/2016	19-12-2022
AABCJ6757B	JEEVAN SURAKSHA REAL ESTATE LTD.	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/88/ERO/GLO/MAR/2016	19-12-2022
AADCG6079R	GOLDMINE FOOD PRODUCTS LIMITED	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/176/ERO/MAR/2016	19-12-2022
AAFCP0276P	PRAYAS PROJECTS INDIA LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008	WTM/PS/119/IMD-ERO/DEC/2015	19-12-2022

AAJCA6822C	ANGELA AGROTECH LTD.	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/171/IMD-ERO/MAR/2016	19-12-2022
AAJCA7529E	ASTHA TECHNO REALTY INDIA LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/168/ERO/FEB/2016	19-12-2022
AAOCS4472M	SANKALP PROJECTS LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/14/IMD-ERO/APR/2016	19-12-2022
ADYPH1080N	ATIKULLA HOSSAIN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/171/IMD-ERO/MAR/2016	19-12-2022
AGCPD2335D	MR. TOOFAN CHANDRA DEY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/14/IMD-ERO/APR/2016	19-12-2022
AHPPB4561M	KAIZAR BISWAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008	WTM/PS/119/IMD-ERO/DEC/2015	19-12-2022
AHVPR6412M	MOHAMMAD JIAUR RAHAMAN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008	WTM/PS/119/IMD-ERO/DEC/2015	19-12-2022
AIKPR4950J	NAROTTAM RAHA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/168/ERO/FEB/2016	19-12-2022
AJUPM2448K	MR. KSHITISH CHANDRA MANDAL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/14/IMD-ERO/APR/2016	19-12-2022
AKNPA2732Q	MS. DIPAMONI ACHARJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/188/ERO/GLO/MAR/2016	19-12-2022
ALXPB0550E	RONJIT BARIK	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/168/ERO/FEB/2016	19-12-2022
AOZPK6009G	MR. SUBHASH KAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008	WTM/RKA/EFD-DRA-III/154/2016	19-12-2022
ASSPD0181D	MR. SUJIT DAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008	WTM/RKA/EFD-DRA-III/154/2016	19-12-2022

ATRPS8363A	RAJIV RANJAN SINGH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/171/IMD-ERO/MAR/2016	19-12-2022
AUDPB3525G	KEDAR CHANDRA BERA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/162/ERO/FEB/2016	19-12-2022
AWGPD7072F	KAMAL KRISHNA DEBNATH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/168/ERO/FEB/2016	19-12-2022
AYGPA0956M	MOHAMMED SAJJAD AKHTAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/171/IMD-ERO/MAR/2016	19-12-2022
BAXPM0769E	BIRANCHI NARAYAN MISHRA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/109/ERO/BLO/DEC/2015	19-12-2022
BHIPM6479H	MR. RAKESH CHANDRA MONDAL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/14/IMD-ERO/APR/2016	19-12-2022
BLUPK3807K	MR. SUMA KARDAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008	WTM/RKA/EFD-DRA-III/154/2016	19-12-2022
BVLPK1203Q	SAMIR KESHARI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/171/IMD-ERO/MAR/2016	19-12-2022
BYKPM1104Q	BABLU MIA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/171/IMD-ERO/MAR/2016	19-12-2022
AABCW0653M	WIN REALCON LTD	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/53/2018	19-12-2022
AAFCP3661A	PIOUS AGRO INDUSTRIES LTD.	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/175/ERO/IMD/MAR/2016	19-12-2022
AAGCM8952L	MARS AGROFARM DEVELOPERS LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/167/ERO/FEB/2016	19-12-2022
AAICA6296M	ASSR AGRO INDIA LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/69/2017	19-12-2022
AAJCA7392R	ARYAN AGRO PROJECTS INDIA LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008	WTM/RKA/EFD-DRA-IV/184/2016	19-12-2022

AAVPO4270B	SHRI ROBIN OJHA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008	WTM/RKA/EFD-DRA-IV/184/2016	19-12-2022
AFQPR0048J	SHRI RAKESH RAUSHAN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008	WTM/RKA/EFD-DRA-IV/184/2016	19-12-2022
AGHPT8453D	SHRI BIRENDRA THAKUR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008	WTM/RKA/EFD-DRA-IV/184/2016	19-12-2022
AGXPM6268P	SMT. MONALISAMITRA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/122/IMD-ERO/DEC/2015	19-12-2022
AIMPD8612K	MR. MONORANJAN DEY	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/175/ERO/IMD/MAR/2016	19-12-2022
AITPM9488K	SHRI ANIL KUMAR MISHRA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008	WTM/RKA/EFD-DRA-IV/184/2016	19-12-2022
AJSPB1308F	JOY BHOWMICK	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/53/2018	19-12-2022
AJTPM9601P	SHRI SHAILESH KUMAR MISHRA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008	WTM/RKA/EFD-DRA-IV/184/2016	19-12-2022
AKHPM9487C	SHYAMAL MONDAL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/69/2017	19-12-2022
AKJPD8355N	RAJU DEY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/53/2018	19-12-2022
AKQPD1001N	MR. LAXMI KANTA DAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/122/IMD-ERO/DEC/2015	19-12-2022
AMAPM5180D	SUBHANKAR MONDAL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/69/2017	19-12-2022

AMJPD0122F	RANABIR DAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/53/2018	19-12-2022
AMLPM2792C	MR. ABU TALEB MOHAMMOD	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/167/ERO/FEB/2016	19-12-2022
AMYPD5063C	RAJIB DEBNATH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/53/2018	19-12-2022
AMZPC7233N	SHRI MANOJ CHOUHAN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008	WTM/RKA/EFD-DRA-IV/184/2016	19-12-2022
ANOPD6613K	SWAPAN DUTTA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/53/2018	19-12-2022
AOQPD9121F	SHRI UTTAM DAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008	WTM/RKA/EFD-DRA-IV/184/2016	19-12-2022
APQPD7806Q	MR. PALAS CHANDRA DEY	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/175/ERO/IMD/MAR/2016	19-12-2022
ARNPD1804H	RITA DAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/53/2018	19-12-2022
AUOPD1957D	AMIT DEBNATH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/53/2018	19-12-2022
AURPR4962P	SACHIN RAY	UNDER SECTIONS 11(1), 11(4), AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/SEBI/89/2018-19	19-12-2022
AVAPB1280A	MR. CHIRANJIB BANERJEE	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/175/ERO/IMD/MAR/2016	19-12-2022
AVJPP9442R	SANJIB PODDER	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/53/2018	19-12-2022
AWKPP2956L	RAGHU NATH PATRA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/69/2017	19-12-2022
AYCPS5612G	SUPRIYO SARKAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/53/2018	19-12-2022

BAWPA2323M	MR. MOHD.TANVEER AHMED	UNDER SECTIONS 11(1), 11(4), AND 11B OF THE SEBI ACT, 1992 IN THE MATTER OF M/S STOCK INDICATION	WTM/GM/SRO/SRO/10346/2020-21	19-12-2022
BDXPS9510G	GOPAL SAHA	UNDER SECTIONS 11(1), 11(4), AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/SEBI/89/2018-19	19-12-2022
BKJPG7330K	MR. SUNNY GUPTA	UNDER SECTIONS 11(1), 11(4), AND 11B OF THE SEBI ACT, 1992 IN THE MATTER OF M/S STOCK INDICATION	WTM/GM/SRO/SRO/10346/2020-21	19-12-2022
CBIPS7345R	SHRI ABADH KUMAR SINGH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008	WTM/RKA/EFD-DRA-IV/184/2016	19-12-2022
CDUPS4544N	SUNITA SAHA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/175/ERO/IMD/MAR/2016	19-12-2022
AAACC9995N	CHARIOT INDIA PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO. 50385/1/2022	19-12-2022
AAACS4529D	SUMERU PROCESSORS PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO. 50383/1/2022	19-12-2022
AAACW8393H	WB GANAPATI SPONGE PROJECTS PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-6/AK/VV/51909/1/2022	19-12-2022
AACCI1617C	IMAX PROJECTS INDIA LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPM/EFD-1-DRA-IV/48/2017	19-12-2022
AACCJ3436C	JUGANTOR REALTY LIMITED	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008. IN RESPECT OF:	WTM/RKA/EFD/135/2016	19-12-2022

AADCD1769M	DIGSTAR PROJECTS LIMITED	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF DIGSTAR PROJECTS LIMITED IN RESPECT OF :	WTM/MPB/EFD-DRA4/8/2017	19-12-2022
AADCV1815E	VISWAROOP MARKETING PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/DEPA-I/DEPA-I_RRD/P/OW/2022/39183/1	19-12-2022
AAECB0693B	BSP INFRASTRUCTURE & CONSTRUCTION LIMITED (BICL)	UNDER SECTIONS 11, 11(4), AND SECTION 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/EFD-1/DRA-IV/13/2020-21	19-12-2022
AAECR5851P	RELIABLE MULTI MANAGERIAL SERVICES LTD.	UNDER SECTIONS 11(1), 11(4), AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF RELIABLE MULTI MANAGERIAL SERVICES LTD.	WTM/AB/EFD-1/DRA-4/17/2018-19	19-12-2022
AAECR6307C	RTC PROPERTIES LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/83/2017	19-12-2022
AAFCA1262N	EJECTA MARKETING LIMITED (EARLIER KNOWN AS APPU MARKETING AND MANUFACTURING LTD)	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	FPI&C/AT/AA/36016/1/2022	19-12-2022
AAFCM0698E	CHELSEA BLOCKS AND PIPES PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO. 50387/2022	19-12-2022
AAFHB0090J	BINOD AGARWAL HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO. 42522/1/2022	19-12-2022
AAICA9397H	AMBITIOUS DIVERSIFIED PROJECTS MANAGEMENT LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/85/2017	19-12-2022
AAMCS9737P	SHREYSTH PRODUCTION INDUSTRIES LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/65/2017	19-12-2022

AARCS2055D	SHANKALP FOOD AND BEVERAGES LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/53/2017	19-12-2022
AAUHS1051F	SRINARAYAN SADANI HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-6/AK/VV/51908/1/2022	19-12-2022
AAYHS6617M	SUMIT KUMAR DHANUKA HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO. 503878/1/2022	19-12-2022
ACLPH2788P	IFTEKHAR HUSSAIN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/85/2017	19-12-2022
AEFPV2812Q	SHRI DILIP KUMAR VERMA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPM/EFD-1-DRA-IV/48/2017	19-12-2022
AENPH1494N	MR. MD SHARIFUL HAQUE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/27/ERO-RLO/IMD/MAY/2016	19-12-2022
AEOPH4986G	MR. RAJA MAMUN HASAN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/27/ERO-RLO/IMD/MAY/2016	19-12-2022
AFYPN2168P	SARMISTHA ROY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/83/2017	19-12-2022
AGCPD2335D	SHRI TOOFAN CHANDRA DEY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/14/IMD-ERO/APR/2016	19-12-2022
AGUPC5743L	PRABIR CHAKRABORTY	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008.	WTM/RKA/EFD/180/2016	19-12-2022
AGUPR7778Q	KARUNAMAY ROY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/83/2017	19-12-2022
AHFPH2742C	MR. MORSHED MEHEDI HOSSAIN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/27/ERO-RLO/IMD/MAY/2016	19-12-2022

AIMPD4441Q	SHRI PINTU DUTTA	UNDER SECTIONS 11(1), 11(4), AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF RELIABLE MULTI MANAGERIAL SERVICES LTD.	WTM/AB/EFD-1/DRA-4/17/2018-19	19-12-2022
AJCPD8074A	SANKAR DAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/65/2017	19-12-2022
AJGPC0937R	MR. SUJAY CHAKRABORTY	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008.	WTM/RKA/EFD/135/2016	19-12-2022
AJUPM2448K	SHRI KSHITISH CHANDRA MANDAL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/14/IMD-ERO/APR/2016	19-12-2022
AKKPR3095A	SIDDHARTHA SANKAR ROY	UNDER SECTIONS 11(1), 11(4), AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/27/2018	19-12-2022
AKZPR6202Q	LR OF SABYASACHI ROY	UNDER SECTIONS 11(1), 11(4), AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/27/2018	19-12-2022
ALUPK1326J	NIMAI KUMBHAKAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/85/2017	19-12-2022
ANAPD9529Q	PRAMESH DAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/65/2017	19-12-2022
APUPS6554G	SATYAJEET KUMAR SINGH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/83/2017	19-12-2022
AQTPD5941J	JITENDRA SINGH DAGALA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008 IN THE MATTER OF RELIABLE MEGA PROJECTS LTD.	WTM/GM/WRO/11/2018-19	19-12-2022
AQVPK5541K	SHRI KANHAIYA SINGH KUSHWAHA	UNDER SECTIONS 11(1), 11(4), AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF RELIABLE MULTI MANAGERIAL SERVICES LTD.	WTM/AB/EFD-1/DRA-4/17/2018-19	19-12-2022
AQXPA6875G	MR. WASHIM RAJA ANASARI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/27/ERO-RLO/IMD/MAY/2016	19-12-2022

ARIPM6971F	JIBAN MITRA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/83/2017	19-12-2022
ASEPB1952G	NANDALAL BALTI	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008.	WTM/RKA/EFD/180/2016	19-12-2022
ATFPM8729G	MADHUSUDAN MAKWANA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008 IN THE MATTER OF RELIABLE MEGA PROJECTS LTD.	WTM/GM/WRO/11/2018-19	19-12-2022
ATTPS0036J	SHRI VIDYASAGAR SINGH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/48/2017	19-12-2022
AVPPB2371M	BHAGWATI BAI	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008 IN THE MATTER OF RELIABLE MEGA PROJECTS LTD.	WTM/GM/WRO/11/2018-19	19-12-2022
AWBPG1988J	SHRI OMPRAKASH GUPTA	UNDER SECTIONS 11(1), 11(4), AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF RELIABLE MULTI MANAGERIAL SERVICES LTD.	WTM/AB/EFD-1/DRA-4/17/2018-19	19-12-2022
AXJPP2766J	ROHIT PATWA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008 IN THE MATTER OF RELIABLE MEGA PROJECTS LTD.	WTM/GM/WRO/11/2018-19	19-12-2022
AXVPP2389P	KRISHNA NAND PATHAK	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/65/2017	19-12-2022
AYAPM9092Q	APURBA KUMAR MONDOL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/85/2017	19-12-2022
AYNPR1829B	AMIT KUMAR ROY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/83/2017	19-12-2022

AYXPM0255E	MR. MINARUL MONDAL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/27/ERO-RLO/IMD/MAY/2016	19-12-2022
BDMPK8128B	DEVENDRA KUMAR	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008 IN THE MATTER OF RELIABLE MEGA PROJECTS LTD.	WTM/GM/WRO/11/2018-19	19-12-2022
BFCPM9024G	SHRI TAPAN MONDAL	UNDER SECTIONS 11, 11(4),11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/53/2017	19-12-2022
BHIPP4346J	SANTOSH PANWAR	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008 IN THE MATTER OF RELIABLE MEGA PROJECTS LTD.	WTM/GM/WRO/11/2018-19	19-12-2022
BKEPS2204R	BASUDEB SARDAR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/27/2018	19-12-2022
BQEPS6886B	RAHUL KUMAR SHAHI	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008.	WTM/RKA/EFD/180/2016	19-12-2022
BZPPS2613N	RAJOO SHAH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/65/2017	19-12-2022
CVFPS3456N	MOHAMMAD SOHAIB	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/85/2017	19-12-2022
AAACV4431F	EN AROMATIC & PETRO CHEMICALS LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/83/NRO/IMD/NOV/2015	19-12-2022

AABCF3920E	M/S FUTURE GOLD INFRABUILD INDIA LIMITED	UNDER SECTIONS 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 11 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICE RELATING TO SECURITIES MARKET) REGULATIONS, 2003.	WTM/GM/EFD/71/2017-18	19-12-2022
AABCF8236B	FANTASY INFRAVENTURE & AGRIMART INDIA LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/63/2017	19-12-2022
AABCH1425N	HAHNEMANN HERBAL LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/127/2018	19-12-2022
AACCJ1577A	J S V DEVELOPER INDIA LIMITED	UNDER SECTIONS 11(1), 11B AND 11(4) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999	WTM/PS/161/IMD/WRO-ILO/FEB/2016	19-12-2022
AACCJ3999G	JIVAN SATHI DREAM PROJECTS LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/11/2017	19-12-2022
AACCJ5266A	J K S PROJECTS LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/94/2018-19	19-12-2022
AACCT2471P	TOWER INFOTECH LTD	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/26/2018	19-12-2022
AACCT8417H	TIRUMALA ENCLAVE PRIVATE LIMITED	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/LAD1/LAD1_DOP4/P/OW/2022/0000041503/1	19-12-2022
AADCD6196N	DREAMWAY INDUSTRIES LTD	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/IMD/49/2017-18	19-12-2022
AADCN7151R	M/S NAVA DIGANTA CAPITAL SERVICES LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/23/2018	19-12-2022
AADCR3601C	RIJU CEMENT LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/82/2017	19-12-2022
AADCT2033N	TOPSTAR TRADECOMM PVT LTD	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/LAD1/LAD1_DOP4/P/OW/2022/0000041403/1	19-12-2022

AADCV3799B	VASUNDHARA REALCON LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/20/2017	19-12-2022
AADCV4403E	VISWAS REAL ESTATES AND INFRASTRUCTURE INDIA LTD	UNDER SECTIONS 11(1), 11B AND 11(4) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999	WTM/PS/04/CIS-SRO/HLO/APR/2015	19-12-2022
AADCV5860F	VIKDAS INDUSTRIES LIMITED	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/166/ERO/FEB/2016	19-12-2022
AAECG6236F	GOLDEN HEAVEN AGRO PROJECTS INDIA LTD	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/164/ERO/FEB/2016	19-12-2022
AAECK1605J	KEN INFRATECH LIMITED	UNDER SECTIONS 11(1), 11B AND 11(4) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999	WTM/PS/54/CIS/NRO/DEC/2014	19-12-2022
AAECR6197A	RAINBOW INDUSTRIES AND CONSTRUCTION LIMITED	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 73 OF COMPANIES ACT, 1956	WTM/SM/ERO/ERO/9172/2020-21	19-12-2022
AAECR8282J	REAL VISION INTERNATIONAL LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF REAL VISION INTERNATIONAL LIMITED	WTM/PS/106/ERO/BLO/DEC/2015	19-12-2022
AAFCA7143Q	AROHAN TRUSTEE COMPANY PVT. LIMITED (INDIA ART FUND)	UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999 - IN RESPECT OF AND IN THE MATTER OF, AROHAN TRUSTEE COMPANY PRIVATE LIMITED.	WTM/AB/EFD1/EFD1-DRA3/7492/2020-21	19-12-2022
AAGCA7035E	ASSURE AGROWTECH LTD.	UNDER SECTIONS 11(1), 11(4), 11B AND 11D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/25/IMD-CIS/SRO/MAY/2016	19-12-2022
AAMCS5786L	SARADA PLEASURE & ADVENTURE LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992,	WTM/PS/118/IMD/ERO-PLO/DEC/2015	19-12-2022

AAOCS3538A	SKYLARK LAND DEVELOPERS & INFRASTRUCTURE INDIA LIMITED	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. RA/JP/40-44/2016	19-12-2022
AARCS1081P	SHREEBHUMI CONSTRUCTION LTD	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/4/2018	19-12-2022
AASCS8925M	SKYMARG AGRO INDUSTRIES INDIA LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/44 /2017	19-12-2022
ABAPY8539M	MR. DURGA PRASAD YADAV	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. RA/JP/40-44/2016	19-12-2022
ABXPY7352F	ASHOK KUMAR YADAV	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/164/ERO/FEB /2016	19-12-2022
ACIPI3318R	MOHD ALI IMAM	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/94/2018-19	19-12-2022
ACOPN1603L	SMT. SUBARNA NAIK	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/23/2018	19-12-2022
ACPPY3360C	MR. DATTATRAY MADHAV YADAV	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999 - IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED MARCH 31, 2015 IN THE MATTER OF PROSPERITY AGRO INDIA LIMITED	WTM/GM/EFD/40/2017-18	19-12-2022
ACTPC0530E	RAMEN CHATTERJEE	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/166/ERO/FEB /2016	19-12-2022
ADJPP0069J	SHRI KARTIKEYA PARIDA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/23/2018	19-12-2022
ADLPB4942L	UDAYAN BANERJEE	UNDER SECTIONS 11 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/26/2018	19-12-2022

ADOPG8643G	SHILAJIT GHOSH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/141/2018	19-12-2022
AFDPT9966L	SANJAY B TENGINKAI	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999	WTM/PS/28/EFD/CIS/MAY/2016	19-12-2022
AFFPH5989B	SHRI RAMACHANDRA HANSDAH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/23/2018	19-12-2022
AGHPT8453D	BIRENDRA THAKUR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008	WTM/RKA/EFD-DRA-IV/184/2016	19-12-2022
AHUPB3853F	PRADIP KUMAR BERA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/164/ERO/FEB/2016	19-12-2022
AICPC0615M	MANISH KUMAR CHAND	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/RKA/EFD/92/2016	19-12-2022
AIFPA8537P	VIDYA BHUSHAN AMBASTHA	UNDER SECTIONS 11(1), 11(4), 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/NRO/20/2020-21	19-12-2022
AIFPN1886Q	PRASANNA KUMAR NAYAK	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF REAL VISION INTERNATIONAL LIMITED	WTM/PS/106/ERO/BLO/DEC/2015	19-12-2022
AIFPV5203P	MR. BHASKAR BHAO VASAGE	ON REPRESENTATION DATED JANUARY 29, 2018 IN THE MATTER OF NETWORTH MARKETING LIMITED WITH RESPECT TO ORDER DATED AUGUST 28, 2017	WTM/GM/EFD/45/2018-19	19-12-2022
AIUPC8800E	BANDANA CHAND	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/RKA/EFD/92/2016	19-12-2022
AIXPM8121D	RUNA MAZUMDER	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/77/2017	19-12-2022
AIXPN4533G	RAFAT NAZ	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/SEBI/ERO/IMD/01/APR/2017	19-12-2022
AIXPY8341D	VINDOD YADAV	UNDER SECTIONS 11(1), 11(4), 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/NRO/20/2020-21	19-12-2022

AJDPB3589R	SHRI HITESH KUMAR BAGARTTI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/23/2018	19-12-2022
AJGPM6875J	RASHMI RANJAN MOHANTY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF REAL VISION INTERNATIONAL LIMITED	WTM/PS/106/ERO/BLO/DEC/2015	19-12-2022
AJHPB0531D	GOUTAM BANERJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/127/2018	19-12-2022
AJJPC6225B	PRADIP CHAKRABORTY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/11/2017	19-12-2022
AJKPB5669B	SUKANTA BISWAL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF REAL VISION INTERNATIONAL LIMITED	WTM/PS/106/ERO/BLO/DEC/2015	19-12-2022
AJNPB1075C	SANTI RAJAN BANERJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/127/2018	19-12-2022
AJWPM6802H	HIMANGSHU MUKHERJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/155/2018	19-12-2022
AJZPS9876J	TARA DEVI SHYAMSUKHA	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/LAD1/LAD1_DOP4/P/OW/2022/0000039473/1	19-12-2022
AKMPR3510N	SHUBHANKAR RAY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992,	WTM/PS/118/IMD/ERO-PLO/DEC/2015	19-12-2022
ALAPK2141F	MR. JAIHIND KUMAR	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. RA/JP/40-44/2016	19-12-2022
ALAPR1414Q	MR. SHANMUGAM RAJENDRAN	UNDER SECTIONS 11(1), 11(4), 11B AND 11D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/25/IMD-CIS/SRO/MAY/2016	19-12-2022
ALAPS2173D	ASHIS SARKAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/20/2017	19-12-2022
ALMPB0423H	PRASIT KUMAR BISWAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/20/2017	19-12-2022

AMAPR0018H	ASIT ROY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/127/2018	19-12-2022
AMHPC6141M	MR. VANSHREE TUKARAM CHIDRAWAR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999 - IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED MARCH 31, 2015 IN THE MATTER OF PROSPERITY AGRO INDIA LIMITED	WTM/GM/EFD/40/2017-18	19-12-2022
AMZPC7233N	MANOJ CHAUHAN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008	WTM/RKA/EFD-DRA-IV/184/2016	19-12-2022
ANNPB2618K	PARAMASISH BHADURY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/155/2018	19-12-2022
ANVPR7210H	SWAPAN ROY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/82/2017	19-12-2022
ANZPD5088R	PRADIP KUMAR DAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/44 /2017	19-12-2022
AOGPG2613H	RAMESH PRASAD GUPTA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/94/2018-19	19-12-2022
APCPG9678K	TUSHAR GHOSH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/94/2018-19	19-12-2022
APDPA4103J	MOHAMMAD MUKHTAR ATIF	UNDER SECTIONS 11(1), 11(4), 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/NRO/20/2020-21	19-12-2022
APDPP0190C	MR VINEET KUMAR PHALKE	UNDER SECTIONS 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 11 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICE RELATING TO SECURITIES MARKET) REGULATIONS, 2003.	WTM/GM/EFD/71/2017-18	19-12-2022

APEPG1595F	BHASKAR GANGULY	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/IMD/49/2017-18	19-12-2022
APLPM5152R	MR. BHALERAO YASHWANT MISAL	ON REPRESENTATION DATED JANUARY 29, 2018 IN THE MATTER OF NETWORTH MARKETING LIMITED WITH RESPECT TO ORDER DATED AUGUST 28, 2017	WTM/GM/EFD/45/2018-19	19-12-2022
APNPM2950D	DEVI PRASAD MOHANTY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF REAL VISION INTERNATIONAL LIMITED	WTM/PS/106/ERO/BLO/DEC/2015	19-12-2022
APPPD3295N	SUKUMAR DEBNATH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992,	WTM/PS/118/IMD/ERO-PLO/DEC/2015	19-12-2022
APRPA3621H	NAKHAT SING AGARWALLA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/155/2018	19-12-2022
APSPB9232Q	SIDHARTHA KUMAR BARIK	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF REAL VISION INTERNATIONAL LIMITED	WTM/PS/106/ERO/BLO/DEC/2015	19-12-2022
AQCPD0778N	SAROJANI DEVI	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/SEBI/ERO/IMD/01/APR/2017	19-12-2022
AQJPB1669H	BIDESI BEHERA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF REAL VISION INTERNATIONAL LIMITED	WTM/PS/106/ERO/BLO/DEC/2015	19-12-2022
AQSPC7029H	AVIJIT CHAKRABORTY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/164/ERO/FEB/2016	19-12-2022
ARIPJ8232A	SMT. HARAPRIYA JENA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/23/2018	19-12-2022
ARQPM0643J	SUBHASIS MAHATO	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/44 /2017	19-12-2022
ASPPB7641B	SUBRATA KUMAR BANERJEE	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/IMD/49/2017-18	19-12-2022
ASWPS6806J	MALKINDER SINGH	UNDER SECTIONS 11(1), 11(4), 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/NRO/20/2020-21	19-12-2022
ATJPK5597L	PRAMOD KUMAR	UNDER SECTIONS 11(1), 11(4), 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/NRO/20/2020-21	19-12-2022

ATZPC0321Q	MINU CHAKRABARTI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/164/ERO/FEB /2016	19-12-2022
ATZPC0359C	GOURANGA SUNDAR CHAKRABORTY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/164/ERO/FEB /2016	19-12-2022
AUCPD0859J	MR. R. DEVADOSS	UNDER SECTIONS 11(1), 11(4), 11B AND 11D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/25/IMD-CIS/SRO/MAY/2016	19-12-2022
AUPPS3064B	SAVITA SRIVASTAVA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992,	WTM/PS/118/IMD/ERO-PLO/DEC/2015	19-12-2022
AVEPJ1234C	DILIP JANA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/141/2018	19-12-2022
AVIPR2257F	BIMALENDU RAKSHIT	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/155/2018	19-12-2022
AVJPG3285H	ROSHNI GUHA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/11/2017	19-12-2022
AVNPK2820L	RAM KAILASH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/63/2017	19-12-2022
AVSPA8138L	MD. SARFARAZ ANSARI	UNDER SECTIONS 11(1), 11(4), 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/NRO/20/2020-21	19-12-2022
AWGPK0821R	SAHAB UDDIN KHAN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/20/2017	19-12-2022
AYAPM0995J	BAPPADITYA MUKHERJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/141/2018	19-12-2022
AYUPP6220B	SANDIP PARUI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/20/2017	19-12-2022
AZJPS0671F	MR. NURUDDIN SHAIKH	ON REPRESENTATION DATED JANUARY 29, 2018 IN THE MATTER OF NETWORTH MARKETING LIMITED WITH RESPECT TO ORDER DATED AUGUST 28, 2017	WTM/GM/EFD/45/2018-19	19-12-2022
AZQPR8625K	RAJOO	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/63/2017	19-12-2022
BAFPP4523B	NETAI PRAMANIK	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/141/2018	19-12-2022

BBAPP0971P	SANDIP PAUL	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/166/ERO/FEB /2016	19-12-2022
BBVPK0966N	MUKESH KUMAR KHARE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/83/NRO/IMD/ NOV/2015	19-12-2022
BCBPS1318D	DEEPAK KUMAR SINHA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/94/2018-19	19-12-2022
BDAPM9086Q	ANIL KUMAR MISHRA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/155/2018	19-12-2022
BDMPK7608E	RANJEET KUMAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/63/2017	19-12-2022
BFDPR1288E	GAURANGA ROY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/20/2017	19-12-2022
BGGPK7521R	DHIRENDRA SINGH KUSHWAH	UNDER SECTIONS 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 11 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICE RELATING TO SECURITIES MARKET) REGULATIONS, 2003.	WTM/GM/EFD/71/2017-18	19-12-2022
BHIPK9685D	RAMANUJ KUMAR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/94/2018-19	19-12-2022
BIDPP6796G	MRINAL KANTI PAUL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/164/ERO/FEB /2016	19-12-2022
BKTPS9489M	NANDITA SENGUPTA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992,	WTM/PS/118/IMD/ERO-PLO/DEC/2015	19-12-2022
BQVPP6664H	SMT. SMITA PATRA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/23/2018	19-12-2022
BQXPS7815M	KULDEEP SINGH	UNDER SECTIONS 11(1), 11B AND 11(4) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999	WTM/PS/54/CIS/NRO/ DEC/2014	19-12-2022

BVOPS6333N	MANABENDRA SARKAR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/94/2018-19	19-12-2022
CBCPK8700N	AMAN KUMAR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/SEBI/ERO/IMD/01/APR/2017	19-12-2022
CBIPS7345R	ABADH KUMAR SINGH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008	WTM/RKA/EFD-DRA-IV/184/2016	19-12-2022
CDDPS5928P	MR. SATYENDRA SINGH	UNDER SECTIONS 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 11 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICE RELATING TO SECURITIES MARKET) REGULATIONS, 2003.	WTM/GM/EFD/71/2017-18	19-12-2022
CEKPS6290G	PINTU SINGH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/94/2018-19	19-12-2022
CGCPS8873D	NIRUPAM SANTRA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/IMD/49/2017-18	19-12-2022
CLHPK5064N	MR. AFASAR KHAN	UNDER SECTIONS 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 11 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICE RELATING TO SECURITIES MARKET) REGULATIONS, 2003.	WTM/GM/EFD/71/2017-18	19-12-2022
CLJPS2300B	CHHOTELAL SHUKLA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/83/NRO/IMD/NOV/2015	19-12-2022
CLPPS9856Q	SMT. NIRUPAMA SAHOO	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/23/2018	19-12-2022

CMGPS0600A	HARI GOVIND SINGH	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/RKA/EFD/92/2016	19-12-2022
COBPS2672P	SUMAN SINGH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/94/2018-19	19-12-2022
CRRPS1400A	MAHAVIR SINGH	UNDER SECTIONS 11(1), 11B AND 11(4) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999	WTM/PS/54/CIS/NRO/DEC/2014	19-12-2022
CRYPS4172E	NABA KUMAR SANTRA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/IMD/49/2017-18	19-12-2022
CUEPS1687K	SUKHWINDER SINGH	UNDER SECTIONS 11(1), 11B AND 11(4) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999	WTM/PS/54/CIS/NRO/DEC/2014	19-12-2022
CUJPS7833J	SUTANU SARCAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/155/2018	19-12-2022
CUXPS6610M	RUPAM SANTRA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/IMD/49/2017-18	19-12-2022
CVNPS6323Q	ANWAR SADAT	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/94/2018-19	19-12-2022
CXDPS9936B	DEEPAK KUMAR SAH	UNDER SECTIONS 11(1), 11(4), 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/NRO/20/2020-21	19-12-2022
CXHPS0704D	KABITA KUMARI SAH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/94/2018-19	19-12-2022
DANPS8829H	RAJABALBHA SINGH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/94/2018-19	19-12-2022
DPTPS7499Q	HARJINDER SINGH	UNDER SECTIONS 11(1), 11(4), 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/NRO/20/2020-21	19-12-2022
BFCPM9024G	MR. TAPAN MONDAL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992	WTM/PS/14/IMD-ERO/APR/2016	19-12-2022

AAFCR8322L	M/S. RELIABLE MEGA PROJECTS LTD.	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992 AND REGULATION 28 OF SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008 IN THE MATTER OF RELIABLE MEGA PROJECTS LTD.	WTM/ GM/WRO /11/2018-19	19-12-2022
ABRPT1545K	USHA DEVI TAPARIA	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD1/EFD01 _DRA1/P/OW/2022/401 60/1	26-12-2022
ALWPP2275K	USHA PAREEKH	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD1/EFD01 _DRA1/P/OW/2022/400 78/1	26-12-2022
AAAHU2294L	UTTAM PADIA HUF	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD1/EFD01 _DRA1/P/OW/2022/000 0040107/1	26-12-2022
AAATV5045Q	VAISHALI PERIWAL FAMILY TRUST	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD1/EFD01 _DRA1/P/OW/2022/401 85/1	26-12-2022
AADCV6935D	VALUABLE COMMODITIES TRADE PRIVATE LIMITED	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD1/EFD01 _DRA1/P/OW/2022/401 97/1	26-12-2022
AACCV7295D	VALUEADDED MERCHANTS PRIVATE LIMITED	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD1/EFD01 _DRA1/P/OW/2022/401 82/1	26-12-2022
AAACU5643G	USG HOLDINGS PVT. LTD.	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	SEBI/ERO/MD/AK/OW /2022/42209/1	26-12-2022
AAACU6742L	ULIKE CARRIERS AND FINANCE PRIVATE LIMITED	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/ERO/DM/AK/P/O W/2022/037632/1	26-12-2022
AAACZ2996D	ZOLTON PROPERTIES PRIVATE LIMITED	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	EAD-4/ADJ/GR/HK/OW/P/21 332/2/2022	26-12-2022
AABCU1364K	URO AGRO INDIA LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/SR/SEBI-ERO/IMD/35/07/2016	26-12-2022
AACCE6725D	EVERLIGHT REALCON INFRASTRUCTURE LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/163/ERO/RL O/FEB/2016	26-12-2022

AACCK2183P	KERALA HOUSING FINANCE LTD	IN RESPECT OF LNTERIM ORDERS DATED JULY 31, 2015 AND NOVEMBER 20, 2015 IN THE MATTER OF KERALA HOUSING FINANCE LTD. (KHFL)	WTM/GM/CFD-IMD/41/2017-18	26-12-2022
AACCT6018N	TRIBHUVAN AGRO PROJECT LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/13/ERO/APR/2016	26-12-2022
AADCG9891P	GURUKUL PROJECT LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/79/2017	26-12-2022
AADCP2087Q	PAILAN PARK DEVELOPMENT AUTHORITY LTD.	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/103/2017	26-12-2022
AAECM7687C	MICRO LEASING AND FUNDING LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/200/ERO-BLO/MAR/2016	26-12-2022
AAECP2476Q	PHENIX PROPERTIES LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/11/ERO-GLO/APR/2016	26-12-2022
AAHCA8718R	AAPNA PARIWAR AGRO FARMING DEVELOPERS INDIA LIMITED	UNDER SECTIONS 11 AND 11B OF THE SECURTIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JANUARY 21, 2015 IN THE MATTER OF AAPNA PARIWAR AGRO FARMING DEVELOPERS INDIA LTD.	WTM/GM/EFD/DRAIII/15/2018-19	26-12-2022
AAICS3938E	SHIVALIK COTEX LTD.	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 107 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2009 IN RESPECT OF:	WTM/RKA/EFD/123/2016	26-12-2022
AAJCA8863D	ACTIVE RURAL DEVELOPMENT INDIA LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/115/2018	26-12-2022
AANPP0136H	P. PARAMESHWARAN	IN RESPECT OF LNTERIM ORDERS DATED JULY 31, 2015 AND NOVEMBER 20, 2015 IN THE MATTER OF KERALA HOUSING FINANCE LTD. (KHFL)	WTM/GM/CFD-IMD/41/2017-18	26-12-2022
AAPCS0366M	SUVIDHA LAND DEVELOPERS INDIA LIMITED	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/156/IMD/WRO/ILO/FEB/2016	26-12-2022
AATPI5977M	MR. BADRUL ISLAM	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/11/ERO-GLO/APR/2016	26-12-2022

AATPU6479N	KOMALA UNNIKRISHNAN	IN RESPECT OF LNTERIM ORDERS DATED JULY 31, 2015 AND NOVEMBER 20, 2015 IN THE MATTER OF KERALA HOUSING FINANCE LTD. (KHFL)	WTM/GM/CFD-IMD/41/2017-18	26-12-2022
ABGPD2004E	SHRI DILIP KUMAR DIWAKAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/115/2018	26-12-2022
ABMPH3009D	HASTIBUL HAQUE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/74/2017	26-12-2022
ACLPH0322F	TIRTHA HALDER	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/96/2017	26-12-2022
ADRPH8145D	MALAY HALDER	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/96/2017	26-12-2022
AFUPD9977N	MR. GOPAL DEKA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/11/ERO-GLO/APR/2016	26-12-2022
AGBPJ8881F	SUNAYANA JAIN	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	EAD-4/ADJ/GR/PU/OW/P/39975/1/2022	26-12-2022
AGGPG9365G	MR. SOMNATH GUPTA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/11/ERO-GLO/APR/2016	26-12-2022
AGTPP2815R	BANAJA PATTNAIK	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/200/ERO-BLO/MAR/2016	26-12-2022
AHLPC8055B	MR. SAURABH CHAKRABORTY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/11/ERO-GLO/APR/2016	26-12-2022
AHVPG3958R	DIPANKAR GHOSH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/96/2017	26-12-2022
AHXPD7948N	BIPLAB KUMAR DEY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/163/ERO/RLO/FEB/2016	26-12-2022
AHZPG4939L	MALOY KUMAR GUHA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/96/2017	26-12-2022
AIBPR3316B	BIJOY KUMAR ROUTRAY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/200/ERO-BLO/MAR/2016	26-12-2022

AILPD1367L	IPSITA DAS GIRI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/SR/SEBI-ERO/IMD/35/07/2016	26-12-2022
AILPG0108L	RANJIT GOPE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/13/ERO/APR/2016	26-12-2022
AINPD1762F	ALOKE DAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/13/ERO/APR/2016	26-12-2022
AINPD1762F	ALOKE DAS	UNDER SECTIONS 11, 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/22/2018	26-12-2022
AINPD9015B	MR. PRITHIISH DAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/11/ERO-GLO/APR/2016	26-12-2022
AISPB3975F	MR. KAMDEV BHATTACHARJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/11/ERO-GLO/APR/2016	26-12-2022
AIXPG7534N	BISWAPRIYA GIRI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/SR/SEBI-ERO/IMD/35/07/2016	26-12-2022
AJGPR9741N	CHANDAN SINHA ROY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/163/ERO/RLO/FEB/2016	26-12-2022
AJHPG9603K	MR. PARTHA GOPE MAZUMDER	UNDER SECTIONS 11 AND 11B OF THE SECURTIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JANUARY 21, 2015 IN THE MATTER OF AAPNA PARIWAR AGRO FARMING DEVELOPERS INDIA LTD.	WTM/GM/EFD/DRAIII/15/2018-19	26-12-2022
AJTPD9357P	MR. AMAR KUMAR DAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/11/ERO-GLO/APR/2016	26-12-2022
AKAPD6978N	NARUGOPAL DASADHIKARI	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF -	WTM/PS/177/IMD/ERO-RLO/MAR/2016	26-12-2022
AKAPS2175E	MR. SHEKHAR SAHA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/11/ERO-GLO/APR/2016	26-12-2022
AKHPP5583F	G UNNIKRISHNAN NAIR	IN RESPECT OF LNTERIM ORDERS DATED JULY 31, 2015 AND NOVEMBER 20, 2015 IN THE MATTER OF KERALA HOUSING FINANCE LTD. (KHFL)	WTM/GM/CFD-IMD/41/2017-18	26-12-2022

AKNPV5025B	AMIT KUMAR VASISHTHA	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	EAD-4/ADJ/GR/PU/OW/P/29067/5/2022	26-12-2022
ALFPM5139C	PRASUN MONDAL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/SR/SEBI-ERO/IMD/35/07/2016	26-12-2022
AMRPD2405P	MR. ASHIM DAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/11/ERO-GLO/APR/2016	26-12-2022
ANRPB4794Q	MIHIR BAISNOB	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/13/ERO/APR/2016	26-12-2022
ANZPM6759L	KALI PRASAD MISHRA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/200/ERO-BLO/MAR/2016	26-12-2022
APSPD5747C	SATYA RANJAN DEBNATH	UNDER SECTIONS 11 AND 11B OF THE SECURTIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED MAY 20, 2015 IN THE MATTER OF VERINDER FINANCE LIMITED	WTM/GM/EFD/DRAIII/34/2017-18	26-12-2022
APXPR7152Q	AJIT KUMAR ROUTH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/SR/SEBI-ERO/IMD/35/07/2016	26-12-2022
AQBPM0366L	DEBJANARDAN MONDAL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/79/2017	26-12-2022
ARCPC9106E	CHANDAN CHAKRABORTY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/103/2017	26-12-2022
ARMPG3713B	SUNIRMAL GOSWAMI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/74/2017	26-12-2022
ATRPM4285D	PRIYANKA PRAMOD MHAPSEKAR	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	EAD-4/ADJ/GR/PU/OW/P/39985/3/2022	26-12-2022
AUIPS7765C	APURBA KUMAR SAHA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/103/2017	26-12-2022

AVAPG0570A	BASUDEV GHOSH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/74/2017	26-12-2022
AVXPG2603H	G SURESH KUMAR	IN RESPECT OF LNTERIM ORDERS DATED JULY 31, 2015 AND NOVEMBER 20, 2015 IN THE MATTER OF KERALA HOUSING FINANCE LTD. (KHFL)	WTM/GM/CFD-IMD/41/2017-18	26-12-2022
AXAPP3680J	NUPUR PURKAYASTHA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/79/2017	26-12-2022
AXVPS8485R	SHAIKH MOKHLESUR RAHAMAN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/13/ERO/APR/2016	26-12-2022
AZAPS2983D	DURGA PRASAD SHADANGI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/200/ERO-BLO/MAR/2016	26-12-2022
AZQPM4641Q	JAFAR ALI MOLLA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF -	WTM/PS/177/IMD/ERO-RLO/MAR/2016	26-12-2022
AZTPD6449C	KARTICK CHANDAR DAS	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF -	WTM/PS/177/IMD/ERO-RLO/MAR/2016	26-12-2022
BBSPP5763P	SUDHAKAR PANDA	UNDER SECTIONS 11 AND 11B OF THE SECURTIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED MAY 20, 2015 IN THE MATTER OF VERINDER FINANCE LIMITED	WTM/GM/EFD/DRAIII/34/2017-18	26-12-2022
BGBPS1226C	PRADIP SARDAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/13/ERO/APR/2016	26-12-2022
BJMPS7143A	MR. SAMIR SAHA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/11/ERO-GLO/APR/2016	26-12-2022
BNGPS8347N	AMAL SEN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/13/ERO/APR/2016	26-12-2022
BNOPS7521Q	SIDHARTHA SARDAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/13/ERO/APR/2016	26-12-2022
BOGPS0921F	BIPIN KUMAR SINGH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/103/2017	26-12-2022

BQYPK5654D	N KRISHNAN NAIR	IN RESPECT OF LNTERIM ORDERS DATED JULY 31, 2015 AND NOVEMBER 20, 2015 IN THE MATTER OF KERALA HOUSING FINANCE LTD. (KHFL)	WTM/GM/CFD-IMD/41/2017-18	26-12-2022
CNAPS8962G	ASIFA TANVEER M SHAIKH	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	EAD-4/ADJ/GR/PU/OW/P/40052/5/2022	26-12-2022
DFDPS3198A	MS. VANDANA SAHA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/115/2018	26-12-2022
DNRPK1802D	SEIKH KUTUBUDDIN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/163/ERO/RLO/FEB/2016	26-12-2022
AABCF0079J	FAIRWEALTH COMMODITY BROKING PVT. LTD.	SHOW CAUSE NOTICE UNDER REGULATION 27(1) OF THE SEBI (INTERMEDIARIES REGULATIONS, 2008 IN RESPECT OF ENQUIRY CONDUCTED AGAINST FAIRWEALTH COMMODITY BROKTING PVT LTD. (NOTICEE)	SEBI/HO/EFD1/DRA3/P/OW/2022/29399/1	26-12-2022
AAACF8795N	FAIRWEALTH SECURITIES LTD	SHOW CAUSE NOTICE UNDER REGULATION 27(1) OF THE SEBI (INTERMEDIARIES REGULATIONS, 2008 IN RESPECT OF ENQUIRY CONDUCTED AGAINST FAIRWEALTH SECURITIES LIMITED (NOTICEE)	SEBI/HO/EFD1/DRA3/P/OW/2022/29403/1	26-12-2022
AKVPA7135K	BABAR ALI	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/87/2017	26-12-2022
AHGPH6624N	BIPLAB HALDER	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/48/2017-18	26-12-2022
AAWPM1136F	BRIJ MOHAN MAHAJAN	UNDER SECTION 11 AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/38/NRO/AUG/2015	26-12-2022
AADCC6855H	CHAKRA INFRASTRUCTURE LIMITED	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/48/2017-18	26-12-2022
BIMPB9488A	DINESH BHURIYA	UNDER SECTION 11,11(4)AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/25/2018-19	26-12-2022
AHQPN4086L	DINESH NAYAK	UNDER SECTION 11,11(4)AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/25/2018-19	26-12-2022

AABCF3789K	FALCON INFRA PROJECTS LTD	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/87/2017	26-12-2022
AFTPD7822F	INDRANIL DAS	UNDER SECTION 11 AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/81/2017	26-12-2022
AABCJ2925P	MIDAS TOUCH ASSETS AND SECURITIES LIMITED	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/51/2017-18	26-12-2022
AGGPT2796Q	NIRUPAMA SAMAL/THATOI	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/51/2017-18	26-12-2022
BAHPA6188D	RAKESH ARYA	UNDER SECTION 11,11(4)AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/25/2018-19	26-12-2022
AERPN2244Q	RAMESH CHANDRA NAYAK	UNDER SECTION 11,11(4)AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/25/2018-19	26-12-2022
AEKPC9920P	SOMA CHAKRABORTI	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/48/2017-18	26-12-2022
AHJPA9471D	SONIA PARVIN ALIM	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/87/2017	26-12-2022
AMYPS3013P	SOUBHAGYA KUMAR SAMAL	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/51/2017-18	26-12-2022
BLEPB3468F	SUBHAS BOSE	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/48/2017-18	26-12-2022
AARCS2487F	SUNSHINE HI-TECH INFRACON LIMITED	UNDER SECTION 11,11(4)AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/25/2018-19	26-12-2022
AAJCS1625B	SURAKSHA FAMILY SERVICES LIMITED	UNDER SECTION 11 AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/81/2017	26-12-2022
CILPS3142L	SWAPAN KUMAR SEN	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/48/2017-18	26-12-2022
AKJPM4426D	SWAPAN MAJUMDAR	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/48/2017-18	26-12-2022
ARCPS6416R	SWARNA LATA SAMAL	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/51/2017-18	26-12-2022
AAECK3511F	KRUTIBIBHA CORPORATION LTD	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF KRUTIBIBHA CORPORATION LIMITED	WTM/PS/124/ERO/BLO/DEC/2015	26-12-2022

AAACN4793N	RHINE & RAAVI CREDITS AND HOLDINGS LIMITED	UNDER SECTIONS 11 AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/92/NRO/MAR /2015	26-12-2022
AABCG0145L	GILTEDGE VINCOM PVT LTD	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	IMD/DOF3/P/OW/2022/ 34428/1	26-12-2022
AABCO6415H	OPTIONONE TRADE AND MERCANTILE PVT. LTD.	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/159/2018	26-12-2022
AACCI5234R	IDOL INDIA INFRASTRUCTURES LIMITED	UNDER SECTIONS 11(1), 11(4) 11A(1)(B) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/PS/08/IMD-DOF/MAY/2014	26-12-2022
AACCL2342M	LIFE CARE INFRASTRUCTURES INDIA LIMITED	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/131/2018	26-12-2022
AADCD2895N	DGR FARMS & LEISURE LIMITED	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/91/2017	26-12-2022
AADCG7443H	GILT COMMODITIES PRIVATE LIMITED	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	IMD/DOF3/OW/2022/3 4410/1	26-12-2022
AAECC9934A	CELL REALCON CORPORATION LIMITED	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/49/2017	26-12-2022
AAECG5218M	GOKUL RETAILERS PRIVATE LIMITED	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/IMD/IMD-II DOF3/P/OW/2022/3152 6/1	26-12-2022
AAECG8991M	GERENT TRADECOM PRIVATE LIMITED	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/IMD/IMD-II DOF3/P/OW/2022/0000 048473/1	26-12-2022
AAECS0861Q	SPECIALITY PAPER LTD	SERVICE PF PRDERS IN TERMS OF CIRCULAR NO. SEBI/HO/EFD1/EFD_DR4/P/CIR/2022/104	EFD-1/DRA-1/KSB/ON/39135/2022	26-12-2022
AAGCC0137R	CAPQUEST RESEARCH PVT LTD	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008.	WTM/RKA/EFD-DRA-IV/189/2016	26-12-2022
AAICA3913D	AVM REAL ESTATE & ALLIED LIMITED	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/RKA/EFD/EFD-DRA-III/191/2016	26-12-2022

AAKCA9069J	ANGEL ALLIED INDIA LIMITED	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/45/2017	26-12-2022
AALCS5520M	SAMPRITI PROJECTS LTD	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/178/ERO/MAR/2016	26-12-2022
AAMCS2792J	SUNCITY INFRACON CORPORATION (INDIA) LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/18/2017	26-12-2022
AANPI7704G	MD. OBAY DUL ISLAM	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/178/ERO/MAR/2016	26-12-2022
AANPI7705H	SONALI ISLAM	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/178/ERO/MAR/2016	26-12-2022
AAOPU9033B	HARENDRA KUMAR UPADHYAY	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/NRO/SEPT/2015	26-12-2022
ADAPB7401P	SAJAL BHOWMICK	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/18/2017	26-12-2022
ADDPT0119K	BHARDWAJ MUNI TRIPATHI	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/NRO/SEPT/2015	26-12-2022
ADXPB7335B	KUMAR KANTI BHATTACHARYA	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/49/2017	26-12-2022
AETPA7820D	GOPAL HARI AGARWAL	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	IMD/DOF3/P/OW/2022/35355/1	26-12-2022
AEWPM1966K	DEBI PRASAD MOKHERJEE	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/49/2017	26-12-2022
AFAPD7831F	SUSHIL KUMAR DUBEY	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/91/2017	26-12-2022
AGFPD9237P	RAJESHWAR PRASAD DWIVEDI	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/RKA/EFD/EFD-DRA-III/191/2016	26-12-2022
AGMPG4657M	SHANKAR LAL GUPTA	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/91/2017	26-12-2022
AHVPC3063F	VIVEK CHAURASIA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/159/2018	26-12-2022

AILPD7952R	KAUSHIK DHAR	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/178/ERO/MAR/2016	26-12-2022
AIZPB6070C	SOURAV BARDHAN	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/49/2017	26-12-2022
AJDPB9583B	MANAS BISWAS	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/178/ERO/MAR/2016	26-12-2022
AJKPD1494Q	SUBRATA DAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/18/2017	26-12-2022
AKGPS7982R	ASHOK KUMAR SINGH	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/NRO/SEPT/2015	26-12-2022
AKLPD0722D	ABHIJIT DHAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/18/2017	26-12-2022
AKOPP8093N	JAINENDRA KUMAR PATEL	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/91/2017	26-12-2022
AKRPS9594C	GODAWARI DEVI SHARMA	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/IMD/IMD-II DOF3/P/OW/2022/31496/1	26-12-2022
ALNPD0787H	RAJESH KUMAR DAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/18/2017	26-12-2022
AMMPG9367Q	ANSHUMAN GHOSH	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/149/ERO/FEB/2016	26-12-2022
APEPD6402Q	GURUPADA DAS	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/76/2017	26-12-2022
AQDPM7488B	SHYAMAL KUMAR MITRA	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/178/ERO/MAR/2016	26-12-2022
ARCPG5694H	NAZRUL ISLAM GAZI	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/178/ERO/MAR/2016	26-12-2022
ASOPR6898P	SAIKAT ROY	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/149/ERO/FEB/2016	26-12-2022
ASRPK2076K	PRAFULLA KUMAR KUNDU	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/149/ERO/FEB/2016	26-12-2022
ASVPG8313M	RAKESH GUPTA	UNDER SECTIONS 11 AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/92/NRO/MAR/2015	26-12-2022

ATVPJ2418H	GITA DEVI JALAN	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/IMD/IMD-II/DOF3/P/OW/2022/33154/1	26-12-2022
ATWPD6907G	ASHUTOSH DWIVEDI	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/RKA/EFD/EFD-DRA-III/191/2016	26-12-2022
AUVPD8794M	PRASHANTA DAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/18/2017	26-12-2022
AVYPA7767K	NIAMAT ALI	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/76/2017	26-12-2022
AWJPS1018D	ALOK KUMAR SINGH	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/91/2017	26-12-2022
AWUPB3897Q	DAYANIDHY BEHERA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF KRUTIBIBHA CORPORATION LIMITED	WTM/PS/124/ERO/BLO/DEC/2015	26-12-2022
BCSPM6763R	SANTOSH KUMAR MISHRA	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/45/2017	26-12-2022
BDFPS5895A	RAMPRASAD SARKAR	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/131/2018	26-12-2022
BOSPK3303R	BASIR UDDIN KHAN	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/29/ERO/IMD/MAY/2016	26-12-2022
BSFPS9624C	RAMASHISH SINGH	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/NRO/SEPT/2015	26-12-2022
BSIPK9909F	BIRENDRA KAJI	UNDER SECTIONS 11 AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/92/NRO/MAR/2015	26-12-2022
BTCPS3876E	JAGDISH SARANGI	UNDER SECTIONS 11(1), 11(4) 11A(1)(B) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/MPB/EFD-1-DRA-IV/68/2017	26-12-2022
BTKPS1732H	UMESH SONI	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/91/2017	26-12-2022
CBFPK9464A	SURENDRA KUMAR	UNDER SECTIONS 11 AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/92/NRO/MAR/2015	26-12-2022

CCDPS1488R	ROHIT SINGHAI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/159/2018	26-12-2022
CCMPS5571E	APU SAHA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/18/2017	26-12-2022
CCRPS8635J	RANJIT KUMAR SETHI	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF KRUTIBIBHA CORPORATION LIMITED	WTM/PS/124/ERO/BLO/DEC/2015	26-12-2022
CJSPS8001G	DULAL SENAPATI	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/76/2017	26-12-2022
CMCPS8260C	VINOD KUMAR SHRIVASTAVA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/159/2018	26-12-2022
CQDPS7744E	MAHENDRA KUMAR SAHU	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/RKA/EFD/EFD-DRA-III/191/2016	26-12-2022
CTTPS8720B	PUSPA SETHI	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF KRUTIBIBHA CORPORATION LIMITED	WTM/PS/124/ERO/BLO/DEC/2015	26-12-2022
AAFCG2360M	GROVALUE COMMODITY PVT. LTD	PERSOAL HEARING BEFORE QUASI JUDICIAL AUTHORITY IN THE MATTER OF /M/S. GROVALUE COMMODITY PVT. LTD. (NOTICE)	SEBI/HO/EFD1/DRA3/P/OW/2022/53641/1	26-12-2022
AAACL4660L	LOHARU PROPERTIES PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	EAD5/MC/RM/24606/2021	26-12-2022
AAACL5317L	LOVEJOY COMMODITIES PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	EAD5/MC/RM/24603/2021	26-12-2022
AAACL5327N	LUCKY PRIME DEALERS PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	EAD5/MC/RM/28230/2021	26-12-2022
AAACL5741Q	LCI INDIA LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	EAD5/MC/RM/17883/2021	26-12-2022

AAACL6157G	LARCENY MERCANTILES PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	EAD5/MC/RM/17872/2021	26-12-2022
AAAHL5497G	L K HARLALKA HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	EAD5/MC/HP/26631/2021	26-12-2022
AAAHL8741B	LAKHAN LAL BHAWSAR (HUF)	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	EAD5/MC/RM/27405/2021	26-12-2022
AAATM9083B	MADHAV PERIWAL FAMILY TRUST	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	EAD5/MC/RM/2284/2022	26-12-2022
AABCK0710B	KWALITY CREDIT & LEASING LTD.	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	EAD5/MC/RM/25498/2021	26-12-2022
AABCL0366N	LACTOSE VINIMAY PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	EAD5/MC/HP/27394/2021	26-12-2022
AABCL1484F	LAMBODAR MERCHANDISE PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	EAD5/MC/RM/17055/2021	26-12-2022
AABCL2925R	LOYAL MERCHANT PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	EAD5/MC/RM/24601/2021	26-12-2022
AABCL7829A	LEMON VINCOM PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	EAD5/MC/RM/19825/2021	26-12-2022

AABCL9320L	LINKWISE DISTRIBUTORS PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	EAD5/MC/RM/19836/2021	26-12-2022
AABCL9716C	LIFELONG NIRMAN PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	EAD5/MC/RM/19515/2021	26-12-2022
AABHL6169A	LALIT MOHAN HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	EAD5/MC/RM/17058/2021	26-12-2022
AACCL1777G	LOOKLINE VINIMAY PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	EAD5/MC/RM/25160/2021	26-12-2022
AACCL1889R	LEMONMINT COMMERCIAL PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	EAD5/MC/RM/17885/2021	26-12-2022
AACCR5855M	RASHMI TOWER PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	EAD-8/AS/VS/35909/2022	26-12-2022
AACHL1904L	LALIT HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	EAD5/MC/RM/17077/2021	26-12-2022
AAECM1456D	MAA MAHAMAYA ROLLING MILL PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	SEBI/HO/EFD1/EFD1_DRA4/P/CIR/2022/104	26-12-2022
AAFHM0567B	M K KEJRIWAL AND SONS HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	EAD5/MC/RM/28337/2021	26-12-2022

AAGCM2377R	MAA BHAGAWATI RE ROLLING MILLS PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	MAIL RECIEVED FROM SEBI AS ON 04-10- 2022	26-12-2022
AAGCM8442H	MAA AMBAY COMMOSALES PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	MAIL RECIEVED FROM SEBI AS ON 04-10- 2022	26-12-2022
AAIHM2560H	MADAN MOHAN HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	EAD5/AA/PR/2022/42683	26-12-2022
AAJHM2310J	M.K. AGRAWAL HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	EAD5/MC/RM/28496/2021	26-12-2022
AAMFM4254G	M L SHUKLA GRAND SONS	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	EAD5/MC/RM/28490/2021	26-12-2022
AAMHM3891D	M K SHARMA HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	EAD5/MC/RM/28479/2021	26-12-2022
AAQFM0500M	MACHIWAL & SONS	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	MAIL RECIEVED FROM SEBI AS ON 04-10- 2022	26-12-2022
ADEPB1185G	LEELA DEVI BAJAJ	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	MAIL RECIEVED FROM SEBI AS ON 04-10- 2022	26-12-2022
AENPG1257N	LAXMI GUPTA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES 1995 IN MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD5/MC/RM/18932/2021	26-12-2022

AEOPP3917K	PATAWARI KUSUM DEVI	SHOW CAUSE NOTICE UNDER RULE 4(1) OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES 1995 IN MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD5/MC/HP/24508/2021	26-12-2022
AESPN6952N	LAXMI B NAIR	SHOW CAUSE NOTICE UNDER RULE 4(1) OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES 1995 IN MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD5/MC/RM/18937/2021	26-12-2022
AETPJ0960R	LEELA JAIN	SHOW CAUSE NOTICE UNDER RULE 4(1) OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES 1995 IN MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD5/AA/PR/2022/42428	26-12-2022
AFAPJ1409F	JAILANI M MEHABOOB	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 10-04-2022	26-12-2022
AFCPK4431Q	KUSUM KANODIA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD5/MC/HP/23949/2021	26-12-2022
AFLPA0272N	KUSUM JASWANT AHUJA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD5/MC/HP/25105/2021	26-12-2022
AFLPA4345Q	KUSUM DEVI AGARWAL	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD5/MC/HP/23449/2021	26-12-2022

AFNPP8937P	KUSUM DEVI PATWA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD5/MC/HP/24509/2021	26-12-2022
AFTPK7864A	KUSUM KANODIA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD5/MC/HP/23948/2021	26-12-2022
AGGPP2951B	KUSUM SHAH	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD5/MC/HP/23447/2021	26-12-2022
AHPPB3821J	BEEHVI M MARIYAM	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 10-04-2022	26-12-2022
AIHPJ4415B	KUSHAL CHAND JAIN	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD5/MC/HP/20653/2021	26-12-2022
AJGPS4080D	LALIT KUMAR SINGHANIA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD5/MC/RM/17079/2021	26-12-2022
AQCPA9517C	MADHU AGRAWAL	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD5/MC/RM/1660/2022	26-12-2022

AACCV8086C	VISHWARAJA PROPERTIES AND CONSULTANCIES PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 08-08-2022	26-12-2022
AACCV8127C	VISTA PROMOTERS PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 08-08-2022	26-12-2022
AACHC8112P	CHIRAG SHARAD VORA HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 11-08-2022	26-12-2022
AACHV4748L	VISHAL AGARWAL HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 05-08-2022	26-12-2022
AADCC3724B	CONFIDENT VINIMAY PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 10-08-2022	26-12-2022
AAECS8505M	SANKAT MOCHAN HOLDINGS PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 05-08-2022	26-12-2022
AAEHB9860R	BHAGAT RAM GUPTA HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 10-08-2022	26-12-2022

AAEHV8384G	VIRAT AGARWAL HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 04-08-2022	26-12-2022
AAFHS4954Q	SUBHASH CHOWDHARY HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 11-08-2022	26-12-2022
AAGCS0343F	SUKHISANSAR CONSTRUCTION PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 12-08-2022	26-12-2022
AAGHV6997P	VIRENDRA KUMAR MISHRA HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 10-08-2022	26-12-2022
AAIHV4124N	VINEET JAIN HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD6/GG/VP/32129/1/2022	26-12-2022
AAJHP0816Q	PAWAN KUMAR PODDAR HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 03-08-2022	26-12-2022
AAJTS1781M	SRISHTI BHALOTIA BENEFICIARY TRUST	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 04-08-2022	26-12-2022

AAKCS2326Q	SECOND LAND DEVELOPERS PVT LTD	UNDER SECTIONS 11(B), 11(1) AND 11(4) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA	WTM/MPB/EFD-1-DRA-111/115/2020	26-12-2022
AALCS9370B	SUBHLABH SHARE BROKERS PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 11-08-2022	26-12-2022
AARCS1799L	SUBHKARI COMMODITIES PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 11-08-2022	26-12-2022
AASPG3094N	SUBHASH CHANDRA GUPTA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 01-09-2022	26-12-2022
AAYHS6731A	SUDHIR SEHGAL HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 11-08-2022	26-12-2022
AEHPB9348F	SANJEEV BUTIA HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 05-08-2022	26-12-2022
AFAPM6986L	SUCHITRA MOHTA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 11-08-2022	26-12-2022

AMTPK1562E	SUDHA DEVI KEDIA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 11-08-2022	26-12-2022
ASTPS5934N	SUDHIR SINGH	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 11-08-2022	26-12-2022
ATLPP3443L	SUDHABEN ASHOKBHAI PATEL	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 11-08-2022	26-12-2022
ATQPG4948N	AVIRAL GUPTA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 04-08-2022	26-12-2022
BLVPA7861A	SUMIT AGARWAL	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 10-08-2022	26-12-2022
AABHA2977D	ATUL KUMAR FATEHLAL HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 04-08-2022	26-12-2022
AABCC0213H	CORE RESOURCE FINANCE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 10-08-2022	26-12-2022

ACOPC6432A	BABU LAL CHOUDHARY	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 08-08-2022	26-12-2022
AACCV8954B	ASK BHOOMI DEVELOPERS PVT LTD.	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 11-08-2022	26-12-2022
AAGCA6564J	ASK REALTY AND DEVELOPERS LTD.	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 11-08-2022	26-12-2022
AAICA0430D	ASPOLIGHT MERCHANTS PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 11-08-2022	26-12-2022
AEGPG4399J	BABITA GOEL	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 08-08-2022	26-12-2022
ELYPS3423N	CHIRAG SHARMA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 10-08-2022	26-12-2022
ANPPM3883R	BELA MUKHERJEE	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 10-08-2022	26-12-2022

AAFHV4924B	VISHAL KUMAR NARANG HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 05-08-2022	26-12-2022
AAECB8238J	BESTWAY DEALCOM PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 10-08-2022	26-12-2022
AADHB7155A	BHAGAT RAM GUPTA SONS	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 10-08-2022	26-12-2022
AFBPJ6529B	RANJITSINGH JUNEJA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND LM POSING PENALTIES) RULE, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/SM/32312/1/2022	26-12-2022
AAEHG3098J	GURJIT SINGH HUF	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	HRD/ADJ/PM/OW/P/41143/1/2022	26-12-2022
AJCPA6064F	MOHAMMED AFAQUE AHMAD	UNDER SECTIONS 11, 11(4), 11A AND 11B (1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/ERO/ERO-RLO/ 105/2020	26-12-2022
AGVPC5307K	SANTANU SEN CHOUDHURY	UNDER SECTIONS 11, 11(4), 11A AND 11B (1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/ERO/ERO-RLO/105/2020	26-12-2022
BOHPA8990M	EKANKI AGARWAL	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI {PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/IVD/ID8/SCN/ AO-SSNS/35395/1/2022	26-12-2022
AHJPM6572H	MOHAMMAD YOUNUS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/15/ERO/APR/2016	26-12-2022

AMYPM4378H	RASHMI MISHRA	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/MRD/MRD-POD-3/P/OW/2022/00000397 06/1	26-12-2022
ARTPP9101B	BHARATKUMAR BALDEVBHAI PARMAR	UNDER SECTION- 1-S-.I OP SECURITIP.S AND EXCHANGE BOARD OF INDIA "ACT, 1992 RE.AD WITH RULE 5 OF SHBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	EAD-2/DSR/RG/PU/345-403/2015	26-12-2022
ALBPS3314F	SUMANTA SINHA	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED DECEMBER 09, 2015 IN THE MATTER OF SUNPLANT BIO ENERGY PRIVATE LIMITED (SBEPL).	WTM/GM/EFD /52/2017-18	26-12-2022
AGMPA1004E	MR. RAJESH AWASTHI	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/ 22/EFD/MAY/2016	26-12-2022
BTUPP0108C	PUNAM CHAND PATIDAR	UNDER SECTIONS 11(1), 11(4), 11B AND 11AA OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 11 OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/AB/WRO/WRO/7 170/2019-20	26-12-2022
AAICA9057G	AKRITI ADVISORY SERVICES PVT LTD.	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OFLNDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF TOUCH WOOD LIMITED.	WTM/GM/EFD/47/2018-19	26-12-2022

AAJHR7550P	RAMESH CHAND AGRAWAL HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/AK/40890/1/2022	26-12-2022
ABFPJ7548A	PRADEEP JOHARI	SHOW CAUSE NOTICE UNDER RULE 4(1) OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES 1995 IN MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/AK/41761/1/2022	26-12-2022
AHAPS0240F	ANIL SOLANKI	UNDER SECTION 15-1 OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. BM/AO-109/2010	26-12-2022
AADPT4715C	NAVIN TRIKAMDAS THAKKAR	UNDER SECTION 15-1 OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. BM/AO-103/2010	26-12-2022
AAZPL6285F	JIGNESH P LAKHLANI	UNDER SECTION 15-1 OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. BM/AO-105/2010	26-12-2022
AAACI4383H	IFSL LTD.	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENATILES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO :. - SD/AO/69/2010	26-12-2022
AASHS0443M	SANJEEV KANODIA HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-6/AK/BS/50513/1/2022	26-12-2022

AACHK5312H	KISHAN KUMAR JAJODIA HUF	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/MRD2/MRD2_DDAP/P/OW/2022/39516/1	02-01-2023
AAFHB1057D	BHAGWAN NUHAL HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI EMAIL DATED 10-08-2022	02-01-2023
AABCK8634L	KIRTI TRACON PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EAD3/P/OW/BM/GN/2022/39171	02-01-2023
AABCO1930D	OCTAGON MEDIA MATRIX PVT LTD.	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EAD3/P/OW/BM/GN/2022/38004	02-01-2023
AABHD7786D	DALAM CHAND BHURAT & SONS	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/ERO/AP/AS/OW/2022/042433/1	02-01-2023
AABHN6572P	NAGARMAL PERIWAL	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EAD3/P/OW/BM/GN/2022/39418	02-01-2023
AACCD9973A	DAKSH SHARE BROKERS PRIVATE LIMITED	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/ERO/AP/AS/OW/2022/42444/1	02-01-2023
AADCC4988P	CHIRAG COMMODEAL PVT LTD	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/ERO/AP/AS/OW/2022/42691/1	02-01-2023
AADCC8834G	CIRCLE DEAL TRADE PRIVATE LIMITED	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/ERO/AP/AS/OW/2022/42550/1	02-01-2023

AADCK9786H	KHAITAN BEVERAGES PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EAD3/P/OW/BM/GN/2022/39160	02-01-2023
AADHR9245K	RAJ KUMAR MUKESH KUMAR	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EAD/EAD-3/BM/DS/35043/2022	02-01-2023
AAECK2274R	KHYATI MERCHANTS PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/EAD-3/BM/JR/44281/2022	02-01-2023
AAECK3234H	KASVI COMMOSALES PVT LTD	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-3/BM/UR/31833/2022	02-01-2023
AAECK3321R	KASVI TRADING COMPANY PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/EAD-3/BM/JR/37074/10/2022	02-01-2023
AAECP9024A	PUSHPANJALI INTRADE PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EAD-3/P/OW/BM/GN/2022/38929	02-01-2023
AAEHN9629L	NARESH KUMAR AND SONS HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-3/BM/UR/37604/2022	02-01-2023
AAFHM9289Q	MOHAN LAL VARMA AND SONS HUF	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/ERO/VSS/SB/OW/2022/37712/1	02-01-2023

AANCS7786B	SAMRIDHI TREXIM PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EAD/EAD-3/BM/DS/OW/37247/2022	02-01-2023
AAOCS7491L	SAKAMBARI MERCHANTS PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EAD/EAD-3/BM/DS/OW/42417/2022	02-01-2023
AAUPN5467E	RADHIKA NEVATIA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EAD/EAD-3/BM/DS/OW/37310/2022	02-01-2023
AAXHS9992Q	SANDIP PRIYA HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EAD/EAD-3/BM/DS/OW/37318/2022	02-01-2023
ACIPA1867D	PUSHPA DEVI AGARWAL	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EAD-3/P/OW/BM/GN/2022/38993	02-01-2023
ACQPA9453K	KASTURI LAL AGGARWAL	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-3/BM/UR/31836/2022	02-01-2023
AFFPP2942C	NEELAM PADIA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-3/BM/UR/37172/2022	02-01-2023
AGTPK7570L	RADHEY SHIAM KHANNA	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EAD/EAD-3/BM/DS/37434/2022	02-01-2023

ALGPC3438B	NISHA CHATURVEDI	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-3/BM/UR/36680/2022	02-01-2023
AQNPA1159K	NEHA AGARWAL	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-3/BM/UR/34878/2022	02-01-2023
ARDPR2733D	SANCHITA ROY	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EAD/EAD-3/BM/DS/OW/42305/2022	02-01-2023
AYFPA9042F	NAMITA AGGARWAL	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD/BM/JR/35847/9/2022	02-01-2023
AYWPK0382M	SANGITA KARMAKAR	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EAD/EAD-3/BM/DS/OW/37482/2022	02-01-2023
BDQPP9419J	KARISMA PADIA	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-3/BM/UR/31850/2022	02-01-2023
BGIPK1734J	KIRAN DEVI KARNANI	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD/BM/JR/35847/3/2022	02-01-2023
AAACU3542J	UPLINE TRADERS PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/AE/37333/1/2022	02-01-2023

AABCM7537N	MONTROSE COMMODITIES PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.44717/2022	02-01-2023
AACCM2227N	MOTILAL MAKHANLAL HOLDING PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO. 44737/2022	02-01-2023
AACCT2493D	TRIVENI TIE UP PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/DD/34505/2022	02-01-2023
AAECT4878Q	TRILOKESH TRADERS PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/AE/37372/2022	02-01-2023
AAEFT8280B	TIRUPATI LOGISTIC	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/AE/37446/2022	02-01-2023
AAGCS7465L	SUR SADHNA BARTER P.LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/DD/41106/2022	02-01-2023
AAGHP2383B	PANKAJ KUMAR BALASARIA HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO. 44617/2022	02-01-2023

AAHFT6013B	TOUCHWOOD PROJECTS LIMITED LIABILITY PARTNERSHIP	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/AE/37457/2022	02-01-2023
AALHM7180A	MRINAL BERRY (HUF)	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO. 35309/2022	02-01-2023
AALHP4980R	PANKAJ KUMAR AGRAWAL HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO. 44618/2022	02-01-2023
AATPV7629K	SATYEN VYAS	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/DD/32000/1/2022	02-01-2023
ABCPD9261H	UMESH JAYANTILAL DESAI	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/AE/36543/2022	02-01-2023
ACTPG7828D	PANKAJ GOEL	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.44623/2022	02-01-2023
AEMPG2623P	SHROFF TRIPTA TRIPTA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/AE/37663/2022	02-01-2023

AFPPG3335Q	MOHIT GOEL	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.45341/2022	02-01-2023
AQDPD7057P	DEBNATH KALYAN	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF SAMRUDHI DEVBUILD INDUSTRIES INDIA LTD.	WTM/GM/IMD/69/2018-19	02-01-2023
BGKPS2192E	VIDHU SHEKHAR SINGH	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/AD/40455/2022	02-01-2023
AACCG0885C	G R INDUSTRIES AND FINANCE LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SMAD/36001/2022	02-01-2023
AWJPS4793B	SUDARSHAN VENKATARAMAN	UNDER SECTIONS 11, 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/SM/IVD/ID10/34/2021-22	02-01-2023
AAPCS4873D	SUNGOLD PROPERTIES PVT LTD	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/DD/32265/1/2022	02-01-2023
AAOCS7903F	SUNBRIGHT COMMODITIES TRADE PVT LTD	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/DD/32386/1/2022	02-01-2023
AABCU4261B	UNIFOUR COMMOSALE PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/AE/35191/2022	02-01-2023

AFIPG7902C	USHA GUPTA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/AD/35213/2022	02-01-2023
AAFFH7794Q	GALAXY ENTERPRISES	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/S/38983/2022	02-01-2023
AABCU2641M	UJJWAL CLOTH MARKETING PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/DD/34785/2022	02-01-2023
AADCT3937F	TRISHUL GEM JEWELLERY PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/DD/34531/2022	02-01-2023
AADCT6881G	TRIMURTI VINCOM PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/AE/37350/2022	02-01-2023
AAACT9655R	TOMORROWS SALES AGENCY PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/AE/37450/2022	02-01-2023
AFRPP7787H	SUSHIL KUMAR PALRIWAL	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/DD/35220/2022	02-01-2023

AFTPK7746P	SUNITA KOCHAN	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/DD/34262/2022	02-01-2023
AAIHD5800M	DULAL GHOSH HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/AD/36149/2022	02-01-2023
AABCE9161A	EMBLEM TRADELINK PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/AD/36104/2022	02-01-2023
AABCF2706L	FORTUNE TREXIM PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/S/37421/1/2022	02-01-2023
AADCV5277G	VERSATILE SAREE TRADER PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/AD/39829/1/2022	02-01-2023
AAOCS3890H	SUPARSHVAMATI COMMODITIES PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/DD/40711/1/2022	02-01-2023
AAGCP2760D	PREETI VYPAAR PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/S/40434/2022	02-01-2023

AABCU1506F	UTTAM DEALERS PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/AD/37557/2022	02-01-2023
AAFCP4810K	PREETI TRADECOM PRIVATE LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/S/40742/1/2022	02-01-2023
AAGCP2872C	PREETI COMMODITIES PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/S/40745/1/2022	02-01-2023
AAFCP5386A	PREVIEW VINIMAY PRIVATE LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/S/40735/1/2022	02-01-2023
AAFHV7052L	VIKAS HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/S/40768/1/2022	02-01-2023
AAXHS2222P	SUSHIL GARG HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/DD/40700/1/2022	02-01-2023
AAACE3703B	EXCEL TECHNOVATION PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/2022	02-01-2023

AACCM2844B	MULTIWYN COMMERCIAL PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.45088/2022	02-01-2023
AAHCA5130D	AMRIT PROJECTS (N.E.) LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/165/ERO/FEB /2016	02-01-2023
ACOPC0503E	SHRI RANJAN KUMAR CHOWDHURY	UNDER SECTIONS 11, 11(4), 11A AND 11(B) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/165/ERO/FEB /2016	02-01-2023
AHLPR2153F	SHRI SYED KAZIM RAZA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/165/ERO/FEB /2016	02-01-2023
AACCA5192L	AMRIT PROJECTS LTD.	UNDER SECTIONS 11(1), 11(4), 11A AND 11(B) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND CLAUSE 17 OF SEBI (DISCLOSURE AND INVESTOR PROTECTION) GUIDELINES, 2000 READ WITH REGILATION 111 OF THE SEBI (ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2009	WTM/PS/169/ERO/FEB /2016	02-01-2023
BJKPS6178P	SHRI SASANKA ROY SARKAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/165/ERO/FEB /2016	02-01-2023
AACCE5924A	EMINENCE INFRAPROJECTS LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/73/2017	02-01-2023
AKFPR8752F	SUPRIYA SINGHA ROY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/73/2017	02-01-2023
ANIPD2315E	SHRI TARUN KUMAR DAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/73/2017	02-01-2023
BACPM7482D	SHRI KOUSHIK MUKHERJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/73/2017	02-01-2023
BBFPM1003G	TANMOY MRIDHA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/47/2017	02-01-2023
ASSPD0037G	MANOJ KUMAR DHALI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/47/2017	02-01-2023

AYDPD5439P	ASHISH DHALI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/47/2017	02-01-2023
AZUPB7887R	SANKAR BAIDYA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/47/2017	02-01-2023
AVQPK4359M	MR. SHIVRAM KUSHWAH	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/SM/2019-20/7197-7203	02-01-2023
AUAPR1861N	SURAJEETRAY	UNDER SECTIONS 11 (1), 11(4), 11A AND 1111 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD/142/2016	02-01-2023
ALGPB7497M	SANJAY BAHADUR	UNDER SECTIONS 11 (1), 11(4), 11A AND 1111 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD/142/2016	02-01-2023
AKJPM5712E	NONIGOPAL MONDAL	UNDER SECTIONS 11 (1), 11(4), 11A AND 1111 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD/142/2016	02-01-2023
AADCG4144R	GOLDMINE INDUSTRIES LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MB/SEBI/EFD-DRA4/4/2017	02-01-2023
BYHPS7846G	SHAMBHU NATH SAH	UNDER SECTIONS 11 (1), 11(4), 11A AND 1111 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD/134/2016	02-01-2023

AIEPD2431N	BABLU DEBNATH	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008, IN RESPECT OF:	WTM/RKA/EFD/146/2016	02-01-2023
AOGPD1999H	SUBRATA DE	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008, IN RESPECT OF:	WTM/RKA/EFD/146/2016	02-01-2023
APIPD6321E	BINOY DEBNATH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF SAMRUDHI DEVBUILD INDUSTRIES INDIA LTD.	WTM/GM/IMD/69/2018-19	02-01-2023
AFLPN0768C	MOHAMMAD NESAR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF SAMRUDHI DEVBUILD INDUSTRIES INDIA LTD.	WTM/GM/IMD/69/2018-19	02-01-2023
AQDPD7058C	RITA DEBNATH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF SAMRUDHI DEVBUILD INDUSTRIES INDIA LTD.	WTM/GM/IMD/69/2018-19	02-01-2023
ARAPD2677F	MOUSUMI DAS	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF SAMRUDHI DEVBUILD INDUSTRIES INDIA LTD.	WTM/GM/IMD/69/2018-19	02-01-2023
CRGPS3281N	BALDEVRAJ SHARMA	UNDER SECTIONS 11, 11(4),AND 11(B)OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 107 OF THE SEBI(ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS)REGULATION AND REGULATION 11OF SEBI(PROHIBITON OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATION 2003	WTM/RKA/IVD/NRO/01/2013	02-01-2023

AUTPR3288E	RAMESH SHARMA	UNDER SECTIONS 11, 11(4),AND 11(B)OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 107 OF THE SEBI(ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS)REGULATION AND REGULATION 11OF SEBI(PROHIBITON OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATION 2003	WTM/RKA/IVD/NRO/01/2013	02-01-2023
AHWPJ3775G	MONIKA JAIN	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.45482/2022	02-01-2023
ABNPL7384C	VINEETA KABRA	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/DEPA-III/DEPA-III_SSU/P/OW/2022/00000039376/1	02-01-2023
ADIPG6724E	VIMLA DEVI GOLECHHA	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/DEPA-III/DEPA-III_SSU/P/OW/2022/00000039380/1	02-01-2023
AGTPP6067F	LAXMANBHAI PATEL	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATING ORDER NO.IVD/WOL/AO/DRK/ASG/EAD3-68/2009	02-01-2023
KLRPS2627R	SHETH NILESH VINODCHANDRA	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATING ORDER NO.EAD-2/AO/37/2012	02-01-2023
AITPM8547R	AMIT MEHTA	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATING ORDER NO.EAD-2/AO/35/2012	02-01-2023
AAACY2254M	VAANA APPARELS PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-6/AK/VV/55804/1/2022	02-01-2023

AABCS0614E	SPS BUILDERS PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI LETTER NOT	02-01-2023
AABPA5556C	VIVEK ARORA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI LETTER NOT	02-01-2023
AAECC7554L	CRABEL DISTRIBUTORS PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI LETTER NOT	02-01-2023
AAFHD3466C	DIPAK GUPTA HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/NRO/JS/ASR/ISO /48812/1/2022	02-01-2023
AAGHS1170K	SHRI RAM GUPTA HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI LETTER NOT	02-01-2023
AAHCA2103Q	AMBITION COMMODEAL PVT LTD.	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/NRO/JS/ASR/ISO /48785/1/2022	02-01-2023
AAICA2282P	XERSUS SALES PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI LETTER NOT	02-01-2023

AANFV4919L	VIMUK ENTERPRISES	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 FOR ADJUDICATION U/S 15 HA AND 15HB OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF "TRADING BY CERTAIN ENTITIES IN MENTHA OIL FUTURES CONTRACTS AT MULTI COMMODITY EXCHANGE OF LNDIA LIMITED	EAD-6/AK/BS/49540/1/2022	02-01-2023
AAOCS7016F	SPECTRUM TECHNOPROJECTS PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI LETTER NOT	02-01-2023
AAOPG1467H	VIKRAM GUPTA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 FOR ADJUDICATION U/S 15 HA AND 15HB OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF "TRADING BY CERTAIN ENTITIES IN MENTHA OIL FUTURES CONTRACTS AT MULTI COMMODITY EXCHANGE OF LNDIA LIMITED	EAD-6/AK/BS/49548/1/2022	02-01-2023
AEYPP7292M	AMARJEET KAUR PARMAR	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/NRO/OW/JS/ASR /48769/1/2022	02-01-2023
AJOPG5806B	NEETU GUPTA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 FOR ADJUDICATION U/S 15 HA AND 15HB OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF "TRADING BY CERTAIN ENTITIES IN MENTHA OIL FUTURES CONTRACTS AT MULTI COMMODITY EXCHANGE OF LNDIA LIMITED	EAD-6/AK/BS/49547/1/2022	02-01-2023

BEJPS1492A	AMIT SHAW	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/NRO/JS/ASR/ISO /48804/1/2022	02-01-2023
BSFPK6683P	KRISHNAMURTHY G	SHOW CAUSE NOTICE UNDER SECTIONS 11B, 11B(1), 11B(2), 11(4) AND 11(4A) OF SEBI ACT, 1992 FOR ALLEGED VIOLATION OF SECTION 12(1) OF SEBI ACT, 1992 READ WITH REGULATION 3(1) OF THE SEBI (INVESTMENT ADVISERS) REGULATIONS, 2013	SRO/UIA/SC/SK/OW/P/ 28390/1/2022	02-01-2023
AVLPD3177H	SANJAL KANTI DAS	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD-DRA-IV/189/2016	02-01-2023
AACCM1043Q	MASKARA FINVEST PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/EAD5/AA/43095	02-01-2023
AAFHM1072D	MANISH PERIWAL HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD5/AA/HP/2022/42888	02-01-2023
AAHCM1736B	MANMOHAK INFRASTRUCTURE PRIVATE LIMITED	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD5/AA/HP/2022/42893	02-01-2023
AAHCM2762P	MANOMAY DEALMARK PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/EAD5/AA/43079	02-01-2023

AAHCM7463R	MAO VYAPAAR PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/EAD5/AA/42963	02-01-2023
AAICM7417L	MARITECH COMMOTRADE PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/EAD5/AA/43072	02-01-2023
AAIHM2874E	MANOJ GARG HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/EAD5/AA/42974	02-01-2023
AAKHM1813M	MANOJ KUMAR TIWARY HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/EAD5/AA/43060	02-01-2023
AAMHM2532F	MANISH RATHI HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-5/AA/HP/2022/42877	02-01-2023
AANHM8407J	MANISH BUBNA HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-5/AA/HP/47653/2022	02-01-2023

AAOCS5535R	SGI RESEARCH & ANALYSIS LTD.	UNDER SECTIONS 11, 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 107 OF SEBI (ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2009 AND REGULATION 11 OF SECURITES AND EXCHANGE BOARD OF INDIA (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING SECURITIES MARKET REHGULATIONS, 2003.	WTM/RKA/IVD/NRO/01/2013	02-01-2023
AARPS7233G	SANJEEV SHARMA	UNDER SECTIONS 11, 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 107 OF SEBI (ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2009 AND REGULATION 11 OF SECURITES AND EXCHANGE BOARD OF INDIA (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING SECURITIES MARKET REHGULATIONS, 2003.	WTM/RKA/IVD/NRO/01/2013	02-01-2023
ABTPA4805B	MANOJ AGRAWAL	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/EAD5/AA/45411	02-01-2023
ACCPM3981C	MANISHA MEHTA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-5/AA/HP/2022/42857	02-01-2023
ACZPG2014R	MANIKA CHORARIA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-5/AA/HP/2022/42824	02-01-2023

AGWPB2141F	MANISHA BATHWAL	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI LETTER NOT	02-01-2023
AHZPA2178F	MANISH AGARWAL	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-5/AA/HP/47641/2022	02-01-2023
BCIPD7293J	PRIYANKA DEV	UNDER SECTIONS 11, 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 107 OF SEBI (ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2009 AND REGULATION 11 OF SECURITES AND EXCHANGE BOARD OF INDIA (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING SECURITIES MARKET REHGULATIONS, 2003.	WTM/RKA/IVD/NRO/01/2013	02-01-2023
AAEHB1859G	BHARATIA NANDA KISHORE	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/MIRSD-RAC-1/P/OW/2022/34957/1	02-01-2023
AJSPD8240L	RAMDULARI DEVI	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/IVD-13/SCN/AO-DJ/AG/2022/33965/1	02-01-2023
AAJHS6712E	S K AGARWAL AND SONS HUF	ADJUDICATION PROCEEDINGS IN RESPECT OF S K AGARWAL AND SONS HUF IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE: SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	SEBI/HO/IVD/ID17/BSR/AS/2022/13638/1	02-01-2023
AACCS6622B	BHUMIKA FISCAL SERVICES PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.38954/2022	02-01-2023

AAECB8579J	BHUJADHARI AGENCIES PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO. 38959/2022	02-01-2023
APTPJ9146A	BIMALA JOSHI	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO. 36448/2022	02-01-2023
AACCI0550Q	IMPROVE VINCOME PRIVATE LIMITED	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO. 41114/2022	02-01-2023
AACCH3927N	HORA TIE-UP PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO. 39026/2022	02-01-2023
AACCB2213R	Q & B RETAIL LIMITED (EARLIER KNOWN AS BASIL EXPRESS LIMITED)	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF Q & B RETAIL LIMITED (EARLIER KNOWN AS "M/S BASIL EXPRESS LIMITED")	WTM/MPB/EFD-1-DRA-IV/54/2019	02-01-2023
AACCH5858G	HUM PROJECTS LIMITED	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992, IN THE MATTER OF HUM PROJECTS LIMITED	WTM/PS/110/ERO/BLO/DEC/2015	02-01-2023
AAHCA4914B	ABYSS ASSAM REAL ESTATE LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF ABYSS ASSAM REAL ESTATE LIMITED	WTM/MPB/EFD-1-DRA-IV/86/2017	02-01-2023
ABOPK6136M	SUBHAS KUNDU	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992, IN THE MATTER OF HUM PROJECTS LIMITED	WTM/PS/110/ERO/BLO/DEC/2015	02-01-2023
ACOPA1462G	SHEIK SAUKAT ALI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF MARS AGTOFARM DEVELOPERS LIMITED	WTM/MPB/EFD-1-DRA-IV/05/2017	02-01-2023

AEDPH4898E	ASHOK HALDER	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992, IN THE MATTER OF MASS INFRA REALTY LIMITED	WTM/PS/10/ERO/APR/2016	02-01-2023
AFCPK5730L	SURANJAN KAR	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992, IN THE MATTER OF HUM PROJECTS LIMITED	WTM/PS/110/ERO/BLO/DEC/2015	02-01-2023
AFNPC6695P	DILIP KUMAR CHAKRABORTY	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992, IN THE MATTER OF MASS INFRA REALTY LIMITED	WTM/PS/10/ERO/APR/2016	02-01-2023
AGRPB6998B	SUMEET KUMAR BHAGAT	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992, IN THE MATTER OF MASS INFRA REALTY LIMITED	WTM/PS/10/ERO/APR/2016	02-01-2023
AHGPN9138H	RATAN NASKAR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992, IN THE MATTER OF -	WTM/GM/SEBI/ERO/IMD/39/2017-18	02-01-2023
AHIPR2184B	SANDIP ROY	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992, IN THE MATTER OF HUM PROJECTS LIMITED	WTM/PS/110/ERO/BLO/DEC/2015	02-01-2023
AIFPB0585Q	SADHON BACHHAR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992, IN THE MATTER OF -	WTM/GM/SEBI/ERO/IMD/39/2017-18	02-01-2023
AJIPR6467K	MD. MAZIBAR RAHMAN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF ABYSS ASSAM REAL ESTATE LIMITED	WTM/MPB/EFD-1-DRA-IV/86/2017	02-01-2023
AJJPT2318L	SONI TODI	SHOW CAUSE NOTICE UNDER RULE 4 (1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN RESPECT OF SONI TODI IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS AT	RRD/AO-JS/N/182/2022	02-01-2023
AKCPT3295J	MR. AYNAL HOQUE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF ABYSS ASSAM REAL ESTATE LIMITED	WTM/MPB/EFD-1-DRA-IV/86/2017	02-01-2023

AKUPP4675Q	YOGANAND PRASAD	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF SUNPLANT CONSTRUCTIONS LIMITED	WTM/MPB/EFD-1-DRA-IV/99/2017	02-01-2023
AMNPP2918D	SUBHASISH PANDE	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992, IN THE MATTER OF HUM PROJECTS LIMITED	WTM/PS/110/ERO/BLO/DEC/2015	02-01-2023
AQIPB5315P	NITYANANDA BAIDYA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992, IN THE MATTER OF -	WTM/GM/SEBI/ERO/IMD/39/2017-18	02-01-2023
AVKPM2947F	KUNDAL MANNA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992, IN THE MATTER OF MASS INFRA REALTY LIMITED	WTM/PS/10/ERO/APR/2016	02-01-2023
AVZPM5268J	SAUMEN MONDAL	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992, IN THE MATTER OF -	WTM/GM/SEBI/ERO/IMD/39/2017-18	02-01-2023
AWKPB6801G	BIDESH BASU	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992, IN THE MATTER OF MASS INFRA REALTY LIMITED	WTM/PS/10/ERO/APR/2016	02-01-2023
AWTPP2649D	SANKAR PAILAN	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992, IN THE MATTER OF -	WTM/GM/SEBI/ERO/IMD/39/2017-18	02-01-2023
AWZPM5169R	ANTARA MUKHERJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF SILICON PROJECTS INDIA LIMITED	WTM/MPB/EFD-1-DRA-IV/124/2018	02-01-2023
AXVPM6619B	MR. ABDUL MALEQUE MIAH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF ABYSS ASSAM REAL ESTATE LIMITED	WTM/MPB/EFD-1-DRA-IV/86/2017	02-01-2023
AZXPM4025B	SAMIR KUMAR MANDAL	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992, IN THE MATTER OF MASS INFRA REALTY LIMITED	WTM/PS/10/ERO/APR/2016	02-01-2023
BGIPS8454D	MR. TILAK SARMA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF ABYSS ASSAM REAL ESTATE LIMITED	WTM/MPB/EFD-1-DRA-IV/86/2017	02-01-2023
BWXPM1173N	SUBODH MONDAL	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992, IN THE MATTER OF MASS INFRA REALTY LIMITED	WTM/PS/10/ERO/APR/2016	02-01-2023

CXKPS4104E	CHANDRA SHEKHAR SABAT	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992, IN THE MATTER OF HUM PROJECTS LIMITED	WTM/PS/110/ERO/BLO/DEC/2015	02-01-2023
FLJPS3301B	P. SOUNDARAVALLI	UNDER SECTIONS 11, 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF SBS INVESTMENTS	WTM/MPB/EFD-1-DRA-IV/117/2018	02-01-2023
AAECC5511F	CAPABLE MINING PRIVATE LIMITED	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/MIRSD/MIRSD-POD-1/P/OW/2022/00000379 64/1	02-01-2023
AVLPC7083R	NAMRATA CHORADIA	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/MIRSD/MIRSD-POD-1/P/OW/2022/00000383 10/1	02-01-2023
CQTPS3629C	NAMRATA SINGHAL	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/MIRSD/MIRSD-POD-1/P/OW/2022/00000383 75/1	02-01-2023
ALGPG2954A	NANDINI GUPTA	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/MIRSD/MIRSD-POD-1/P/OW/2022/00000382 74/1	02-01-2023
AEKPM3430Q	NANDKISHORE MASKARA	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/MIRSD/MIRSD-POD-1/P/OW/2022/00000381 73/1	02-01-2023
AADHN7088Q	NANU RAM SARAF HUF	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/MIRSD/MIRSD-POD-1/P/OW/2022/00000379 50/1	02-01-2023
AACHN8119B	NARAYAN PRASAD	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/MIRSD/MIRSD-POD-1/P/OW/2022/00000367 16/1	02-01-2023
AAAHN9264M	NARENDRA NATH JINDAL	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/MIRSD/MIRSD-POD-1/P/OW/2022/00000358 78/1	02-01-2023
AACCT9675P	TRIPURARI PROPERTIES PRIVATE LIMITED	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/ERO/MD/RK/OW/2022/037517/1	02-01-2023
AAACR6794F	RAJASTHAN REALTORS PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS AGAINST RAJASTHAN REALTORS PRIVATE LIMITED	EAD-8/PM/AN/ISO-II/18209/1/2021	02-01-2023

AAMHR6180G	RAJEEV CHANDAK HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS AGAINST RAJEEV CHANDAK HUF	EAD-8/PM/PA/ISO-II/21550/1/2021	02-01-2023
AADCG0853B	GARIMA REAL ESTATE & ALLIED LIMITED	UNDER SECTION 15 - I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/SM/2019-20/7197-7203	02-01-2023
AVQPK4360A	MR. BALKISHAN KUSHWAH	UNDER SECTION 15 - I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA(PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/SM/2019-20/7197-7203	02-01-2023
BNXPS1496L	MR. BIJENDRA PAL SINGH	UNDER SECTION 15 - I OF SECURITIES .AND EXCHANGE ,BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA(PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/SM/2019-20/7197-7203	02-01-2023
DGXPK9360P	MR. JITENDRA KUMAR	UNDER SECTION 15 - I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA(PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/SM/2019-20/7197-7203	02-01-2023
ABVPL8322Q	BHAGWATI BAI LODHI	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN THE MATTER OF SUSK INDIA LIMITED	WTM/GM/EFD/78/2017-18	02-01-2023

AAECB4806G	BHOOMI DEVCON AND AGRITECH LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JUNE 29, 2015 IN THE MATTER OF BHOOMI DEVCON & AGRITECH LIMITED (BHOOMI DEVCON).	WTM/GM/EFD/120/2017-18	02-01-2023
AADCD2895N	DGR FARMS AND LEISURES LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF DGR FARMS AND LEISURES LIMITED	WTM/AB/EFD-1/DRA-3/15/2019-20	02-01-2023
CUEPS7834L	DINESH SAINI	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN THE MATTER OF SUSK INDIA LIMITED	WTM/GM/EFD/78/2017-18	02-01-2023
ANLPB5867A	DOLLY BANERJEE	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. AO/SBM/EAD- 3/136-139/2018	02-01-2023
AMZPV6270A	GHASI RAMVISHWAKARMA	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JUNE 29, 2015 IN THE MATTER OF BHOOMI DEVCON & AGRITECH LIMITED (BHOOMI DEVCON).	WTM/GM/EFD/120/2017-18	02-01-2023

BPDPS6651E	H SIREESHA	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER DATED JUNE 23, 2015 IN THE MATTER OF V3 INFRASTRUCTURE AND ESTATES PRIVATE LTD.	WTM GM EFD/46/2017-18	02-01-2023
BYGPS5769H	KAILASH SINGH LODHI	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN THE MATTER OF SUSK INDIA LIMITED	WTM/GM/EFD/78/2017-18	02-01-2023
AKLPV6427H	LAL CHAND VISHVAKARMA	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JUNE 29, 2015 IN THE MATTER OF BHOOMI DEVCON & AGRITECH LIMITED (BHOOMI DEVCON).	WTM/GM/EFD/120/2017-18	02-01-2023
AUZPB0556H	MAUSAMI BANERJEE	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. AO/SBM/EAD- 3/136-139/2018	02-01-2023
AWJPS1018D	MR. ALOK KUMAR SINGH	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF DGR FARMS AND LEISURES LIMITED	WTM/AB/EFD-1/DRA-3/15/2019-20	02-01-2023

AKOPP8093N	MR. JAINENDRA KUMAR PATEL	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF DGR FARMS AND LEISURES LIMITED	WTM/AB/EFD-1/DRA-3/15/2019-20	02-01-2023
AGKPA9503C	MR. MRINAL ATAL	UNDER SECTIONS 11 AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF ZEESTAR LIMOUSINES LTD.	WTM/AB/EFD-1/DRA-3/22/2019-20	02-01-2023
AGMPG4657M	MR. SHANKAR LAL GUPTA	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF DGR FARMS AND LEISURES LIMITED	WTM/AB/EFD-1/DRA-3/15/2019-20	02-01-2023
APQPP5268L	MR. SHYAM LAL PAHWA	UNDER SECTIONS 11 AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF SHEEN AGRO & PLANTATION LTD.	WTM/RKA/NRO/CIS/135/2014	02-01-2023
ASHPK4559K	MR. SURENDRA KUMAR	UNDER SECTIONS 11 AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF ZEESTAR LIMOUSINES LTD.	WTM/AB/EFD-1/DRA-3/22/2019-20	02-01-2023
AFAPD7831F	MR. SUSHIL KUMAR DUBEY	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF DGR FARMS AND LEISURES LIMITED	WTM/AB/EFD-1/DRA-3/15/2019-20	02-01-2023

AGOPA6418L	MS. REENA ATAL	UNDER SECTIONS 11 AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF ZEESTAR LIMOUSINES LTD.	WTM/AB/EFD-1/DRA-3/22/2019-20	02-01-2023
AEDPL0722M	NARENDRA SINGH LODHI	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN THE MATTER OF SUSK INDIA LIMITED	WTM/GM/EFD/78/2017-18	02-01-2023
AKNPV1081D	RADHA BAI VISHWAKARMA	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JUNE 29, 2015 IN THE MATTER OF BHOOMI DEVCON & AGRITECH LIMITED (BHOOMI DEVCON).	WTM/GM/EFD/120/2017-18	02-01-2023
ANLPB5866B	RAJ KUMAR BANERJEE	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. AO/SBM/EAD- 3/136-139/2018	02-01-2023
AHUPA0336L	SANJAY KUMAR AGRAWAL	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JUNE 29, 2015 IN THE MATTER OF BHOOMI DEVCON & AGRITECH LIMITED (BHOOMI DEVCON).	WTM/GM/EFD/120/2017-18	02-01-2023

AANCS5416Q	SPNJ LAND PROJECT AND DEVELOPERS INDIA LIMITED	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. AO/SBM/EAD- 3/136-139/2018	02-01-2023
CHXPS2858E	SUNIL TIWARI	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN THE MATTER OF SUSK INDIA LIMITED	WTM/GM/EFD/78/2017-18	02-01-2023
AAOCS2750A	SUSK INDIA LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN THE MATTER OF SUSK INDIA LIMITED	WTM/GM/EFD/78/2017-18	02-01-2023
AABCU0988M	USK INDIA LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED MAY 07, 2015 IN THE MATTER OF USK INDIA LIMITED (USK).	WTM/GM/EFD/44/2018-19	02-01-2023
ADEPV4483D	V MADHUSUDHAN	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER DATED JUNE 23, 2015 IN THE MATTER OF V3 INFRASTRUCTURE AND ESTATES PRIVATE LTD.	WTM GM EFD/46/2017-18	02-01-2023

APFPK5648J	V SANTOSH KUMAR	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER DATED JUNE 23, 2015 IN THE MATTER OF V3 INFRASTRUCTURE AND ESTATES PRIVATE LTD.	WTM GM EFD/46/2017-18	02-01-2023
AAACZ3468J	ZEESTAR LIMOUZINES LIMITED	UNDER SECTIONS 11 AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF ZEESTAR LIMOUSINES LTD.	WTM/AB/EFD-1/DRA-3/22/2019-20	02-01-2023
AEEPD0476M	HITA MANISH DAVE	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO. 32697/2022	02-01-2023
AHLPK1667P	HUNAR VIPIN KANDHARI	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO. 32040/2022	02-01-2023
AABCU3309A	URO LIFECARE LIMITED	IN RESPECT OF INTERIM ORDERS CUM SHOW CAUSE NOTICES DATED MARCH 19, 2015 IN THE MATTER OF URO INFRA REALITY INDIA LTD., URO INFOTECH LT., URO HYGENIC FOODS LTD., URO WALKERS LTD. URO LIFECARE LTD.	WTM/GM/IMD/ERO/18/JUNE/2017	03-01-2023
AABCU3310M	URO WALKERS LIMITED	IN RESPECT OF INTERIM ORDERS CUM SHOW CAUSE NOTICES DATED MARCH 19, 2015 IN THE MATTER OF URO INFRA REALITY INDIA LTD., URO INFOTECH LT., URO HYGENIC FOODS LTD., URO WALKERS LTD. URO LIFECARE LTD.	WTM/GM/IMD/ERO/18/JUNE/2017	03-01-2023
AABCU3312K	URO HYGIENIC FOODS LIMITED	IN RESPECT OF INTERIM ORDERS CUM SHOW CAUSE NOTICES DATED MARCH 19, 2015 IN THE MATTER OF URO INFRA REALITY INDIA LTD., URO INFOTECH LT., URO HYGENIC FOODS LTD., URO WALKERS LTD. URO LIFECARE LTD.	WTM/GM/IMD/ERO/18/JUNE/2017	03-01-2023

AABCU3313J	URO INFOTECH LIMITED	IN RESPECT OF INTERIM ORDERS CUM SHOW CAUSE NOTICES DATED MARCH 19, 2015 IN THE MATTER OF URO INFRA REALITY INDIA LTD., URO INFOTECH LT., URO HYGENIC FOODS LTD., URO WALKERS LTD. URO LIFECARE LTD.	WTM/GM/IMD/ERO/18/JUNE/2017	03-01-2023
AACCD2359Q	MOONLIGHT ASSOCIATE LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-III/138/2018	03-01-2023
AADCB2189H	BNP REAL ESTATE AND ALLIED LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-III/112/2017	03-01-2023
AAICA6147R	ESBI INFRASTRUCTURE COMPANY LTD	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/46/2019	03-01-2023
AAJPB4769J	MR. SACHINDRA NATH BHATTACHARYA	IN RESPECT OF INTERIM ORDERS CUM SHOW CAUSE NOTICES DATED MARCH 19, 2015 IN THE MATTER OF URO INFRA REALITY INDIA LTD., URO INFOTECH LT., URO HYGENIC FOODS LTD., URO WALKERS LTD. URO LIFECARE LTD.	WTM/GM/IMD/ERO/18/JUNE/2017	03-01-2023
AAKPB5408R	SHRI GIRISHCHANDRA MUKUNDRAM BALUNI	UNDER SECTIONS 11(1), 11(B) AND 11D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH SECTION 73 OF COMPANIES ACT 1956	WTM/AB/EFD1/EFD1-DRA3/7449/2020-21	03-01-2023
AAKPI6206L	SAMSUL ISLAM	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-III/138/2018	03-01-2023
ABEPH7054G	TARA PRASAD HOTTA	UNDER SECTIONS 11, 11(4)AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN RESPECT OF INTERIM ORCER CUM SHOW CAUSE NOTICE DATED SEPTEMBER 30,2016 IN THE MATTER OF OSCAR MANAGEMENT SERVICES LTF (OMSL)	WTM/GM/EFD/24/2018-19	03-01-2023
ABIPJ6029P	SHRI KAMLENDRA JOSHI	UNDER SECTIONS 11(1), 11(B) AND 11D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH SECTION 73 OF COMPANIES ACT 1956	WTM/AB/EFD1-DRA3/7449/2020-21	03-01-2023
ABIPN1070A	SUJOY NANDI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/PS/21/IMD-ERO/MAY/2016	03-01-2023
ACOPN4971B	MR. UMESH NARWARIA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-III/112/2017	03-01-2023

ADKPS7156A	MR. SANTOSH SHARMA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-III/112/2017	03-01-2023
ADNPD6019L	MR. SANTOSH KUMAR DWIVEDI	UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED MARCH 16,2016 IN THE MATTER OF RISING AGROTECH LIMITED.	WTM/GM/EFD/35/2018-19	03-01-2023
ADPPN6255N	MR. RAGHVENDRA SINGH NARWARIA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-III/112/2017	03-01-2023
AEUPN2924A	NITYANANDA NATH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-III/138/2018	03-01-2023
AFEPB6968F	JITEN CHANDRA BORA	UNDER SECTIONS 11(1), 11(4), 11A AND 11(B) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED OCTOBER 18,2017 IN THE MATTER OF GRASS ROOT FINANCE & INVESTMET COMPANY (INDIA) LTD.	WTM/AB/ERD-1/DRA-3/09/2018-19	03-01-2023
AFHPP8743H	SHRI SUBRATA KUMAR PAUL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/46/2019	03-01-2023
AFKPP7191Q	MR. AMARESH PANDEY	UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED MARCH 16,2016 IN THE MATTER OF RISING AGROTECH LIMITED.	WTM/GM/EFD/35/2018-19	03-01-2023
AILPD1367L	SMT. IPSITA DAS GIRI	IN RESPECT OF INTERIM ORDERS CUM SHOW CAUSE NOTICES DATED MARCH 19, 2015 IN THE MATTER OF URO INFRA REALITY INDIA LTD., URO INFOTECH LT., URO HYGENIC FOODS LTD., URO WALKERS LTD. URO LIFECARE LTD.	WTM/GM/IMD/ERO/18/JUNE/2017	03-01-2023
AIXPG7534N	MR. BISWAPRIYA GIRI	IN RESPECT OF INTERIM ORDERS CUM SHOW CAUSE NOTICES DATED MARCH 19, 2015 IN THE MATTER OF URO INFRA REALITY INDIA LTD., URO INFOTECH LT., URO HYGENIC FOODS LTD., URO WALKERS LTD. URO LIFECARE LTD.	WTM/GM/IMD/ERO/18/JUNE/2017	03-01-2023

AJQPD5126R	BASAB DASGUPTA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/PS/21/IMD-ERO/MAY/2016	03-01-2023
ALFPM5139C	MR. PRASUN MONDAL	IN RESPECT OF INTERIM ORDERS CUM SHOW CAUSE NOTICES DATED MARCH 19, 2015 IN THE MATTER OF URO INFRA REALITY INDIA LTD., URO INFOTECH LT., URO HYGENIC FOODS LTD., URO WALKERS LTD. URO LIFECARE LTD.	WTM/GM/IMD/ERO/18/JUNE/2017	03-01-2023
AMGPB0071J	KARUNA BORAH	UNDER SECTIONS 11(1), 11(4), 11A AND 11(B) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED OCTOBER 18,2017 IN THE MATTER OF GRASS ROOT FINANCE & INVESTMET COMPANY (INDIA) LTD.	WTM/AB/ERD-1/DRA-3/09/2018-19	03-01-2023
ANQPG7900G	MS. GYANESH RAWAT ALIAS MS. GYANESH SHARMA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-III/112/2017	03-01-2023
APFPD4625L	PRAMATHES DUTTA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/PS/21/IMD-ERO/MAY/2016	03-01-2023
AQQPP3214P	MR. SANJEEV KUMAR PURWANSI	UNDER SECTIONS 11, 11(4), 11A AND 11(B) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-III/112/2017	03-01-2023
ARBPS6180P	MR. AWADHESH KUMAR SINGH	UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED MARCH 16,2016 IN THE MATTER OF RISING AGROTECH LIMITED.	WTM/GM/EFD/35/2018-19	03-01-2023
ASUPP2165M	ABANI KANTA PATTNAIK	UNDER SECTIONS 11, 11(4)AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN RESPECT OF INTERIM ORCER CUM SHOW CAUSE NOTICE DATED SEPTEMBER 30,2016 IN THE MATTER OF OSCAR MANAGEMENT SERVICES LTF (OMSL)	WTM/GM/EFD/24/2018-19	03-01-2023

AXQPB6263K	KRITI RANJAN BASTIA	UNDER SECTIONS 11, 11(4)AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN RESPECT OF INTERIM ORCER CUM SHOW CAUSE NOTICE DATED SEPTEMBER 30,2016 IN THE MATTER OF OSCAR MANAGEMENT SERVICES LTF (OMSL)	WTM/GM/EFD/24/2018-19	03-01-2023
BASPP6084L	MR. TAPAN PRAMANIK	UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JANUARY 21, 2015 IN THE MATTER OF MPA AGRO ANIMAL PROJECTS LIMITED	WTM/GM/EFD/DRAIII/ 50/2017-18	03-01-2023
BBCPD1190E	MR. KRISHNADHAN DAS	UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JANUARY 21, 2015 IN THE MATTER OF MPA AGRO ANIMAL PROJECTS LIMITED	WTM/GM/EFD/DRAIII/ 50/2017-18	03-01-2023
BBIPP4520L	MR. MAHESH PALIWAL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-III/112/2017	03-01-2023
BCFPS8598R	MR. MONOJ KUMAR SAHA	UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JANUARY 21, 2015 IN THE MATTER OF MPA AGRO ANIMAL PROJECTS LIMITED	WTM/GM/EFD/DRAIII/ 50/2017-18	03-01-2023
BCKPS7359M	MR. MUKESH KUMAR SHARMA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-III/112/2017	03-01-2023
BDVPS3534A	MS. KISHORI SHARMA.	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-III/112/2017	03-01-2023
BHAPS1632N	MR. RAVINDRA SINGH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-III/112/2017	03-01-2023
BKHPS7213P	JAGNESWAR SAIKIA	UNDER SECTIONS 11(1), 11(4), 11A AND 11(B) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED OCTOBER 18,2017 IN THE MATTER OF GRASS ROOT FINANCE & INVESTMET COMPANY (INDIA) LTD.	WTM/AB/ERD-1/DRA-3/09/2018-19	03-01-2023

BMGPS2259Q	MR. BINAY KUMAR SHAW	UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED MARCH 16,2016 IN THE MATTER OF RISING AGROTECH LIMITED.	WTM/GM/EFD/35/2018-19	03-01-2023
BNSPP8133M	MR. SURAJIT PAUL	UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JANUARY 21, 2015 IN THE MATTER OF MPA AGRO ANIMAL PROJECTS LIMITED	WTM/GM/EFD/DRAIII/ 50/2017-18	03-01-2023
BNUPS4121C	MS. SUREKHA SHARMA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-III/112/2017	03-01-2023
BOUPS2175H	MR. KUNVER SINGH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-III/112/2017	03-01-2023
CDUPS3365R	MR. PINTU SEN	UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JANUARY 21, 2015 IN THE MATTER OF MPA AGRO ANIMAL PROJECTS LIMITED	WTM/GM/EFD/DRAIII/ 50/2017-18	03-01-2023
CGEPS8222C	MR. DEVENDRA KUMAR SHARMA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-III/112/2017	03-01-2023
AGOPC3742P	SHRI ABHISHEK S. CHAUHAN	UNDER SECTIONS 11, 11(4)AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MOB/EFD-1-DRA-IV/93/2017	09-01-2023
AADCV8844K	VISHWAMITRA INDIA TOUR & HOTELS LTD.	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/RKA/EFD /92/2016	09-01-2023
AISPD5571H	PRABIN DASH	UNDER SECTIONS 11, 11(4),11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/4/2018	09-01-2023
ALYPP9588R	MANOJ KUMAR PRADHAN	UNDER SECTIONS 11, 11(4),11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTIONS 56(1), 56(3) AND 56(4), SECTION 60 AND SECTION 73(2) OF THE COMPANIES ACT, 1956 IN THE MATTER OF MAGNOX INFRAPROJECTS	WTM/MPB/EFD-1-DRA-IV/140/2018	09-01-2023

ATEPP2709C	ANUKUL PATRA	UNDER SECTIONS 11, 11(4), 11A. AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/164/ERO/FEB /2016	09-01-2023
BCXPB3598L	AMRIT BHUNIA	UNDER SECTIONS 11, 11(4),11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTIONS 56(1), 56(3) AND 56(4), SECTION 60 AND SECTION 73(2) OF THE COMPANIES ACT, 1956 IN THE MATTER OF MAGNOX INFRAPROJECTS	WTM/MPB/EFD-1-DRA-IV/140/2018	09-01-2023
BEAPP0928K	JYOTIRANJAN PANDA	UNDER SECTIONS 11, 11(4),11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTIONS 56(1), 56(3) AND 56(4), SECTION 60 AND SECTION 73(2) OF THE COMPANIES ACT, 1956 IN THE MATTER OF MAGNOX INFRAPROJECTS	WTM/MPB/EFD-1-DRA-IV/140/2018	09-01-2023
AAACS0029A	SANKET INVESTMENTS AND MARKETING LIMITED,	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-IV/167/2018	09-01-2023
AABCU2558H	UNITED MEDICINE AND SURGICAL INDUSTRIES LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/RKA/EFD/174/2016	09-01-2023
AADCD6788Q	DREAMLAND INDUSTRIES LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/PS/158/ERO/FEB /2016	09-01-2023
AADCG6933A	GREENBANG AGRO LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF GREENBANG AGRO LIMITED	WTM/GM/EFD/27/2018-19	09-01-2023
AAECM1125F	MONDAL CONSTRU.	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/136/ERO/JAN /2016	09-01-2023
AAECN1024A	NIRMAL INFRAHOME CORPORATION LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-IV/93/2017	09-01-2023
AAECR6032J	RISTA FISHERIES AND INFRASTRUCTURE LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/PS/179/ERO/MAR/2016	09-01-2023
AAGCM1706Q	MORNINGSTAR VENTURES LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/RKA/EFD/141/2016	09-01-2023

AAHCM5933G	MATRIBHUMI PROJECTS (I) LIMITED	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008, IN RESPECT OF :	WTM/RKA/EFD/136/2016	09-01-2023
AAJCA0050E	ARCAVA ENTERPRISES LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF ARCAVA ENTERPRISES LIMITED IN RESPECT OF :	WTM/MPB/EFD-DRA4/43/2017	09-01-2023
AALCS9420L	SEASHORE SECURITIES LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-IV/43/2018	09-01-2023
AAPCS3118P	SHRIVALLIS SECURITIES LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/GM/IMD/71/2018-19	09-01-2023
ABHPI1120R	TOZEMMUL ISLAM	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/RKA/EFD/174/2016	09-01-2023
ABJPH6895C	MD. SAIFUDDIN HALDER	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/RKA/EFD/174/2016	09-01-2023
ABLPN8050H	HRIDHI PRATIM NEOGY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-IV/89/2017	09-01-2023
ACKPH9229A	SANTOSH KUMAR HATI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/RKA/EFD/174/2016	09-01-2023
ACMPB8035G	SMRUTI RANJAN BISWAL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/GM/IMD/71/2018-19	09-01-2023
ACOPD9805C	KALIDAS DATTA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/PS/164/ERO/FEB/2016	09-01-2023
ACRPC5911B	MR. JAYANTA CHAKRABARTY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/PS/174/ERO/IMD/MAR/2016	09-01-2023
ACZPB2276J	ALOKE BANERJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF ARCAVA ENTERPRISES LIMITED IN RESPECT OF :	WTM/MPB/EFD-DRA4/43/2017	09-01-2023
ADEPC9258M	SRIMANTA CHAKRABORTY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/PS/179/ERO/MAR/2016	09-01-2023

AEEPC5718H	INDRANIL CHATTOPADHYAY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/136/ERO/JAN /2016	09-01-2023
AEFPC0491J	SUDIP CHANDA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/PS/179/ERO/MA R/2016	09-01-2023
AEGPB5394G	SWAPAN BOSE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/PS/179/ERO/MA R/2016	09-01-2023
AEGPC6855H	SAMBHU CHAKRABORTY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/PS/179/ERO/MA R/2016	09-01-2023
AELPG8249Q	MR. RANJIT GHOSH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/PS/158/ERO/FEB /2016	09-01-2023
AEXPT4244C	MR. TUSHAR KANTI CHAKRABORTY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/PS/174/ERO/IM D/MAR/2016	09-01-2023
AFAPC2766E	MANJUSHREE CHAKRABORTY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/PS/179/ERO/MA R/2016	09-01-2023
AFWPG8075J	MR. SUKUMAR GHOSH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/PS/158/ERO/FEB /2016	09-01-2023
AFYPN4410L	HIMANSHU BHUSAN NAYAK	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/RKA/EFD/141/20 16	09-01-2023
AGAPD7484R	SWARNA LATA DEVI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/RKA/EFD/141/20 16	09-01-2023
AGSPG5799G	ANUVA GHOSH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/PS/158/ERO/FEB /2016	09-01-2023
AHBPN9799H	NIBEDITA DASH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-IV/167/2018	09-01-2023
AHTPB8323P	BISWANATH BHATTACHARYA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-IV/89/2017	09-01-2023
AHXPG3771G	SHRI SREEMON GHOSH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF GREENBANG AGRO LIMITED	WTM/GM/EFD/27/2018-19	09-01-2023
AHYPB4026G	SHRI NITISH BARMAN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF GREENBANG AGRO LIMITED	WTM/GM/EFD/27/2018-19	09-01-2023

AIKPC8055F	SHRI PHOOL SINGH CHOUDHARY	UNDER SECTIONS 11(1), 11(4) AND 118 OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JANUARY 14, 2015 IN THE MATTER OFNICL INDIA LIMITED (NICL).	WTM/GM/EFD/30/2018-19	09-01-2023
AIOPR6310C	SHRI DHIMAN ROY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF GREENBANG AGRO LIMITED	WTM/GM/EFD/27/2018-19	09-01-2023
AJBPJ8557H	MS. SAPNA JENA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-IV/43/2018	09-01-2023
AJDPM4387J	MR. MRITYUNJOY MODAK BHOWMIK	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/PS/158/ERO/FEB/2016	09-01-2023
AJLPM4034A	SHRI SATYENDRA NATH MONDAL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF GREENBANG AGRO LIMITED	WTM/GM/EFD/27/2018-19	09-01-2023
AJVPB3616M	SAIKAT BRAHMACHARI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-IV/132/2018	09-01-2023
AMEPP6310J	SHRI NETAI PALTA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008, IN RESPECT OF :	WTM/RKA/EFD/136/2016	09-01-2023
AMGPB0981P	RAJIB BHATTACHARYA	UNDER SEC 11 (1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF ISSUANCE OF REDEEMABLE PREFERENCE SHARES BY UNITED COSMETICS MANUFACTURING (I) P. LTD.	WTM/RKA/EFD/150/2016	09-01-2023
AMSPP9346A	SHRI PARITOSH PANDA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF GREENBANG AGRO LIMITED	WTM/GM/EFD/27/2018-19	09-01-2023

APTPB3344Q	SUBIR BANERJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF ARCAVA ENTERPRISES LIMITED IN RESPECT OF :	WTM/MPB/EFD-DRA4/43/2017	09-01-2023
APYPS3960G	PROSENJIT SWARNAKAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/PS/179/ERO/MAR/2016	09-01-2023
AQFPG0812M	SUMIT GURIA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/RKA/EFD/174/2016	09-01-2023
ARZPM3090L	SUJIT MOHAPATRA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/RKA/EFD/141/2016	09-01-2023
ASHPG2353H	NABA KUMAR GANGULY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF ARCAVA ENTERPRISES LIMITED IN RESPECT OF :	WTM/MPB/EFD-DRA4/43/2017	09-01-2023
ATYPS3889C	MS. JYOTIRANI SARANGI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-IV/43/2018	09-01-2023
AUDPB3526F	BIPLAB BANERJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/RKA/EFD/174/2016	09-01-2023
AUQPS9430G	ANUPAM SARKAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/PS/179/ERO/MAR/2016	09-01-2023
AUSPM9775A	SHRI BAIDYANATH MANDAL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF GREENBANG AGRO LIMITED	WTM/GM/EFD/27/2018-19	09-01-2023
AUTPM0257E	PRAKASH MANDAL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-IV/89/2017	09-01-2023
AVSPM3542L	SACHI DULAL MONDAL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/136/ERO/JAN/2016	09-01-2023
AXAPK0924E	TANMOY KUNDU	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-IV/132/2018	09-01-2023
BAWPP5444G	MR. RAJKRISHNA PALTA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008, IN RESPECT OF :	WTM/RKA/EFD/136/2016	09-01-2023

BBUPB4339M	SMT. LIPIKA BHADURI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF GREENBANG AGRO LIMITED	WTM/GM/EFD/27/2018-19	09-01-2023
BCJPK2878L	SATYANARAYAN KARMAKAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/RKA/EFD/174/2016	09-01-2023
BDOPG6630C	SUVENDU GANGULY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/RKA/EFD/174/2016	09-01-2023
BGNPK7088Q	SHRI TASLIM ARIF KHAN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF GREENBANG AGRO LIMITED	WTM/GM/EFD/27/2018-19	09-01-2023
BKBPA9214J	MR. HARADHAN ACHARJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/PS/158/ERO/FEB/2016	09-01-2023
BPSPP5200H	SMT. NILIMA PONDA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF GREENBANG AGRO LIMITED	WTM/GM/EFD/27/2018-19	09-01-2023
CAYPS6984D	BIPLAB SARKAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/RKA/EFD/174/2016	09-01-2023
CERPP5289K	MR. TAPAN PAL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/PS/174/ERO/IMD/MAR/2016	09-01-2023
CKHPS4470D	BHIMSEN SOREN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTIONS 56(1), 56(3) AND 56(4), SECTION 60 AND SECTION 73(2) OF THE COMPANIES ACT, 1956 IN THE MATTER OF MAGNOX INFRAPROJECTS LIMITED	WTM/MPB/EFD-1-DRA-IV/140/2018	09-01-2023
DEXPS0145Q	RASMIRANJAN SWAIN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTIONS 56(1), 56(3) AND 56(4), SECTION 60 AND SECTION 73(2) OF THE COMPANIES ACT, 1956 IN THE MATTER OF MAGNOX INFRAPROJECTS LIMITED	WTM/MPB/EFD-1-DRA-IV/140/2018	09-01-2023

DQHPS7079M	SMT. PIYALI SARKAR	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008, IN RESPECT OF :	WTM/RKA/EFD/136/2016	09-01-2023
AAFCB0288F	BHABISWAJYOTI INFRASTRUCTURE INDIA LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/38/2019	09-01-2023
BCVPS0290L	SOMA SHARMA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/38/2019	09-01-2023
AKAPM7973N	ARINDAM MUKHERJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/38/2019	09-01-2023
AADCB4465A	BISHALABASANINDIA LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/35/IMD/ERO-RLO/MAY/2016	09-01-2023
AMBPP4567C	MR. SANTOSH KUMAR PAUL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/35/IMD/ERO-RLO/MAY/2016	09-01-2023
AJUPT3341C	MR. SACHIN KUMAR THAKUR	UNDER SECTIONS 11, 11(4), 11(A) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/35/IMD/ERO-RLO/MAY/2016	09-01-2023
BRMPB8677A	MR. BENUKAR BANERJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/35/IMD/ERO-RLO/MAY/2016	09-01-2023
AADCB7325D	BISHAL AGRI-BIO INDUSTRIES LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/37/IMD/ERO-RLO/MAY/2016	09-01-2023
AOVPK3854N	MR. SUSHANT KUMAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/37/IMD/ERO-RLO/MAY/2016	09-01-2023
AJUPT3341C	MR. SACHIN KUMAR THAKUR	UNDER SECTIONS 11, 11(4), 11(A) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/37/IMD/ERO-RLO/MAY/2016	09-01-2023
AADCB8788Q	BISHAL DISTILLERS LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/36/IMD/ERO-RLO/MAY/2016	09-01-2023
AMBPP4567C	SANTOSH KUMAR PAUL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/36/IMD/ERO-RLO/MAY/2016	09-01-2023
AOVPK3854N	MR. SUSHANT KUMAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/36/IMD/ERO-RLO/MAY/2016	09-01-2023

AJUPT3341C	MR. SACHIN KUMAR THAKUR	UNDER SECTIONS 11, 11(4), 11(A) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/36/IMD/ERO-RLO/MAY/2016	09-01-2023
BRMPB8677A	MR. BENUKARBANERJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/36/IMD/ERO-RLO/MAY/2016	09-01-2023
AGUPC5065H	SMT. PRITI RANI CHOWDHURY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/36/IMD/ERO-RLO/MAY/2016	09-01-2023
AMBPP4567C	SANTOSH KUMAR PAUL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/34/IMD/ERO-RLO/MAY/2016	09-01-2023
AOVPK3854N	SUSHANT KUMAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/34/IMD/ERO-RLO/MAY/2016	09-01-2023
AJUPT3341C	SACHIN KUMAR THAKUR	UNDER SECTIONS 11, 11(4), 11(A) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/34/IMD/ERO-RLO/MAY/2016	09-01-2023
AGUPC5065H	SMT. PRITI RANI CHOWDHURY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/34/IMD/ERO-RLO/MAY/2016	09-01-2023
AABCN6977H	NIXCIL PHARMACEUTICALS SPECIALITIES LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/50/2019	09-01-2023
AAECT0908R	TRESTY SECURITIES LIMITED	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND THE SEBI (ISSUE AND LISTING OF NON-CONVERTIBLE REDEEMABLE PREFERENCE SHARE) REGULATIONS, 2013	WTM/PS/155/IMD/ERO-BLO/FEB/2016	09-01-2023
AAJCS2508K	SUNSHINE GLOBAL AGRO LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 4(2) (T) OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/PS/87/CIS-NRO/NOV/2015	09-01-2023
AAKCS3313H	SIYARAM DEVELOPMENT & CONSTRUCTION LTD.	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/40/2019	09-01-2023
AAKCS9090D	SUNPLANT CONSTRUCTIONS LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF SUNPLANT CONSTRUCTIONS LIMITED	WTM/PS/123/ERO/DEC/2015	09-01-2023

AAOCS7432H	SUNSHINE AGRO-INFRA LIMITED	UNDER SECTIONS 11, 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF -	WTM/GM/SEBI/ERO/IMD/02/DEC/2016	09-01-2023
AARCS1089F	SCL STEEL CORPN LTD	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/150/2018	09-01-2023
AAWCS5718A	STAPES CAPITAL RESEARCH PRIVATE LIMITED	UNDER SECTIONS 11(1), 11(4)(B), AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH SECURITIES AND EXCHANGE BOARD OF INDIA (INVESTMENT ADVISERS) REGULATIONS, 2013 IN THE MATTER OF:	WTM/MPB/SEBI/EFD-DRA4/157/2018	09-01-2023
AAWPF1464H	MOHAMMED FAISAL	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 4(2) (T) OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/PS/87/CIS-NRO/NOV/2015	09-01-2023
ABFPV9924N	MR. VISHWA BANDHU VASHISHTA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/50/2019	09-01-2023
ABQPC3967R	TARA CHAND CHORDIA	UNDER SECTIONS 11, 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF -	WTM/GM/SEBI/ERO/IMD/02/DEC/2016	09-01-2023
ACEPC5756F	SATYA RANJAN CHAUDHARI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV /130/2018	09-01-2023
ACSPD7017Q	MANAS KUMAR DAN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/150/2018	09-01-2023
ADQPC5674F	PRASANTA CHATTERJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/150/2018	09-01-2023
ADRPD7213N	NABENDU DEY	UNDER SECTIONS 11, 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF -	WTM/GM/SEBI/ERO/IMD/02/DEC/2016	09-01-2023
AEIPC6387B	SWAPNA CHAUDHURI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/150/2018	09-01-2023

AEKPJ8257J	ANAND KUMAR JHA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 4(2) (T) OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/PS/87/CIS-NRO/NOV/2015	09-01-2023
AEOPC1519G	CHATTA RANJAN CHAUDHURI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/150/2018	09-01-2023
AFZPC5242E	ASHOK CHAKRABORTY	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF JEEVAN SURAKSHA ENERGY AND INDUSTRIES LTD	WTM/GM/IMD/59/2017-18	09-01-2023
AGMPJ0750E	SHRI RABINDRA KUMAR JENA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/RKA/EFD/126/2016	09-01-2023
AHRPA3277H	UTTAM ACHARJEE	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF JEEVAN SURAKSHA ENERGY AND INDUSTRIES LTD	WTM/GM/IMD/59/2017-18	09-01-2023
AHVPP0531B	LOKESH PERIWAL	UNDER SECTIONS 11, 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF -	WTM/GM/SEBI/ERO/IMD/02/DEC/2016	09-01-2023
AJPPB2660M	MR .APURBA RANJAN BEHERA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND THE SEBI (ISSUE AND LISTING OF NON-CONVERTIBLE REDEEMABLE PREFERENCE SHARE) REGULATIONS, 2013	WTM/PS/155/IMD/ERO-BLO/FEB/2016	09-01-2023
AJPPM9356B	MOKARRAM MOHAMMAD	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 4(2) (T) OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/PS/87/CIS-NRO/NOV/2015	09-01-2023

AJRPB1543M	AMRIT INDU BHATTACHARYA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 73 OF COMPANIES ACT, 1956	WTM/AB/EFD-1/DRA-IV/14/2020-21	09-01-2023
AJWPB9126D	MR. SUDHANSU SEKHAR BARIK	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND THE SEBI (ISSUE AND LISTING OF NON-CONVERTIBLE REDEEMABLE PREFERENCE SHARE) REGULATIONS, 2013	WTM/PS/155/IMD/ERO-BLO/FEB/2016	09-01-2023
AKNPA2732Q	DIPAMONI ACHARJEE	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/IMD/59/2017-18	09-01-2023
AKPPP1041H	SHRI RITURAJ PRADHAN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF SUNPLANT CONSTRUCTIONS LIMITED	WTM/PS/123/ERO/DEC/2015	09-01-2023
AOYPM2069Q	MR. DAYANIDHI MOHAPATRA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND THE SEBI (ISSUE AND LISTING OF NON-CONVERTIBLE REDEEMABLE PREFERENCE SHARE) REGULATIONS, 2013	WTM/PS/155/IMD/ERO-BLO/FEB/2016	09-01-2023
APOPM1270M	ANURAG MITTAL	UNDER SECTIONS 11, 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF -	WTM/GM/SEBI/ERO/IMD/02/DEC/2016	09-01-2023
APXPD1588E	PAROMITA DEY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/40/2019	09-01-2023
AQHPR2449D	MR. BISWAJIT ROUL	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND THE SEBI (ISSUE AND LISTING OF NON-CONVERTIBLE REDEEMABLE PREFERENCE SHARE) REGULATIONS, 2013	WTM/PS/155/IMD/ERO-BLO/FEB/2016	09-01-2023
AVPPR5796L	MR. ASHOK KUMAR ROUT	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND THE SEBI (ISSUE AND LISTING OF NON-CONVERTIBLE REDEEMABLE PREFERENCE SHARE) REGULATIONS, 2013	WTM/PS/155/IMD/ERO-BLO/FEB/2016	09-01-2023
AWQPS0249B	SHRI SATISH KUMAR SINGH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF SUNPLANT CONSTRUCTIONS LIMITED	WTM/PS/123/ERO/DEC/2015	09-01-2023

BEMPK1322J	ASHIF KHAN	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 4(2) (T) OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/PS/87/CIS-NRO/NOV/2015	09-01-2023
BTDPP9403M	MR. SISIR KUMAR PADHI	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND THE SEBI (ISSUE AND LISTING OF NON-CONVERTIBLE REDEEMABLE PREFERENCE SHARE) REGULATIONS, 2013	WTM/PS/155/IMD/ERO-BLO/FEB/2016	09-01-2023
CENPK6085G	WASIM KHAN	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 4(2) (T) OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/PS/87/CIS-NRO/NOV/2015	09-01-2023
CNVPM6593E	BIBEKNAND MANDAL	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 4(2) (T) OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/PS/87/CIS-NRO/NOV/2015	09-01-2023
AABCJ6757B	JEEVAN SURAKSHA REAL ESTATE LIMITED	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/88/ERO/GLO/MAR/2016	09-01-2023
AAECG2270P	GARIMA HOMES AND FARM HOUSES LIMITED	UNDER SECTIONS 11(1), 11(4), AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/40/EFD/MAY/2016	09-01-2023
AKNPA2732Q	DIPAMONI ACHARJEE	UNDER SECTIONS 11, 11(4),11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-III/88/2017	09-01-2023

AMAPS4265M	MR. SARAL RANJAN SENGUPTA	UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 107 OF SEBI (ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2009 IN THE MATTER OF ICORE E SERVICES LIMITED	WTM/GM/EFD/DRAIII/ 76/2017-18	09-01-2023
ANWPR7210H	MR. SWAPN KUMAR ROY	UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 107 OF SEBI (ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2009 IN THE MATTER OF ICORE E SERVICES LIMITED	WTM/GM/EFD/DRAIII/ 76/2017-18	09-01-2023
ARJPG7051P	MR. RADHASHYAM GIRI	UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 107 OF SEBI (ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2009 IN THE MATTER OF ICORE E SERVICES LIMITED	WTM/GM/EFD/DRAIII/ 76/2017-18	09-01-2023
AVQPK4360A	MR. BALKISHAN KUSHWAH	UNDER SECTIONS 11(1), 11(4)AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/40/EFD/MAY /2016	09-01-2023
BNXPS1496L	MR. BIJENDRA PAL SINGH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/40/EFD/MAY /2016	09-01-2023
BXEPS6726H	MR. KAUSHAL KISHOR SINGH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECURITIES AND EXCHANGE BOARD OF INDIA (DISCLOSURE AND INVESTOR PROTECTION) GUIDELINES, 2003 IN THE MATTER OF HELIOS CORPORATION LIMITED AND HELIOS CHEMICALS LIMITED	WTM/MPB/EFD-1-DRA-III/148/2018	09-01-2023

CISPS9854C	MR. RAJEEV KUMAR SHARMA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECURITIES AND EXCHANGE BOARD OF INDIA (DISCLOSURE AND INVESTOR PROTECTION) GUIDELINES, 2003 IN THE MATTER OF HELIOS CORPORATION LIMITED AND HELIOS CHEMICALS LIMITED	WTM/MPB/EFD-1-DRA-III/148/2018	09-01-2023
DGXPK9360P	MR. JITENDRA KUMAR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/40/EFD/MAY/2016	09-01-2023
GEDPS5531B	MR. SANJAY KUMAR SINGH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECURITIES AND EXCHANGE BOARD OF INDIA (DISCLOSURE AND INVESTOR PROTECTION) GUIDELINES, 2003 IN THE MATTER OF HELIOS CORPORATION LIMITED AND HELIOS CHEMICALS LIMITED	WTM/MPB/EFD-1-DRA-III/148/2018	09-01-2023
AACCG3515F	GCA MARKETING P LTD	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/150/CIS-NRO/CHLO/FEB/2016	09-01-2023
ADEPC5281C	MR. AMARDEEP SINGH CHEEMA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/150/CIS-NRO/CHLO/FEB/2016	09-01-2023
AFDPC9530K	MS. MANISHA CHATTERJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/173/ERO/IMD/MAR/2016	09-01-2023
AFLPC6208E	MR. KAUSHIK CHATTERJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/173/ERO/IMD/MAR/2016	09-01-2023
AKAPC1597L	MR. BISWAJIT CHOWDHURY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/173/ERO/IMD/MAR/2016	09-01-2023
AXEPJ4859P	SANJAY KUMAR JENA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF MOHAN LAL AGRAWAL AND OTHER ASSOCIATED ENTITIES	WTM/AB/EFD-1/DRA-IV/19/2019-20	09-01-2023

BGAPR5205H	NIRANJA N RANA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF MOHAN LAL AGRAWAL AND OTHER ASSOCIATED ENTITIES	WTM/AB/EFD-1/DRA-IV/19/2019-20	09-01-2023
BIVPS7069D	MR. GURDEEP SINGH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/150/CIS-NRO/CHLO/FEB/2016	09-01-2023
BOXPR7731H	TRINATH RANA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF MOHAN LAL AGRAWAL AND OTHER ASSOCIATED ENTITIES	WTM/AB/EFD-1/DRA-IV/19/2019-20	09-01-2023
CHKPP0921J	BALRAM PRADHAN	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF MOHAN LAL AGRAWAL AND OTHER ASSOCIATED ENTITIES	WTM/AB/EFD-1/DRA-IV/19/2019-20	09-01-2023
CLXPP4377H	SAMIRKANT A PANDA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF MOHAN LAL AGRAWAL AND OTHER ASSOCIATED ENTITIES	WTM/AB/EFD-1/DRA-IV/19/2019-20	09-01-2023
AACCI5234R	IDOL INDIA PROJECTS LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B (1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-IV/95/2020	09-01-2023
AACCN5652N	NICER GREEN HOUSING AND INFRASTRUCTURE DEVELOPERS LIMITED	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ORDER/GR/KG/2020-21/10113-10117	09-01-2023
AACCN5652N	NICER GREEN HOUSING AND INFRASTRUCTURE DEVELOPERS LIMITED	UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999 AND REGULATION 4(2)(T) OF SEBI(PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/PS/86/CIS-NRO/NOV/2015	09-01-2023

AADCK3482E	KALPBUT REAL ESTATE LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999, AGAINST KALPBUT REAL ESTATE LIMITED	WTM/PS/19/CIS-NRO/JULY/2015	09-01-2023
AAECK1255G	KARMBHOOMI REAL ESTATE LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 4(2) (T) OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICE RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/PS/93/IM-CIS/NRO/NOV/2015	09-01-2023
AAECP5032C	MS. PEERS ALLIED CORPORATION LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/187/CIS-NRO/MAR/2015	09-01-2023
AAGCM4867L	MORALINFRASTRUCTURESC ORPORATI ON LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/84/2019-20	09-01-2023
AAGCM8052P	MORAL COMMOTRADE LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/84/2019-20	09-01-2023
AAHCM3158P	MORAL HEALTH &PERSONAL CARE LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/84/2019-20	09-01-2023
AAHCM3170B	MORAL DEVCON LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/84/2019-20	09-01-2023
AAQCS7428B	SAI PRASAD CORPORATION LTD	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999	WTM/PS/146/IMD-CIS/FEB/2016	09-01-2023
AARCS9644N	SHREE SAI SPACE CREATIONS LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999	WTM/PS/48/CIS/NRO/NOV/2014	09-01-2023

AAZPU1224F	MANJU UPADHYAY	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF PEERS ALLIED CORPORATION LIMITED	WTM/PS/187/CIS-NRO/MAR/2015	09-01-2023
AAZPU1225E	SADHU SHARAN	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF PEERS ALLIED CORPORATION LIMITED	WTM/PS/187/CIS-NRO/MAR/2015	09-01-2023
ABUPT7761N	RAJENDRA SINGH THAKUR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999, AGAINST KALPBUT REAL ESTATE LIMITED	WTM/PS/19/CIS-NRO/JULY/2015	09-01-2023
ABUPT9628E	LEENA TEWARI	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JULY 15, 2014 IN THE MATTER OF REMAC REALTY INDIA LIMITED	WTM/GM/EFD/100/2017-18	09-01-2023
AEEPV9521M	REENA VIJAY	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JULY 15, 2014 IN THE MATTER OF REMAC REALTY INDIA LIMITED	WTM/GM/EFD/100/2017-18	09-01-2023
AENPS9792L	BHANU PRATAP SINGH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999, AGAINST KALPBUT REAL ESTATE LIMITED	WTM/PS/19/CIS-NRO/JULY/2015	09-01-2023

AFTPD2362Q	SHRI SHRIBAS CHANDRA DAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/113/2017	09-01-2023
AGIPC6237B	SANDIP CHATTOPADHYAY	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JULY 15, 2014 IN THE MATTER OF REMAC REALTY INDIA LIMITED	WTM/GM/EFD/100/2017-18	09-01-2023
AITPM9488K	ANIL KUMAR MISHRA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008	WTM/RKA/EFD-DRA-IV/184/2016	09-01-2023
AJXPD0444R	GUDDI DEVI	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999, AGAINST KALPBUT REAL ESTATE LIMITED	WTM/PS/19/CIS-NRO/JULY/2015	09-01-2023
AKYDP8151B	INDU DUBEY	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF PEERS ALLIED CORPORATION LIMITED	WTM/PS/187/CIS-NRO/MAR/2015	09-01-2023
ALLPP2252K	POORAN PRAKASH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999, AGAINST KALPBUT REAL ESTATE LIMITED	WTM/PS/19/CIS-NRO/JULY/2015	09-01-2023

AMYPM6746R	DEBAPRATIM MAZUMDER	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JULY 15, 2014 IN THE MATTER OF REMAC REALTY INDIA LIMITED	WTM/GM/EFD/100/2017-18	09-01-2023
ASGPK7961G	SURINDER KAUR	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ORDER/GR/KG/2020-21/10113-10117	09-01-2023
ASGPK7961G	SURINDER KAUR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999 AND REGULATION 4(2) (T) OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SCEURITIES MARKET) REGULATIONS, 2003	WTM/PS/86/CIS-NRO/NOV/2015	09-01-2023
ATUPR0113L	WAY2GAINS AND ITS PROPRIETOR MR. RAJEEV KUMAR TIWAR	UNDER SECTIONS 11(1), 11(4), 11B AND 11D OF THE SECUTITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/SM/SRO/SRO/8408/2020-21	09-01-2023
AXLPP7767R	AJAY KUMAR PANDEY	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF PEERS ALLIED CORPORATION LIMITED	WTM/PS/187/CIS-NRO/MAR/2015	09-01-2023
BDSPS9886A	RAKSHA PAL SINGH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999, AGAINST KALPBUT REAL ESTATE LIMITED	WTM/PS/19/CIS-NRO/JULY/2015	09-01-2023

BJVPS0029N	DEVENDRA PAL SINGH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999, AGAINST KALPBUT REAL ESTATE LIMITED	WTM/PS/19/CIS-NRO/JULY/2015	09-01-2023
BVEPS9613M	PIPAL SINGH SIDHU	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ORDER/GR/KG/2020-21/10113-10117	09-01-2023
BVEPS9613M	PIPAL SINGH SIDHU	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999 AND REGULATION 4(2) (T) OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SCEURITIES MARKET) REGULATIONS, 2003	WTM/PS/86/CIS-NRO/NOV/2015	09-01-2023
BZWPS3360K	RANJIT SINGH	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ORDER/GR/KG/2020-21/10113-10117	09-01-2023
BZWPS3360K	RANJIT SINGH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999 AND REGULATION 4(2) (T) OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SCEURITIES MARKET) REGULATIONS, 2003	WTM/PS/86/CIS-NRO/NOV/2015	09-01-2023

CRCPS3999H	KARANJIT SINGH	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ORDER/GR/KG/2020-21/10113-10117	09-01-2023
CRCPS3999H	KARANJIT SINGH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999 AND REGULATION 4(2) (T) OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SCEURITIES MARKET) REGULATIONS, 2003	WTM/PS/86/CIS-NRO/NOV/2015	09-01-2023
DEWPS9886A	PARVESH KUMAR SINGH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999, AGAINST KALPBUT REAL ESTATE LIMITED	WTM/PS/19/CIS-NRO/JULY/2015	09-01-2023
DEWPS9886A	NATTHU SINGH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999, AGAINST KALPBUT REAL ESTATE LIMITED	WTM/PS/19/CIS-NRO/JULY/2015	09-01-2023
DRMPS4668Q	VIVEK K SHRIVASTAV	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999,	WTM/PS/48/CIS/NRO/NOV/2014	09-01-2023
ACUPJ0102E	INNSAIPILLAI LURDPILLAI JOSEPH JEYARAAJ	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999	WTM/PS/154/IMD-CIS/SRO/FEB/2016	09-01-2023

AADCG9560H	G LIFE INDIA DEVELOPERS AND COLONIZERS LIMITED	UNDER SECTIONS 11(1), 11(4) 11B AND 11AA OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999 AND REGULATION 11 OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003.	WTM/SKM/EFD1-DRA-III/25/2019-20	09-01-2023
AADCK4402G	KMJ LAND DEVELOPERS INDIA LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER DATED DECEMBER 09, 2014 IN THE MATTER OF KMJ LAND DEVELOPERS INDIA LTD.	WTM/GM/EFD/35/2017-18	09-01-2023
AAFCM9358H	MAITREYA PLOTTERS AND STRUCTURE S PVT. LTD.	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULAION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF MAITREYA PLOTTERS AND STRUCTURES PRIVATE LIMITED	WTM/SKM/EFD-DRA-1/06/2018-19	09-01-2023
AAHCM5798F	MARK BUILDERS AND LAND DEVELOPERS LTD.	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULAION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN RESPECT OF INITERIM ORDER CUM SHOW CAUSE NOTICE DATED MARCH 17, 2015 IN THE MATTER OF MARK BUILDERS & LAND DEVELOPERS LIMITED.	WTM/GM/EFD/08/2018-19	09-01-2023
AANCS0417R	SERVEHIT HOUDING AND INFRASTRUCTURE INDIA LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999 AND REGULATION 4(2) (T) OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/PS/81/CIS-NRO/NOV/2015	09-01-2023

AAPCS0636Q	SAI PRAKASH ORGANIC FOOD LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JANUARY 6, 2015 IN THE MATTER OF SAI PRAKASH ORGANIC FOOD LIMITED.	WTM/GM/EFD/38/2018-19	09-01-2023
AAZFS6704Q	STEP UP MARKETING PRIVATE LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULAION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 4(2) (T) OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/PS/114/CIS-NRO/DEC/2015	09-01-2023
AEUPR2841G	NIRMALA RATHORE	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER DATED DECEMBER 09, 2014 IN THE MATTER OF KMJ LAND DEVELOPERS INDIA LTD.	WTM/GM/EFD/35/2017-18	09-01-2023
AEXPR6319A	SANTOSHI LAL RATHORE	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER DATED DECEMBER 09, 2014 IN THE MATTER OF KMJ LAND DEVELOPERS INDIA LTD.	WTM/GM/EFD/35/2017-18	09-01-2023
AJFPJ3454R	MR. IRUDAYARAJ MANICKAM PILLAI JEYABALAN	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999	WTM/PS/154/IMD-CIS/SRO/FEB/2016	09-01-2023

AKLPR9493H	KANCHAN RAJAWAT KUSHWAH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER DATED DECEMBER 09, 2014 IN THE MATTER OF KMJ LAND DEVELOPERS INDIA LTD.	WTM/GM/EFD/35/2017-18	09-01-2023
AKRPA6259Q	SIRAJ ALI	UNDER SECTIONS 11(1), 11(4) 11B AND 11AA OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999 AND REGULATION 11 OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003.	WTM/SKM/EFD1-DRA-III/25/2019-20	09-01-2023
ALKPS4279C	MRS. PRAKASAM SAGAYA PACKIA SANTHI	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999	WTM/PS/154/IMD-CIS/SRO/FEB/2016	09-01-2023
AMUPK7500K	RAGHBIR KAUR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULAION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 4(2) (T) OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/PS/114/CIS-NRO/DEC/2015	09-01-2023

ANDPP4284F	MANOJ KUMAR PANDEY	UNDER SECTIONS 11(1), 11(4) 11B AND 11AA OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999 AND REGULATION 11 OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003.	WTM/SKM/EFD1-DRA-III/25/2019-20	09-01-2023
ANPPS8356M	SANDEEP SHANKAR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN RESPECT OF INTERIM ORDER DATED DECEMBER 26, 2014 IN THE MATTER OF SAI PRAKASH PROPERTIES DEVELOPMENT LTD. ("SPPDL")	WTM/GM/EFD/26/2019-20	09-01-2023
AUTPK1595M	KULJEET KAUR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULAION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN RESPECT OF INITERIM ORDER CUM SHOW CAUSE NOTICE DATED MARCH 17, 2015 IN THE MATTER OF MARK BUILDERS & LAND DEVELOPERS LIMITED.	WTM/GM/EFD/08/2018-19	09-01-2023
AWJPS7878P	MR. NITIN SHRIVASTAVA	UNDER SECTIONS 11(1), 11(4) 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULAION 107 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2009 IN RESPECT OF:	WTM/RKA/RLO/136/2015	09-01-2023
AYNPS9873J	MR. DEEPAK SINGARE	UNDER SECTIONS 11(1), 11(4) 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULAION 107 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2009 IN RESPECT OF:	WTM/RKA/RLO/136/2015	09-01-2023

BBNPS3367M	MR. AMIT KUMAR SHRIVASTAVA	UNDER SECTIONS 11(1), 11(4) 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULAION 107 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2009 IN RESPECT OF:	WTM/RKA/RLO/136/2015	09-01-2023
BCIPK4911M	RAJENDER KUMAR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999 AND REGULATION 4(2) (T) OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/PS/81/CIS-NRO/NOV/2015	09-01-2023
BIPPS9476L	MS. NEETA SHRIVASTAVA	UNDER SECTIONS 11(1), 11(4) 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULAION 107 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2009 IN RESPECT OF:	WTM/RKA/RLO/136/2015	09-01-2023
BQMPS1649Q	MR. SANTHANAPETER	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999	WTM/PS/154/IMD-CIS/SRO/FEB/2016	09-01-2023
BQXPS7815M	SATISH KUMAR	UNDER SECTIONS 11(1), 11B AND 11(4) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999	WTM/PS/54/CIS/NRO/DEC/2014	09-01-2023
BSHPP0251N	PRAMOD KUMAR PANDEY	UNDER SECTIONS 11(1), 11(4) 11B AND 11AA OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999 AND REGULATION 11 OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003.	WTM/SKM/EFD1-DRA-III/25/2019-20	09-01-2023

BUZPS4365J	SUKHMANDER SINGH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999 AND REGULATION 4(2) (T) OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/PS/81/CIS-NRO/NOV/2015	09-01-2023
CFKPS3490F	MS. SINDHU SINGADHE	UNDER SECTIONS 11(1), 11(4) 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULAION 107 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2009 IN RESPECT OF:	WTM/RKA/RLO/136/2015	09-01-2023
CRRPS1400A	SURJEET KUMAR	UNDER SECTIONS 11(1), 11B AND 11(4) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999	WTM/PS/54/CIS/NRO/DEC/2014	09-01-2023
DRZPS9894D	SUNIL KUMAR SHARMA	UNDER SECTIONS 11(1), 11(4) 11B AND 11AA OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999 AND REGULATION 11 OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003.	WTM/SKM/EFD1-DRA-III/25/2019-20	09-01-2023
AABCL8415G	LIFE CARE REAL DEVELOPERS LIMITED	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	EAD-8/KS/MKG/AO/231/2018-19	09-01-2023
AAECG6103D	G R AGRO PROJECT LIMITED	UNDER SECTION 11, 11(4),11A AND 14B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-IV/156/2018	09-01-2023
ABKFM0485E	MTF DIGI SALES	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/AB/SRO/SRO/20816/2022-23	09-01-2023

AEKPL9354C	MOHD. SERAJ LARI	THE ORDER OF THE SECURITIES APPELLATE TRIBUNAL DATED OCTOBER 25, 2016 IN MISC.APPLICATION NO.221 OF 2016 AND APPEAL NO. 275 OF 2016.	WTM/GM/EFD/79/2018-19	09-01-2023
ANIPA3040P	MOHAMMAD AFTAB ALAM	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	EAD-8/KS/MKG/AO/231/2018-19	09-01-2023
ATOPP9889R	SHIBA PRAVIN	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	EAD-8/KS/MKG/AO/231/2018-19	09-01-2023
AXCPT3030A	NIRAJ THAKUR	UNDER SECTIONS 11(4) AND 11B (1) OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	ED/MK/SRO/SRO/20336/2022-23	09-01-2023
BIGPG0523B	MOHD. GANI	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	EAD-8/KS/MKG/AO/231/2018-19	09-01-2023
EQCPM6774F	AJAY KUMAR MUKHIYA	UNDER SECTIONS 11(1), 11(4) AND 11B (1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992.	WTM/ASB/SRO/SRO/20714/2022-23	09-01-2023
FNRPS5641L	PANKAJ KUMAR SHUKLA	UNDER SECTIONS 11(4) AND 11B OF THE SECURITIRES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/SRO/21090/2022-23	09-01-2023
AKLPS0489E	ANUSUIYA SHARMA	SHOW CAUSE NOTICE UNDER RULE 4(1) PF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	FPI&C/AT/RKP/40574/1/2022	09-01-2023
AABCF0080D	F6 FINSERVE PVT. LTD.	SHOW CAUSE NOTICE UNDER REGULATION 27(1) OF THE SEBI (INTERMEDIARIES) REGULATIONS, 2008 IN RESPECT OF ENQUIRY CONDUCTED AGAINST F6 FINSERVE PVT LTD	SEBI/HO/EFD1/DRA3/P/OW/2022/56193/1	12-01-2023

AABCH8065Q	HEMISPHERE INFRASTRUCTURE INDIA PVT. LTD	SHOW CAUSE NOTICE UNDER SECTIONS 11(1), 11(4), 11(4A), 11B(1), 11B(2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995, FOR VIOLATIONS OF SEBI (SUBSTANTIAL ACQUISITION OF SHARES AND TAKEOVERS) REGULATIONS, 1997/2011	SEBI/HO/IUD/ID3/P/OUL/2021/24408/1	12-01-2023
AACCG7477N	GALAXY INFRAPROJECTS AND DEVELOPERS PVT LTD	SHOW CAUSE NOTICE UNDER SECTIONS 11(1), 11(4), 11(4A), 11B(1), 11B(2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995, FOR VIOLATIONS OF SEBI (SUBSTANTIAL ACQUISITION OF SHARES AND TAKEOVERS) REGULATIONS, 1997/2011	SEBI/HO/IUD/ID3/P/OUL/2021/24408/1	12-01-2023
AAACW9315R	WARIS HEALTHCARE LIMITED	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008,	WTM/RKA/EFD/124/2016	13-01-2023
AJMPA3089L	MR. IFTEKHAR AHMED	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008,	WTM/RKA/EFD/124/2016	13-01-2023
AIOPA9561E	MR. AZIZ AHMED	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008,	WTM/RKA/EFD/124/2016	13-01-2023
ABQPW7759R	MR. IMTIAZ KHAN WARSI	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008,	WTM/RKA/EFD/124/2016	13-01-2023

AKDPK3211E	MS. QURAISHA KHATOON	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008,	WTM/RKA/EFD/124/2016	13-01-2023
ABYPW7604D	MS. RUHI GHAZALA WARSI	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008,	WTM/RKA/EFD/124/2016	13-01-2023
AARCS1027K	SUN TECHNO INTERNATIONAL LTD.	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF SUNTECHNO INTERNATIONAL LIMITED	WTM/MPB/EFD-1-DRA-IV/145/2018	13-01-2023
ANGPC4373F	SMT KEYA CHATTERJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF SUNTECHNO INTERNATIONAL LIMITED	WTM/MPB/EFD-1-DRA-IV/145/2018	13-01-2023
AMRPB7694J	MR. SANKAR BHATTACHARJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/34/IMD/ERO-RLO/MAY/2016	13-01-2023
AMRPB7694J	MR. SANKAR BHATTACHARJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/37/IMD/ERO-RLO/MAY/2016	13-01-2023
AMJPS7534C	ASLAM SAYEED	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 73 OF COMPANIES ACT, 1956	WTM/AB/EFD-1/DRA-IV/14/2020-21	16-01-2023
AMLPG2674F	MR. AMIT KUMAR GUPTA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND THE SEBI (ISSUE AND LISTING OF NON-CONVERTIBLE REDEEMABLE PREFERENCE SHARE) REGULATIONS, 2013	WTM/PS/155/IMD/ERO-BLO/FEB/2016	16-01-2023
ANFPB7121D	BIKASH BHANDARY	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF RISING AGROTECH LIMITED	WTM/PS/42/ERO/IMD/MAY/2016	16-01-2023
AOAPS0373N	AMEET SAVANT	UNDER SECTION 11(1),11(4)AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	SEBI/WRO/P/OW/2022/5881/1	16-01-2023

ARLPP5727B	MR. HARI ARJUNA PANDA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND THE SEBI (ISSUE AND LISTING OF NON-CONVERTIBLE REDEEMABLE PREFERENCE SHARE) REGULATIONS, 2013	WTM/PS/155/IMD/ERO-BLO/FEB/2016	16-01-2023
AUVPS5370E	AMANDEEP SINGH SRAN	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. AO/JSNRP/49- 58/2017	16-01-2023
AAECA8578L	RAHUL HI RISE LIMITED	UNDER SECTIONS 11, 11(4), AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/98/2017	17-01-2023
AGVPC6440K	MR. TAPAN KUMAR CHATTERJEE	UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 107 OF SEBI (ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2009 IN THE MATTER OF ICORE E SERVICES LIMITED	WTM/GM/EFD/DRAIII/76/2017-18	17-01-2023
AMOPB0512G	MRINMOY BOSE	UNDER SECTIONS 11, 11(4), AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/98/2017	17-01-2023
AQAPS4323C	MR. SANJEET KUMAR SHARMA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECURITIES AND EXCHANGE BOARD OF INDIA (DISCLOSURE AND INVESTOR PROTECTION) GUIDELINES, 2003 IN THE MATTER OF HELIOS CORPORATION LIMITED AND HELIOS CHEMICALS LIMITED	WTM/MPB/EFD-1-DRA-III/148/2018	17-01-2023
BFLPP9443M	SHRI HARIOM SHARAN PATEL	SHOW CAUSE NOTICE UNDER REGULATION 27(1) OF THE SEBI (INTERMEDIARIES) REGULATIONS, 2008 (HEREINAFTER REFERRED TO AS "INTERMEDIARIES REGULATIONS"), IN RESPECT OF M/S BIS CAPITAL INVESTMENT ADVISOR.	SEBI/HO/EFD1/DRA6/OW/P/ENQ/JF/2022/557 51/1	20-01-2023
AAACW2920N	ANUGRAH STOCK & BROKING PVT. LTD.	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/GR/BM/2022-23/18249-18252	23-01-2023

AFVPP4292B	RAMESWAR PODDER	UNDER SECTIONS 11, 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF RAMEL PHARMA LIMITED AND ITS DIRECTORS-	WTM/MB/SEBI/EFD-DRA4/7/2017	23-01-2023
AFVPP4292B	RAMESWAR PODDER	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992,	WTM/PS/153/ERO-IMD/FEB/2016	23-01-2023
AAOFK7265M	KRYPTON DISTRIBUTORS LLP	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/EAD-3/BM/JR/44418/2022	23-01-2023
AAMCS8602D	SUTANUTI DISTRIBUTORS PRIVATE LIMITED	UNDER SECTIONS 11 (1), 11(4), 11A AND 1111 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD/142/2016	23-01-2023
AIQPM0516K	PRABIR	UNDER SECTIONS 11 (1), 11(4), 11A AND 1111 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD/142/2016	23-01-2023
AARPS7234B	SONIA SHARMA	UNDER SECTIONS 11, 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 107 OF SEBI (ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2009 AND REGULATION 11 OF SECURITES AND EXCHANGE BOARD OF INDIA (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003.	WTM/RKA/IVD/NRO/01/2013	23-01-2023

BOLPM1668G	SIDDHARTH JAY MARATHE	UNDER SECTIONS 11, 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 107 OF SEBI (ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2009 AND REGULATION 11 OF SECURITES AND EXCHANGE BOARD OF INDIA (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING SECURITIES MARKET REHGULATIONS, 2003.	WTM/RKA/IVD/NRO/01/2013	23-01-2023
AJCPA7989H	SONI AGARWAL	SHOW CAUSE NOTICE UNDER RULE 4 (1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN RESPECT OF SONI AGARWAL IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS	RRD/AO-JS/N/179/2022	23-01-2023
AFYPS0954C	DARSHANBHAI ARVINDBHAI SHAH	UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF ORIENT RESORTS (INDIA) PVT. LTD.	WTM/RKA/WRO/48/2013	24-01-2023
AKNPP6001B	MR. JANARDAN ARVIND PARULEKAR	DIRECTIONS UNDER SECTIONS 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH REGULATIONS 65 AND 73 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999.	WTM/RKA/CIS/07/2013	24-01-2023
AKNPP6001B	MR. JANARDAN ARVIND PARULEKAR	UNDER SECTIONS 11(1), 11(4) AND 1113 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF MAITREYA PLOTTERS AND STRUCTURES PRIVATE LIMITED	WTM/SKM/EFD-DRA-1/06/2018-19	24-01-2023

AXYPS7773H	MS. REEBHA SHRIVASTAVA	UNDER SECTIONS 11(1), 11(4) 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULAION 107 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2009 IN RESPECT OF:	WTM/RKA/RLO/136/2015	24-01-2023
AENPJ3963L	MANISH JANI	NOTICE UNDER RULE 4(1) OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995 IN THE MATTER OF LAYLA TEXTILE AND TRADERS LIMITED.	SEBI/EAD-1/SM/LD/55355/1/2022	25-01-2023
BCOPK1206M	NEERAJ KUMAR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/SRO/SRO/20816/2022-23	27-01-2023
BEBPM3906D	ANJU PRAKASHBHAI MATA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/SRO/SRO/20816/2022-23	27-01-2023
CODPM3115F	MANISH KUMAR MAHASETH	UNDER SECTIONS 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992.	CGM/GG/QJC-2/SRO/SRO/21243/2022-23	27-01-2023
AQHPB8639H	SHASHANK B BHAPKAR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/66/IMD-CIS/JAN/2015	27-01-2023
GAUPS3690J	CAPITAL MIRROR AND ITS PROPRIETOR MR. SAURABH SAMIR	UNDER SECTIONS 11(1), 11(4), 11B AND 11D OF THE SECUTITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/SM/SRO/SRO/8408/2020-21	27-01-2023
ATTPB8244M	SHAHENDRA SINGH BAGHEL	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN RESPECT OF INTERIM ORDER DATED DECEMBER 26, 2014 IN THE MATTER OF SAI PRAKASH PROPERTIES DEVELOPMENT LTD. ("SPPDL")	WTM/GM/EFD/26/2019-20	27-01-2023

ATTPB8244M	SHAHENDRA SINGH BAGHEL	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JANUARY 6, 2015 IN THE MATTER OF SAI PRAKASH ORGANIC FOOD LIMITED.	WTM/GM/EFD/38/2018-19	27-01-2023
ATTPB8254D	RANVAJAY PRATAP SINGH BAGHEL	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN RESPECT OF INTERIM ORDER DATED DECEMBER 26, 2014 IN THE MATTER OF SAI PRAKASH PROPERTIES DEVELOPMENT LTD. ("SPPDL")	WTM/GM/EFD/26/2019-20	27-01-2023
ATTPB8254D	RANVAJAY PRATAP SINGH BAGHEL	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JANUARY 6, 2015 IN THE MATTER OF SAI PRAKASH ORGANIC FOOD LIMITED.	WTM/GM/EFD/38/2018-19	27-01-2023
AXMPB0165C	DHEERENDRA KRISHAN PRATAP	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN RESPECT OF INTERIM ORDER DATED DECEMBER 26, 2014 IN THE MATTER OF SAI PRAKASH PROPERTIES DEVELOPMENT LTD. ("SPPDL")	WTM/GM/EFD/26/2019-20	27-01-2023
AXMPB0165C	DHEERENDRA KRISHAN PRATAP	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JANUARY 6, 2015 IN THE MATTER OF SAI PRAKASH ORGANIC FOOD LIMITED.	WTM/GM/EFD/38/2018-19	27-01-2023

BKJPB6325H	MRAGENDRA SINGH BAGHEL	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN RESPECT OF INTERIM ORDER DATED DECEMBER 26, 2014 IN THE MATTER OF SAI PRAKASH PROPERTIES DEVELOPMENT LTD. ("SPPDL")	WTM/GM/EFD/26/2019-20	27-01-2023
BKJPB6325H	MRAGENDRA SINGH BAGHEL	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULAION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JANUARY 6, 2015 IN THE MATTER OF SAI PRAKASH ORGANIC FOOD LIMITED.	WTM/GM/EFD/38/2018-19	27-01-2023
AAHCA2094C	AMRAPALI AADYA TRADING & INVESTMENT PVT LTD.	WTM ORDER IN THE MATTER OF AMRAPALI AADYA TRADING & INVESTMENT PVT. LTD.	SEBI/HO/OW/EFD1/DRA3/JS/2021/57764/1	30-01-2023
AAECA3909P	AADYA COMMODITIES PVT LTD.	WTM ORDER IN THE MATTER OF AADYA COMMODITIES PVT LTD.	SEBI/HO/OW/EFD1/DRA3/JS/2021/57765/1	30-01-2023
AFCPD3788F	PRITHWIS KUMAR DAS	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/48/2017-18	01-02-2023
AABCB4470B	DWITIYA TRADING LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/IVD/ID8/SCN/AO-SS/VS/36076/1/2022	01-02-2023
AHCPC4610M	DEBDULAL BANIK CHOWDHURY	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF HTE SECURITIES AND EXCHANGE BOARD OF INDIA (LSSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008, IN RESPECT OF:	WTM/RKA/EFD/175/2016	01-02-2023
AHEPG6300N	ANIRUDDHA GHOSAL	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATIONS 28 OF SEBI (LSSUE AND LISTING OF DEBT SECURITIES)REGULATIONS, 2088 IN RESPECT OF;	WTM/RKA/EFD/175/2016	01-02-2023

ANQPM5013N	SAUBHAGYA KUMAR MOHAPATRA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF SLB INVEST (INDIA) LIMITED	WTM/PS/08/ERO-BLO/APR/2016	01-02-2023
ATPPS1339A	ARINDAM SARKAR	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF STPL INFRASTRUCTURES LIMITED	WTM/RKA/EFD/167/2016	01-02-2023
AFCPT1949Q	SANGRAM KESHARI TARAI	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/05/ERO-BLO/APR/2016	01-02-2023
BLUPM3877R	SHUBHRANSHU SHEKHAR MAIKAP	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECRITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF -	WTM/GM/SEBI/ERO/IMD/23/JUNE/2017	01-02-2023
ANVPM3551G	BHOLANATH MAJI	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1982	WTM/RKA/EFD/185/2016	01-02-2023
AKUPM9766H	DINOBANDHU MONDAL	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/RKA/EFD/190/2016	01-02-2023
ADGPB7510D	SUNIL KUMAR BRAHAMCHARI	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1982	WTM/PS/145/ERO/JAN/2016	01-02-2023
ARUPD9825F	SUSANTA DAS	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1982	WTM/RKA/EFD/185/2016	01-02-2023
AABPC1451E	MR. MEHUL CHOKSI	UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005.	ORDER/SS/VS/2019-20/6979-6981	01-02-2023
AIYPV3150A	GIRISH SRICHAND VALECHA	UNDER SECTIONS 11(1), 11(4)(B) AND LLB OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH SECURITIES AND EXCHANGE BOARD OF INDIA (INVESTMENT ADVISERS) REGULATIONS, 2013 AND THE SECURITIES AND EXCHANGE BOARD OF INDIA (RESEARCH ANALYST) REGULATIONS, 2014, IN RESPECT OF	WTM/MPB/SEBI/EFD-DRA4/108/2017	01-02-2023

BBPPK9582E	NIWAS A KAMBLE	UNDER SECTION 15 I OF SECURITIES AND EXCHANG BOARD OF INDIA ACT , 1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR BOLDING INQUIRY AND IMPOSIN 9 PENALTIES BY ADJUDICATING OFFLCER) RULES, 1995	EAD-2/AO/DSR/RG/205-221/2014	01-02-2023
ADYPV0844N	BHARAT G VAGHELA	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE. 6 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING 'INQUIRY AND IMPOSING PENAL TIES BY ADJUDICATING OFACER) RULES, 1995	EAD-2/A91DSR/RG/253-270/2014	01-02-2023
AANCS1689M	SUNVIEW RETAIL PVT. LTD.	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OFLNDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF TOUCH WOOD LIMITED.	WTM/GM/EFD/47/2018-19	01-02-2023
ADIPJ1942R	MR. RAMESHCHANDRA K JAIN	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUTICATION OFFICER) RULES, 1995	ADJUDICATION ORDER NO.:SPL/AO/SKS/SG/DCR/06/2010	01-02-2023
ABNPP5218K	PRAHLADBHAI J PATEL	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUTICATION OFFICER) RULES, 1995	ADJUDICATION ORDER NO.:SKS/AO/01/2010	01-02-2023
AHCPC4610M	DEBDULAL BANIK CHOWDHURY	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF HTE SECURITIES AND EXCHANGE BOARD OF INDIA (LSSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008, IN RESPECT OF:	WTM/RKA/EFD/175/2016	01-02-2023
BIIPS0343H	SHRI SHEONATH SAHNI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008	WTM/RKA/EFD-DRA-IV/184/2016	01-02-2023

AHEPA0768N	MR. SEKH SAHADAT ALI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/167/ERO/FEB /2016	01-02-2023
AKXPR8291H	PARTHA PRATIM ROY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/53/2018	01-02-2023
AADCV8983D	VISHAWDHAM TRADERS PVT LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/DEPA-I/DEPA-I_RRD/P/OW/2022/39179/1	01-02-2023
AAOCS5259G	SRM SECURITIES PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO. 42522/1/2022	01-02-2023
AHEPT6376K	KUNAAL TIWARY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/85/2017	01-02-2023
AOYPS5605P	SHRI PRADIP SAMADDAR	UNDER SECTIONS 11, 11(4), AND SECTION 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/EFD-1/DRA-IV/13/2020-21	01-02-2023
BBBPS5913B	SUJOY SINHA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/95/ERO-IMD/DEC/2015	01-02-2023
ADQPG7953F	PIJUSH KANTI GHOSH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/94/2018-19	01-02-2023
AIDPC5794L	MITHUN CHAKRABORTY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/11/2017	01-02-2023
AQWPM6717G	DIBYENDU SEKHAR MAITY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/141/2018	01-02-2023
BMPPS2929L	ATISH SAMANTA RAY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/141/2018	01-02-2023
ADQPG7953F	PIJUSH KANTI GHOSH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/94/2018-19	01-02-2023

AGSPG3776H	MS. SUNITA GUPTA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 107 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2009 IN RESPECT OF:	WTM/RKA/EFD/123/2016	01-02-2023
AKCPD2678K	MR. DEBJYOTI DAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/11/ERO-GLO/APR/2016	01-02-2023
ALMPM5615N	MR. NIRMALYA MUKHERJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/11/ERO-GLO/APR/2016	01-02-2023
ATYPR9064L	SHRI POLAKI UMASHANKAR RAO	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/115/2018	01-02-2023
BMSPS6948C	SHRI GANESH KUMAR SINGH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/115/2018	01-02-2023
AAHCS8646L	SONIKA GRANITES PRIVATE LIMITED	UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION)ACT, 2956 READ WITH RULE 5 OF SECURITIES CONTRACT (REGULATIONS)(PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ORDER/MC/VS/2021-22/14547-14554	01-02-2023
AHRPK5233D	SHEIKH ALAMGIR KABIR	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/178/ERO/MAR/2016	01-02-2023
AIXPG8273A	RAKESH KUMAR GUPTA	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/91/2017	01-02-2023
AAACK3241J	KEYSTONE STOCK FINANCE LTD	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/BM/UR/2021-22/15253-15267	01-02-2023
AACCC4640H	CLOCKSIGN TRADING PVT LTD	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/BM/UR/2021-22/15253-15267	01-02-2023

ABBPP2992C	DAKSHA KIRIT PATEL	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI EMAIL DATED 11-08-2022	01-02-2023
AABHD4775N	D K AGRAWAL HUF	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/ERO/AP/AS/OW/ 2022/42412/1	01-02-2023
AABCT0574G	AMAZAN CAPITAL LIMITED	UNDER SECTIONS 11 AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECUTIRIES AND EXCHANGE BOARD OF INDIA (ICDR) REGULATIONS, 2009, IN RESPECT OF INTERIN ORDERS CUM SHOW CAUSE NOTICES DATED MAY 25,2015 AND MAY 29, 2015 IN THE MATTER OF AMAZAN CAPITAL IMITED (ACL)	WTM/GM/EFD/38/2017-18	01-02-2023
AAFPF3468A	JAMIL AHMED FAROOQUI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/165/ERO/FEB /2016	01-02-2023
AOEPB6160J	BHOWMICK NARAYAN CHANDRA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008, IN RESPECT OF:	WTM/RKA/EFD/146/2016	01-02-2023
AQVPM3286D	SAMIR KUMAR MUKHERJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MB/SEBI/EFD-DRA4/4/2017	01-02-2023
ACXPT5866A	MR. DHARMENDRA THAPA	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATING ORDER NO.SD/AO/77/2010	01-02-2023
AITPB3875F	PRATAP KUMAR BISWAL	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992, IN THE MATTER OF MASS INFRA REALTY LIMITED	WTM/PS/10/ERO/APR/ 2016	01-02-2023
AOLPR9289M	MR. MAZIBAR RAHMAN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF ABYSS ASSAM REAL ESTATE LIMITED	WTM/MPB/EFD-1-DRA-IV/86/2017	01-02-2023

ALVPB6802B	JITENDRA SINGH BHATI	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN THE MATTER OF SUSK INDIA LIMITED	WTM/GM/EFD/78/2017-18	01-02-2023
AIXPG8273A	MR. RAKESH KUMAR GUPTA	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/91/2017	01-02-2023
AUIPS3363G	REMENDRA MOHAN SARKAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF RAMEL PHARMA LIMITED AND ITS DIRECTORS-	WTM/MB/SEBI/EFD-DRA4/7/2017	03-02-2023
AUIPS3363G	REMENDRA MOHAN SARKAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992,	WTM/PS/153/ERO-IMD/FEB/2016	03-02-2023
AFCPK5677G	MR. SIDDHARTHA KAYAL	UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED MARCH 16,2016 IN THE MATTER OF RISING AGROTECH LIMITED.	WTM/GM/EFD/35/2018-19	03-02-2023
BUIPS8288K	MR. DIPAN KUMAR SEN	UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED MARCH 16,2016 IN THE MATTER OF RISING AGROTECH LIMITED.	WTM/GM/EFD/35/2018-19	03-02-2023
ANIPB3402G	MR. TRIVENI PRASAD BARANWAL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/14/IMD-ERO/APR/2016	09-02-2023
ANIPB3402G	SHRI TRIVENI PRASAD BARANWAL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/53/2017	09-02-2023
AEUPT1819A	ALOK TRIPATHI	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF PEERS ALLIED CORPORATION LIMITED	WTM/PS/187/CIS-NRO/MAR/2015	09-02-2023

AWYPR5207Q	CHANDAN SINGH RAJPUT	SHOW CAUSE NOTICE UNDER SECTIONS 11(1), 11(4), 11B (1) AND 11 D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	SEBI/WRO/ILO/NM/OW/P/28595/1/2022	09-02-2023
AOBPA3520Q	HEMANT AGRAWAL	SHOW CAUSE NOTICE UNDER SECTIONS 11(1), 11(4), 11B (1) AND 11 D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	SEBI/WRO/ILO/NM/OW/P/28602/1/2022	09-02-2023
BNYPS4320M	LAXMIKANT SHARMA	SHOW CAUSE NOTICE UNDER SECTIONS 11(1), 11(4), 11B (1) AND 11 D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	SEBI/WRO/ILO/NM/OW/P/28600/1/2022	09-02-2023
AIGPM9759G	SAMBIT MOHANTY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/GM/IMD/71/2018-19	10-02-2023
ADJPD2284P	HARIJIT SINGH DHARIWAL	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULAION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 4(2) (T) OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/PS/114/CIS-NRO/DEC/2015	10-02-2023
AAACJ6659B	JAYTON TRADING PRIVATE LIMITED	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLIGUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/MRD/MRD-SEC-3/P/OW/2022/44365/2	13-02-2023
AOTPM3722N	KANIKA MAITI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/82/2017	13-02-2023
AFMPC2809L	RAMENDU CHATTOPADHYAY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD-DRA-III/34/2017-18	13-02-2023
AOTPM3722N	KANIKA MAITI	UNDER SECTION 11 & 11B OF THE SECURITIES & EXCHANGE BOARD OF INDIA ACT, 1992,READ WITH REGULATION 107 OF SEBI (ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2009 IN THE MATTER OF ICORE E-SERVICES LIMITED.	WTM/GM/EFD/DRAIII/76/2017-18	13-02-2023
AFMPC2809L	RAMENDU CHATTOPADHYAY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/26/2018	13-02-2023
BOXPS6610G	SHREYAS MANJUNATH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/SEBI/EFD-DRA4/01/2018	13-02-2023

AFYPA4864P	MURLI LAL AGARWAL	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF MOHAN LAL AGRAWAL AND OTHER ASSOCIATED ENTITIES	WTM/AB/EFD-1/DRA-IV/19/2019-20	13-02-2023
AAACM2105K	MODEX INTERNATIONAL SECURITIES LTD.	NOTICE UNDER REGULATION 25(1) OF SEBI (INTERMEDIARIES) REGULATIONS, 2008 IN RESPECT OF MODEX INTERNATIONAL SECURITIES LTD.	SEBI/HO/EAD/EAD4/P/OW/2022/57147/1	13-02-2023
AIMPA4343C	ANNAPURNA MATHKUR VENKATACHALAIAH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/SEBI/EFD-DRA4/01/2018	20-02-2023
AIYPD6009L	DURGA PRASAD DUBEY	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF PEERS ALLIED CORPORATION LIMITED	WTM/PS/187/CIS-NRO/MAR/2015	20-02-2023
ATSPS3033N	MAHIPAL SINGH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 4(2) (T) OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICE RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/PS/93/IM-CIS/NRO/NOV/2015	20-02-2023
AXHPM2750M	SANDEEP KUMAR MISHRA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF PEERS ALLIED CORPORATION LIMITED	WTM/PS/187/CIS-NRO/MAR/2015	20-02-2023
AAICS0865N	SAMRUDDHA JEEVAN FOODS INDIA LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/48/IMD-CIS/SEPT/2015	20-02-2023
AKMPM3895K	MAHESH KISAN MOTEWAR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/48/IMD-CIS/SEPT/2015	20-02-2023

AKMPM3895K	MAHESH KISAN MOTEWAR	ADJUDICATION ORDER U/S 15-I OF SEBI ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING ENQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES 1995.	ADJUDICATION ORDER NO. ORDER/BJD/MG/2020-21/9494-9497	20-02-2023
ALKPB5263D	RAJENDRA PANDURANG BHANDARE	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/48/IMD-CIS/SEPT/2015	20-02-2023
ALKPB5263D	RAJENDRA PANDURANG BHANDARE	ADJUDICATION ORDER U/S 15-I OF SEBI ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING ENQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES 1995.	ADJUDICATION ORDER NO. ORDER/BJD/MG/2020-21/9494-9497	20-02-2023
BIPPP0583D	GIRIRAJ PANDEY	UNDER SECTIONS 11(1), 11(4) 11B AND 11AA OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999 AND REGULATION 11 OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003.	WTM/SKM/EFD1-DRA-III/25/2019-20	20-02-2023
BLQPS6267P	DEEPAK SHARMA	UNDER SECTIONS 11(1), 11(4) 11B AND 11AA OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999 AND REGULATION 11 OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003.	WTM/SKM/EFD1-DRA-III/25/2019-20	20-02-2023
AACCI5457Q	INDRAKSHI SALES PVT.LTD	ADJUDICATION PROCEEDINGS IN THE MATTER OF TRADING MEMBERS IN IIIIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EAD-5/AN/HP/60081/1/2022	22-02-2023
AACCG6000B	GEOMETRY VANJIYA PVT.LTD	ADJUDICATION PROCEEDINGS IN THE MATTER OF TRADING MEMBERS IN IIIIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EAD-5/AN/SM/60078/1/2022	22-02-2023

AACCB7074J	MKB SECURITIES PVT LTD	ADJUDICATION PROCEEDINGS IN THE MATTER OF TRADING MEMBERS IN IIIIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EAD-5/AN/PR/2022/59987/1	22-02-2023
AACCB7981J	BRIGHT BUILDTECH PVT.LTD.	NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 15I OF THE SEBI ACT, 1992 IN THE MATTER OF NON-SUBMISSION OF BANK DETAILS BY ISSUERS TO DEBENTURE TRUSTEES	SEBI/EAD-1/SM/RG/63415/2/2022	22-02-2023
AAACO1722C	ARTIFICE PROPERTIES PRIVATE LIMITED	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	EAD-4/ADJ/GR/PU/OW/P/21327/3/2022	23-02-2023
AACCT7439H	TIGER DEVELOPERS PRIVATE LIMITED	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	EAD-4/ADJ/GR/HK/OW/P/21332/1/2022	23-02-2023
AACCT8158M	TORUS BUILDCON PRIVATE LIMITED	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	EAD-4/ADJ/GR/HK/OW/P/21332/1/2022	23-02-2023
AADCA7654E	ANR SECURITIES LIMITED	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	EAD-4/ADJ/GR/RK/OW/P/21327/4/2022	23-02-2023
AAFCR2252M	ROSESTAR MARKETING PRIVATE LIMITED	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	EAD-4/ADJ/GR/RK/OW/P/21327/8/2022	23-02-2023

AAGCR6009M	RAMYA MERCANTILE PVT LTD.	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	EAD-4/ADJ/GR/PU/OW/P/26236/1/2022	23-02-2023
AALCS1157L	SAUBHAGYA BUILDCON PRIVATE LIMITED	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	EAD-4/ADJ/GR/HK/OW/P/21332/2/2022	23-02-2023
AADCR9657A	REXCIN FINANCE PRIVATE LIMITED	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	EAD-4/ADJ/GR/RK/OW/P/21327/3/2022	23-02-2023
AJHPJ4757D	SHRI VIKASH JHA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008	WTM/RKA/EFD-DRA-IV/184/2016	27-02-2023
AAPPC4494H	PARESH NATHALAL CHAUHAN	SERVICE PF PRDERS IN TERMS OF CIRCULAR NO. SEBI/HO/EFD1/EFD_DR4/P/CIR/2022/104	EFD-1/DRA-1/KSB/ON/39135/2022	27-02-2023
BAZPS4721C	SUBRATA SAMANTA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008.	WTM/RKA/EFD-DRA-IV/189/2016	06-03-2023
AUQPP7247L	ARVIND KASHMIRILAL PUNJABI	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/AO/SR/2022/47/43199/1	06-03-2023
BDBPS9453C	UMA SHANKAR SHAW	UNDER SECTIONS 11 (1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008, IN RESPECT OF:	WTM/RKA/EFD/175/2016	06-03-2023

AEYPD5580Q	SUBRATA DAS	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF ASOKA LIFE SCIENCE LIMITED	WTM/RKA/EFD/175/2016	06-03-2023
ABMPH2954D	SHEKE JASMIR HOSSAIN	UNDER SECTIONS 11, 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/22/2018	06-03-2023
AENPA5118G	SYED AKHTAR	UNDER SECTIONS 11, 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/128/2018	06-03-2023
AQYPM7781N	ANAND MOHAN	UNDER SECTIONS 11 (1), 11(4), 11A AND 1111 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD/133/2016	06-03-2023
ACHPA5541M	ANNAPAREDDY LEELA	UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATIONS 44 OF THE SEBI (SUBSTANTIAL ACQUISITION OF SHARES AND TAKEOVERS) REGULATIONS, 1997 READ WITH REGULATION 35 OF THE SEBI (SUBSTANTIAL ACQUISITION OF SHARES AND TAKEOVERS) REGULATIONS, 2011	WTM/GM/EFD/DRAIII/11/MAY/2017	06-03-2023
AHZPA5662L	ANNAPAREDDY NAMRATHHA	UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATIONS 44 OF THE SEBI (SUBSTANTIAL ACQUISITION OF SHARES AND TAKEOVERS) REGULATIONS, 1997 READ WITH REGULATION 35 OF THE SEBI (SUBSTANTIAL ACQUISITION OF SHARES AND TAKEOVERS) REGULATIONS, 2011	WTM/GM/EFD/DRAIII/11/MAY/2017	06-03-2023
AADCA9436E	ALLBRIGHT ELECTRICALS PVT LTD	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND UNDER SECTION 23-I OF THE SECURITIES CONTRACTS (REGULATIONS) ACT, 1956.	ADJUDICATION ORDER NO.: ORDER/VV/AS/2022-23/15978 - 16000]	06-03-2023
AABCG2641P	GRAFTON MERCHANT PVT LTD	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND UNDER SECTION 23-I OF THE SECURITIES CONTRACTS (REGULATIONS) ACT, 1956.	ADJUDICATION ORDER NO.: ORDER/VV/AS/2022-23/15978 - 16000]	06-03-2023

ADLPV5355P	MR. ARVINDKUMAR LUNKARAN VAKTHARIA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OFLNDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN RESPECT OF INTERIM ORDER -CUM- SHOW CAUSE NOTICE DATED JUNE 17, 2014 IN THE MATTER OF HALDHAR REALTY AND ENTERPRISES LIMITED.	WTM/GM/EFD/90 /2017-18	06-03-2023
AHOPV2197A	MR. LUNKARAN KACHRAJI VAKTHARIA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OFLNDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN RESPECT OF INTERIM ORDER -CUM- SHOW CAUSE NOTICE DATED JUNE 17, 2014 IN THE MATTER OF HALDHAR REALTY AND ENTERPRISES LIMITED.	WTM/GM/EFD/90 /2017-18	06-03-2023
AFFPA4197L	ANSAR AHMED	UNDER SECTIONS 11(1), 11(4), 11B AND 11AA OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 11 OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/AB/WRO/WRO/7 170/2019-20	06-03-2023
ALWPM2969H	PAWAN KUMAR MITTAL	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OFLNDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF TOUCH WOOD LIMITED.	WTM/GM/EFD/47/2018-19	06-03-2023
ADUPT7199Q	MR. DEEPAK TODKAR	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUTICATION OFFICER) RULES, 1995	ADJUDICATION ORDER NO.:PKK/AO/162/2010	06-03-2023

AWPPK9383F	MR. RAKESH KUMAR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/53/CIS-NRO/SEPT/2015	06-03-2023
AACCV6849B	VISTA MANAGEMENT SERVICES LIMITED	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/109/ERO/BLO/DEC/2015	06-03-2023
BDBPS9453C	SMT. UMA SHANKAR SHAW	UNDER SECTIONS 11 (1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/162/ER0/FEB/2016	06-03-2023
AKEPM1906F	NIRMAL MUKHERJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/53/2018	06-03-2023
AABCG5430A	NEESA TECHNOLOGIES LTD	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/46/WRO/JUN/2016	06-03-2023
AABCG5430A	NEESA TECHNOLOGIES LTD	UNDER SECTIONS 11(1), 11B AND 11(4) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 73 OF THE COMPANIES ACT 1956	WTM/AB/EFD1-DRA3/7449/2020-21	06-03-2023
AOMPM9182R	ANUKUL MAITI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/82/2017	06-03-2023
AABCL4590L	LOWE INFRA AND WELLNESS PRIVATE LIMITED	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	EAD-4/ADJ/GR/HK/OW/P/21332/3/2022	06-03-2023
AHAPD8240L	RAJESH TUKARAM DAMBRE PROPRIETOR- ANMOL FINANCE COMPANY	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/BM/UR/2021-22/15253-15267	06-03-2023
ABVPC3502H	CHATTERJEE DEBDAS DEBDAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/165/ERO/FEB/2016	06-03-2023
AAECR8598R	RISING AGROTECH LIMITED	UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED MARCH 16,2016 IN THE MATTER OF RISING AGROTECH LIMITED.	WTM/GM/EFD/35/2018-19	06-03-2023

AAECR8598R	RISING AGROTECH LIMITED	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/42/ERO/IMD/ MAY/2016	06-03-2023
ASLPB1204C	MRS. SHOBHA RATNAKAR BARDE	ADJUDICATION ORDER U/S 15-I OF SEBI ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING ENQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES 1995.	ADJUDICATION ORDER NO. ORDER/SR/SJ/2020-21/8539-8545/51-57	06-03-2023
AAMCS9355B	SUNSHINE INFRABUILD CORPORATION LIMITED	ADJUDICATION ORDER U/S 15-I OF SEBI ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING ENQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES 1995.	ADJUDICATION ORDER NO: EAD-2/SS/SK/2018-19/1199-1201	06-03-2023
CCBPS5904G	MUKESH SINGH (DIRECTOR)	ADJUDICATION ORDER U/S 15-I OF SEBI ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING ENQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES 1995.	ADJUDICATION ORDER NO: EAD-2/SS/SK/2018-19/1199-1201	06-03-2023
ATVPK1011B	MR. MUNENDRA KUMAR	UNDER SECTION 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-III/112/2017	06-03-2023
ACOPN4986E	MR. PADAM SINGH NARWARIA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-III/112/2017	06-03-2023
AMWPP5730C	MUNA MAHADEV PATRO,	UNDER SECTIONS 11, 11(4)AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN RESPECT OF INTERIM ORCER CUM SHOW CAUSE NOTICE DATED SEPTEMBER 30,2016 IN THE MATTER OF OSCAR MANAGEMENT SERVICES LTF (OMSL)	WTM/GM/EFD/24/2018-19	06-03-2023
ABWPL7019B	SANJOY KUMAR LAYEK	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-IV/89/2017	06-03-2023
ALLPM6767C	MR. RAJIB MUKHERJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/PS/174/ERO/IMD/MAR/2016	06-03-2023
AXCPS5392Q	MR. GOPAL CHANDRA SAHU	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-IV/43/2018	06-03-2023

AZEPA7933R	MR. DEBAPRIYA ACHARYA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008, IN RESPECT OF :	WTM/RKA/EFD/136/2016	06-03-2023
AFSPC3069C	DULAL CHATTERJEE	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF STPL INFRASTRUCTURES LIMITED	WTM/RKA/EFD/167/2016	06-03-2023
AAECR8598R	RISING AGROTECH LIMITED	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/30/ERO/AUGUST/2015	06-03-2023
AOMPM9182R	MR. ANKUL MAITI	UNDER SECTION 11 & 11B OF THE SECURITIES & EXCHANGE BOARD OF INDIA ACT, 1992,READ WITH REGULATION 107 OF SEBI (ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2009 IN THE MATTER OF ICORE E-SERVICES LIMITED.	WTM/GM/EFD/DRAIII/76/2017-18	06-03-2023
AAKCS2215E	SIYARAM AGRO INDUSTRIES LTD.	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 73 OF COMPANIES ACT, 1956	WTM/AB/EFD-1/DRA-IV/14/2020-21	06-03-2023
AFZPB0557M	PUSHPANJALI SINGH BAGHEL	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN RESPECT OF INTERIM ORDER DATED DECEMBER 26, 2014 IN THE MATTER OF SAI PRAKASH PROPERTIES DEVELOPMENT LTD. ("SPPDL")	WTM/GM/EFD/26/2019-20	06-03-2023
AFZPB0624B	PUSHPENDRA SINGH BAGHEL	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN RESPECT OF INTERIM ORDER DATED DECEMBER 26, 2014 IN THE MATTER OF SAI PRAKASH PROPERTIES DEVELOPMENT LTD. (SPPDL)	WTM/GM/EFD/26/2019-20	06-03-2023

AIUPR8350C	MR. PARVEEN KUMAR ROHILLA	UNDER SECTIONS 11, 11(4), AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/53/CIS-NRO/SEPT/2015	13-03-2023
AABCR5196G	WELLINDIA SECURITIES LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI EMAIL DATED 24-08-2022	13-03-2023
AAHCA5667M	ATLANTIC GOODS PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EMAIL FROM SEBI DATED AS ON 04-08-2022	13-03-2023
AAACP9093R	PANCARD CLUBS LIMITED	ADJUDICATION ORDER U/S 15-I OF SEBI ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING ENQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES 1995.	ADJUDICATION ORDER NO. ORDER/SR/SJ/2020-21/8539-8545/51-57	13-03-2023
AITPG8933H	MR. MANISH KALIDAS GANDHI	ADJUDICATION ORDER U/S 15-I OF SEBI ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING ENQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES 1995.	ADJUDICATION ORDER NO. ORDER/SR/SJ/2020-21/8539-8545/51-57	13-03-2023
AAIPR9196G	MR. RAMACHANDRAN RAMAKRISHNAN	ADJUDICATION ORDER U/S 15-I OF SEBI ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING ENQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES 1995.	ADJUDICATION ORDER NO. ORDER/SR/SJ/2020-21/8539-8545/51-57	13-03-2023
ASCPK7713Q	DHARM SINGH KUSHWAH	ADJUDICATION ORDER U/S 15-I OF SEBI ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING ENQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES 1995.	ADJUDICATION ORDER NO: EAD-2/SS/SK/2018-19/1199-1201	13-03-2023

AFKPJ6640Q	RISHABH JAIN	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 11(1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003 AND SECURITIES AND EXCHANGE BOARD OF INDIA (INVESTMENT ADVISERS) REGULATIONS, 2013	WTM/AB/SRO/SRO/8281/2020-21	13-03-2023
AMYPS0600G	KRISHAN PAL SINGH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999, AGAINST KALPBUT REAL ESTATE LIMITED	WTM/PS/19/CIS-NRO/JULY/2015	13-03-2023
AAVPH7486C	ARSHAD HUSSAIN	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	EAD-8/KS/MKG/AO/231/2018-19	13-03-2023
AABCG7950M	G R MAGNETS LIMITED	ADJUDICATION ORDER UNDER RULE 5 OF DEPOSITORIES (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 2005.	EAD/ADJ/PKB/AO-64/EIF-204/6214/2010	13-03-2023
AAACU1007G	USHA ISPAT LIMITED	NON SUBMISSION OF PENALTY AMOUNT IMPOSED BY ADJUDICATING OFFICER	MIRSD4/JM/AO-DID/7877/2012	13-03-2023
AAACU0927B	USHA (INDIA) LTD.	NON SUBMISSION OF PENALTY AMOUNT IMPOSED BY ADJUDICATING OFFICER	MIRSD4/JM/AO-DID//2011	13-03-2023
AZTPS4957Q	JAIN PRIYANKA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-10/SM/S/39946/1/2022	15-03-2023

AAHHB6466L	BIJAYA KUMAR SAHOO HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO. 36742/2022	15-03-2023
AABCA6413F	ANAND FINSTOCK SERVICES LIMITED	UNDER SECTION- 1-S-.I OP SECURITIP.S AND EXCHANGE BOARD OF INDIA "ACT, 1992 RE.AD WITH RULE 5 OF SHBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	EAD-2/DSR/RG/PU/345-403/2015	20-03-2023
ACXPV0260G	MS. ANITA ARVINDBHAI VAKTHARIA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OFLNDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN RESPECT OF INTERIM ORDER -CUM- SHOW CAUSE NOTICE DATED JUNE 17, 2014 IN THE MATTER OF HALDHAR REALTY AND ENTERPRISES LIMITED.	WTM/GM/EFD/90 /2017-18	20-03-2023
AGWPR6262R	DHIREN RAWANI	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 73 OF COMPANIES ACT, 1956	WTM/SM/ERO/ERO/9172/2020-21	20-03-2023
ALEPD7075R	RAJNEESH DUTTA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/156/IMD/WRO/ILO/FEB/2016	20-03-2023
ALUPK5400F	SANTOSH KUMAR	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/48/2017-18	20-03-2023
ALIPJ5869M	ANUJ JAIN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/159/2018	20-03-2023
AAACV7147C	VRP FINANCIAL SERVICES P/LTD	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/BM/UR/2021-22/15253-15267	20-03-2023
BHCPS8238J	RAJNEESH CHANDRA SHUKLA	THE ORDER OF THE SECURITIES APPELLATE TRIBUNAL DATED OCTOBER 25, 2016 IN MISC.APPLICATION NO.221 OF 2016 AND APPEAL NO. 275 OF 2016.	WTM/GM/EFD/79/2018-19	20-03-2023

AABCG1704F	GAMMA INFOWAY EXALT LTD	UNDER SECTION 19H OF DEPOSITORIES ACT, 1996 READ WITH RULE 5 OF DEPOSITORIES (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 2005	ADJUDICATION ORDER NO-PKB/AO-136/2009	20-03-2023
AABCP4155F	AADHAAR VENTURES INDIA LIMITED	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND SECTION 23-I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF THE SECURITIES CONTRACTS(REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER NO-ORDER/JS/N.2022-23/18699-18702	20-03-2023
AGKPM3175A	JILS RAICHAND MADAN	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND SECTION 23-I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF THE SECURITIES CONTRACTS(REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER NO-ORDER/JS/N.2022-23/18699-18702	20-03-2023
ALSPM2060P	MS. JYOTI MUNVER	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND SECTION 23-I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF THE SECURITIES CONTRACTS(REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER NO-ORDER/JS/N.2022-23/18699-18702	20-03-2023
ADDPA4350R	MEENU AJMERA	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN IIIIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/2022/38364/1	22-03-2023

AAHHA4468F	ASHISH BANTHIA HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/AO/SR/2022/15/43272	27-03-2023
BBUPS0147N	BAKIL SINGH (DIRECTOR)	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/49/EFD/SEPTEMBER/2015	27-03-2023
ALRPD5933D	BIJOY DAS	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/48/2017-18	27-03-2023
ADQPC5578E	PARTHA CHAKRABORTI	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/48/2017-18	27-03-2023
AXYPS2842R	RAJVEER SINGH	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/49/EFD/SEPTEMBER/2015	27-03-2023
BBNPS8548C	SWETANSHU SHEKHAR SAMAL	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/51/2017-18	27-03-2023
ABUPA9340A	KAMLESH KUMAR AGARWAL	UNDER SECTIONS 11(B), 11(1) AND 11(4) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,, 1992	WTM/MPB/EFD-1-DRA-III/115/2020	27-03-2023
AAPPA0896H	AMITA AGARWAL	INVESTIGATION IN THE MATTER OF TRADING ACTIVLTIES OF CERTAIN ENTITLES IN LLLIQULD STOCK OPTIONS (02 CASE)	SEBI/AO/IAD-1/SMR/40456/1/2022	27-03-2023
AFNPC5967Q	ANITA GHOSH CHOWDHURY	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008.	WTM/RKA/EFD-DRA-IV/189/2016	27-03-2023
BBGPM6355J	GOUTAM MUKHERJEE	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008.	WTM/RKA/EFD-DRA-IV/189/2016	27-03-2023
AIXPB3056C	RABISHANKAR BASU	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008.	WTM/RKA/EFD-DRA-IV/189/2016	27-03-2023

AGIPA6141Q	RAJENDRA KUMAR AGARWAL	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/GD/2022/36676/1	27-03-2023
AAJHA5618M	ASHISH KEDIA HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/AO/SR/2022/17/43274	27-03-2023
AJVPR6895C	ROY SOUGATA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008, IN RESPECT OF:	WTM/RKA/EFD/175/2016	27-03-2023
AZGPS4138J	JAYANTI SOUNTH	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008, IN RESPECT OF:	WTM/RKA/EFD/175/2016	27-03-2023
AXAPB8554D	SUDHIR CHANDRA BERA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008, IN RESPECT OF:	WTM/RKA/EFD/175/2016	27-03-2023
ADYPB3215H	ANJAN BANDYOPADHYAY	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008, IN RESPECT OF:	WTM/RKA/EFD/175/2016	27-03-2023
ACMPH7807A	SEKH JASIM HOSSAIN	UNDER SECTIONS 11, 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/22/2018	27-03-2023
AIUPS1764M	MD SALIM	UNDER SECTIONS 11, 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/128/2018	27-03-2023
AEWPD4198N	BAIRI GANJAN DASH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF SLB INVEST (INDIA) LIMITED	WTM/PS/08/ERO-BLO/APR/2016	27-03-2023

AHZPM1022C	BASUDEV MOHANTY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF SLB INVEST (INDIA) LIMITED	WTM/PS/08/ERO-BLO/APR/2016	27-03-2023
ANPPM8071M	AUROBINDO MAITI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF SLB INVEST (INDIA) LIMITED	WTM/PS/08/ERO-BLO/APR/2016	27-03-2023
ANXPM3985E	MS. ROSALIN MOHANTY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF SLB INVEST (INDIA) LIMITED	WTM/PS/08/ERO-BLO/APR/2016	27-03-2023
AXTPS4159G	MS. SWATI SATPATHY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF SLB INVEST (INDIA) LIMITED	WTM/PS/08/ERO-BLO/APR/2016	27-03-2023
ACGPN6147D	SWAPAN NANDI	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF STPL INFRASTRUCTURES LIMITED	WTM/RKA/EFD/167/2016	27-03-2023
ALAPS8382A	BHASKAR SAHA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008, IN RESPECT OF:	WTM/GM/NRO/30/2017	27-03-2023
AVOPS6359R	SAROJ KUMAR SAHOO	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF DYNAMIC ASSET MANAGEMENT LIMITED	WTM/PS/05/ERO-BLO/APR/2016	27-03-2023
AJPPR6529R	NARENDRA ROUT	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF DYNAMIC ASSET MANAGEMENT LIMITED	WTM/PS/05/ERO-BLO/APR/2016	27-03-2023
AAPCS9876G	SIYARAM GREEN INDIA LTD.	UNDER SECTIONS 11, 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992	WTM/GM/SEBI/ERO/IMD/23/JUNE/2017	27-03-2023
AUNPM9705D	KALI SANKAR MAJHI	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECRITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF -	WTM/GM/SEBI/ERO/IMD/23/JUNE/2017	27-03-2023
AKDPM2024K	ASHIM MITRA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/EFD-1/DRA-1V/05/2018-19	27-03-2023

ACWPG8355L	DEBABRATA GHOSH	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1982	WTM/PS/145/ERO/JAN /2016	27-03-2023
BQNPS0657R	INDRAJIT KUMAR SHARMA	UNDER SECTIONS 11 (1), 11(4), 11A AND 1111 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD/133/20 16	27-03-2023
AHKPM6164A	SANJOY MITRA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/EFD-1/DRA-1V/05/2018-19	27-03-2023
AAACC3529P	COSMO CORPORATE SERVICES LTD	UNDER SECTIONS 11 (1) AND 11B (1) OF THE SECURITIES AND EXCHANGE BOARD OFLNDIA ACT, 1992	WTM/MPB/EFD-1-DRA-4/101-103/2020	27-03-2023
AAACG1642F	GITANJALI GEMS LIMITED	UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005.	ORDER/SS/VS/2019-20/6979-6981	27-03-2023
AAEPS3776M	MR. DHANESH SHETH	UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005.	ORDER/SS/VS/2019-20/6979-6981	27-03-2023
ADIPA6803E	ANNAPAREDDY SUDHAKER REDDY	UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATIONS 44 OF THE SEBI (SUBSTANTIAL ACQUISITION OF SHARES AND TAKEOVERS) REGULATIONS, 1997 READ WITH REGULATION 35 OF THE SEBI (SUBSTANTIAL ACQUISITION OF SHARES AND TAKEOVERS) REGULATIONS, 2011	WTM/GM/EFD/DRAIII/ 11/MAY/2017	27-03-2023
AFMPB0449P	JAYSHREE SHANKAR BHOSLE	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 ("SEBI ACT") READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENAL TIES BY ADJUDICATING OFFICER} RULES.1896 ("SEBI AO RULES")	EAD-12/ AO/SM/57-72/2017)	27-03-2023

ARRPS2518R	PARAG CHANDRAKANT SHAH	IN RE: SECURITIES AND EXCHANGE BOARD OF INDIA (INVESTMENT ADVISERS) REGULATIONS, 2013, AND THE SECURITIES AND EXCHANGE BOARD OF INDIA (RESEARCH ANALYST) REGULATIONS, 2014	EAD-12/ AO/SM/57-72/2017)	27-03-2023
ACVPH5913N	RAJESH RAVINARAYAN HATI	UNDER SECTION- 1-S-.I OP SECURITIP.S AND EXCHANGE BOARD OF INDIA "ACT, 1992 RE.AD WITH RULE 5 OF SHBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	EAD-2/DSR/RG/PU/345-403/2015	27-03-2023
AAACW8434F	BRIJDHAM DEALCOM PVT LTD	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND UNDER SECTION 23-I OF THE SECURITIES CONTRACTS (REGULATIONS) ACT, 1956.	ADJUDICATION ORDER NO.: ORDER/VV/AS/2022-23/15978 - 16000]	27-03-2023
AACCG5385R	GULISTAN VANIJYA PVT LTD	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND UNDER SECTION 23-I OF THE SECURITIES CONTRACTS (REGULATIONS) ACT, 1956.	ADJUDICATION ORDER NO.: ORDER/VV/AS/2022-23/15978 - 16000]	27-03-2023
AADCC0116F	CHANDRAMUKHI VANIJYA PVT LTD	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND UNDER SECTION 23-I OF THE SECURITIES CONTRACTS (REGULATIONS) ACT, 1956.	ADJUDICATION ORDER NO.: ORDER/VV/AS/2022-23/15978 - 16000]	27-03-2023
AAPCS2813M	SILVERSON DEALTRADE PVT. LTD.	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND UNDER SECTION 23-I OF THE SECURITIES CONTRACTS (REGULATIONS) ACT, 1956.	ADJUDICATION ORDER NO.: ORDER/VV/AS/2022-23/15978 - 16000]	27-03-2023
APBPG3984P	MR. ROHIT GUPTA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/ 22/EFD/MAY/2016	27-03-2023
ACFPS9152A	RAVINDER SINGH SIDHU	UNDER SECTIONS 11(1), 11B AND 11(4) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999	WTM/PS/51/CIS/NRO/ DEC/2014	27-03-2023

AOFPS6728A	SUKHPAL SING BARAR	UNDER SECTIONS 11(1), 11B AND 11(4) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999	WTM/PS/51/CIS/NRO/ DEC/2014	27-03-2023
AKQPS6527G	AWDHESH KUMAR SINGH	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED DECEMBER 09, 2015 IN THE MATTER OF SUNPLANT BIO ENERGY PRIVATE LIMITED (SBEPL).	WTM/GM/EFD /52/2017-18	27-03-2023
AKAPK2774R	GIRIJA SHANKAR KUMAR	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED DECEMBER 09, 2015 IN THE MATTER OF SUNPLANT BIO ENERGY PRIVATE LIMITED (SBEPL).	WTM/GM/EFD /52/2017-18	27-03-2023
BAZPP2860B	NEERAJ PATHAK	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED DECEMBER 09, 2015 IN THE MATTER OF SUNPLANT BIO ENERGY PRIVATE LIMITED (SBEPL).	WTM/GM/EFD /52/2017-18	27-03-2023

AGPPV1332D	MS. RITU VERMA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 4(2) (T) OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/PS/75/CIS-NRO/LKO/OCT/2015	27-03-2023
AGQPB7879L	MANOJ BHANDARI	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUTICATION OFFICER) RULES, 1995	ADJUDICATION ORDER NO.:EAD-2/DSR/RG/PU/345-403/2015	27-03-2023
AAACW9366N	WONDER COMMOTRADE PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.44972/1/2022	27-03-2023
ACTPT8377N	MANISH THAKKAR	ADJUDICATION PROCEEDINGS	EAD-12/HO/SM/PR/28941/2017	27-03-2023
AABCA2425K	BECKONS INDUSTRIES LTD.	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND SECTION 23 I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACT REGULATION (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER NO PM/AN/2020- 21/10277	27-03-2023
AFZPB2659A	JITENDRA BISAY	UNDER SECTIONS 11(1), 11(4), 11B AND 11AA OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 11 OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003.	WTM/MB/WRO/WRO/5275/2019-20	27-03-2023

AIQPB4211L	PHOOL CHAND BISAY	UNDER SECTIONS 11(1), 11(4), 11B AND 11AA OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 11 OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003.	WTM/MB/WRO/WRO/5275/2019-20	27-03-2023
AAICA9555B	ADARSH WEALTH VENTURES LIMITED	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992, IN THE MATTER OF ADARSH WEALTH VENTURES LIMITED	WTM/PS/107/ERO/BLO/DEC/2015	27-03-2023
AJAPR7516B	BIJAYA KUMAR ROUT	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992, IN THE MATTER OF ADARSH WEALTH VENTURES LIMITED	WTM/PS/107/ERO/BLO/DEC/2015	27-03-2023
AKOPM1988P	DIPAK KUMAR MOHANTY	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992, IN THE MATTER OF ADARSH WEALTH VENTURES LIMITED	WTM/PS/107/ERO/BLO/DEC/2015	27-03-2023
BFLPM3736C	RAMAKANTA MAHAKUL	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992, IN THE MATTER OF ADARSH WEALTH VENTURES LIMITED	WTM/PS/107/ERO/BLO/DEC/2015	27-03-2023
BAMPS0082D	ANIL SINGH	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992, IN THE MATTER OF ADARSH WEALTH VENTURES LIMITED	WTM/PS/107/ERO/BLO/DEC/2015	27-03-2023
ANMPD8317J	PARTHA DAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992,	WTM/PS/153/ERO-IMD/FEB/2016	27-03-2023
BGSPP3681F	DEV KUMAR PANDA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/109/ERO/BLO/DEC/2015	27-03-2023
AOWPK9297D	FAYAZ KHAN	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/109/ERO/BLO/DEC/2015	27-03-2023
BASPS9337P	BIJAYA KUMAR SWAIN	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/109/ERO/BLO/DEC/2015	27-03-2023
BAXPS2458G	MR. PARTHA SARKAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008	WTM/RKA/EFD-DRA-III/154/2016	27-03-2023

BEBPP8154A	MR. SANDIP PAL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008	WTM/RKA/EFD-DRA-III/154/2016	27-03-2023
AJCPD4443P	MS. SANGITA DAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/188/ERO/GLO/MAR/2016	27-03-2023
AIDPA6075A	SHRI ARJU ACHARJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/188/ERO/GLO/MAR/2016	27-03-2023
AFEPD6600G	SHRI CHANDAN DAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/188/ERO/GLO/MAR/2016	27-03-2023
BGSPS7776N	SHRI TUSHAR SUR	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/176/ERO/MAR/2016	27-03-2023
AJYPM7962Q	SHRI JOYDIP MUKHOPADHYAY	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/176/ERO/MAR/2016	27-03-2023
AJDPK8465D	MOHAMMED RAFIQUE KHAN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/171/IMD-ERO/MAR/2016	27-03-2023
AKKPM4156H	ABU SAMA MOLLA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008	WTM/PS/119/IMD-ERO/DEC/2015	27-03-2023
AFCPH9599G	ASHRAFUL HOQUE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATION, 2008	WTM/PS/119/IMD-ERO/DEC/2015	27-03-2023
AHIPG2705M	MR. SAUGATA GANGUL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/14/IMD-ERO/APR/2016	27-03-2023
ACPPC1795F	SUBIR CHAKRAVARTY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/69/2017	27-03-2023
ALSPC9709K	SHALINI CHAKRABORTY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/69/2017	27-03-2023
AFUPN1354H	PARTHA SARATHI NASKAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/69/2017	27-03-2023

AGKPG8261D	AMIT BANDHU GHOSH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/69/2017	27-03-2023
AKDPG9372N	MAHITOSH GANGULY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/53/2018	27-03-2023
AADCG9096Q	GREEN TOUCH PROJECTS LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/95/ERO-IMD/DEC/2015	27-03-2023
AAECD0746M	DREAMLAND INVESTMENT CONSULTANTS PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO. 41498/1/2022	27-03-2023
ACAPC5686Q	SHRI BIBHASH CHAKRABORTY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPM/EFD-1-DRA-IV/48/2017	27-03-2023
AFSPC3088H	PRASANTA CHAKRABORTY	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008.	WTM/RKA/EFD/180/2016	27-03-2023
AHHPD9400B	SHYAM SUNDAR DEY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/95/ERO-IMD/DEC/2015	27-03-2023
AHIPG2705M	SHRI SAUGATA GANGULY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/53/2017	27-03-2023
AHNPC3667M	MRIGANKA SEKHAR CHAKRABORTY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/85/2017	27-03-2023
AIXPB3056C	RABISHANKAR BASU	UNDER SECTIONS 11 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF LNDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/83/2017	27-03-2023
ALIPM6848H	SHRI ARJUN MAJUMDAR	UNDER SECTIONS 11(1), 11(4), AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF RELIABLE MULTI MANAGERIAL SERVICES LTD.	WTM/AB/EFD-1/DRA-4/17/2018-19	27-03-2023
AMBPR6838E	SAURAV SINGHA ROY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/83/2017	27-03-2023
AMRPK9676M	PRADIP KUMAR KUNDU	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/85/2017	27-03-2023

AMUPK1443K	SHRI SANJAY KARMAKAR	UNDER SECTIONS 11(1), 11(4), AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF RELIABLE MULTI MANAGERIAL SERVICES LTD.	WTM/AB/EFD-1/DRA-4/17/2018-19	27-03-2023
AQGPP9613A	LALTU PIYADA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF DIGSTAR PROJECTS LIMITED IN RESPECT OF :	WTM/MPB/EFD-DRA4/8/2017	27-03-2023
BJGPS2760H	SUMON SARKAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/95/ERO-IMD/DEC/2015	27-03-2023
CVPPS0839B	ONKAR SINGH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/85/2017	27-03-2023
AHTPB4511B	SUDHIR KUMAR BEHERA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF REAL VISION INTERNATIONAL LIMITED	WTM/PS/106/ERO/BLO/DEC/2015	27-03-2023
AABPQ9649J	MOHAMMAD QAMAR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/SEBI/ERO/IMD/01/APR/2017	27-03-2023
AANCS8397N	PROSPERITY AGRO INDIA LIMITED (FORMERLY: SAMRUDDHA JEEVAN AGRO INDIA PRIVATE LIMITED)	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999 - IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED MARCH 31, 2015 IN THE MATTER OF PROSPERITY AGRO INDIA LIMITED	WTM/GM/EFD/40/2017-18	27-03-2023
AAUPY1604L	MR. RAM SHANKAR YADAV	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. RA/JP/40-44/2016	27-03-2023
ABNPS5493D	RAJINDER SINGH	UNDER SECTIONS 11(1), 11(4), 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/NRO/20/2020-21	27-03-2023
ABUPG5799B	SANJAY GUPTA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/46/WRO/JUN/2016	27-03-2023

ADAPP6395C	SHRI PRADEEP KUMAR PATTNAIK	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/23/2018	27-03-2023
ADFPV9924N	VISHWA BANDHU VASHISTHA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/83/NRO/IMD/NOV/2015	27-03-2023
ADWPC3514A	MANOJ KUMAR CHAND	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/RKA/EFD/92/2016	27-03-2023
ADWPC8320Q	GOUTAM CHATTERJEE	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/166/ERO/FEB/2016	27-03-2023
AERPG2839N	ARVIND GUPTA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/46/WRO/JUN/2016	27-03-2023
AEUPJ2034B	MR. DILIP KUMAR JAIN	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. RA/JP/40-44/2016	27-03-2023
AFAPC4321D	PANKAJ KUMAR CHAND	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/RKA/EFD/92/2016	27-03-2023
AFHPV7774C	MR. VAKA SARADHI	UNDER SECTIONS 11(1), 11B AND 11(4) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999	WTM/PS/04/CIS-SRO/HLO/APR/2015	27-03-2023
AFUPT3687B	MR. SENGAN THANGAPPALAM	UNDER SECTIONS 11(1), 11(4), 11B AND 11D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/25/IMD-CIS/SRO/MAY/2016	27-03-2023
AFYPD5024N	SUBIR DUTTA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/155/2018	27-03-2023
AGKPR5219G	PABITRA KUMAR RATH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF REAL VISION INTERNATIONAL LIMITED	WTM/PS/106/ERO/BLO/DEC/2015	27-03-2023
AHIPG8660R	MR. ESHARARAO GUNDALA	UNDER SECTIONS 11(1), 11B AND 11(4) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999	WTM/PS/04/CIS-SRO/HLO/APR/2015	27-03-2023

AIDPB2960M	SHRI ANJAN KUMAR BALIYARSINGH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/23/2018	27-03-2023
AJBPS9073R	RAKESH SHRIVASTAV	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992,	WTM/PS/118/IMD/ERO-PLO/DEC/2015	27-03-2023
AJJPB1169R	DINESH SINGH BHADORIYA	UNDER SECTIONS 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 11 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICE RELATING TO SECURITIES MARKET) REGULATIONS, 2003.	WTM/GM/EFD/71/2017-18	27-03-2023
AJVPR4961P	RAKESH RANJAN	UNDER SECTIONS 11(1), 11(4), 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/NRO/20/2020-21	27-03-2023
AJVPR4961P	RAKESH RANJAN	UNDER SECTIONS 11(1), 11(4), 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/94/2018-19	27-03-2023
AKCPD2328J	TAPASH KUMAR DHAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/26/2018	27-03-2023
ANKPB4288J	DINESH PROSAD BHAGAT	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/94/2018-19	27-03-2023
ANQPP5668L	MR. SANTOSH KALURAM PAYGUDE	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999 - IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED MARCH 31, 2015 IN THE MATTER OF PROSPERITY AGRO INDIA LIMITED	WTM/GM/EFD/40/2017-18	27-03-2023
ANTPM5722B	MALAY MALLICK	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/IMD/49/2017-18	27-03-2023
AONPK3801J	MR. ANIS MOHAMAD KAZI	ON REPRESENTATION DATED JANUARY 29, 2018 IN THE MATTER OF NETWORTH MARKETING LIMITED WITH RESPECT TO ORDER DATED AUGUST 28, 2017	WTM/GM/EFD/45/2018-19	27-03-2023

AOPPP0146M	BIPLAB PRADHAN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/20/2017	27-03-2023
APXPS7159E	SUBASH CHANDER SHARMA	UNDER SECTIONS 11(1), 11(4), 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/NRO/20/2020-21	27-03-2023
AQIPD2277J	SUMIT KUMAR DAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/44 /2017	27-03-2023
AQLPS3885J	SHUBHRANSHU SINGH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/4/2018	27-03-2023
AREPK5807E	NAND LAL KOIREE	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 73 OF COMPANIES ACT, 1956	WTM/SM/ERO/ERO/9172/2020-21	27-03-2023
ARFPS7604E	MANOJ SINGHAL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/46/WRO/JUN/2016	27-03-2023
ARWPB6634J	MR. MAHENDRA MAHADEO BHUVAD	ON REPRESENTATION DATED JANUARY 29, 2018 IN THE MATTER OF NETWORTH MARKETING LIMITED WITH RESPECT TO ORDER DATED AUGUST 28, 2017	WTM/GM/EFD/45/2018-19	27-03-2023
ATIPS7061A	INDRANIL SINHA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/166/ERO/FEB/2016	27-03-2023
AVVPS8411M	KISHAN PAL SINGH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/83/NRO/IMD/NOV/2015	27-03-2023
AZBPM9958P	CHHOTRAI MAJHI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/4/2018	27-03-2023
AZBPR2908J	DHARMNATH RAI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/44 /2017	27-03-2023
BBTPP7677P	KRISHNA NANDAN PRASAD	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/94/2018-19	27-03-2023

BBXPK1567K	MR. HRISHIKESH VASANT KANASE	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999 - IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED MARCH 31, 2015 IN THE MATTER OF PROSPERITY AGRO INDIA LIMITED	WTM/GM/EFD/40/2017-18	27-03-2023
BDWPK0980P	RAJKUMAR KUSHWAH	UNDER SECTIONS 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 11 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICE RELATING TO SECURITIES MARKET) REGULATIONS, 2003.	WTM/GM/EFD/71/2017-18	27-03-2023
BPZPS7525B	JAI SINGH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/44 /2017	27-03-2023
AACPY7690N	ANURAG YADAV	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	EAD-4/ADJ/GR/HK/OW/P/21332/7/2022	27-03-2023
AAFFT6864L	TRUST INVESTMENTS	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/ERO/MD/RK/OW/2022/037527/1	27-03-2023
AATPM7049N	DURGA PRASAD MISHRA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/200/ERO-BLO/MAR/2016	27-03-2023
ABWPC5031E	BISWAJIT CHAKRABORTY	UNDER SECTIONS 11 AND 11B OF THE SECURTIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED MAY 20, 2015 IN THE MATTER OF VERINDER FINANCE LIMITED	WTM/GM/EFD/DRAIII/34/2017-18	27-03-2023

ADFPB5807Q	VERINDER FINANCE LIMITED	UNDER SECTIONS 11 AND 11B OF THE SECURTIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED MAY 20, 2015 IN THE MATTER OF VERINDER FINANCE LIMITED	WTM/GM/EFD/DRAIII/ 34/2017-18	27-03-2023
AEOPA2984D	MOHAMMED SUNWAS ALI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/13/ERO/APR/ 2016	27-03-2023
AERPN9805M	TARIQ NAFEES	UNDER SECTIONS 11 AND 11B OF THE SECURTIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED MAY 20, 2015 IN THE MATTER OF VERINDER FINANCE LIMITED	WTM/GM/EFD/DRAIII/ 34/2017-18	27-03-2023
AGKPR7880B	SHEIKH ABDUL ROBIAL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/74/2017	27-03-2023
AHDPM1535G	UPENDRA NATH MISHRA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/200/ERO-BLO/MAR/2016	27-03-2023
AJAPR9252L	RAJENDRA KARN RAJPOOT	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/156/IMD/WRO/ILO/FEB/2016	27-03-2023
AOAPJ7650M	NABINA JAHAN	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/74/2017	27-03-2023
AOLPM6441A	GOUTAM MAJUMDAR	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/79/2017	27-03-2023
AQFPS6151H	MR. SWAMINATH SINGHA	UNDER SECTIONS 11 AND 11B OF THE SECURTIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JANUARY 21, 2015 IN THE MATTER OF AAPNA PARIWAR AGRO FARMING DEVELOPERS INDIA LTD.	WTM/GM/EFD/DRAIII/ 15/2018-19	27-03-2023
ASPPD4817K	ARINDAM DUTTA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF -	WTM/PS/177/IMD/ERO-RLO/MAR/2016	27-03-2023
ATNPS4287P	VINOD KUMAR SHANKHWAR	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/156/IMD/WRO/ILO/FEB/2016	27-03-2023
AUHPM9905D	TAPAS KUMAR MONDAL	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/SM/ERO/ERO/9710/2020-21	27-03-2023

AAACM5575D	ALCHEMIST HOLDINGS LIMITED	UNDER SECTION 11 AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/38/NRO/AUG /2015	27-03-2023
AEYPM2888J	ARUNABHA MUKHOPADHYAY	UNDER SECTION 11 AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/81/2017	27-03-2023
AMZPS9585P	ASHIMA SOOD	UNDER SECTION 11, 11(4),11A AND 14B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-1-DRA-IV-193/2021	27-03-2023
AKWPM6994P	DEBASIS PRASAD MISHRA	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/51/2017-18	27-03-2023
AKSPG7055R	DEEPAK KUMAR GEHLOT	UNDER SECTION 11,11(4)AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/25/2018-19	27-03-2023
CEDPS4072K	LITAN CHANDRA SEN	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/48/2017-18	27-03-2023
AKQPP0632H	PARMANAD PRAJAPAT	UNDER SECTION 11,11(4)AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/25/2018-19	27-03-2023
AXYPS2851E	SANJEEV SINGH	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/49/EFD/SEPTEMBER/2015	27-03-2023
AJEPD3008L	SUBRATA DAS	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/48/2017-18	27-03-2023
ADIPT4968P	JITENDRA KUMAR TRIPATHI	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/91/2017	27-03-2023
ADPPG0695P	PARTHA PRATIM GHOSH	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/131/2018	27-03-2023
ADXPA3381N	SAMEER AGARWAL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/159/2018	27-03-2023
AESPA2305N	RAJENDRA AGARWAL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/159/2018	27-03-2023
AHLPG5454E	NIVEDITA GUPTA	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/91/2017	27-03-2023
AIZPG2134Q	SANJAY KUMAR GUPTA	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/91/2017	27-03-2023
AJNPM1411D	SUJIT MITRA	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/131/2018	27-03-2023

AKNPM5664R	BAPPADITYA MAJUMDER	UNDER SECTIONS 11(1), 11(4) 11A(1)(B) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/PS/08/IMD-DOF/MAY/2014	27-03-2023
AKOPK3769L	SEKH REZAUL KARIM	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/49/2017	27-03-2023
ALRPM9497P	VISHAL MASIH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/159/2018	27-03-2023
APQPM8659A	SANJOY KUMAR MAITI	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/131/2018	27-03-2023
ATBPS3325E	YOGENDRA PRATAP SHAHI	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/NRO/SEPT/2015	27-03-2023
AYQPS1691H	PINTU SARKAR	UNDER SECTIONS 11(1), 11(4) 11A(1)(B) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/PS/08/IMD-DOF/MAY/2014	27-03-2023
BBJPS6343H	PRALAY KUMAR SAW	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/131/2018	27-03-2023
BEMPP7199Q	SANDIP PAL	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/45/2017	27-03-2023
BPCPP1088R	KABIL PATRA	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/76/2017	27-03-2023
BWTPS8025B	SANGRAM KESHORI SAMAL	UNDER SECTIONS 11(1), 11(4) 11A(1)(B) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/MPB/EFD-1-DRA-IV/68/2017	27-03-2023
CASPS1885B	TAPAN KUMAR SETHI	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF KRUTIBIBHA CORPORATION LIMITED	WTM/PS/124/ERO/BLO/DEC/2015	27-03-2023

AACCA9750E	ALLIANCE INTERMEDIATERIES AND NETWORK PVT LTD.	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/BM/UR/2021-22/15253-15267	27-03-2023
AAHPP4638C	HARESH POSNAK	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. SD/AO/55/2009	27-03-2023
ADDPV7664F	PRAVEEN VASISTH PROPRIETOR - GANESH TRADING COMPANY	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/BM/UR/2021-22/15253-15267	27-03-2023
ADNPA1610Q	RISHI AGARWAL	UNDER SECTIONS 11(B), 11(1) AND 11(4) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,, 1992	WTM/MPB/EFD-1-DRA-III/115/2020	27-03-2023
APEPS7258B	INDRA PRATAP GAJRAJ SINGH, PROPRIETOR - AMIZARA SECURITIES AND FINANCE	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/BM/UR/2021-22/15253-15267	27-03-2023
AXAPS7940N	KAUSHIK SHAH	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/BM/UR/2021-22/15253-15267	27-03-2023
AAKCS6316E	STHITHI INSURANCE SERVICES PVT LTD	UNDER SECTION 15-1 (2) OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. ORDER/MC/HP/2020-21/9613-9624]	27-03-2023
ACVPD0419R	KAILASH CHAND DUJARI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/165/ERO/FEB/2016	27-03-2023
AERPR6301N	RAMANUJAM SESHARATHNAM	UNDER SECTIONS 11 (1), 11(4), 11A AND 1111 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/SM/IVD/ID10/34/2021-22	27-03-2023
AIIPD6966A	ANIL KUMAR DWIVEDI	UNDER SECTIONS 11 (1), 11(4), 11A AND 1111 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD/134/2016	27-03-2023

AKWPM4705A	SUSHREE MAZUMDER	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/47/2017	27-03-2023
AMTPB8538R	BANERJEE SOMENATH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/73/2017	27-03-2023
AOBPS1015G	PARDEEP KUMAR SHARMA	UNDER SECTIONS 11, 11(4),AND 11(B)OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 107 OF THE SEBI(ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS)REGULATION AND REGULATION 11OF SEBI(PROHIBITON OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATION 2003	WTM/RKA/IVD/NRO/01/2013	27-03-2023
ARXPD5428P	LOKESHWAR DEV	UNDER SECTIONS 11, 11(4),AND 11(B)OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 107 OF THE SEBI(ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS)REGULATION AND REGULATION 11OF SEBI(PROHIBITON OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATION 2003	WTM/RKA/IVD/NRO/01/2013	27-03-2023
AZJPM5650L	SAGAR MAJUMDER	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/47/2017	27-03-2023
AAACJ1873D	JAG PAR SECURITIES LTD	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. JJ/AM/AO-6- 11/2013	27-03-2023
ACDPT6858J	HITESH M THAKKAR	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATING ORDER NO.EAD-2/AO/36/2012	27-03-2023

ACQPM0876L	MARUPUDI SRINIVAS RAO	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. RA/DPS/222/2017	27-03-2023
ADSPN9721A	DEEPAK A NARVEKAR	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO: - SD/AO/29/2010	27-03-2023
AGGPR1017A	GANESH SHATRUGHN RAUT	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO: - SD/AO/60/2010	27-03-2023
ANRPM2774P	MAHTO SHANKAR	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO : PKK/AO/283/2010	27-03-2023
AVOPS4111P	AJIT S SURYAVANSHI	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO : SD/AO/78/2010	27-03-2023
BKUPS7144G	NEHA NARENDRA SHAH	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO : AO/SBM/EAD-3/84/2017	27-03-2023
AFFPT8842P	AMBIKA ARORA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/NRO/OW/JS/ASR /ISO/48778/1/2022	27-03-2023

AEZPM0434K	AJAY KUMAR MAHAPATRA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF MARS AGTOFARM DEVELOPERS LIMITED	WTM/MPB/EFD-1-DRA-IV/05/2017	27-03-2023
AGBPD7440C	SHIB NARAYAN DAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF SILICON PROJECTS INDIA LIMITED	WTM/MPB/EFD-1-DRA-IV/124/2018	27-03-2023
AGWPA0991L	SEIKH SARAFAT ALI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF MARS AGTOFARM DEVELOPERS LIMITED	WTM/MPB/EFD-1-DRA-IV/05/2017	27-03-2023
ANMPB7097H	SWADESH BASU	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992, IN THE MATTER OF MASS INFRA REALTY LIMITED	WTM/PS/10/ERO/APR/2016	27-03-2023
ASYPB9377P	ARUP BHATTACHARYYA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF MARS AGTOFARM DEVELOPERS LIMITED	WTM/MPB/EFD-1-DRA-IV/05/2017	27-03-2023
BBDPS1849E	SRINIVASA THANGA DURAI	UNDER SECTIONS 11, 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF SBS INVESTMENTS	WTM/MPB/EFD-1-DRA-IV/117/2018	27-03-2023
BCVPS0411F	TAPAN KUMAR SAMANTA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992, IN THE MATTER OF MASS INFRA REALTY LIMITED	WTM/PS/10/ERO/APR/2016	27-03-2023
BWIPS3911H	AMEET SINGH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF SUNPLANT CONSTRUCTIONS LIMITED	WTM/MPB/EFD-1-DRA-IV/99/2017	27-03-2023
AAGPF1976L	MR. BANWARI LAL KUSHWAH	UNDER SECTION 15 I OF SECURITIES .AND EXCHANGE ,BOARD OF INDIA ACT. 1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA(PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES 1996	ADJUDICATION ORDER NO: ORDER/SM/SM/2019-20/7197-7203	27-03-2023

ACAPL9691A	MR. BANABARI LAL LODHI	UNDER SECTION 15 I OF SECURITIES .AND EXCHANGE ,BOARD OF INDIA ACT. 1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA(PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES 1996	ADJUDICATION ORDER NO: ORDER/SM/SM/2019-20/7197-7203	27-03-2023
ALZPR5160Q	AMIT SINGH RATHORE	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN THE MATTER OF SUSK INDIA LIMITED	WTM/GM/EFD/78/2017-18	27-03-2023
BGGPK8474H	JEEVAN KHARADIYA	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN THE MATTER OF SUSK INDIA LIMITED	WTM/GM/EFD/78/2017-18	27-03-2023
ADXPC6922H	MR. GAJRAJ SINGH CHAUHAN	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. AO/JSNRP/49- 58/2017	27-03-2023
ADIPT4968P	MR. JITENDRA KUMAR TRIPATHI	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF DGR FARMS AND LEISURES LIMITED	WTM/AB/EFD-1/DRA-3/15/2019-20	27-03-2023
AIZPG2134Q	MR. SANJAY KUMAR GUPTA	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF DGR FARMS AND LEISURES LIMITED	WTM/AB/EFD-1/DRA-3/15/2019-20	27-03-2023

AHAPG4380N	MR. UMESH GUPTA	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF DGR FARMS AND LEISURES LIMITED	WTM/AB/EFD-1/DRA-3/15/2019-20	27-03-2023
AIGPS2229B	MR. HARMENDER SINGH SRAN	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. AO/JSNRP/49- 58/2017	27-03-2023
AHLPG5454E	MS. NIVEDITA GUPTA	UNDER SECTIONS 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF DGR FARMS AND LEISURES LIMITED	WTM/AB/EFD-1/DRA-3/15/2019-20	27-03-2023
AAFCM8730K	MPA AGRO ANIMAL PROJECTS LIMITED	UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JANUARY 21, 2015 IN THE MATTER OF MPA AGRO ANIMAL PROJECTS LIMITED	WTM/GM/EFD/DRAIII/ 50/2017-18	27-03-2023
ABUPG5799B	SHRI SANJAY GUPTA	UNDER SECTIONS 11(1), 11B AND 11(4) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 73 OF THE COMPANIES ACT 1956	WTM/AB/EFD1-DRA3/7449/2020-21	27-03-2023
ADVPG4051D	SHRI YOGESH GHISUMAL GEMAWAT	UNDER SECTIONS 11(1), 11(B) AND 11D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH SECTION 73 OF COMPANIES ACT 1956	WTM/AB/EFD1-DRA3/7449/2020-21	27-03-2023
ADZPK2242A	SHRI SURESH KUMAR	UNDER SECTIONS 11(1), 11(B) AND 11D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH SECTION 73 OF COMPANIES ACT 1956	WTM/AB/EFD1-DRA3/7449/2020-21	27-03-2023
AERPG2839N	SHRI ARVIND GUPTA	UNDER SECTIONS 11(1), 11B AND 11(4) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 73 OF THE COMPANIES ACT 1956	WTM/AB/EFD1-DRA3/7449/2020-21	27-03-2023

AETPC8946C	ABU SADEQUE ISHTIAQUE AHMED CHAOUDHURY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-III/138/2018	27-03-2023
AFKPP3892A	SHRI BISWAJIT PAUL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/46/2019	27-03-2023
ANHPK8201D	SMT. LINA KAYAL	UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED MARCH 16,2016 IN THE MATTER OF RISING AGROTECH LIMITED.	WTM/GM/EFD/35/2018-19	27-03-2023
ARFPS7604E	SHRI MANOJ SINGHAL	UNDER SECTIONS 11(1), 11B AND 11(4) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 73 OF THE COMPANIES ACT 1956	WTM/AB/EFD1-DRA3/7449/2020-21	27-03-2023
ACXPB0872A	SADHAN CHANDRA BRAHMACHARI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-IV/132/2018	27-03-2023
AEKPD7710E	PRAVAT KUMAR DASH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-IV/167/2018	27-03-2023
AENPD6409F	PRASHANTA KUMAR DASH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-IV/167/2018	27-03-2023
AEUPM3571L	SAIKAT MUKHERJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/PS/179/ERO/MAR/2016	27-03-2023
AGGPB6452R	SWETA BISWAL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/GM/IMD/71/2018-19	27-03-2023
AJBPC2210J	SHRI SUSANTA KUMAR CHATTERJEE	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008, IN RESPECT OF :	WTM/RKA/EFD/136/2016	27-03-2023
AONPP6949L	MR. SUDHANSHU SHEKHAR PATI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-IV/43/2018	27-03-2023
BDAPS1347A	CHAKRAPANI SARANGI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/RKA/EFD/141/2016	27-03-2023

BJMPS0012A	BHABANI SATAPATHY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/RKA/EFD/141/2016	27-03-2023
BWIPS3911H	AMEET SINGH	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/PS/24/ERO/IMD/MAY/2016	27-03-2023
CWDPS5006B	ANIL KUMAR SENAPATI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTIONS 56(1), 56(3) AND 56(4), SECTION 60 AND SECTION 73(2) OF THE COMPANIES ACT, 1956 IN THE MATTER OF MAGNOX INFRAPROJECTS LIMITED	WTM/MPB/EFD-1-DRA-IV/140/2018	27-03-2023
AAWPW7456Q	MR. SHANE AHMED WARSI	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008,	WTM/RKA/EFD/124/2016	27-03-2023
ALNPD6445N	MR. BISWANATH DUTTA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF SUNTECHNO INTERNATIONAL LIMITED	WTM/MPB/EFD-1-DRA-IV/145/2018	27-03-2023
BAPPS0138H	GOPAL SHARMA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/38/2019	27-03-2023
ARWPS6298R	PRASHANT SHARMA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/38/2019	27-03-2023
AKZPP8185C	MR. MADHAB CHANDRA PURKAIT	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/35/IMD/ERO-RLO/MAY/2016	27-03-2023
ADPPH2520Q	MR. GAUTAM KUMAR HALDER	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/35/IMD/ERO-RLO/MAY/2016	27-03-2023
ASPPB9723J	MR. MOHDKALIMULLAHBAIDYA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/35/IMD/ERO-RLO/MAY/2016	27-03-2023
AEEPB0756L	MR. SWAPAN KUMAR BOSE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/35/IMD/ERO-RLO/MAY/2016	27-03-2023
APYPS1402F	MR. RAM KUMAR SINHA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/35/IMD/ERO-RLO/MAY/2016	27-03-2023

AQMPB2700Q	MR. KUNTALBHATTACHARJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/35/IMD/ERO-RLO/MAY/2016	27-03-2023
ADIPC9989M	MR. RATAN CHOWDHURY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/35/IMD/ERO-RLO/MAY/2016	27-03-2023
ADIPC9990N	SMT. SHIMA CHOWDHURY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/35/IMD/ERO-RLO/MAY/2016	27-03-2023
AKZPP8185C	MR. MADHAB CHANDRA PURKAIT	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/37/IMD/ERO-RLO/MAY/2016	27-03-2023
ADPPH2520Q	MR. GAUTAM KUMAR HALDER	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/37/IMD/ERO-RLO/MAY/2016	27-03-2023
ASPPB9723J	MR. MOHD KALIMULLAH BAIDYA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/37/IMD/ERO-RLO/MAY/2016	27-03-2023
APYPS1402F	MR. RAM KUMAR SINHA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/37/IMD/ERO-RLO/MAY/2016	27-03-2023
ADIPC9989M	MR. RATAN CHOWDHURY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/37/IMD/ERO-RLO/MAY/2016	27-03-2023
ADIPC9990N	SMT. SHIMA CHOWDHURY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/37/IMD/ERO-RLO/MAY/2016	27-03-2023
AKZPP8185C	MADHAB CHANDRA PURKAIT	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/36/IMD/ERO-RLO/MAY/2016	27-03-2023
ADPPH2520Q	GAUTAM KUMAR HALDER	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/36/IMD/ERO-RLO/MAY/2016	27-03-2023
ASPPB9723J	MOHDKALIMULLAHBAIDYA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/36/IMD/ERO-RLO/MAY/2016	27-03-2023
AEEPB0756L	SWAPAN KUMAR BOSE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/36/IMD/ERO-RLO/MAY/2016	27-03-2023
APYPS1402F	RAM KUMAR SINHA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/36/IMD/ERO-RLO/MAY/2016	27-03-2023
AQMPB2700Q	MR. KUNTALBHATTACHARJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/36/IMD/ERO-RLO/MAY/2016	27-03-2023
ADIPC9989M	MR. RATAN CHOWDHURY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/36/IMD/ERO-RLO/MAY/2016	27-03-2023

ADIPC9990N	SMT. SHIMA CHOWDHURY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/36/IMD/ERO-RLO/MAY/2016	27-03-2023
AACCB6631M	BISHAL HORTICULTURE AND ANIMAL P ROJECTS LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/34/IMD/ERO-RLO/MAY/2016	27-03-2023
AKZPP8185C	MR. MADHAB CHANDRA PURKAIT	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/34/IMD/ERO-RLO/MAY/2016	27-03-2023
ADPPH2520Q	GAUTAM KUMAR HALDER	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/34/IMD/ERO-RLO/MAY/2016	27-03-2023
ASPPB9723J	MOHDKALIMULLAHBAIDYA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/34/IMD/ERO-RLO/MAY/2016	27-03-2023
AEEPB0756L	SWAPAN KUMAR BOSE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/34/IMD/ERO-RLO/MAY/2016	27-03-2023
APYPS1402F	RAM KUMAR SINHA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/34/IMD/ERO-RLO/MAY/2016	27-03-2023
ADIPC9989M	RATAN CHOWDHURY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/34/IMD/ERO-RLO/MAY/2016	27-03-2023
ADIPC9990N	SHIMA CHOWDHURY	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/34/IMD/ERO-RLO/MAY/2016	27-03-2023
AALPH6536K	LEKH NARAYAN CHAND	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 4(2) (T) OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/PS/87/CIS-NRO/NOV/2015	27-03-2023
ADQPM4433N	PREMLATA MITTAL	UNDER SECTIONS 11, 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF -	WTM/GM/SEBI/ERO/IMD/02/DEC/2016	27-03-2023
ADXPC6922H	MR. GAJRAJ SINGH CHAUHAN	UNDER SECTIONS 11 AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/71/CIS-NRO/FEB/2015	27-03-2023

AHVPG4066A	MR. TARAPADA GIRI	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND THE SEBI (ISSUE AND LISTING OF NON-CONVERTIBLE REDEEMABLE PREFERENCE SHARE) REGULATIONS, 2013	WTM/PS/155/IMD/ERO-BLO/FEB/2016	27-03-2023
AHZPB9748G	PRASANTA BERA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/40/2019	27-03-2023
AIDPA6075A	ARJU ACHARJEE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/IMD/59/2017-18	27-03-2023
AIGPS2229B	MR. HARMENDER SINGH SRAN	UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999	WTM/PS/71/CIS-NRO/FEB/2015	27-03-2023
AKDPP4403D	SUSHIL KUMAR PERIWAL	UNDER SECTIONS 11, 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF -	WTM/GM/SEBI/ERO/IMD/02/DEC/2016	27-03-2023
ALRPM3616J	MR. RASMIRANJAN MOHAPATRA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND THE SEBI (ISSUE AND LISTING OF NON-CONVERTIBLE REDEEMABLE PREFERENCE SHARE) REGULATIONS, 2013	WTM/PS/155/IMD/ERO-BLO/FEB/2016	27-03-2023
AOAPS8866A	PRITHI PAUL SINGH SETHI	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/50/2019	27-03-2023
APOPP3117N	MR. MANORANJAN PAHI	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND THE SEBI (ISSUE AND LISTING OF NON-CONVERTIBLE REDEEMABLE PREFERENCE SHARE) REGULATIONS, 2013	WTM/PS/155/IMD/ERO-BLO/FEB/2016	27-03-2023
CDTPS1044L	MR. GOURANGA CHARAN SAHOO	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND THE SEBI (ISSUE AND LISTING OF NON-CONVERTIBLE REDEEMABLE PREFERENCE SHARE) REGULATIONS, 2013	WTM/PS/155/IMD/ERO-BLO/FEB/2016	27-03-2023
AABCC8801H	CHANNEL NINE ENTERTAINMENT LIMITED	UNDER SECTIONS 11(1), 11(4), AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/NRO/NRO/10720/2020-21	27-03-2023
AFEPD6600G	CHANDAN DAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/IMD/59/2017-18	27-03-2023

AJCPD4443P	SANGEETA DAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/IMD/59/2017-18	27-03-2023
AJCPM9999F	ABHIJIT MAJUMDAR	UNDER SECTIONS 11, 11(4), AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/98/2017	27-03-2023
AKDPB6648N	MR. AMAL BHATTACHARYA	UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 107 OF SEBI (ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2009 IN THE MATTER OF ICORE E SERVICES LIMITED	WTM/GM/EFD/DRAIII/76/2017-18	27-03-2023
BDGPK0032E	MR. SHIVRAM KUSHWAH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/40/EFD/MAY/2016	27-03-2023
AAFCR0123D	RAVI KIRAN REALTY INDIA LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/173/ERO/IMD/MAR/2016	27-03-2023
AFQPP2737Q	MR. SWAPAN KUMAR PAUL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/173/ERO/IMD/MAR/2016	27-03-2023
AAHCS3986M	SAI PRASAD FOODS LTD	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECUTITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/66/IMD-CIS/JAN/2015	27-03-2023
ABDPS2787C	SARDAR SINGH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 4(2) (T) OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICE RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/PS/93/IM-CIS/NRO/NOV/2015	27-03-2023

ABIPT7044A	PARTHA PRATI TEWARI	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED JULY 15, 2014 IN THE MATTER OF REMAC REALTY INDIA LIMITED	WTM/GM/EFD/100/2017-18	27-03-2023
ABTPS4472N	SURESH L SHRIVASTAV	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999,	WTM/PS/48/CIS/NRO/NOV/2014	27-03-2023
ACNPN0341E	RAKESH SINGH NARWARIA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER DATED MARCH30, 2015 IN THE MATTER OF PARIVAR DAIRIES AND ALLIED LTD. (PDAL) AND PDA FOUNDATION (PDAF)	WTM/GM/EFD/112/2017-18	27-03-2023
AFEPN2325L	GIRJA NARWARIA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999, IN RESPECT OF INTERIM ORDER DATED MARCH30, 2015 IN THE MATTER OF PARIVAR DAIRIES AND ALLIED LTD. (PDAL) AND PDA FOUNDATION (PDAF)	WTM/GM/EFD/112/2017-18	27-03-2023
AFIPB3674A	BALASAHEB K BHAPKAR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/66/IMD-CIS/JAN/2015	27-03-2023
AFIPB3674A	BALASAHEB K BHAPKAR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/146/IMD-CIS/FEB/2016	27-03-2023

AFVPA8971N	ANUP AGGARWAL	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF PEERS ALLIED CORPORATION LIMITED	WTM/PS/187/CIS-NRO/MAR/2015	27-03-2023
AGEPT4844K	ASHISH K TRIPATHI	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF PEERS ALLIED CORPORATION LIMITED	WTM/PS/187/CIS-NRO/MAR/2015	27-03-2023
AGZPK5596J	ARUN KUMAR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/84/2019-20	27-03-2023
AKNPM5664R	BAPPADITYA MUJUMDER	UNDER SECTIONS 11, 11(4), 11A AND 11B (1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/95/2020	27-03-2023
AMWPC8208N	MR. RAMADHAR CHPUDHARY	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999,	WTM/PS/129/CIS/ILO-WRO-II/JAN/2016	27-03-2023
ANQPS0753F	AJAY KUMAR SHARMA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/84/2019-20	27-03-2023
ARAPS3958G	LAXMI S SHRIVASTAV	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999,	WTM/PS/48/CIS/NRO/NOV/2014	27-03-2023
AREPA2954N	MR. SATISH KUMAR ARYA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999,	WTM/PS/129/CIS/ILO-WRO-II/JAN/2016	27-03-2023

AVZPS2724H	RAJESHWARI SENGAR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999, AGAINST KALPBUT REAL ESTATE LIMITED	WTM/PS/19/CIS-NRO/JULY/2015	27-03-2023
AYQPS1691H	MR. PINTU SARKAR	UNDER SECTIONS 11, 11(4), 11A AND 11B (1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM.MPB/EFD-1-DRA-IV/113/2017	27-03-2023
BBIPS1965G	MANOJ KUMAR SENGAR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 4(2) (T) OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICE RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/PS/93/IM-CIS/NRO/NOV/2015	27-03-2023
CIMPS8022E	MR. RAMNARESH SAKET	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999,	WTM/PS/129/CIS/ILO-WRO-II/JAN/2016	27-03-2023
AABCM9358H	M/S. MAITREYA SERVICES PVT. LTD	DIRECTIONS UNDER SECTIONS 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH REGULATIONS 65 AND 73 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999.	WTM/RKA/CIS/07/2013	27-03-2023
ADNPT5796Q	MS. POOJA TANDAN	UNDER SECTIONS 11(1), 11(4) 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULAION 107 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2009 IN RESPECT OF:	WTM/RKA/RLO/136/2015	27-03-2023

AXAPS6773K	GURNEK SINGH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULAION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN RESPECT OF INITERIM ORDER CUM SHOW CAUSE NOTICE DATED MARCH 17, 2015 IN THE MATTER OF MARK BUILDERS & LAND DEVELOPERS LIMITED.	WTM/GM/EFD/08/2018-19	27-03-2023
BCAPS7164Q	NARINDER SHARMA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULAION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN RESPECT OF INITERIM ORDER CUM SHOW CAUSE NOTICE DATED MARCH 17, 2015 IN THE MATTER OF MARK BUILDERS & LAND DEVELOPERS LIMITED.	WTM/GM/EFD/08/2018-19	27-03-2023
BVEPP1224K	PAPPU PAWAR	UNDER SECTIONS 11(1), 11(4) 11B AND 11AA OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULAION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999 AND REGULATION 11 OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003.	WTM/SKM/EFD1-DRA-III/25/2019-20	27-03-2023
ACPPC9026K	ANIMESH CHOWDHURY	UNDER SECTIONS 11, 11(4),11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/101/2017	27-03-2023
AOWPG5672E	RAJU GHOSH	UNDER SECTION 11, 11(4),11A AND 14B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/MPB/EFD-1-DRA-IV/156/2018	27-03-2023
ATBPS3325E	YOGENDRA PRATAP SHAHI	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	EAD-8/KS/MKG/AO/231/2018-19	27-03-2023

AAECR3716R	RAGHUKUL SHARES INDIA PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER REGULATION 27(1) OF THE SEBI (INTERMEDIARIES) REGULATIONS, 2008 IN THE ENQUIRY PROCEEDINGS CONDUCTED IN RESPECT OF M/S. RAGHUKUL SHARES INDIA PVT. LTD.	SEBI/EFD1/DRA3/OW/ 50154/1/2022	27-03-2023
ATTPM4634Q	VISHALI MAHESH MOTEWAR	ADJUDICATION ORDER U/S 15-I OF SEBI ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING ENQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES 1995.	ADJUDICATION ORDER NO. ORDER/BJD/MG/2020-21/9494-9497	27-03-2023
AFIPB3675B	VANDANA B BHAPKAR	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/146/IMD-CIS/FEB/2016	27-03-2023
AHNPU3089M	ASTRO CAPITAL SOLUTIONS AND ITS PROPRIETOR ANKIT KUMAR UPADHAYAY	UNDER SECTIONS 11(1), 11(4) , 11B AND 11D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/SM/SRO/SR0/84 08 /2020-21	27-03-2023
DKBPS2620L	NATTHU SINGH	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999	WTM/PS/19/CIS-NRO/JULY/2015	27-03-2023
AANCS7178R	SAI PRAKASH PROPERTIES DEVELOPMENT LTD.	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OFLNDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN IN RESPECT OF INTERIM ORDER DATED DECEMVBER 26,2014 IN THE MATTER OF SAI PRAKASH PROPERTIES DEVELOPMENT LTD. ("SPPDL").	WTM/GM/EFD/26/2019-20	27-03-2023
AAACK3428B	KAYNET FINANCE LIMITED	UNDER SECTION 12(3) OF SEBI ACT, 1992 AND REGULATION 27(5) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (INTERMEDIARIES) REGULATIONS, 2008	QJA/SP/MIRSD/MIRSD _DPIEA/23166/2022-23	06-04-2023
AABCK3032E	KAYNET CAPITAL LIMITED	UNDER SECTION 12(3) OF SEBI ACT, 1992 AND REGULATION 27(5) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (INTERMEDIARIES) REGULATIONS, 2008	QJA/SP/MIRSD/MIRSD _DPIEA/23165/2022-23	06-04-2023

AAACK3428B	KAYNET FINANCE LIMITED	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATING ORDER NO: ORDER/BRK/PR/2022-23/19887	06-04-2023
AABCK3032E	KAYNET CAPITAL LIMITED	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATING ORDER NO: ORDER/BRK/PR/2022-23/19919	06-04-2023
BBDPP5436J	SHRI PRIYANSH PATODI	UNDER SECTION 11(1), 11(4)(B) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH THE SEBI (INVESTMENT ADVISERS) REGULATIONS, 2013, SEBI, (PORTFOLIO MANAGERS) REGULATIONS, 1993 AND THE SEBI (RESEARCH ANALYSYS) REGULATIONS, 2014	WTM/MPB/SEBI/WFD-DRA4/136/2018	06-04-2023
CHMPS7035J	PRADEEP SYAMSUNDER SWAIN	UNDER SECTION 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/IVD/ID6/20272/2022-23	06-04-2023
BLHPS3014H	MR. VIJAY KUMAR SHUKLA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF SEBI(COLLECTIVE INVESTMENT SCHEME) REGULATION, 1999	WTM/AB/EFD-1/DRA-3/15/2019-20	10-04-2023
BLHPS3014H	VIJAY KUMAR SHUKLA	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/91/2017	10-04-2023
AAECC5229J	CEMENDIA INFRASTRUCTURES LIMITED	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008.	WTM/RKA/EFD-DRA-IV/I89/2016	10-04-2023
AIAPP0435G	SUJAY PATHAK	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/53/2018	10-04-2023
AAICM5611Q	MOBONAIR WIRELESS PVT. LTD.	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER REF. NO. ORDER/NH/RJ/2022-23/20865-20867	11-04-2023

AAACH7852C	HBN DAIRIES & ALLIED LIMITED	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. AO/JSNRP/49- 58/2017	18-04-2023
AAMCS2738N	SAI PRASAD PROPERTIES LTD	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN RESPECT OF INTERIM ORDER DATED DECEMBER 26, 2014 IN THE MATTER OF SAI PRAKASH PROPERTIES DEVELOPMENT LTD. ("SPPDL")	WTM/GM/EFD/26/2019-20	18-04-2023
AZRPD9701B	VISHWAMBHER NATH DUBEY	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF PEERS ALLIED CORPORATION LIMITED	WTM/PS/187/CIS-NRO/MAR/2015	18-04-2023
BQHPS9902F	GYANESHWAR SHARMA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/84/2019-20	18-04-2023
BQVPR8043L	MR. VIVEK MAHENDRAGIRI RAMDATI	UNDER SECTIONS 11(1), 11(4), 11B(1) AND 11D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/ASB/WRO/WRO /19665/2022-23	19-04-2023
AACCR5402L	RPS PROPERTIES PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS AGAINST RPS PROPERTIES PVT LTD	EAD-8/PM/GD/ISO-II/32066/1/2021	25-04-2023
AFCPK7857G	RAJSHREE KOCHAR	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS AGAINST RAJSHREE KOCHAR	EAD-8/PM/GD/ISO-II/15686/1/2022	25-04-2023

BVBPB4487K	RUPABEN BHATT	SHOW CAUSE NOTICE UNDER SECTIONS 11(1),11(4), 11B(1) AND 11 B(2) OF THE SECURITITES AND EXCHANGE BOARD OF INDIA ACT, 1992 ("SEBI ACT") READ WITH RULE 4 (1) OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	SEBI/WRO/26852-1/04	25-04-2023
AFQPB2353A	YOGENDRA BISAY	UNDER SECTIONS 11(1), 11(4), 11B AND 11AA OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 11 OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003.	WTM/MB/WRO/WRO/ 5275/2019-20	25-04-2023
AABPV3976F	DR. V. VENKATARAMANUJAM	UNDER SECTIONS 11(1), 11(4), 11B AND 11D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/25/IMD-CIS/SRO/MAY/2016	25-04-2023
ACUPB9253E	BAGCHI KALI KISHORE	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/165/ERO/FEB /2016	25-04-2023
BXAPK0069H	MANOJ ASHOKBHAI KHALAS	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/SM/S./2022-23/19570-19588	28-04-2023
AULPA2242F	MR. SARFRAJ ALI	UNDER SEC 11 (1), 11(4), 11(4A),11B(1) AND AND 11B(2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 ("SEBI ACT") READ WITH SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES)RULES, 1995 ("ADJUDICATION RULES") IN THE MATTER OF M/S. STARBURST RESEARCH, PROPRIETORS: MR. MUSTKIM AND MR. SARFRAJ ALI.	SEBI/WRO/JLO/OW/P/ 1/63051/04/2022	28-04-2023
ACKPL1622P	BHIKARI CHARAN LENKA	UNDER SECTIONS 11(1), 11(4) 11A(1)(B) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/MPB/EFD-1-DRA-IV/68/2017	03-05-2023

ACDPC1181D	KANTA CHOWDHARY	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD/BM/JR/40048/2022	03-05-2023
AADHV7962B	VIPUL KUMAR JAVIA HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE		03-05-2023
ANGPS3086F	SHRI HUSSAIN SATTAF	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/KS/PP/2020-21/10156-10158	05-05-2023
ACGPD9190G	DEVERAJ SIDDIAH PERA NAIDU	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/PP/2018-19/2458-2490	08-05-2023
AAECK2699A	KESARISUTA VINIMAY PVT LTD	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF TRADING MEMBERS IN IIIIQUID STOCK OPTIONS	SEBI/HO/EAD1/SBM/AK/25674/2022	08-05-2023
ANYPP1513A	MS. ZALAK PURVESH PARIKH	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN MATTER OF A & M FEBCON LIMITED.	SEBI/HO/EAD/EAD2/P/OW/2023/6951/4	08-05-2023
AAACW2920N	ANUGRAH STOCK & BROKING PVT LTD	UNDER SECTION 12(3) OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 27 OF SECURITIES AND EXCHANGE BOARD OF INDIA (INTERMEDIARIES) REGULATIONS, 2008	WTM/SM/MIRSD/MIRSD_DPIEA/25009/2022-23	08-05-2023

AFLPM1238C	NIHAR RANJAN MISHRA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005 READ WITH SECTION 23-I OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956 AND RULE 4(1) OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OD PREFERENTIAL ALLOTMENT OF SHARES BY GOLD LINEINTERNATIONAL FINVEST LTD	EAD-6/ADJ/AK/AS/OW/11946/4/2023	08-05-2023
ANYPP1513A	MS. ZALAK PURVESH PARIKH	SHOW CAUSE NOTICE UNDER RULE 4(1) OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN MATTER OF A & M FEBCON LIMITED	SEBI/HO/EAD/EAD2/P/OW/2023/6951/2	10-05-2023
AAFCR9257A	ROLEX TIE-UP PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS AGAINST ROLEX TIE-UP PRIVATE LIMITED	EAD-8/PM/GD/ISO-II/29757/1/2021	15-05-2023
BCQPS5051J	MR. SOMIT KISHANCHANDRA SAXENA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA(COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999	WTM/PS/157/IMD-CIS/FEB/2016	23-05-2023
AIIPP3823E	MR. SUDHIR NATHURAM PAWA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND READ WITH REGULATION 65 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA(COLLECTIVE INVESTMENT SCHEME) REGULATIONS, 1999	WTM/PS/157/IMD-CIS/FEB/2016	23-05-2023
AOBPS0340E	SUKRIT SOOD	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/193/2021	23-05-2023

AQLPK1093L	KADAR HUSSAIN	UNDER SECTIONS 11, 11B AND 11D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 11(1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003 AND THE SECURITIES AND EXCHANGE BOARD OF INDIA (INVESTMENT ADVISERS) REGULATIONS, 2013	WTM/AB/SRO/SRO/8281/2020-21	23-05-2023
AAFHR2236E	RAJENDRA PRASAD KAMALIA HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD-8/AS/GD/2022/36942/1	29-05-2023
AXUPM0503H	MR. JEEVAN LAL MARU	UNDER SECTION 11(1), 11(4), 11(4A), 11B(1),11B(2) AND 15I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	WTM/AB/WRO/WRO/25160/2022-23	31-05-2023
NSSPS1252P	MR. RAMGOPAL SET	UNDER SECTION 11(1), 11(4), 11B(1), 11B(2) AND 11D OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES,1995	WTM/SM/WRO/WRO/24649/2022-23	31-05-2023
EQGPS3663P	MR. SAUDAN SINGH	UNDER SECTION 11(1), 11(4), 11B AND 11D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH REGULATION 3(1) OF SEBI (INVESTMENT ADVISERS) REGULATIONS, 2013	QJA/AA/WRO/WRO/25839/2023-24	31-05-2023
AAACM2105K	MODEX INTERNATIONAL SECURITIES LIMITED	SHOW CAUSE NOTICE UNDER REGULATION 27(1) OF SEBI (INTERMEDIARIES) REGULATIONS, 2008 IN THE ENQUIRY PROCEEDINGS CONDUCTED IN RESPECT OF MODEX INTERNATIONAL SECURITIES LIMITED.	SEBI/HO/EFD1/DRA3/P/OW/2023/16094/1	01-06-2023
AAACG9843L	GUINESS SECURITIES LIMITED	SHOW CAUSE NOTICE UNDER REGULATION 27(1) OF SEBI (INTERMEDIARIES) REGULATIONS, 2008 IN THE ENQUIRY PROCEEDINGS CONDUCTED AGAINST GUINESS SECURITIES LIMITED.	SEBI/HO/EFD1/DRA3/P/OW/2023/15479/1	01-06-2023

AACPC5805D	SHRI RAMESH CHANDRA	SHOW CAUSE NOTICE UNDER SECTION 11(1), 11(4A), 11B (1) AND 11 B(2) OF SEBI ACT, 1992 READ WITH SEBI (VENTURE CAPITAL FUNDS) REGULATIONS, 1996 READ WITH REGULATION 39 OF SEBI (ALTERNATE INVESTMENT FUNDS) REGULATIONS,2012 IN THE MATTER OF INSPECTION OF CIG REALITY FUND (SCHEME I, II & IV)	SEBI/JO/AFD-1/AFD-1-SEC/P/OW/2023/0000009836/1	01-06-2023
ABDPU8384M	SHAILESH KUMAR UPADHYAY	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF PEERS ALLIED CORPORATION LIMITED	WTM/PS/187/CIS-NRO/MAR/2015	02-06-2023
AAKPS9389Q	RAJESH KANTILAL SHAH	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5(1) OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFFICER) RULES,1995	ADJUDICATION ORDER NO. IVD/WOL/AO/DRK/ASG/EAD3-70/2009	06-06-2023
AAACN7282L	NAKODA LTD	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 & UNDER SECTION 23-I OFSECURITIES CONTRACTS (REGULATION) ACT,1956 READ WITH RULE 5 OF THE SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER NO. ORDER/VV/AK/PSS/2022-23/18792-18796	06-06-2023

AAACK4451A	KAASHYAP TECHNOLOGIES LTD	ORDER UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND SECTION 23–I OF SECURITIES CONTRACT (REGULATION) ACT, 1956 (HEREINAFTER REFERRED TO "SCRA") AND RULE 5 OF SECURITIES CONTRACT (REGULATIONS) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER NO: ORDER/GR/BM/2022-23/18221-18226	06-06-2023
AAGPD2888M	SHRI R. DAKSHINAMURTHY	ORDER UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND SECTION 23–I OF SECURITIES CONTRACT (REGULATION) ACT, 1956 (HEREINAFTER REFERRED TO "SCRA") AND RULE 5 OF SECURITIES CONTRACT (REGULATIONS) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER NO: ORDER/GR/BM/2022-23/18221-18226	06-06-2023
AIYPS4944H	SHRI. A SIVAKUMARAN	ORDER UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND SECTION 23–I OF SECURITIES CONTRACT (REGULATION) ACT, 1956 (HEREINAFTER REFERRED TO "SCRA") AND RULE 5 OF SECURITIES CONTRACT (REGULATIONS) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER NO: ORDER/GR/BM/2022-23/18221-18226	06-06-2023
AHXPP5708J	CHANDRAKANT AMRATLAL PAREKH	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5(1) OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFFICER) RULES,1995	ADJUDICATION ORDER NO.- SRP/RK/AO- 33/2009	06-06-2023

AAACF8795N	FAIRWEALTH SECURITIES LIMITED	ENQUIRY REPORT UNDER REGULATION 26 OD THE SEBI (INTERNEDIARIES) REGULATIONS, 2008	ENQUIRY REPORT NO.ENQ/PM/GD/2022-23/17263	07-06-2023
AAACW2920N	ANUGRAH STOCK & BROKING PRIVATE LIMITED	UNDER SECTION 11(1),11(4),11(1), 11B(2) AND 11D OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTIONN 12A OF SECURITIES CONTRACTS (REGULATION) ACT, 1956	WTM/SM/MIRSD_DPIEA/24256/2022-23	07-06-2023
AAEPK0469M	PARESH MULJI KARIYA	UNDER SECTION 11(1),11(4),11(1), 11B(2) AND 11D OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTIONN 12A OF SECURITIES CONTRACTS (REGULATION) ACT, 1956	WTM/SM/MIRSD_DPIEA/24256/2022-23	07-06-2023
AJHPK6018N	SADHANA PARESH KARIYA	UNDER SECTION 11(1),11(4),11(1), 11B(2) AND 11D OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTIONN 12A OF SECURITIES CONTRACTS (REGULATION) ACT, 1956	WTM/SM/MIRSD_DPIEA/24256/2022-23	07-06-2023
AADFO8242K	OM SRI SAI INVESTMENT	UNDER SECTION 11(1),11(4),11(1), 11B(2) AND 11D OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTIONN 12A OF SECURITIES CONTRACTS (REGULATION) ACT, 1956	WTM/SM/MIRSD_DPIEA/24256/2022-23	07-06-2023
AACCT9530H	TEJI MANDI ANALYTICS PRIVATE LIMITED	UNDER SECTION 11(1),11(4),11(1), 11B(2) AND 11D OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTIONN 12A OF SECURITIES CONTRACTS (REGULATION) ACT, 1956	WTM/SM/MIRSD_DPIEA/24256/2022-23	07-06-2023
ABWPG5141P	ANIL GOPAL GANDHI	UNDER SECTION 11(1),11(4),11(1), 11B(2) AND 11D OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTIONN 12A OF SECURITIES CONTRACTS (REGULATION) ACT, 1956	WTM/SM/MIRSD_DPIEA/24256/2022-23	07-06-2023
AAIPS0707L	RIDDHI KALAPI SHAH	UNDER SECTION 11(1),11(4),11(1), 11B(2) AND 11D OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTIONN 12A OF SECURITIES CONTRACTS (REGULATION) ACT, 1956	WTM/SM/MIRSD_DPIEA/24256/2022-23	07-06-2023

AABPV3960F	SHRI. A. VENKATRAMANI	ORDER UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND SECTION 23–I OF SECURITIES CONTRACT (REGULATION) ACT, 1956 (HEREINAFTER REFERRED TO "SCRA") AND RULE 5 OF SECURITIES CONTRACT (REGULATIONS) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER NO: ORDER/GR/BM/2022-23/18221-18226	09-06-2023
AYZPK9827A	SHRI NARAYAN MADHAV KUMAR	SHOW CAUSE NOTICE UNDER SECTIONS 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF M/S ALCHEMIST HOLDING LIMITED	SEBI/NRO/DDHS/JS/ASR/35768/1/2022	09-06-2023
BERPR1999P	MR. BALJIT SINGH RAJPAL	UNDER SECTIONS 11(1), 11(4), 11B (1) AND 11B (2) OF THE SECUTITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 3(1) OF THE SEBI (INVESTMENT ADVISERS) REGULATIONS, 2013	QJA/GG/QJC/QJC-2/26152/2023-24	12-06-2023
BBOPJ6519H	MR. BHAVNA JETHVANI	UNDER SECTIONS 11(1), 11(4), 11B (1) AND 11B (2) OF THE SECUTITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 3(1) OF THE SEBI (INVESTMENT ADVISERS) REGULATIONS, 2013	QJA/GG/QJC/QJC-2/26152/2023-24	12-06-2023
BWRPK1312F	MR. TAJ KHAN	UNDER SECTIONS 11(1), 11(4), 11B (1) AND 11B (2) OF THE SECUTITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 3(1) OF THE SEBI (INVESTMENT ADVISERS) REGULATIONS, 2013	QJA/GG/QJC/QJC-2/26152/2023-24	12-06-2023
AAECA1954G	SMS TECHSOFT (INDIA LTD)	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/PP/2018-19/2491-2523	12-06-2023
AACCG5669G	GBC ENTERPRISE LIMITED	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/PS/21/IMD-ERO/MAY/2016	14-06-2023
AEVPD1072L	KRISHNENDU DAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/PS/21/IMD-ERO/MAY/2016	15-06-2023

ALOPB4676N	SUGATA BAL DAS	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/PS/21/IMD-ERO/MAY/2016	15-06-2023
BFVPM1091N	KINA DILIP MEWADA	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFFICER) RULES,1995	ADJUDICATION ORDER NO-AO/BJD/MAS/EAD/128-143/2018	23-06-2023
AAACW9811G	WADHAWAN GLOBAL CAPITAL LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/ASB/CFID/CFID-SEC2/24485/2022-23	23-06-2023
ADIPA7292D	PAVAN BIR ANAND	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI EMAIL DATED 03-08-2022	26-06-2023
AHEPS7486F	SAGAR P SHAH	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO: - EAD-2/DSR/VVK/314/2014	26-06-2023
AXJPM6692G	MR. MUSTKIM	NOTICE OF HEARING IN THE MATTER OF M/S. STARBURST RESEARCH, PROPRIETORS: MR. MUSTKIM AND MR. SARFRAJ ALI	SEBI/WRO/JLO/MK/OW/P/19134/2/2023	28-06-2023
GFDPS9384R	DEEPAK PARASHRAM SALVI	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/BM/GN/2022-23/20892-20899	30-06-2023
AAPPS6559P	NARENDRA RAMANLAL SHAH	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/BM/GN/2022-23/20892-20899	30-06-2023
AJGPN1996D	AJAY NATHWANI	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/BM/GN/2022-23/20892-20899	30-06-2023

AABCE3676Q	EARTH EXIM LTD (EEL)	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/BM/GN/2022-23/20892-20899	30-06-2023
AUWPP2833Q	PREETI AJAY NATHWANI	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/BM/GN/2022-23/20892-20899	30-06-2023
ACEPS9276L	MRS. VARSHA MADHUSUDAN SATPALKAR	DIRECTIONS UNDER SECTIONS 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH REGULATIONS 65 AND 73 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS,1999.	WTM/RKA/CIS/07/2013	03-07-2023
ACEPS9276L	MRS. VARSHA MADHUSUDAN SATPALKAR	UNDER SECTIONS 11(1), 11(4) AND 1113 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN THE MATTER OF MAITREYA PLOTTERS AND STRUCTURES PRIVATE LIMITED	WTM/SKM/EFD-DRA-1/06/2018-19	03-07-2023
AJDPJ2709F	LOKENDRA JAIN	SHOW CAUSE NOTICE UNDER SECTIONS 11(1), 11(4), 11(4A), 11B(1) AND 11B(2) READ WITH SECTION 15HA,SECTION 15HB AND SECTION 15EB OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 4(1) OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995, TO THE PARTNERS OF ZENITHVIA RESEARCH SERVICES VIZ. ANURAG SHRIVASTAV, VIJAY SINGH TOMAR, LOKENDRA JAIN AND SANDEEP SHRIWAS.	SEBI/WRO/ILO/NM/OW/P/20333/1/2023	03-07-2023
AADCA6696C	ACHAL INVESTMENTS LTD	UNDER SECTIONS 11(1), 11(4)1 11B (1)1 119(2) AND 11(4A) OF THE SEBI ACT, 1992, AND SECTION 12A(2) OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956, READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	WTWMBRVDAD14/13306/2021-22	04-07-2023

ACJPJ5747L	JAGADISH VITAL	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/PP/2018-19/2491-2523	04-07-2023
ATYPA8648K	AKASH JAGADISH VITAL	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/PP/2018-19/2491-2523	04-07-2023
ABUPA2184Q	ANITA S. KADANTHALAI	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/PP/2018-19/2491-2523	04-07-2023
CPAPS2335R	ALAKABEN KIRTIBHAI SHAH	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/PP/2018-19/2491-2523	04-07-2023
AFXPB6525P	BAFNA S R	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/PP/2018-19/2491-2523	04-07-2023
AAEHD6246F	DHARMENDRA RIKHAVCHAND SHAH HUF	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/PP/2018-19/2491-2523	04-07-2023
AZYPS5654H	HIMADRI KAMLESHBHAI SHAH	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/PP/2018-19/2491-2523	04-07-2023
ADZPT3418R	KANUBHAI NARANDAS THAKKAR	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/PP/2018-19/2491-2523	04-07-2023
DFNPS6796L	KEVAL KIRTIKUMAR SHAH	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/PP/2018-19/2491-2523	04-07-2023

AKQPS8463F	KIRTIKUMAR RASIKLAL SHAH	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/PP/2018-19/2491-2523	04-07-2023
AGCPR8130L	K RAJAGOPAL	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/PP/2018-19/2491-2523	04-07-2023
AQOPC6330R	MAHAVIRSINGH N CHAUHAN	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/PP/2018-19/2491-2523	04-07-2023
AHWPT5940M	MEENABEN NATUBHAI THAKKAR	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/PP/2018-19/2491-2523	04-07-2023
COYPS9153J	MINABEN PRAFULBHAI SHAH	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/PP/2018-19/2491-2523	04-07-2023
AHQPT0178H	MITESH KANAIYALAL THAKKAR	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/PP/2018-19/2491-2523	04-07-2023
AJBPT8789M	MUKESHBHAI SHANTILAL THAKKAR	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/PP/2018-19/2491-2523	04-07-2023
AHWPT5944R	NATUBHAI SHANTILAL THAKKAR	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/PP/2018-19/2491-2523	04-07-2023
ADUPT1264A	NAVINCHANDRA KANUBHAI THAKKAR	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/PP/2018-19/2491-2523	04-07-2023

DCAPS6011N	PRUTHVI HIMANSHU SHAH	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/PP/2018-19/2491-2523	04-07-2023
ALBPR2586E	S RAJAGANESH	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/PP/2018-19/2491-2523	04-07-2023
AKMPM4951C	SURESH NENMALJI MALVI	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/PP/2018-19/2491-2523	04-07-2023
AMJPT1242N	VAISHALI NATVARLAL THAKKAR	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/PP/2018-19/2491-2523	04-07-2023
BOIPS2506E	VINIT KAMLESHKUMAR SHAH	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/PP/2018-19/2491-2523	04-07-2023
AEDPJ4235P	VINOD JAIN	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/PP/2018-19/2491-2523	04-07-2023
ACUPR5573R	RAJESH RANKA	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/PP/2018-19/2491-2523	04-07-2023
ACDPS9920N	DHARMENDRA RIKHAVCHAND SHAH	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/PP/2018-19/2491-2523	04-07-2023
AMEPB0155F	PRAFULLA SUBHASHCHANDRA BHAT	NOTICE OF HEARING IN THE MATTER OF SETUBANDHAN INFRASTRUCTURE LIMITED	SEBI/HO/.CFID/CFIDP/OW/2023/21716/3	05-07-2023

ALYPA2199R	IRSHAD ALI	UNDER SECTION 11(1), 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD/134/2016	06-07-2023
AAICM1034D	MRS AGROTECH INDIA LIMITED	UNDER SECTION 11(1), 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD/146/2016	06-07-2023
DPOPS9750H	SAMRAT SADHU	UNDER SECTION 11(1), 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD/146/2016	06-07-2023
BUUPK2909R	SMT DOLON SADHU KUNDU	UNDER SECTION 11(1), 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD/146/2016	06-07-2023
CNNPS3625P	HABIB SARKAR	UNDER SECTION 11, 11(4), 11AA AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACR, 1992	WTM/PS/27/ERO-RLO/IMD/MAY/2016	06-07-2023
AWBPK3800F	RAN VIJAY KUMAR	UNDER SECTION 11(1), 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD/134/2016	06-07-2023
AAHCM7407K	MOUNT VISION INDUSTRIES INDIA LTD	UNDER SECTION 11(1), 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD/134/2016	06-07-2023
ALXPK2636C	SANJAY KUMAR	UNDER SECTION 11(1), 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD/134/2016	12-07-2023
ATNPS4000L	RAJDEEP SADHU	UNDER SECTION 11(1), 11(4), 11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD/146/2016	12-07-2023

ALOPY8130A	RAMKUMAR BHOLANATH YADAV	NON DELIVERY OF EMAILS / SUMMONS UNDER SECTION 11C (3)/ 11C (5) OF THE SEBI ACT, 1992 IN THE MATTER OF TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF BEST AGROLIFE LTD	SEBI/HO/IVD/ID6/P/OW/2023/13874/1; SEBI/HO/IVD/ID6/P/OW/2023/14904/1; SEBI/HO/IVD/ID6/P/OW/2023/16505/1; SEBI/HO/IVD/ID6/P/OW/2023/18150/1	17-07-2023
BSVPC7326K	VIJAY ISHVARBHAI CHAUHAN	NON DELIVERY OF EMAILS / SUMMONS UNDER SECTION 11C (3)/ 11C (5) OF THE SEBI ACT, 1992 IN THE MATTER OF TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF BEST AGROLIFE LTD	SEBI/HO/IVD/ID6/P/OW/2023/13884/1; SEBI/HO/IVD/ID6/P/OW/2023/16169/1; SEBI/HO/IVD/ID6/P/OW/2023/17381/1	17-07-2023
BYNPP4009C	KEYUR VINOD CHANDRA PARMAR	NON DELIVERY OF EMAILS / SUMMONS UNDER SECTION 11C (3)/ 11C (5) OF THE SEBI ACT, 1992 IN THE MATTER OF TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF BEST AGROLIFE LTD	SEBI/HO/IVD/ID6/P/OW/2023/13898/1; SEBI/HO/IVD/ID6/P/OW/2023/14903/1; SEBI/HO/IVD/ID6/P/OW/2023/16510/1	17-07-2023
AOCPM4140D	DHAVAL MEHTA	NON DELIVERY OF EMAILS / SUMMONS UNDER SECTION 11C (3)/ 11C (5) OF THE SEBI ACT, 1992 IN THE MATTER OF TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF BEST AGROLIFE LTD	SEBI/HO/IVD/ID6/P/OW/2023/13904/2; SEBI/HO/IVD/ID6/P/OW/2023/13904/1; SEBI/HO/IVD/ID6/P/OW/2023/15432/1; SEBI/HO/IVD/ID6/P/OW/2023/16853/1	17-07-2023
BMCPS7297E	RITU RAHUL MEHTA	NON DELIVERY OF EMAILS / SUMMONS UNDER SECTION 11C (3)/ 11C (5) OF THE SEBI ACT, 1992 IN THE MATTER OF TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF BEST AGROLIFE LTD	SEBI/HO/IVD/ID6/P/OW/2023/13907/1; SEBI/HO/IVD/ID6/P/OW/2023/13907/2; SEBI/HO/IVD/ID6/P/OW/2023/15434/1; SEBI/HO/IVD/ID6/P/OW/2023/16854/1	17-07-2023
BWZPV9140E	KINJAL RONAK VORA	NON DELIVERY OF EMAILS / SUMMONS UNDER SECTION 11C (3)/ 11C (5) OF THE SEBI ACT, 1992 IN THE MATTER OF TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF BEST AGROLIFE LTD	SEBI/HO/IVD/ID6/P/OW/2023/13888/1; SEBI/HO/IVD/ID6/P/OW/2023/15435/1; SEBI/HO/IVD/ID6/P/OW/2023/16857/1	17-07-2023
BZHPR2102P	MONIK CHANDUBHAI RUPAREL	NON DELIVERY OF EMAILS / SUMMONS UNDER SECTION 11C (3)/ 11C (5) OF THE SEBI ACT, 1992 IN THE MATTER OF TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF BEST AGROLIFE LTD	SEBI/HO/IVD/ID6/P/OW/2023/13881/1; SEBI/HO/IVD/ID6/P/OW/2023/16166/1; SEBI/HO/IVD/ID6/P/OW/2023/17377/1	17-07-2023
IJDPK7239H	KOSHTI DHARMESH MOHANBHAI	NON DELIVERY OF EMAILS / SUMMONS UNDER SECTION 11C (3)/ 11C (5) OF THE SEBI ACT, 1992 IN THE MATTER OF TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF BEST AGROLIFE LTD	SEBI/HO/IVD/ID6/P/OW/2023/13883/1; SEBI/HO/IVD/ID6/P/OW/2023/16168/1; SEBI/HO/IVD/ID6/P/OW/2023/17379/1	17-07-2023

BWGPG2510J	DHAVAL VINODBHAI GADANI	NON DELIVERY OF EMAILS / SUMMONS UNDER SECTION 11C (3)/ 11C (5) OF THE SEBI ACT, 1992 IN THE MATTER OF TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF BEST AGROLIFE LTD	SEBI/HO/IVD/ID6/P/OW/2023/13880/1; SEBI/HO/IVD/ID6/P/OW/2023/14908/1; SEBI/HO/IVD/ID6/P/OW/2023/16520/1; SEBI/HO/IVD/ID6/P/OW/2023/18152/1	17-07-2023
BKNPV8527M	RONAK HASMUKHBHAI VORA	NON DELIVERY OF EMAILS / SUMMONS UNDER SECTION 11C (3)/ 11C (5) OF THE SEBI ACT, 1992 IN THE MATTER OF TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF BEST AGROLIFE LTD	SEBI/HO/IVD/ID6/P/OW/2023/13889/1; SEBI/HO/IVD/ID6/P/OW/2023/15436/1; SEBI/HO/IVD/ID6/P/OW/2023/16859/1	17-07-2023
ELNPP0642B	HARSHADBHAI PANCHAL	NON DELIVERY OF EMAILS / SUMMONS UNDER SECTION 11C (3)/ 11C (5) OF THE SEBI ACT, 1992 IN THE MATTER OF TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF BEST AGROLIFE LTD	SEBI/HO/IVD/ID6/P/OW/2023/13894/1; SEBI/HO/IVD/ID6/P/OW/2023/14904/1; SEBI/HO/IVD/ID6/P/OW/2023/16518/1; SEBI/HO/IVD/ID6/P/OW/2023/18151/1	17-07-2023
BOWPT8671P	SUREKHABEN THAKKAR	NON DELIVERY OF EMAILS / SUMMONS UNDER SECTION 11C (3)/ 11C (5) OF THE SEBI ACT, 1992 IN THE MATTER OF TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF BEST AGROLIFE LTD	SEBI/HO/IVD/ID6/P/OW/2023/13877/1; SEBI/HO/IVD/ID6/P/OW/2023/16061/1; SEBI/HO/IVD/ID6/P/OW/2023/17117/1; SEBI/HO/IVD/ID6/P/OW/2023/18153/1	17-07-2023
CCOPC7186H	DHARMIK CHAUHAN	NON DELIVERY OF EMAILS / SUMMONS UNDER SECTION 11C (3)/ 11C (5) OF THE SEBI ACT, 1992 IN THE MATTER OF TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF BEST AGROLIFE LTD	SEBI/HO/IVD/ID6/P/OW/2023/13886/1; SEBI/HO/IVD/ID6/P/OW/2023/16173/1; SEBI/HO/IVD/ID6/P/OW/2023/17383/1	17-07-2023
BOKPT7518D	DHARMIK CHAUHAN	NON DELIVERY OF EMAILS / SUMMONS UNDER SECTION 11C (3)/ 11C (5) OF THE SEBI ACT, 1992 IN THE MATTER OF TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF BEST AGROLIFE LTD	SEBI/HO/IVD/ID6/P/OW/2023/13876/1; SEBI/HO/IVD/ID6/P/OW/2023/16018/1; SEBI/HO/IVD/ID6/P/OW/2023/17117/2; SEBI/HO/IVD/ID6/P/OW/2023/18153/2	17-07-2023
CJHPS9562H	ANAND H SONI	NOTICE UNDER RULE 4(1) OD SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 151 OF THE SEBI ACT, 1992 IN THE MATTER OF CAPRI GLOBAL CAPITAL LIMITED	SEBI/EAD-1/BS/RG/18453/2023	19-07-2023
CJIPP3064Q	VIPUL B PITHADIA	NOTICE UNDER RULE 4(1) OD SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 151 OF THE SEBI ACT, 1992 IN THE MATTER OF CAPRI GLOBAL CAPITAL LIMITED	SEBI/EAD-1/BS/RG/18453/2023	19-07-2023

AXIPY0954G	ANURUDHKUMAR B YADAV	NOTICE UNDER RULE 4(1) OD SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 151 OF THE SEBI ACT, 1992 IN THE MATTER OF CAPRI GLOBAL CAPITAL LIMITED	SEBI/EAD-1/BS/RG/18453/2023	19-07-2023
COPPP4646J	PARMAR AKSHAY J	NOTICE UNDER RULE 4(1) OD SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 151 OF THE SEBI ACT, 1992 IN THE MATTER OF CAPRI GLOBAL CAPITAL LIMITED	SEBI/EAD-1/BS/RG/18453/2023	19-07-2023
DZEPS8946B	REKHABEN H SAKARIYA	NOTICE UNDER RULE 4(1) OD SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 151 OF THE SEBI ACT, 1992 IN THE MATTER OF CAPRI GLOBAL CAPITAL LIMITED	SEBI/EAD-1/BS/RG/18453/2023	19-07-2023
ADGPV6374B	NAYANBHAI S VYAS	NOTICE UNDER RULE 4(1) OD SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 151 OF THE SEBI ACT, 1992 IN THE MATTER OF CAPRI GLOBAL CAPITAL LIMITED	SEBI/EAD-1/BS/RG/18453/2023	19-07-2023
BQWPA5223L	SONU ARGAL	NOTICE UNDER RULE 4(1) OD SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 151 OF THE SEBI ACT, 1992 IN THE MATTER OF CAPRI GLOBAL CAPITAL LIMITED	SEBI/EAD-1/BS/RG/18453/2023	19-07-2023
CPGPK0246H	RAJESH S KUSHAVAHA	NOTICE UNDER RULE 4(1) OD SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 151 OF THE SEBI ACT, 1992 IN THE MATTER OF CAPRI GLOBAL CAPITAL LIMITED	SEBI/EAD-1/BS/RG/18453/2023	19-07-2023
AAACM2105K	MODEX INTERNATIONAL SECURITIES LIMITED	PERSONAL HEARING BEFORE WTM IN THE MATTER OF MODEX INTERNATIONAL SECURITIES LIMITED	SEBI/HO/EFD1/DRA3/P/OW/2023/23985/1	19-07-2023
AKYPG8318D	MR. PARTHA GHOSH	ON REPRESENTATION DATED JANUARY 29, 2018 IN THE MATTER OF NETWORTH MARKETING LIMITED WITH RESPECT TO ORDER DATED AUGUST 28, 2017	WTM/GM/EFD/45/2018-19	20-07-2023

ACGPH4147P	MOHAMMAD AHTESHAMUL HAQ	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 4(2) (T) OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/PS/87/CIS-NRO/NOV/2015	20-07-2023
AEIPK0881M	MR. DHARMENDRA LIMITED	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. PG/AO-12/2011	24-07-2023
AADCM7110M	MAHANIVESH STOCK AND SHARES PVT LTD	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. RA/JP/112/2017	24-07-2023
APWPK3686H	PRADEEP KUMAR	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. VSS/AO-188/2009	24-07-2023
AADCT1652R	TIEN TRADING PVT LTD	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. RA/DPS/75- 86/2017	24-07-2023
AADCG6999E	GOODLIFE COMMERCIAL PVT LTD	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. BS/DP/2017- 18/1673-1676	24-07-2023

AVZPS0368P	BIREN KANTILAL SHAH	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 (1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. ISD/IPO/AO/DRK/MD/ EAD-3/327/25-2012	24-07-2023
AADCB5570C	BASUKINATH HIGHRISE PRIVATE LIMITED	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. PG/AM/AO- 04/2013	24-07-2023
AHTPD3510C	DINESH JAYANTLAL DOSHI	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. MC/DPS/7/2018	24-07-2023
AAKHS2700K	SHAH (HUF) BH	NOTICE UNDER RULE 4(1) OD SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 15-I OF THE SEBI ACT, 1992 IN THE MATTER OF SAFAL HERBS LIMITED (FORMERLY KNOWN AS PARIKHHERBALS LIMITED)	EAD-10/SCN/SM/DD/22769/1/2023	24-07-2023
ACQPS5375Q	SUNITA S. SHAH	NOTICE UNDER RULE 4(1) OD SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 15-I OF THE SEBI ACT, 1992 IN THE MATTER OF SAFAL HERBS LIMITED (FORMERLY KNOWN AS PARIKHHERBALS LIMITED)	EAD-10/SCN/SM/DD/22769/1/2023	24-07-2023
ARAPS8707R	ROMIL SHAH	NOTICE UNDER RULE 4(1) OD SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 15-I OF THE SEBI ACT, 1992 IN THE MATTER OF SAFAL HERBS LIMITED (FORMERLY KNOWN AS PARIKHHERBALS LIMITED)	EAD-10/SCN/SM/DD/22769/1/2023	24-07-2023

ACQPS5387Q	SHRENIK DHAH	NOTICE UNDER RULE 4(1) OD SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 15-I OF THE SEBI ACT, 1992 IN THE MATTER OF SAFAL HERBS LIMITED (FORMERLY KNOWN AS PARIKHHERBALS LIMITED)	EAD-10/SCN/SM/DD/22769/1/2023	24-07-2023
AGUPP7355B	AD PARIKH	NOTICE UNDER RULE 4(1) OD SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 15-I OF THE SEBI ACT, 1992 IN THE MATTER OF SAFAL HERBS LIMITED (FORMERLY KNOWN AS PARIKHHERBALS LIMITED)	EAD-10/SCN/SM/DD/22769/1/2023	24-07-2023
AAACB4604N	BHOJAWANI SECURITIES PVT. LTD.	NOTICE UNDER RULE 4(1) OD SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 15-I OF THE SEBI ACT, 1992 IN THE MATTER OF SAFAL HERBS LIMITED (FORMERLY KNOWN AS PARIKHHERBALS LIMITED)	EAD-10/SCN/SM/DD/22769/1/2023	24-07-2023
ADSPP8624L	DHANANJAY PARIKH	NOTICE UNDER RULE 4(1) OD SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 15-I OF THE SEBI ACT, 1992 IN THE MATTER OF SAFAL HERBS LIMITED (FORMERLY KNOWN AS PARIKHHERBALS LIMITED)	EAD-10/SCN/SM/DD/22769/1/2023	24-07-2023
AAGCA9717P	ALCHEMIST INFRA REALTY LIMITED	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE OF INDIA ACT, 1192 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. EAD/KS/AS/AO/10490-10494/2020-21	28-07-2023
AYZPK9827A	NARAYAN MADHAV KUMAR	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE OF INDIA ACT, 1192 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. EAD/KS/AS/AO/10490-10494/2020-21	28-07-2023
AAEPC9775G	CHANDRA SHEKHAR CHAUHAN	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE OF INDIA ACT, 1192 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. EAD/KS/AS/AO/10490-10494/2020-21	28-07-2023

AARCS0445B	SWAR AGROTECH INDIA LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD ON INDIA ACT, 1992 READ WITH REGULATION 65 OF SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999	WTM/PS/22/EFD/MAY /2016	28-07-2023
AACCN1305Q	NETWORTH MARKETING LIMITED	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED MARCH 19, 2015 IN THE MATTER OF NETWORTH MARKETING LIMITED & ON REPRESENTATION DATED JANUARY 29, 2018 IN THE MATTER OF NETWORTH MARKETING LIMITED WITH RESPECT TO ORDER DATED AUGUST 28, 2017	WTM/GM/EFD/44/2017-18 & WTM/GM/EFD/45/2018-19	28-07-2023
AABCF0080D	F6 FINSERVE PVT LTD	UNDER SECTION 12(3) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 27 OF SECURITIES AND EXCHANGE BOARD OF INDIA (INTERMEDIARIES) REGULATIONS, 2008	WTM/AN/NRO/NRO/27625/2023-24	28-07-2023
AAACC6370Q	CAVALIER SECURITIES LTD	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-2/AO/56/2012	31-07-2023
ACDPT6858J	HITESH MADHUSUDAN THAKKAR	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-2/AO/36/2012	31-07-2023
AEAPP8145E	RAMNIKLAL PATEL	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO.: - PKK/AO/266/2010	31-07-2023

AAACS9093A	SANRAA MEDIA LTD	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995 AND UNDER SECTION 23-I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 2005	ADJUDICATION ORDER NO: ORDER/AA/AR/2019-20/5855-5861	31-07-2023
AABPV3960F	MR. ANNASWAMY VENKATRAMANI	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995 AND UNDER SECTION 23-I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 2005	ADJUDICATION ORDER NO: ORDER/AA/AR/2019-20/5855-5861	31-07-2023
AHEPS7486F	MR. SAGAR PRAVIN SHAH	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-2/DSR/VVK/314/2014	31-07-2023
AXXPS0523N	MS. PRATIMA SHAH	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-2/DSR/VVK/315/2014	31-07-2023
AALCM4744M	M/S. MINANCE INVESTMENT ADVISORS PRIVATE LIMITED	UNDER SECTIONS 11, 11B (1) OF THE SECURITIES AND EXCHNAGE BOARD OF INDIA ACT, 1992	WTM/SM/SRO/SRO/26953/2023-24	31-07-2023
AVEPB6967G	MR. ANURAG BHATIA	UNDER SECTIONS 11, 11B (1) OF THE SECURITIES AND EXCHNAGE BOARD OF INDIA ACT, 1992	WTM/SM/SRO/SRO/26953/2023-24	31-07-2023

AUVPM4637N	KUMAR DILIP MEWADA	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. AO/BJD/MAS/EAD/128-143/2018	03-08-2023
AFUPA7917B	KAMLESH AGARWAL	ADJUDICATION PROCEEDINGS IN RESPECT OF KAMLESH AGRAWAL IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS AT BSE: SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	SEBI/HO/EFD2/EFD2_SD/P/OW/2021/24807/1	03-08-2023
AFMPA8305D	KANCHAN AGARWAL	ADJUDICATION PROCEEDINGS IN RESPECT OF KANCHAN AGARWAL IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS AT BSE: SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	EFD2/HO/EFD2/EFD2_SD/P/OW/000024053/1	03-08-2023
AAHPV1218Q	KANCHAN VERMA	ADJUDICATION PROCEEDINGS IN RESPECT OF KANCHAN VERMA IN THE MATTER OF DEALINGS IN ILL-LIQUID STOCK OPTIONS AT BSE: SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	SEBI/HO/EFD2/EFD2_SLD3/P/OW/2022/3900/1	03-08-2023
AADCR7607Q	KANKRIYA CONSTRUCTION PVT LTD	ADJUDICATION PROCEEDINGS IN RESPECT OF KANKRIYA CONSTRUCTION PRIVATE LIMITED IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS AT BSE: SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	SEBI/HO/EFD2/EFD2_SLD2/P/OW/2022/3861/1	03-08-2023
ADOPD2660G	KANTA DIDWANIA	ADJUDICATION PROCEEDINGS IN RESPECT OF KANTA DIDWANIA IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS AT BSE: SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	SEBI/HO/EFD2/EFD2_SLD2/P/OW/2022/0000003865/1	03-08-2023

AABCD9470H	DESTINY SECURITIES LTD	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/AS/GD/2022-23/25243	03-08-2023
AABCF0080D	F6 FINSERVE PVT LTD	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/AS/VC/2022-23/25244	03-08-2023
AFEPS2295E	VIMAL KUMAR SAINI	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/DEPA-III/DEPA-III_SSU/P/OW/2022/00000039336/1	07-08-2023
AFEPS2295E	VIMAL KUMAR SAINI	ADJUDICATION PROCEEDINGS IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/DEPA-III/DEPA-III_SSU/P/OW/2022/00000050526/1	07-08-2023
BXMPP1272K	VINAY BHUSHAN PENDYALA	NOTICE UNDER RULE 4(1) OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 15-I OF SEBI ACT, 1992	EAD-10/SCN/SM/DD/OW/22854/1/2023	08-08-2023
AAACM2105K	MODEX INTERNATIONAL SECURITIES LIMITED	PERSONAL HEARING BEFORE WTM IN THE MATTER OF MODEX INTERNATIONAL SECURITIES LIMITED	SEBI/HO/EFD1/DRA3/P/OW/2023/27522/1	08-08-2023
AACCA6193R	A-1 BOOK COMPANY PRIVATE LIMITED	UNDER SECTIONS 11(1), 11(4), 11(4A), 11B(1), 11B(2) AND 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND SECTIONS 12A(1), 12A(2) AND 23I OF SECURITIES CONTRACTS (REGULATIONS) ACT, 1956 READ WITH RULE 5 OF THE SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	WTM/AB/IVD/ID2/18060/2022-23	09-08-2023

AXNPC3713G	PREM CHAND	UNDER SECTION 15-I (2) OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND UNDER SECTION 23-I (2) OF SECURITIES CONTRACTS (REGULATIONS) ACT, READ WITH RULE 5 OF THE SECURITIES CONTRACTS (REGULATIONS) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER NO. ORDER/MC/RM/2022-23/20426-20428	09-08-2023
DNAPP1607Q	MS. PRABHA PATEL	FINAL ORDER IN THE MATTER OF M/S. TRADE SOLUTIONS SERVICES, PROPRIETOR: MS. VARSHA OSWAL AND M/S. TRADE SOLUTION, PROPRIETOR: MS. PRABHA PATEL & UNDER SECTIONS 11(1), 11(4) AND 11B(1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	SEBI/WRO/ILO/AN/OW/P/01043/1/2023,SEBI/ WRO/ILO/AN/OW/P/01047/1/2023,SEBI/WRO/ILO/AN/OW/P/01048/1/2023 & WTM/AB/WRO/WRO/22737/2022-23	11-08-2023
AQDPB0485F	SURENDRA SINGH BAGHEL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER SUNSHINE INFRA BUILD CORPORATION LIMITED	WTM/PS/49/EFD/SEPTEMBER/2015	11-08-2023
AMUPB0580E	BANWARI LAL BAGHEL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER SUNSHINE INFRA BUILD CORPORATION LIMITED	WTM/PS/49/EFD/SEPTEMBER/2015	11-08-2023
AAGFI7660G	M/S INVESTO INVESTMENT ADVISERS PARTNERSHIP FIRM	SUPPLEMENTARY SHOW CAUSE NOTICE UNDER SECTIONS 11(1), 11(4), 11(4A), 11B (1) AND 11 B(2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 ("SEBI ACT") READ WITH SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF INSPECTION OF INVESTMENT ADVISERS: YOGESH KUKADIA AND RAJESH R. KALLIDUMBIL	SEBI/SRO/SG/OW/2023/26680/6	11-08-2023

ADNFS2939J	M/S SS INFO SALES, PARTNERSHIP FIRM	SUPPLEMENTARY SHOW CAUSE NOTICE UNDER SECTIONS 11(1), 11(4), 11(4A), 11B (1) AND 11 B(2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 ("SEBI ACT") READ WITH SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF INSPECTION OF INVESTMENT ADVISERS: YOGESH KUKADIA AND RAJESH R. KALLIDUMBIL	SEBI/SRO/SG/OW/2023/26680/7	11-08-2023
ADNFS7110B	M/S SI DIGI SALES, PARTNERSHIP FIRM	SUPPLEMENTARY SHOW CAUSE NOTICE UNDER SECTIONS 11(1), 11(4), 11(4A), 11B (1) AND 11 B(2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 ("SEBI ACT") READ WITH SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF INSPECTION OF INVESTMENT ADVISERS: YOGESH KUKADIA AND RAJESH R. KALLIDUMBIL	SEBI/SRO/SG/OW/2023/26680/8	11-08-2023
AAMFC9502D	M/S CT WEB SALES, PARTNERSHIP FIRM	SUPPLEMENTARY SHOW CAUSE NOTICE UNDER SECTIONS 11(1), 11(4), 11(4A), 11B (1) AND 11 B(2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 ("SEBI ACT") READ WITH SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF INSPECTION OF INVESTMENT ADVISERS: YOGESH KUKADIA AND RAJESH R. KALLIDUMBIL	SEBI/SRO/SG/OW/2023/26680/9	11-08-2023
ABHFM3142P	M/S ML TELE SALES, PARTNERSHIP FIRM	SUPPLEMENTARY SHOW CAUSE NOTICE UNDER SECTIONS 11(1), 11(4), 11(4A), 11B (1) AND 11 B(2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 ("SEBI ACT") READ WITH SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF INSPECTION OF INVESTMENT ADVISERS: YOGESH KUKADIA AND RAJESH R. KALLIDUMBIL	SEBI/SRO/SG/OW/2023/26680/10	11-08-2023

AADCH8426M	D. S. CAPITAL VENTURE PRIVATE LIMITED	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/PM/GD/2022-23/22750-22754	11-08-2023
ECYPK7182R	PINKY KELVA	REPORT UNDER REGULATION 26 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (INTERMEDIARIES) REGULATIONS, 2008	REPORT NO. ENQ/BM/JR/2023-24/27728	16-08-2023
AADCA3311J	ANUGRAH COMMODITIES PRIVATE LIMITED	SUPPLEMENTARY SHOW CAUSE NOTICE UNDER REGULATION 27(1) OF SEBI (INTERMEDIARIES) REGULATIONS, 2008	SEBI/HO/EFD1/EFD1_DRA2/P/OW/2023/0000013184/1	16-08-2023
AAFCA8206N	TWENTY20 COMMODITIES	HEARING NOTICE IN RESPECT OF TWENTY20 COMMODITIES PRIVATE LIMITED IN THE MATTER OF NSEL	SEBI/HO/EFD1/EFD1_DRA2/P/OW/2023/28816/1	17-08-2023
AACCV4358H	VCK COMMODITY SERVICES PRIVATE LIMITED	HEARING NOTICE IN RESPECT OF VCK COMMODITY SERVICES PVT LTD IN THE MATTER OF NSEL	SEBI/HO/EFD1/EFD1_DRA2/P/OW/2023/28820/1	17-08-2023
AAECK2699A	KESARISUTA VINIMAY PRIVATE LIMITED	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 SEBI(PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES,1995	ADJUDICATION ORDER NO. ORDER/NH/KL/2023-24/27951	17-08-2023
BLVPM9284R	MR. DEEPAK MISHRA	NOTICE TO SHOW CAUSE UNDER REGULATION 25 AND REGULATION 25 AND REGULATION 26 OF SECURITIES AND EXCHANGE BOARD OF INDIA (INTERMEDIARIES) REGULATIONS, 2008 AND SHOW CAUSE NOTICE UNDER RULE 4 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	SEBI/HO/EAD/EAD9/P/OW/2023/0000028461/1-3 AND SEBI/HO/EAD/EAD9/P/OW/2023/0000028460/1-3	18-08-2023
BPDPA3589K	ROSHANLAL DAULATRAM AGGARWAL	NOTICE UNDER RULE 4(1) OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 15I OF THE SEBI ACT, 1992 IN THE MATTER OF MEGA NIRMAN AND INDUSTRIES LIMITED	SEBI/EAD-1/BS/DP/26545/1/2023	18-08-2023

CYBPP2358H	HARSHADBHAI BABUBHAI PATEL	NOTICE UNDER RULE 4(1) OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 15I OF THE SEBI ACT, 1992 IN THE MATTER OF MEGA NIRMAN AND INDUSTRIES LIMITED	SEBI/EAD-1/BS/DP/26545/1/2023	18-08-2023
CYNPP0545E	VINITKUMAR POPATLAL PARIKH	NOTICE UNDER RULE 4(1) OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 15I OF THE SEBI ACT, 1992 IN THE MATTER OF MEGA NIRMAN AND INDUSTRIES LIMITED	SEBI/EAD-1/BS/DP/26545/1/2023	18-08-2023
CYMPP9923K	MAULIK VINITKUMAR PARIKH	NOTICE UNDER RULE 4(1) OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 15I OF THE SEBI ACT, 1992 IN THE MATTER OF MEGA NIRMAN AND INDUSTRIES LIMITED	SEBI/EAD-1/BS/DP/26545/1/2023	18-08-2023
GKCPS4278A	SUBHDRABEN RAMESHCHANDRA SHAH	NOTICE UNDER RULE 4(1) OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 15I OF THE SEBI ACT, 1992 IN THE MATTER OF MEGA NIRMAN AND INDUSTRIES LIMITED	SEBI/EAD-1/BS/DP/26545/1/2023	18-08-2023
GTPPS2917N	NILESH RAMESHCHANDRA SHAH	NOTICE UNDER RULE 4(1) OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 15I OF THE SEBI ACT, 1992 IN THE MATTER OF MEGA NIRMAN AND INDUSTRIES LIMITED	SEBI/EAD-1/BS/DP/26545/1/2023	18-08-2023
BNEPP5649A	VIMLA SANJAY PATEL	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES,1995	ADJUDICATION ORDER NO. ORDER/BM/GN/2022-23/20892-20899	24-08-2023
AAACA4781J	BONANZA INDUSTRIES LIMITED	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES,1995	ADJUDICATION ORDER NO. ORDER/BM/GN/2022-23/20892-20899	24-08-2023

BJAPK7820G	SHRI KOTA SUNIL SHANKARBHAI	UNDER SECTION 11(1), 11(40 AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF UNREGISTERED INVESTMENT ADVISORY BY GOWTHAM S AND KOTA SUNIL SHANKARBHAI	WTM/SM/SRO/SRO/26975/2023-24	29-08-2023
AAACC6370Q	CAVALIER SECURITIES LTD	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES,1995	ADJUDICATION ORDER NO. PG/AO-57/2010	01-09-2023
AZGPS4457A	JITENDRA KUMAR SHARMA	UNDER SECTIONS 11 (1), 11(4), 11A AND 1111 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 28 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008	WTM/RKA/EFD/133/2016	04-09-2023
AAACF8795N	FAIRWEALTH SECURITIES LIMITED	UNDER REGULATION 27 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (INTERMEDIARIES) REGULATIONS, 2008 IN RESPECT OF FAIRWEALTH SECURITIES LIMITED, A REGISTERED INTERMEDIARY OF SEBI	WTM/ASB/MIRSD/MIRSD_DPIEA/28314/2023-24	05-09-2023
BACPS3885C	MR. SYED SADAQ	UNDER SECTION 11(1), 11(4) AND 11b OF SECURITIES AND EXCHANGE BOARD OF INDIA, 1992 IN RESPECT OF MCX BIX SOLUTIONS, ITS PROPRIETOR MR. SYED SADAQ (PAN:BACPS3885C).	WTM/SR/SEBI/EFD-DRA2/39/07/2017	05-09-2023
AAACW2920N	ANUGRAH STOCK & BROKING PRIVATE LIMITED	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 UNDER SECTION 23-I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005 AND UNDER SECTION 19H OF THE DEPOSITORS ACT, 1996 READ WITH RULE 5 DEPOSITORIES (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 2005	ADJUDICATION ORDER NO. ORDER/PM/SM/2020-21/10794	05-09-2023

AADHC6879C	CHETAN MANWANI HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.39787/1/2022	05-09-2023
AAECP7154K	PARASMANI TRADELINK PRIVATE LIMITED	ADJUDICATION PROCEEDINGS IN RESPECT OF PARASMANI TRADELINK PRIVATE LIMITED(PAN:AAECP7154K) IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE: SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	SEBI/HO/CFID/CFID_4 /P/OW/2021/21110/1	05-09-2023
AAJHA7611L	ATUL TANNA HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	OUTWARD NO.44082/1/2022	05-09-2023
AABCS5855M	SOLID CARBIDE TOOLS LIMITED	UNDER SECTION 19 H (1) OF THE DEPOSITORIES ACT, 1996, READ WITH RULE 5 OF DEPOSITORIES(PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 2005	ADJUDICATION ORDER NO. PB/AO-69/2010	05-09-2023
AGAPG2976K	VINTI GUPTA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD6/AK/AJ/31470/1/2023	11-09-2023
ABUPG9329D	MADHU GUPTA	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING LNQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN LLLIQUID STOCK OPTIONS AT BSE	MAIL RECIEVED FROM SEBI AS ON 04-10- 2022	18-09-2023
AAECR3716R	RAGHUKUL SHARES INDIA PRIVATE LIMITED	UNDER SECTION 12(3) OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 27 OF SECURITIES AND EXCHANGE BOARD OF INDIA (INTERMEDIARIES) REGULATION, 2008	WTM/ASB/MIRSD/MIRSD-SEC-4/28839/2023-24	21-09-2023

AABCV4377P	FICUS COMMODITIES PRIVATE LIMITED	SHOW CAUSE NOTICE UNDER REGULATION 27(1) OF THE SEBI (INTERMEDIARIES REGULATIONS, 2008 IN RESPECT OF ENQUIRY	SEBI/HO/EFD1/DRA3/P/OW/2022/52051/1	27-09-2023
AJIPR8427K	MR. RAJ KUMAR RAGHAV	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999 AND REGULATION 4(2) (T) OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/PS/75/CIS-NRO/LKO/OCT/2015	27-09-2023
ACLFS5789F	SBS INVESTMENTS	UNDER SECTIONS 11, 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN THE MATTER OF SBS INVESTMENTS	WTM/MPB/EFD-1-DRA-IV/117/2018	27-09-2023
AAMCS4236M	SILICON FIRST REALTORS PVT. LTD.	SHOW CAUSE NOTICE UNDER SECTIONS 11(1), 11(4), 11(4A), 11B(1), 11B(2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995, FOR VIOLATIONS OF SEBI (SUBSTANTIAL ACQUISITION OF SHARES AND TAKEOVERS) REGULATIONS, 1997/2011	SEBI/HO/IVD/ID3/P/OW/2021/24408/1	27-09-2023
AADCD1856D	DARSHANA EXPORTS PRIVATE LIMITED	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/BM/DS/2022-23/17525-17534	27-09-2023
AGVPK5838R	ABHISHEK KHARE	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5(1) OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. IVD/WOL-AK/AODRK/AS/EAD3/200-2009/103-2010	28-09-2023
AABCC5031H	CHP FINANCE PVT LTD.	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/BM/DS/2022-23/17525-17534	04-10-2023

AAECR4581N	ROYAL THEME PRODUCTS INDIA PVT LTD	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/BM/DS/2022-23/17525-17534	04-10-2023
AEOPM6302D	SAMARJIT MONDALO	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/136/ERO/JAN /2016	09-10-2023
CEBPS5607E	RITESH K SHRIVASTAV	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF THE SEBI (COLLECTIVE INVESTMENT SCHEMES) REGULATIONS, 1999,	WTM/PS/48/CIS/NRO/ NOV/2014	09-10-2023
AAFHM3032H	MANOJ PATNI HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/EAD5/AA/43021	09-10-2023
AABCG8309G	GRASS ROOT FINANCE & INVESTMENT COMPANY (INDIA) LTD.	UNDER SECTIONS 11 AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN RESPECT OF INTERIM ORDER CUM SHOW CAUSE NOTICE DATED OCTOBER 18, 2017 IN THE MATTER OF GRASS ROOT FINANCE & INVESTMENT COMPANY (INDIA) LTD.	WTM/AB/EFD-1/DRA-3/09/2018-19	09-10-2023
AAHCS2210C	SAAMYA BIOTECH INDIA LIMITED	ADJUDICATION ORDER DATED JUNE 28, 2019 IN THE MATTER OF SCORES COMPLAINT AGAINST SAAMYA BIOTECH INDIA LIMITED	ADJUDICATION ORDER:ORDER/MC/VS/2019-20/3517	10-10-2023
AABCD1032B	M/S RAAVEE MERCHANDISERS PRIVATE LIMITED (EARLIER KNOWN AS M/S BIMEX EXPORTS P LTD)	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER No. VSS/AO-98/2009	12-10-2023
AABCD1032B	M/S RAAVEE MERCHANDISERS PRIVATE LIMITED (EARLIER KNOWN AS M/S BIMEX EXPORTS P LTD)	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER No. SD/AO/140/2009	12-10-2023
AABCB1128L	M/S. BUBNA STOCK BROKING SERVICES LTD. (NOW KNOWN AS SUNBRIGHT STOCK BROKING LIMITED)	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER No. BM/AO-3/2011	12-10-2023

AEKPA8540F	M/S R FOUNDRY AND SHRI RAJENDRA AGGARWAL	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER No. PKB/AO-50/2010	12-10-2023
AAMHP8284R	PARAMJIT SINGH & SONS HUF	SHOW CAUSE NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	EAD5/AA/PR/2022/44935	13-10-2023
ADSPN9721A	DEEPAK NARVEKAR	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. SD/AO/44/2009	16-10-2023
AVOPS4111P	AJIT SURYAVANSHI	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. SD/AO/49/2009	16-10-2023
AATCS8589E	SATYALAXMI VINIMAY PVT LTD	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO:AO/SBM/EAD-3/80/2017	16-10-2023
ANYPP1513A	ZALAK PURVESH PARIKH	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER REF. NO. ORDER/NH/RJ/2023-24/29108-29111	17-10-2023
AACFM6761L	MOREPLUS MERCHANTS PRIVATE LIMITED	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/BM/DS/2022-23/22551-22580	19-10-2023
AJZPL1369K	DHARMENDRA KUMAR	UNDER REGULATION 26 OF SECURITIES AND EXCHANGE BOARD OF INDIA (INTERMEDIARIES) REGULATIONS, 2008 IN RESPECT O FSTAR INDIA MARKET RESEARCH	ENQUIRY REPORT NO:ENQ/AK/AS/2023-24/28871	20-10-2023

EZSPB9777C	JITENDRA BHARBHUJA	SHOW CAUSE NOTICE UNDER SECTIONS 11(1), 11(4), 11(4A), 11B(1) AND 11B(2) READ WITH SECTION 15HA,SECTION 15HB AND SECTION 15EB OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 4(1) OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	SEBI/WRO/ILO/AK/OW/P/39537/2023	25-10-2023
ADEPD0040N	RABINDRA NATH DEY	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. EAD-7/BJD/BKM/2018-19/1472-1475	25-10-2023
AACCC2960N	CONSOLIDATED MERCANTILES PRIVATE LIMITED	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/AK/AJ/2023-24/29120	01-11-2023
AECFS8917E	SHIV MARKETING AND TRADING	SECTION 12A (a), (b), AND (c) SEBI ACT, 1992 R/W REGULATION 3 (a), (b), (c), (d), 4(1), 4(2) (a) & (e) OF SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATION 2003.	EAD-8/SKV/VC/28876/2023	01-11-2023
AASFV6757F	VISHWAKARMA TRADING HOUSE	SECTION 12A (a), (b), AND (c) SEBI ACT, 1992 R/W REGULATION 3 (a), (b), (c), (d), 4(1), 4(2) (a) & (e) OF SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATION 2003.	EAD-8/SKV/VC/28878/2023	01-11-2023
AIDPB1831B	RANA BHADRA	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. EAD-7/BJD/BKM/2018-19/1472-1475	01-11-2023
AAFPA1252P	SHIV KUMAR AGARWAL	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF MOONGIPA INVESTMENTS LIMITED	WTM/AB/EFD-1/DRA-2/19/2018-19	03-11-2023
AAFCA5165L	ADDLIFE PHARMA LIMITED	UNDER SECTION 19H(1) OF DEPOSITORIES ACT, 1996, READ WITH RULE 5 OF DEPOSITORIES(PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 2005	ADJUDICATION ORDER NO. PB/AO-39/2010	16-11-2023

AADCM7640L	MODEX COMMODITY TRADES PVT. LTD.	UNDER SECTION 12(3) AND SECTION 19 OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 27 OF SECURITIES AND EXCHANGE BOARD OF INDIA (INTERMEDIARIES) REGULATION, 2008	QJA/SVMDR/MIRSD/29692/2023-24	16-11-2023
AWXPA3588J	MS. NAGMA HUSAIN AHMED ANSARI	UNDER SECTIONS 11(1), 11(4)(b) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECURITIES AND EXCHANGE BOARD OF INDIA (INVETSMENT ADISER) REGULATIONS, 2013 AND THE SECURITIES AND EXCHANGE BOARD OF INDIA (RESEARCH ANALYST) REGULATIONS, 2014.	WTM/MPB/SEBI/EFD-DRA4/108/2017	20-11-2023
ACTPN3464G	SANTOSH NARVEKAR	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING (OFFICER) RULES, 1995	ADJUDICATION ORDER NO. SD/AO/50/2009	20-11-2023
ALTPP0349K	VIVEKANAND PATANKAR	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING (OFFICER) RULES, 1995	ADJUDICATION ORDER NO. SD/AO/28/2009	20-11-2023
ADKPM7260M	YUGANDHAR MEKA	UNDER SECTIONS 11(1), 11(4), 11B(1) AND 11B(2) OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 12A(2) OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 IN THE MATTER OF KARVY STOCK BROKING LIMITED	WTM/SM/MIRSD/MIRSD-SEC-4/26042/2023-24	28-11-2023
AVRPT3394C	DINESH AVADHESHSINGH THAKUR	INVESTIGATION INTO THE TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF CONTINENTAL SEEDS & CHEMICALS LTD (CSCL)		01-12-2023
BMCPS7297E	RITU RAHUL MEHTA	INVESTIGATION INTO THE TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF CONTINENTAL SEEDS & CHEMICALS LTD (CSCL)		01-12-2023
AXIPY0954G	ANURUDHKUMAR BARELAL YADAV	INVESTIGATION INTO THE TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF CONTINENTAL SEEDS & CHEMICALS LTD (CSCL)		01-12-2023

BYNPP4009C	KEYUR VINODCHANDRA PARMAR	INVESTIGATION INTO THE TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF CONTINENTAL SEEDS & CHEMICALS LTD (CSCL)		01-12-2023
AUUPT6312L	SHIBAKUMAR MODHAUSINH TYAGI	INVESTIGATION INTO THE TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF CONTINENTAL SEEDS & CHEMICALS LTD (CSCL)		01-12-2023
CJHPS9562H	ANAND H SONI	INVESTIGATION INTO THE TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF CONTINENTAL SEEDS & CHEMICALS LTD (CSCL)		01-12-2023
BWGPG2510J	DHAVAL VINODBHAIGADANI	INVESTIGATION INTO THE TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF CONTINENTAL SEEDS & CHEMICALS LTD (CSCL)		01-12-2023
BKQPS3900Q	SHARDA SHARMA	INVESTIGATION INTO THE TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF CONTINENTAL SEEDS & CHEMICALS LTD (CSCL)		01-12-2023
AAICA5378C	AAGAS SOFTWARE SOLUTIONS PVT. LTD	UNDER 11(1), 11(4) AND 11B, 11B(2), 11D PF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 12A(1) AND 12A(2) OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH SECTION 23D AND SECTION 23H OF SECURITIES CONTRACTS(REGULATION) ACT, 1956 READ WITH REGULATION 35 OF SEBI (INTERMEDIARIES) REGULATIONS, 2008	WTM/ASB/MIRSD/MIRSD_DPIEA/27868/2023-24 WTM/ASB/MIRSD/MIRSD_DPIEA/27869/2023-24	04-12-2023
AACCK7144E	KATASHRAJ SECURITIES PVT. LTD.	UNDER 11(1), 11(4) AND 11B, 11B(2), 11D PF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 12A(1) AND 12A(2) OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH SECTION 23D AND SECTION 23H OF SECURITIES CONTRACTS(REGULATION) ACT, 1956 READ WITH REGULATION 35 OF SEBI (INTERMEDIARIES) REGULATIONS, 2008	WTM/ASB/MIRSD/MIRSD_DPIEA/27868/2023-24 WTM/ASB/MIRSD/MIRSD_DPIEA/27869/2023-24	04-12-2023

AAHCC0112H	CHAHEK HOUSING PVT. LTD.	UNDER 11(1), 11(4) AND 11B, 11B(2), 11D PF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 12A(1) AND 12A(2) OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH SECTION 23D AND SECTION 23H OF SECURITIES CONTRACTS(REGULATION) ACT, 1956 READ WITH REGULATION 35 OF SEBI (INTERMEDIARIES) REGULATIONS, 2008	WTM/ASB/MIRSD/MIRSD_DPIEA/27868/2023-24 WTM/ASB/MIRSD/MIRSD_DPIEA/27869/2023-24	04-12-2023
AAGPC7158P	YOGESH BHOGILAL CHAUHAN	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 ("SEBI ACT") READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENAL TIES BY ADJUDICATING OFFICER} RULES.1896 ("SEBI AO RULES")	EAD-12/ AO/SM/57-72/2017	07-12-2023
AYAPS0436L	SHRI BABLU SAHA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/176/ERO/MAR/2016	07-12-2023
ATFPB1416M	MR. ANIRUDHHA BHATTACHARYA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/PS/14/IMD-ERO/APR/2016	07-12-2023
ATFPB1416M	MR. ANIRUDHHA BHATTACHARYA	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/53/2017	07-12-2023
AZFPB1929H	SANKAR BHATTACHARYA	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF -	WTM/GM/94/2018-19	07-12-2023
AFAPA9440H	RAJESH KUMAR AGARWAL	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH THE SEBI (ISSUE AND LISTING OF DEBT SECURITIES) REGULATIONS, 2008 AND SEBI (DEBENTURE TRUSTEES) REGULATIONS, 1993	WTM/PS/160/IMD/ERO/FEB/2016	07-12-2023
ACDPR4876J	PRANAB KUMAR ROY	UNDER SECTION 11,11(4),11A AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/GM/EFD/48/2017-18	07-12-2023
AKOPJ0216B	PRAMOD KUMAR JAISWAL	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/EFD-1-DRA-IV/65/2017	07-12-2023
COLPS0476M	PANKAJ SETH	UNDER SECTIONS 11 (1), 11 (4), 11 B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MB/IMD/DOF-1/10676/2020-21	07-12-2023

AGSPG3778K	MR. SAURABH GUPTA	UNDER SECTIONS 11(1), 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 107 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (ISSUE OF CAPITAL AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2009 IN RESPECT OF:	WTM/RKA/EFD/123/2016	07-12-2023
AMEPS2466G	DEEPAK RANJAN SAMANT	UNDER SECTIONS 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 IN RESPECT OF:	WTM/RKA/EFD/141/2016	07-12-2023
ALJPD7750L	BHAKTAPRASAD DAS	UNDER SECTIONS 11(1), 11(4) AND 11B OF THE SECRITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF -	WTM/GM/SEBI/ERO/IMD/23/JUNE/2017	07-12-2023
AAKCM6309D	M/S MINANCE TECHNOLOGIES PRIVATE LIMITED	UNDER SECTIONS 11(1), 11(4), 11B AND 11D OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/SRO/SRO/16539/2022-23	07-12-2023
AAACF8795N	FAIRWEALTH SECURITIES LTD.	UNDER 11(1), 11(4) AND 11B, 11B(2), 11D PF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 12A(1) AND 12A(2) OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH SECTION 23D AND SECTION 23H OF SECURITIES CONTRACTS(REGULATION) ACT, 1956 READ WITH REGULATION 35 OF SEBI (INTERMEDIARIES) REGULATIONS, 2008	WTM/ASB/MIRSD/MIRSD_DPIEA/27868/2023-24 WTM/ASB/MIRSD/MIRSD_DPIEA/27869/2023-24	08-12-2023
AFUPG9615E	DHIRENDER GABA	UNDER 11(1), 11(4) AND 11B, 11B(2), 11D PF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 12A(1) AND 12A(2) OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH SECTION 23D AND SECTION 23H OF SECURITIES CONTRACTS(REGULATION) ACT, 1956 READ WITH REGULATION 35 OF SEBI (INTERMEDIARIES) REGULATIONS, 2008	WTM/ASB/MIRSD/MIRSD_DPIEA/27868/2023-24 WTM/ASB/MIRSD/MIRSD_DPIEA/27869/2023-24	08-12-2023
AAEPG8929N	NAVEEN GABA	UNDER 11(1), 11(4) AND 11B, 11B(2), 11D PF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 12A(1) AND 12A(2) OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH SECTION 23D AND SECTION 23H OF SECURITIES CONTRACTS(REGULATION) ACT, 1956 READ WITH REGULATION 35 OF SEBI (INTERMEDIARIES) REGULATIONS, 2008	WTM/ASB/MIRSD/MIRSD_DPIEA/27868/2023-24 WTM/ASB/MIRSD/MIRSD_DPIEA/27869/2023-24	08-12-2023

AETPJ4553L	SANDEEP JINDAL	UNDER 11(1), 11(4) AND 11B, 11B(2), 11D PF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 12A(1) AND 12A(2) OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH SECTION 23D AND SECTION 23H OF SECURITIES CONTRACTS(REGULATION) ACT, 1956 READ WITH REGULATION 35 OF SEBI (INTERMEDIARIES) REGULATIONS, 2008	WTM/ASB/MIRSD/MIRSD_DPIEA/27868/2023-24 WTM/ASB/MIRSD/MIRSD_DPIEA/27869/2023-24	08-12-2023
AACCR2424A	REETS PLASTICS PVT. LTD.	UNDER 11(1), 11(4) AND 11B, 11B(2), 11D PF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 12A(1) AND 12A(2) OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH SECTION 23D AND SECTION 23H OF SECURITIES CONTRACTS(REGULATION) ACT, 1956 READ WITH REGULATION 35 OF SEBI (INTERMEDIARIES) REGULATIONS, 2008	WTM/ASB/MIRSD/MIRSD_DPIEA/27868/2023-24 WTM/ASB/MIRSD/MIRSD_DPIEA/27869/2023-24	08-12-2023
AAACS4473Q	FAIRWEALTH FINANCIAL SERVICES LTD.	UNDER 11(1), 11(4) AND 11B, 11B(2), 11D PF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 12A(1) AND 12A(2) OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH SECTION 23D AND SECTION 23H OF SECURITIES CONTRACTS(REGULATION) ACT, 1956 READ WITH REGULATION 35 OF SEBI (INTERMEDIARIES) REGULATIONS, 2008	WTM/ASB/MIRSD/MIRSD_DPIEA/27868/2023-24 WTM/ASB/MIRSD/MIRSD_DPIEA/27869/2023-24	08-12-2023
AABCF0079J	FAIRWEALTH COMMODITY BROKING PVT. LTD.	UNDER 11(1), 11(4) AND 11B, 11B(2), 11D PF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 12A(1) AND 12A(2) OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH SECTION 23D AND SECTION 23H OF SECURITIES CONTRACTS(REGULATION) ACT, 1956 READ WITH REGULATION 35 OF SEBI (INTERMEDIARIES) REGULATIONS, 2008	WTM/ASB/MIRSD/MIRSD_DPIEA/27868/2023-24 WTM/ASB/MIRSD/MIRSD_DPIEA/27869/2023-24	08-12-2023

AROPK2904N	VIKRAM KUMAR	UNDER 11(1), 11(4) AND 11B, 11B(2), 11D PF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 12A(1) AND 12A(2) OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH SECTION 23D AND SECTION 23H OF SECURITIES CONTRACTS(REGULATION) ACT, 1956 READ WITH REGULATION 35 OF SEBI (INTERMEDIARIES) REGULATIONS, 2008	WTM/ASB/MIRSD/MIRSD_DPIEA/27868/2023-24 WTM/ASB/MIRSD/MIRSD_DPIEA/27869/2023-24	08-12-2023
AACCK8267C	KALYANI AND KALYANI DEVELOPERS INDIA LTD	UNDER SECTIONS 11(1), 11(4), 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/AB/SEBI/10/2020-21	13-12-2023
AJMPD9925C	BAMAN CHARANA DAS	UNDER SECTIONS 11(1), 11(4), 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992	WTM/AB/SEBI/10/2020-21	13-12-2023
AGCPM6239M	ABHISHEK MEHTA	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. EAD/SR/SM/AO/91/2018-19/1963	13-12-2023
AAACM2862M	EXELON INFRASTRUCTURE LIMITED	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. EAD/BJD/VS/24-33/2017-18	14-12-2023
ACQPM0876L	SRINIVAS RAO MARUPUDI	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. EAD/BJD/VS/24-33/2017-18	20-12-2023
BCDPM3124P	SRINIVAS RAO MARUPUDI	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. EAD/BJD/VS/24-33/2017-18	20-12-2023
ACIPM3240J	MADHU MOHAN REDDY	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. AO/JS/VRP/29-30/2017	20-12-2023

AABCG6112D	GUJARAT MEDITECH LTD	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961	CERTIFICATE NO.RC3517/2021	21-12-2023
AABCM2777L	MODERN CEMENT INDUSTRIES LTD.	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961	CERTIFICATE NO.RC3770/2021	21-12-2023
AELPS4797P	NAIMISH K. SHAH	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961	CERTIFICATE NO.RC4282/2021	21-12-2023
AQBPP0893P	RAJENDRA PATHAK	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT/SECTION 23JB OF SECURITIES CONTRACTS (REGULATION) ACT, 1956/SECTION 19-IB OF DEPOSITORIES ACT, 1996	CERTIFICATE NO.RC5285/2022	21-12-2023
AWSPP9675Q	KARAN ENTERPRISE	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT/SECTION 23JB OF SECURITIES CONTRACTS (REGULATION) ACT, 1956/SECTION 19-IB OF DEPOSITORIES ACT, 1996	CERTIFICATE NO.RC5793/2022	21-12-2023

AFFPM6604L	SURAJ TRADING CORPORATION	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT/SECTION 23JB OF SECURITIES CONTRACTS (REGULATION) ACT, 1956/SECTION 19-IB OF DEPOSITORIES ACT, 1996	CERTIFICATE NO.RC5796/2022	21-12-2023
ASOPP2722N	SHREE GANESH ENGINEERING CORPORATION	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT/SECTION 23JB OF SECURITIES CONTRACTS (REGULATION) ACT, 1956/SECTION 19-IB OF DEPOSITORIES ACT, 1996	CERTIFICATE NO.RC5797/2022	21-12-2023
AQSPP7982N	SHREEJI MACHINE TOOLS	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT/SECTION 23JB OF SECURITIES CONTRACTS (REGULATION) ACT, 1956/SECTION 19-IB OF DEPOSITORIES ACT, 1996	CERTIFICATE NO.RC5798/2022	21-12-2023
APTPP6092D	M/S. OM ASSOCIATES	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT/SECTION 23JB OF SECURITIES CONTRACTS (REGULATION) ACT, 1956/SECTION 19-IB OF DEPOSITORIES ACT, 1996	CERTIFICATE NO.RC6066/2022	21-12-2023
AACCH8077M	HIGHBROW MARKET RESEARCH PVT. LTD ,	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO.RC6925/2023	21-12-2023
DTUPM2592D	MERIDIAN CAPITAL RESEARCH PROPRIETOR RUPESHBHAI BABUBHAI MARVADI	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO.RC7130/2023	21-12-2023

ECYPK7182R	MS. PINKY KELVA	UNDER SECTION 12(3) OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATIONS 27 AND 35 OF SECURITIES AND EXCHANGE BOARD OF INDIA(INTERMEDIARIES) REGULATIONS, 2008	WTM/AN/WRO/WRO/ 29772/2023-24	21-12-2023
BYNPP4009C	KEYUR VINODCHANDRA PARMAR	INVESTIGATION IN TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF KAVIT INDUSTRIES LIMITED(NON-UPDATION OF KYC, INACTIVE)	SEBI/HO/IVD/ID8/JL/PH/OW/P/2023/49577/2	21-12-2023
DZEPS8946B	REKHABEN SAKARIYA	INVESTIGATION IN TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF KAVIT INDUSTRIES LIMITED(NON-UPDATION OF KYC, INACTIVE)	SEBI/HO/IVD/ID8/JL/PH/OW/P/2023/49577/2	21-12-2023
BMCPS7297E	RITU RAHUL MEHTA	INVESTIGATION IN TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF KAVIT INDUSTRIES LIMITED(NON-UPDATION OF KYC, INACTIVE)	SEBI/HO/IVD/ID8/JL/PH/OW/P/2023/49577/2	21-12-2023
BSVPC7326K	VIJAY CHAUHAN	INVESTIGATION IN TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF KAVIT INDUSTRIES LIMITED(NON-UPDATION OF KYC, INACTIVE)	SEBI/HO/IVD/ID8/JL/PH/OW/P/2023/49577/2	21-12-2023
BWGPG2510J	DHAVAL GADANI	INVESTIGATION IN TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF KAVIT INDUSTRIES LIMITED(NON-UPDATION OF KYC, INACTIVE)	SEBI/HO/IVD/ID8/JL/PH/OW/P/2023/49577/2	21-12-2023
GBYPS3750L	DURGESHKUMAR KALIDAS SATHVARA	INVESTIGATION IN TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF KAVIT INDUSTRIES LIMITED(NON-UPDATION OF KYC, INACTIVE)	SEBI/HO/IVD/ID8/JL/PH/OW/P/2023/49577/2	21-12-2023
CSMPM5079H	AMIT MAKWANA	INVESTIGATION IN TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF KAVIT INDUSTRIES LIMITED(NON-UPDATION OF KYC, INACTIVE)	SEBI/HO/IVD/ID8/JL/PH/OW/P/2023/49577/2	21-12-2023
CCJPM9654D	SALEJ MAKWANA	INVESTIGATION IN TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF KAVIT INDUSTRIES LIMITED(NON-UPDATION OF KYC, INACTIVE)	SEBI/HO/IVD/ID8/JL/PH/OW/P/2023/49577/2	21-12-2023
BOPPV1278D	JAYESH VAGHELA	INVESTIGATION IN TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF KAVIT INDUSTRIES LIMITED(NON-UPDATION OF KYC, INACTIVE)	SEBI/HO/IVD/ID8/JL/PH/OW/P/2023/49577/2	21-12-2023

AXIPY0954G	ANURUDHKUMAR BARELAL YADAV	INVESTIGATION IN TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF KAVIT INDUSTRIES LIMITED(NON-UPDATION OF KYC, INACTIVE)	SEBI/HO/IVD/ID8/JL/PH/OW/P/2023/49577/2	21-12-2023
ADGPV6374B	NAYANBHAI SHIVSHANKAR VYAS	INVESTIGATION IN TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF KAVIT INDUSTRIES LIMITED(NON-UPDATION OF KYC, INACTIVE)	SEBI/HO/IVD/ID8/JL/PH/OW/P/2023/49577/2	21-12-2023
CJIPP3064Q	VIPUL BHARATBHAI PITHADIA	INVESTIGATION IN TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF KAVIT INDUSTRIES LIMITED(NON-UPDATION OF KYC, INACTIVE)	SEBI/HO/IVD/ID8/JL/PH/OW/P/2023/49577/2	21-12-2023
ANTPP1230H	AMITKUMAR NAVINCHANDRA PATEL	INVESTIGATION IN TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF KAVIT INDUSTRIES LIMITED(NON-UPDATION OF KYC, INACTIVE)	SEBI/HO/IVD/ID8/JL/PH/OW/P/2023/49577/2	21-12-2023
DDDPM8675Q	JITENDRABHAI MADHAVLAL MISTRI	INVESTIGATION IN TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF KAVIT INDUSTRIES LIMITED(NON-UPDATION OF KYC, INACTIVE)	SEBI/HO/IVD/ID8/JL/PH/OW/P/2023/49577/2	21-12-2023
COPPP4646J	AKSHAY PARMAR	INVESTIGATION IN TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF KAVIT INDUSTRIES LIMITED(NON-UPDATION OF KYC, INACTIVE)	SEBI/HO/IVD/ID8/JL/PH/OW/P/2023/49577/2	21-12-2023
CLYPP2035H	KAMLESHKUMAR BIPINCHANDRA PARMAR	INVESTIGATION IN TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF KAVIT INDUSTRIES LIMITED(NON-UPDATION OF KYC, INACTIVE)	SEBI/HO/IVD/ID8/JL/PH/OW/P/2023/49577/2	21-12-2023
BKQPS3900Q	SHARDA SHARMA	INVESTIGATION IN TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF KAVIT INDUSTRIES LIMITED(NON-UPDATION OF KYC, INACTIVE)	SEBI/HO/IVD/ID8/JL/PH/OW/P/2023/49577/2	21-12-2023
BDHPS5040K	HARI GOPAL SHARMA	INVESTIGATION IN TRADING ACTIVITIES OF CERTAIN ENTITIES IN THE SCRIP OF KAVIT INDUSTRIES LIMITED(NON-UPDATION OF KYC, INACTIVE)	SEBI/HO/IVD/ID8/JL/PH/OW/P/2023/49577/2	21-12-2023

AQBPC1558Q	PRITI JAYAKARBHAI CHRISTIAN	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961	CERTIFICATE NO.RC3687/2021	28-12-2023
ABRPG0167E	ANOOP KUMAR GARG	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961	CERTIFICATE NO.RC4142/2021	28-12-2023
AAACR9384B	RFL INTERNATIONAL LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO.RC6453/2023	28-12-2023
AAQFA3264Q	AASHISH DEVELOPER	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO.RC6973/2023	28-12-2023
BBZPK6473J	GLOBAL PORTFOLIO MANAGEMENT (PROPRIETOR IMRAN KHAN)	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO.RC7174/2023	28-12-2023
AADCS3116P	M/S BLAZON MARBLES LTD	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE No.RC4261/2021	28-12-2023

ALPRB9295L	MR. BHAVIK SATISH BADANI	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE No.RC4261/2021	28-12-2023
ABJPS8612E	MR. MANSUKH SANGHVI	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE No.RC4261/2021	28-12-2023
AABCP9030F	PARSOLI CORPORATION LIMITED	SHOW CAUSE NOTICE UNDER SECTION 11B(1) AND SECTION 11B(2) READ WITH SECTION 15HB OF THE SEBI ACT, 1992 IN THE MATTER OF PARSOLI CORPORATION LIMITED	SEBI/HO/CFD/CFD-SEC-1/P/OW/05300/1	29-12-2023
AADCG0853B	GARIMA REAL ESTATE & ALLIED LIMITED	UNDER SECTION 15 - I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SM/SM/2019-20/7197-7203	02-01-2024
BNXPS1496L	BIJENDRA PAL SINGH	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE No.RC3357/2021	02-01-2024
AVQPK4359M	SHIVRAM KUSHWAH	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE No.RC3357/2021	02-01-2024

AVQPK4360A	BALKISHAN KUSHWAH	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE No.RC3357/2021	02-01-2024
AANCS7178R	SAI PRAKASH PROPERTIES DEVELOPMENT LIMITED	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE No.RC4185/2021	02-01-2024
AXMPB0165C	DHEERENDRA KRISHAN PRATAP	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE No.RC4216/2021	02-01-2024
BKJPB6325H	MRAGENDRA SINGH BAGHEL	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE No.RC4217/2021	02-01-2024
ATTPB8254D	RANVIJAY PRATAP SINGH BAGHEL	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE No.RC4226/2021	02-01-2024

ATTPB8244M	SHAHENDRA SINGH BAGHEL	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE No.RC4227/2021	02-01-2024
AANCS7178R	SAI PRAKASH PROPERTIES DEVELOPMENT LIMITED	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE No.RC4228/2021	02-01-2024
ATTPB8244M	SHAHENDRA SINGH BAGHEL	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE No.RC4228/2021	02-01-2024
ATTPB8254D	RANVAJAY PRATAP SINGH BAGHEL	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE No.RC4228/2021	02-01-2024
BKJPB6325H	MRAGENDRA SINGH BAGHEL	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE No.RC4228/2021	02-01-2024

AXMPB0165C	DHEERENDRA KRISHAN PRATAP	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE No.RC4228/2021	02-01-2024
AAMCS4086P	SHRI RAM REAL ESTATE AND BUSINESS SOLUTIONS LIMITED	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE No.RC4402/2021	02-01-2024
AMQPP8230M	BABLOO PRAJAPATI	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE No.RC4402/2021	02-01-2024
BXYPM8890C	GOPAL MEENA	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE No.RC4402/2021	02-01-2024
BXMPS0718K	VIJAY SINGH	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE No.RC4402/2021	02-01-2024

AAECA1954G	SMS TECHSOFT (INDIA) LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992/SECTION 23JB OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956/SECTION 19-IB OF DEPOSITORIES ACT, 1996	CERTIFICATE No.RC4522/2022	02-01-2024
AAECA1954G	SMS TECHSOFT (INDIA) LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992/SECTION 23JB OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956/SECTION 19-IB OF DEPOSITORIES ACT, 1996	CERTIFICATE No.RC4562/2022	02-01-2024
AAFCP3908E	PRAGYA DIARIES AND AGRO LIMITED	CERTIFICATE UNDER 28A OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX, 1961	CERTIFICATE No.RC4800/2022	02-01-2024
AVBPP1293Q	POOJA PATIDAR	CERTIFICATE UNDER 28A OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX, 1961	CERTIFICATE No.RC4800/2022	02-01-2024
AHEPG5644F	ANUJ GARG	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23JB OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956	CERTIFICATE NO.7153 OF 2023	03-01-2024
AAACC3235A	CPR CAPITAL SERVICES LTD	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23JB OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956	CERTIFICATE NO.7156 OF 2023	03-01-2024

AAGPF1976L	BANWARI LAL KUSHWAH	UNDER SECTION 15 I OF SECURITIES .AND EXCHANGE ,BOARD OF INDIA ACT. 1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA(PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES 1996	ADJUDICATION ORDER NO: ORDER/SM/SM/2019-20/7197-7203	08-01-2024
AAGPF1976L	BANWARI LAL KUSHWAH	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE No.RC3357/2021	08-01-2024
ACAPL9691A	BANABARILAL LODHI	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE No.RC3357/2021	08-01-2024
AFZPB0624B	PUSHPENDRA SINGH BAGHEL	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE No.RC4228/2021	08-01-2024
AFZPB0557M	PUSHPANJALI SINGH BAGHEL	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE No.RC4228/2021	08-01-2024

AFCPD1730M	SUBHASH DESHMUKH	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE No.RC4402/2021	08-01-2024
ALCPP9159L	SOHAN KUMAR PATEL	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE No.RC4402/2021	08-01-2024
CNHPS3845M	BHUVNESHWAR PRASAD SAHU	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE No.RC4402/2021	08-01-2024
BTUPP0108C	PUNAM CHAND PATIDAR	CERTIFICATE UNDER 28A OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX, 1961	CERTIFICATE No.RC4800/2022	08-01-2024
AJWPP3490J	NANA LAL PATIDAR	CERTIFICATE UNDER 28A OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX, 1961	CERTIFICATE No.RC4800/2022	08-01-2024
APJPP6921M	DURGA PATIDAR	CERTIFICATE UNDER 28A OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX, 1961	CERTIFICATE No.RC4800/2022	08-01-2024
AFFPA4197L	ANSAR AHMED	CERTIFICATE UNDER 28A OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX, 1961	CERTIFICATE No.RC4800/2022	08-01-2024

AVTPT6188Q	SHRI. AMARJEET SINGH TREHAN	UNDER SECTIONS 11(1), 11(4), 11(4A), 11B(1), 11B(2) AND 11D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 4(1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	QJA/SVMDR/WRO/WRO/29848/2023-2	09-01-2024
AAOPZ1502G	AMBER ZAIDI	SHOW CAUSE NOTICE UNDER SECTION 11B(1) AND 11B(2) READ WITH SECTION 15HB OF THE SEBI ACT, 1992 IN THE MATTER IF PARSOLI CORPORATION LIMITED	SEBI/HO/CFD/CFD-SEC-1/P/OW/05300/5	15-01-2024
ACQPS5375Q	SUNITA SHAH	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATING ORDER NO-ASK/RGA/AO/176-185/2014-15	17-01-2024
ABTFS0353F	SHREE SHAGUN FINANCIAL SERVICES	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATING ORDER NO-ORDER/GR/AE/2019-20/5335-5350	17-01-2024
AAMCM7283L	MARKAB INDIA SPV PRIVATE LIMITED	FINAL ORDER UNDER SECTION 11(1), 11(2)(H), 11(4), 11B(1) AND 11B(2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 32 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA(SUBSATANTIAL ACQUISITION OF SHARES AND TAKEOVERS) REGULATIONS, 2011	QJA/VS/CFD/CFD-SEC-3/23792/2022-23	18-01-2024
ALEPN1421F	NAZEER AZAM SULTHAN	FINAL ORDER UNDER SECTION 11(1), 11(2)(H), 11(4), 11B(1) AND 11B(2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 32 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA(SUBSATANTIAL ACQUISITION OF SHARES AND TAKEOVERS) REGULATIONS, 2011	QJA/VS/CFD/CFD-SEC-3/23792/2022-23	18-01-2024
ALWPS7673Q	PRATIK RAMESHCHANDRA SHAH	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO.1202 OF 2017	23-01-2024
AAQCS6937F	SMART INFRAPROPERTIES PRIVATE LIMITED	NOTICE FOR SUMMARY SETTLEMENT IN THE MATTER OF CRESSANDA SOLUTIONS LIMITED	SEBI/HO/CFD/SEC-1/OW/P/2023/32884/1	23-01-2024

AADPJ5102D	PRAVEEN KUMAR JAIN	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. PM/NR/2020- 21/10421	24-01-2024
ADGPV0696L	CHIRANJILAL VYAS	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/BM/JR/2023-24/29259-29263	24-01-2024
AISPR2737D	NARENDRA KUMAR RATHORE	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. PM/NR/2020- 21/9088	24-01-2024
AADCM7110M	MAHANIVESH STOCK AND SHARES PVT. LTD.	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. RA/JP/112/2017	24-01-2024
AERPC7192L	JAYWANT CHAVAN	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. EAD/PM- RR/AO/2019-20/4682-4722	24-01-2024
ACQPS5387Q	SHRENIK SHAH	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATING ORDER NO-ASK/RGA/AO/176-185/2014-15	24-01-2024
AAACB1655R	H BHAVESH SECURITIES AND COMMODITIES PVT. LTD.	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATING ORDER NO-ORDER/GR/AE/2019-20/5335-5350	24-01-2024
ACQPM0876L	SRINIVAS RAO MARUPUDI	UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005 AND RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATING ORDER NO-EAD-7/BJD/NJMR/2018-19/1979-2006	24-01-2024

BCDPM3124P	SRINIVAS RAO MARUPUDI	UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005 AND RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATING ORDER NO-EAD-7/BJD/NJMR/2018-19/1979-2006	24-01-2024
AAACZ2996D	ZOLTON PROPERTIES PRIVATE LIMITED	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	EAD-4/ADJ/GR/HK/OW/P/21332/2/2022	29-01-2024
AODPA6026K	ARVIND PODDAR	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.6728 OF 2023	29-01-2024
AIXPG2195F	CHANDAN GUPTA	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.6730 OF 2023	29-01-2024
AADCK9238Q	KING POWER INDUSTRIES PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.6782 OF 2023	29-01-2024
AAEPG8328D	SALASAR TRADING CO. (PROPRIETOR MANOJ KUMAR GARG)	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.6911 OF 2023	29-01-2024
AANFV4919L	VIMUK ENTERPRISES	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.6916 OF 2023	29-01-2024

ASUPC0179N	MR. SANJAY MADANLAL CHOUHAN	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.2726 OF 2020	29-01-2024
AAACI8851P	I360 STAFFING AND TRAINING SOLUTION PVT. LTD.	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.7164 OF 2023	29-01-2024
ABTFS0353F	SHREE SHAGUN FINANCIAL SERVICES	IN RESPECT OF SEBI ORDER DATED JANUARY 31, 2019 AND HON'BLE SECURITIES APPELLATE TRIBUNAL ORDER DATED MARCH 04, 2020 IN THE MATTER OF POLYTEX INDIA LIMITED, GEMSTONE INVESTMENTS LIMITED AND KGN ENTERPRISES LIMITED.	WTM/GM/EFD/22/2020-21	30-01-2024
CLAPK2809N	SAGAR KADAM	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATING ORDER NO: ORDER/PM/GD/2022-23/16720-16739	02-02-2024
AFAPB7100D	DHARMENDRA HARILAL BHOJAK	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATING ORDER NO: ORDER/PM/GD/2022-23/16720-16739	02-02-2024
AAJCS1526R	SHUBHANG EXPORTS LTD	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.6665 OF 2023	02-02-2024
AACCT8158M	TORUS BUILDCON PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.6697 OF 2023	02-02-2024
AACCT7439H	TIGER DEVELOPERS PRIVATE LIMITED	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	EAD-4/ADJ/GR/HK/OW/P/21332/1/2022	02-02-2024

AALCS1157L	SAUBHAGYA BUILDCON PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.6700 OF 2023	02-02-2024
AIAPG7306B	RUCHIKA GOEL	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23JB OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956	CERTIFICATE No.6707 OF 2023	02-02-2024
ADEPG1122G	DEEPAK GOEL	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23JB OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956	CERTIFICATE No.6707 OF 2023	02-02-2024
ACTPG7828D	PANKAJ GOEL	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23JB OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956	CERTIFICATE No.6708 OF 2023	02-02-2024
AFSPG7531F	MEENU GOEL	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23JB OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956	CERTIFICATE No.6708 OF 2023	02-02-2024
AAACT2207P	TRIPOLI INVESTMENT & TRADING COMPANY	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.6788 OF 2023	02-02-2024

AAACG0278K	GURUDEV FINANCIAL SERVICES PRIVATE	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.6789 OF 2023	02-02-2024
AAACT0545N	TARA ALLOYS LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.6790 OF 2023	02-02-2024
AAFCP3549R	PRIUS REAL ESTATE PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.6791 OF 2023	02-02-2024
AAFCA0211M	SRIDHAM DISTRIBUTORS PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.7015 OF 2023	02-02-2024
AAECA3909P	AMRAPALI AADYA TRADING & INVESTMENTS PVT. LTD.	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23JB OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956	CERTIFICATE No.7066 OF 2023	02-02-2024
ALEPS6005L	SAJEEV KUMAR SINHA	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23JB OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956	CERTIFICATE No.7066 OF 2023	02-02-2024

AOIPS2038G	AMITA SINHA	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23JB OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956	CERTIFICATE No.7066 OF 2023	02-02-2024
ASUPS6193E	VANDANA SINHA	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23JB OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956	CERTIFICATE No.7066 OF 2023	02-02-2024
AEGPT3607N	GAJENDER KUMAR TYAGI	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.7141 OF 2023	02-02-2024
AGDPG7175M	RAM MOHAN GUPTA	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.7162 OF 2023	02-02-2024
AMWPM2947A	AMALENDU MUKHERJEE	UNDER SECTIONS 11, 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND REGULATION 11 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROHIBITION OF INSIDER TRADING) REGULATIONS, 1992 READ WITH REGULATION 12 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROHIBITION OF INSIDER TRADING) REGULATIONS, 2015.	WTM/MPB/IVD-ID6/120 /2020	05-02-2024
AOCPK3066L	UMARDAN KHARIYA	UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005.	ADJUDICATION ORDER NO.EAD/SR/SM/AO/89 /2018-19/1892	08-02-2024

AAEPT9209L	MG CAPITAL SERVICES LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE No.6874 OF 2023	08-02-2024
AACPR3785K	BHUPESH RATHOD	IN RESPECT OF SEBI ORDER DATED JANUARY 31, 2019 AND HON'BLE SECURITIES APPELLATE TRIBUNAL ORDER DATED MARCH 04, 2020 IN THE MATTER OF POLYTEX INDIA LIMITED, GEMSTONE INVESTMENTS LIMITED AND KGN ENTERPRISES LIMITED.	WTM/GM/EFD/22/2020-21	15-02-2024
AAJCR2361R	REDDITO CAPITAL INVESTMENT ADVISORS P. LTD.	SHOW CAUSE NOTICE UNDER REGULATION 27(1) OF THE SEBI (INTERMEDIARIES) REGULATIONS, 2008 IN RESPECT OF ENQUIRY CONDUCTED AGAINST REDDITO CAPITAL INVRSTMENT ADVISORS PVT. LTD. (NOTICE)	SEBI/HO/EFD-1-DRA6/P/OW/2023/5879/1 SEBI/HO/EFD-1-DRA6/P/OW/2023/33675/1 ENQUIRY REPORT NO. ENQ/AS/GD/2022-23/23252	22-02-2024
ABBPS2467H	CHETAN YASHWANT SHUKLA	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATING ORDER NO. ORDER/GR/PU/2022-23/15951	27-02-2024
AAACZ6848C	ZIMIG TRADING COMPANY PRIVATE LIMITED	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND UNDER SECTION 23-I OF SECURITES CONTRACTS (REGULATIONS) ACT, 1956	ADJUDICATING ORDER NO; ORDER/VV/AS/2022-23/17519-17633	27-02-2024
AAACO2454R	NOVA GOLD PETRO RESOURCES LIMITED	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND UNDER SECTION 23-I OF SECURITES CONTRACTS (REGULATIONS) ACT, 1956	ADJUDICATING ORDER NO; ORDER/VV/AS/2022-23/17519-17633	27-02-2024
AAHCS1332H	STARDOM TRADING COMPANY PVT. LTD	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND UNDER SECTION 23-I OF SECURITES CONTRACTS (REGULATIONS) ACT, 1956	ADJUDICATING ORDER NO; ORDER/VV/AS/2022-23/17519-17633	27-02-2024
BIKPS4962G	SAIRA AMANATALI SHAIKH	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATING ORDER NO. PM/NR/2020- 21/9598	27-02-2024

ACIPG0193J	ARVIND BABULALJI GOYAL	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATING ORDER NO. ORDER/KS/VC/2019-20/5772-5779	27-02-2024
AAECC0841Q	CROMAKEM LIMITED	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND UNDER SECTION 23-I OF SECURITES CONTRACTS (REGULATIONS) ACT, 1956	ADJUDICATING ORDER NO; ORDER/VV/AS/2022-23/17519-17633	27-02-2024
AMMPG4969Q	BHAVESH GADHAVI	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO. 7431 OF 2023	27-02-2024
AAACD1881H	KELVIN FINCAP LTD	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND UNDER SECTION 23-I OF SECURITES CONTRACTS (REGULATIONS) ACT, 1956	ADJUDICATING ORDER NO; ORDER/VV/AS/2022-23/17519-17633	28-02-2024
BKXPS1638G	DINANATH SHAMSUNDER	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATING ORDER NO. ORDER/DS/AY/2022-23/19458-19459	29-02-2024
ASAPK9943L	KALPANA KOMATI	NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES)RULES, 1995 READ WITH SECTION 15I OF SEBI ACT, 1992	EAD-4/ADJ/GR/PU/24766/1/2023	01-03-2024
GWLPS3968A	SILAMKOTI ABILASH	NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES)RULES, 1995 READ WITH SECTION 15I OF SEBI ACT, 1992	EAD-4/ADJ/GR/PU/24768/1/2023	01-03-2024
ABRPA8654L	SRINIVASAGOPALAN ARA AMUDAN	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. RA/DPS/101/2017	07-03-2024
DXSPR9776L	SHRI SHUBHAM RAI	UNDER SECTION 11(1), 11(4) AND 11B(1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/ASB/WRO/WRO/23943/2022-23	12-03-2024

EDRPR4810K	SHRI RAJESH NATH	UNDER SECTION 11(1), 11(4) AND 11B(1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/ASB/WRO/WRO /23943/2022-23	12-03-2024
AAJFC0166C	CAPPROIN FINANCIAL ADVISORY	UNDER SECTION 11(1), 11(4) AND 11B(1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/ASB/WRO/WRO /22386/2022-23	12-03-2024
CSEPS0815B	MR. RAJINDER SINGH	UNDER SECTION 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES), REGULATIONS, 1999	WTM/AB/NRO/NRO/1 5731/2021-22	12-03-2024
AABCO6972D	RAGHUPATI OVERSEAS PRIVATE LIMITED (NAINADEVI COMMOTRADE PVT. LTD. / ORANGE COMMOTRADE PRIVATE LIMITED)	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO. 6930 of 2023	14-03-2024
AXNPC3713G	PREM CHAND	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23JB OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956	CERTIFICATE NO. 7418 of 2023	14-03-2024
AAACV5887D	VITOBA REALTORS PRIVATE LIMITED	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO. 6831 of 2023	14-03-2024
CXMPK2057E	SACHIN KUMAR	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO. 6843 of 2023	14-03-2024
ACZFS4147H	SKYNET SOLUTIONS	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO. 6883 of 2023	14-03-2024

BOHPC6521A	MS. RAJNANDANI JALKHEDIYA	UNDER SECTION 11(1), 11(4), 11(4A), 11B(1) AND 11B(2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF M/S SAFE TRADER (PROPRIETOR: MS. RAJNANDANI JALKHEDIYA)	QJA/SVMDR/WRO/WRO/23145/2022-23	18-03-2024
BNTPB7100D	JASMEET KAUR BAGGA	UNDER SECTION 11(1), 11(4) AND 11B(1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/ASB/WRO/WRO/22386/2022-23	18-03-2024
CPWPP2985J	PRIYANK SHARMA	UNDER SECTION 11(1), 11(4) AND 11B(1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/ASB/WRO/WRO/22386/2022-23	18-03-2024
ADPPO5693K	MS. VARSHA OSWAL	UNDER SECTION 11(1), 11(4) AND 11B(1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/WRO/WRO/22737/2022-23	18-03-2024
DNAPP1607Q	MS PRABHA PATEL	UNDER SECTION 11(1), 11(4) AND 11B(1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/WRO/WRO/22737/2022-23	18-03-2024
CACPS0218L	MS. ARTI SHARMA	UNDER SECTION 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES), REGULATIONS, 1999	WTM/AB/NRO/NRO/15731/2021-22	18-03-2024
BESPS6281L	MS. SUDHI SINGH	UNDER SECTION 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES), REGULATIONS, 1999	WTM/AB/NRO/NRO/15731/2021-22	18-03-2024
ALUPG7490G	GANESH ANANT GHADGE	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/PM/PA/2022-23/18104-18110	18-03-2024
ACIPG0193J	ARVIND BABULAL GOYAL	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/PM/PA/2022-23/18093-18097	21-03-2024
ABOPW5107F	SORAB WADIA	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/PM/PA/2022-23/18093-18097	21-03-2024

AMTPD7404Q	RAMESH DWARKADAS DAGA	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/PM/PA/2022-23/18093-18097	21-03-2024
ABNPD1798C	CHETAN DOGRA	UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER NO: BS/AO/53- 60/2018-19	21-03-2024
AFXPB0128C	KALPESH RAMNIKLAL BABARIYA	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: EAD- 2/AO/18/2012	21-03-2024
ACUPV1785B	GIRIDHAR J VAGADIYA	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: EAD- 2/AO/19/2012	21-03-2024
ACUPV1785B	GIRIDHAR J VAGADIYA	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: PKK/AO/275/2010	21-03-2024
ACUPV1785B	GIRIDHAR J VAGADIYA	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: SD/AO/86/2010	21-03-2024
AGCPM0469R	BIMLESH KUMAR MISHRA	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO.: SD/AO/170/2009	21-03-2024
AGCPM0469R	BIMLESH KUMAR MISHRA	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO.: SD/AO/79/2010	21-03-2024

ACAPT3474F	MADHUSUDAN THAKKAR	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO: PKK/AO/244/2010	21-03-2024
AAGCS6595M	SHYAM ALCOHOL AND CHEMICALS LTD	UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005 AND RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. PM/NR/2020- 21/11117-11121	22-03-2024
AACCS7980C	SAMPADA CHEMICALS LTD	UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005 AND RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. PM/NR/2020- 21/11117-11121	22-03-2024
ABNPD1798C	CHETAN DOGRA	UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005 AND RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. PM/NR/2020- 21/10364-10366	22-03-2024
AACHC5554P	CHETAN DOGRA HUF	UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005 AND RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. PM/NR/2020- 21/10364-10366	22-03-2024

AOIPS9379C	TANUJA SINGH	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD/SR/AO/81/2018-19	22-03-2024
AAXPH9088C	SHRI VINOD HINGORANI	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. ACL/VH/AO/DRK/AS/ EAD-3/165/09-68/2010	22-03-2024
AAXPH9088C	SHRI VINOD HINGORANI	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. IVD-ID-3/ACL/VH/AO/DRK/AS/EAD-3/111/09-40/2010	22-03-2024
AABPD5165A	BIPIN PUSHPABEN DIVECHA	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995 AND SECURITIES CONTRACTS (REGULATIONS) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 2005	ADJUDICATION ORDER NO. EAD/SR/SM/AO/79-85/2019-20/3444-3450	26-03-2024
AABCF9494P	FINALYSIS CREDIT & GUARANTEE COMPANY LIMITED	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995 AND SECURITIES CONTRACTS (REGULATIONS) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 2005	ADJUDICATION ORDER NO. EAD/SR/SM/AO/79-85/2019-20/3444-3450	26-03-2024
AAACC3529P	M/S COSMO CORPORATE SERVICES LIMITED	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. JJ/AK/AO- 114/2014	26-03-2024

AAACI4661A	ISHITA FINSTOCK LTD	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. JJ/AK/AO- 177/2014	26-03-2024
AACCB4484G	BAHAR PAPER PRIVATE LIMITED / KODA MALIA	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD- 2/DSR/RG/406-465/2015	26-03-2024
AABCH8216M	HEENA DEVELOPERS LTD	UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER NO. BS/AO/33- 40/2018-19	26-03-2024
AMUPB0580E	BANWARI LAL BAGHEL (DIRECTOR)	UNDER SECTION 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF SUNSHINE INFRA BUILD CORPORATION LIMITED	WTM/PS/49/EFD/SEPTEMBER/2015	26-03-2024
AQDPB0485F	SURENDRA SINGH BAGHEL (DIRECTOR)	UNDER SECTION 11, 11(4), 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, IN THE MATTER OF SUNSHINE INFRA BUILD CORPORATION LIMITED	WTM/PS/49/EFD/SEPTEMBER/2015	26-03-2024
AAEPC9775G	CHANDRA SHEKHAR CHAUHAN	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD/KS/AS/AO/10490-10494/2020-21	26-03-2024
CUCPG7495R	VIKASH GUPTA	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO. 7571 OF 2024	01-04-2024

AARCS5133J	SHALLOT TIE-UP PRIVATE LIMITED	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-6/AO/OM/NK/013/2019-20	02-04-2024
AARCS4827R	SHALLOT DEALTRADE PRIVATE LIMITED	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-6/AO/OM/NK/013/2019-20	02-04-2024
AADCR4597F	RIDS TEXTILES LTD	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. RA/JP/310- 319/2018	02-04-2024
AACCD4984F	DINESH PATADIA FINANCIAL & INVESTMENTS PVT. LTD	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. RA/JP/310- 319/2018	02-04-2024
AFWPM0506N	DHILIN MEHTA	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD- 12/AO/SM/250-251/2018	02-04-2024
AACCA2226R	AHILYA COMMERCIAL PVT LTD	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. RA/AO- 10680/2011	02-04-2024

AACPM1058Q	PRASHANT MULEKAR	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995 UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER NO. EAD-4/SRP/AE/AO/2018-19/19	02-04-2024
ABHPS7396D	MR. P.S. SAMINATHAN	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. PKK/AO/192/2011	02-04-2024
ABHPS7396D	MR. P.S. SAMINATHAN	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. BM/AO- 109/2011	02-04-2024
ABHPS7396D	MR. P.S. SAMINATHAN	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. BM/AO- 138/2013	02-04-2024
ABHPS7396D	MR. P.S. SAMINATHAN	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. BM/AO- 137/2013	02-04-2024
AAACL7744D	LAKSHYA SECURITIES AND CREDIT HOLDINGS LIMITED	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. PKB/AO- 51/2011	02-04-2024

AAACL7744D	LAKSHYA SECURITIES AND CREDIT HOLDINGS LIMITED	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. PKB/AO- 16/2012	02-04-2024
AACCS1366C	SHANTI TRADELINK PVT LTD.	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. PKB/AO- 11/2012	02-04-2024
ARHPD5353R	SURAJIT DUTTA	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER: ORDER/GR/RK/2021-22/13614	03-04-2024
AUWPJ3225E	MITHILESH KUMAR JHA	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER: ORDER/GR/RK/2021-22/13615	03-04-2024
AABCB1442P	M/S BOBBY LEASE FINANCE LIMITED	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. SD/AO/16/2010	03-04-2024
AANPD5594R	VENUGOPAL DHOOT	UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER NO. ORDER/SM/DP/2022-23/25293	04-04-2024

ADHPP4699Q	CHIRAG PATEL	UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005 AND RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. RA/JP/215- 221/2017	08-04-2024
AAICA4474D	ACUITY MERCHANTS PVT LTD	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER: ORDER/GR/RK/2021-22/13447-13454	09-04-2024
AABCR4071J	RICHHOLD PROPERTIES PVT LTD	UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER: ORDER/GR/RK/2021-22/12929-12935	09-04-2024
AACCK7867L	KABERI GOODS PVT LTD	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER: ORDER/GR/RK/2021-22/13447-13454	09-04-2024
AACCI3168A	INVOREX VINCOM PVT LTD	UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER: ORDER/GR/RK/2021-22/12929-12935	09-04-2024
AAGCA8604B	AKANSHA COMMODITIES PVT LTD	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER: ORDER/GR/RK/2021-22/13447-13454	09-04-2024

AABCG1461B	M/S GODAVARI COMMERCIAL SERVICES PRIVATE LIMITED	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER: ORDER/GR/RK/2021-22/13447-13454	09-04-2024
AAICA4474D	ACUITY MERCHANTS PVT LTD	UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER: ORDER/GR/RK/2021-22/12929-12935	09-04-2024
AABCG1461B	GODAVARI COMMERCIAL SERVICES PVT LTD	UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER: ORDER/GR/RK/2021-22/12929-12935	09-04-2024
AACCK7867L	KABERI GOODS PVT LTD	UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER: ORDER/GR/RK/2021-22/12929-12935	09-04-2024
AACCI3168A	INVOREX VINCOM PVT LTD	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER: ORDER/GR/RK/2021-22/13447-13454	09-04-2024
AAGCA8604B	AKANSHA COMMODITIES PVT LTD	UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER: ORDER/GR/RK/2021-22/12929-12935	09-04-2024
AAECS0527R	SUPERDEAL FINCOM PVT LTD	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER: ORDER/GR/RK/2021-22/13447-13454	09-04-2024

AAACV5947Q	ELECTROPARTS (INDIA) PVT LTD	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER: ORDER/GR/RK/2021-22/13337	09-04-2024
AAACV2303E	VIDEOCON REALTY AND INFRASTRUCTURES LTD	UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER: ORDER/GR/RK/2021-22/12972-12975	09-04-2024
AAACV5947Q	ELECTROPARTS (INDIA) PVT LTD	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER: ORDER/GR/RK/2021-22/13548-13550	09-04-2024
AAACV2303E	VIDEOCON REALTY AND INFRASTRUCTURES LTD	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER: ORDER/GR/RK/2021-22/13548-13550	09-04-2024
AANPD5594R	VENUGOPAL DHOOT	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER: ORDER/GR/RK/2021-22/13548-13550	09-04-2024
AAACV5947Q	ELECTROPARTS (INDIA) PVT. LTD. (ERSTWHILE SHREE DHOOT TRADING AND AGENCIES LTD.)	UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER: ORDER/GR/RK/2021-22/12972-12975	09-04-2024
AAACV2303E	VIDEOCON REALTY AND INFRASTRUCTURES LTD	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER: ORDER/GR/RK/2021-22/13338	09-04-2024

AANPD5593J	PRADEEP KUMAR DHOOT	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER: ORDER/GR/RK/2021-22/13691	09-04-2024
AACCE1213E	JUG MUG SALES PRIVATE LIMITED (NOW ENCORD TRADING PRIVATE LIMITED)	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATING ORDER NO. ORDER/GR/BM/2023-24/29301	12-04-2024
AAEPL2191D	MS. PREM LATA	UNDER SECTION 11(1), 11(4) AND 11B OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 65 OF SECURITIES AND EXCHANGE BOARD OF INDIA (COLLECTIVE INVESTMENT SCHEMES), REGULATIONS, 1999	WTM/AB/NRO/NRO/15731/2021-22	18-04-2024
AETPJ9387E	DEVENDER KUMAR JAIN	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO. 7728 OF 2024	19-04-2024
AAAHD3061N	D. K. JAIN & SONS HUF	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO. 7727 OF 2024	19-04-2024
AQNPR3366R	RAMSWAROOP B PANCHARIYA	NOTICE UNDER RULE 4 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1995 AND RULE 4 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRE AND IMPOSING PENALTIES)RULES, 2005 READ WITH SECTION 23-I OF SECURITIES CONTRACT REGULATION ACT, 1956 IN THE MATTER OF "ALKA INDIA LIMITED"	SEBI/HO/EAD/EAD3/P/OW/2024/000000/8243/7/1	19-04-2024

AMYPK4943N	RUPESH KUMAR	NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 151 OF SEBI ACT, 1992 AND RULE 4(1) OF THE SECURITIES CONTRACTS (REGULATIONS) PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005 READ WITH SECTION 23I OF SECURITIES CONTRACTS REGULATION ACT, 1956	EAD-IO/ADJ/SM/AS/OW/44659/1/2023	22-04-2024
AMYPK4943N	RUPESH KUMAR	NOTICE UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 151 OF SEBI ACT, 1992 AND RULE 4(1) OF THE SECURITIES CONTRACTS (REGULATIONS) PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005 READ WITH SECTION 23I OF SECURITIES CONTRACTS REGULATION ACT, 1956	EAD-IO/ADJ/SM/AS/OW/44819/1/2023	22-04-2024
AAACM9900G	MH MILLS & INDUSTRIES LIMITED	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATING ORDER NO. EAD-8/KS/AA/AO/219/2018-19	22-04-2024
AABCT3010D	M/S T H VAKIL SHARES AND SECURITIES PVT LTD	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATING ORDER NO. SD/AO/165/2009	23-04-2024
AABCT3010D	M/S T H VAKIL SHARES AND SECURITIES PVT LTD	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATING ORDER NO. SD/AO/166/2009	23-04-2024
AUNPS2417E	AMUL P. SHETH	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATING ORDER NO. MC/AO- 10/2012	24-04-2024

AAFHG7351F	GOPAL GOENKA HUF	SEBI SETTLEMENT SCHEME IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD1_DRA3/OW/9563/1/2024	24-04-2024
ABMPA0916C	ANITA AGARWAL	SEBI SETTLEMENT SCHEME IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD1_DRA3/OW/9565/1/2024	24-04-2024
ATUPS9251J	AMREEN SUKHYANI	SEBI SETTLEMENT SCHEME IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD1_DRA3/OW/9601/1/2024	24-04-2024
AEVPP6124P	ASHOK R PANCHARIYA	NOTICE UNDER RULE 4 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1995 AND RULE 4 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRE AND IMPOSING PENALTIES)RULES, 2005 READ WITH SECTION 23-I OF SECURITIES CONTRACT REGULATION ACT, 1956 IN THE MATTER OF "ALKA INDIA LIMITED"	SEBI/HO/EAD/EAD3/P/OW/2024/000000/8243/2/1	25-04-2024
ADRPP1806H	SATISH R PANCHARIYA	NOTICE UNDER RULE 4 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1995 AND RULE 4 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRE AND IMPOSING PENALTIES)RULES, 2005 READ WITH SECTION 23-I OF SECURITIES CONTRACT REGULATION ACT, 1956 IN THE MATTER OF "ALKA INDIA LIMITED"	SEBI/HO/EAD/EAD3/P/OW/2024/000000/8243/3/1	25-04-2024
AQNPP3367Q	SHANTADEVI R PANCHARIYA	NOTICE UNDER RULE 4 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1995 AND RULE 4 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRE AND IMPOSING PENALTIES)RULES, 2005 READ WITH SECTION 23-I OF SECURITIES CONTRACT REGULATION ACT, 1956 IN THE MATTER OF "ALKA INDIA LIMITED"	SEBI/HO/EAD/EAD3/P/OW/2024/000000/8243/5/1	25-04-2024

AHWPP6235D	RADHADEVI S PANCHARIYA	NOTICE UNDER RULE 4 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1995 AND RULE 4 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRE AND IMPOSING PENALTIES)RULES, 2005 READ WITH SECTION 23-I OF SECURITIES CONTRACT REGULATION ACT, 1956 IN THE MATTER OF "ALKA INDIA LIMITED"	SEBI/HO/EAD/EAD3/P/OW/2024/000000/8243/6/1	25-04-2024
AAACC6370Q	CAVALIER SECURITIES LTD	NOTICE UNDER RULE 4 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1995 AND RULE 4 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRE AND IMPOSING PENALTIES)RULES, 2005 READ WITH SECTION 23-I OF SECURITIES CONTRACT REGULATION ACT, 1956 IN THE MATTER OF "ALKA INDIA LIMITED"	SEBI/HO/EAD/EAD3/P/OW/2024/000000/8243/10/1	25-04-2024
AABCR0270K	RAJESH MALLEABLES LIMITED	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-8/KS/AA/AO/225/2018-19	25-04-2024
AAYHS3088Q	MR. SANJAY SHASTRI HUF	SEBI SETTLEMENT SCHEME IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/EFD1/SEC/8910/1	25-04-2024
AHWPP6223H	SARITADEVI PANCHARIYA	NOTICE UNDER RULE 4 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1995 AND RULE 4 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRE AND IMPOSING PENALTIES)RULES, 2005 READ WITH SECTION 23-I OF SECURITIES CONTRACT REGULATION ACT, 1956 IN THE MATTER OF "ALKA INDIA LIMITED"	SEBI/HO/EAD/EAD3/P/OW/2024/000000/8243/9/1	29-04-2024

AEAPP8945F	RAMNIKLAL MANJIBHAI PATEL	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATING ORDER NO. SD/AO/87/2010	29-04-2024
AEAPP8945F	RAMNIKLAL MANJIBHAI PATEL	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATING ORDER NO. PKK/AO/276/2010	29-04-2024
BAPPS6818A	SARIN PRANAV	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATING ORDER NO. EAD- 5/BS/AO/08-26/2018-19	29-04-2024
AAACO6061G	OURS TRADING AND HOLDINGS PVT. LTD.	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATING ORDER NO. EAD- 5/BS/AO/08-26/2018-19	29-04-2024
AFMPA6266M	SIYA BANSAL	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATING ORDER NO. RA/DPS/124- 126/2017	29-04-2024
APKPR9706D	ROBART	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATING ORDER NO. EAD- 2/DSR/RG/406-465/2015	29-04-2024
ARQPS6069Q	RAJAN SHRIVASTAVA	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATING ORDER NO. EAD- 2/DSR/RG/406-465/2015	29-04-2024

AMSPG0605P	RAJAN GHOSH	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATING ORDER NO. EAD- 2/DSR/RG/406-465/2015	29-04-2024
BDMPK0156K	PUNIT KAPOOR	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATING ORDER NO. EAD- 2/DSR/RG/406-465/2015	29-04-2024
AXCPK5271G	NARENDER KUMAR	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATING ORDER NO. EAD- 2/DSR/RG/406-465/2015	29-04-2024
AAFCM5760R	MARKUP TRADING PVT. LTD.	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATING ORDER NO. EAD- 2/DSR/RG/406-465/2015	29-04-2024
AAHFG9994Q	GLOBAL WEALTH CREATORS	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATING ORDER NO. EAD- 2/DSR/RG/406-465/2015	29-04-2024
AAACF9476E	FIRSTCALL CONSULTANCY SERVICES PRIVATE LTD	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATING ORDER NO. EAD- 2/DSR/RG/406-465/2015	29-04-2024
AIQPG6739G	EMINENCE CAPITAL SERVICES/NARESH GUPTA	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATING ORDER NO. EAD- 2/DSR/RG/406-465/2015	29-04-2024

ABNPD1798C	CHETAN DOGRA	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATING ORDER NO. EAD- 7/BJD/NJMR/115-116/2018-19	29-04-2024
AEAPP8945F	RAMNIKLAL M. PATEL	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATING ORDER NO. MC/AO- 10/2012	29-04-2024
AHWPM5390G	MAHESH H. MISTRY	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATING ORDER NO. PKK/AO/287/2010	29-04-2024
AGMPB8395B	RAJAN BANSAL	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATING ORDER NO. RA/DPS/124- 126/2017	29-04-2024
AADCG1655R	GAMUT EXPORTS LTD	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATING ORDER NO. EAD- 2/DSR/RG/406-465/2015	29-04-2024
AAKHA1804N	ANIL KUMAR GARG HUF	SEBI SETTLEMENT SCHEME IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD1_DRA3/OW/9546/1/2024	30-04-2024
AAFHD3367J	DILIP KUMAR KEDIA HUF	SEBI SETTLEMENT SCHEME IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD1_DRA3/OW/9554/1/2024	30-04-2024
AACCA3894K	AAKANSHA TREXIM PRIVATE LIMITED	SEBI SETTLEMENT SCHEME IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD1_DRA3/OW/9573/1/2024	30-04-2024
AAIHD6045G	DEVA NAND AGGARWAL HUF	SEBI SETTLEMENT SCHEME IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD1_DRA3/OW/9588/1/2024	30-04-2024

DQTPS4378R	AKASHDEEP SINGH	SEBI SETTLEMENT SCHEME IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/HO/EFD1_DRA3/OW/9603/1/2024	30-04-2024
AYGPD9258H	URMILA DEBI	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO: Order/AK/VP/2022-23/21846	30-04-2024
AABCK7306F	KARTIK SHARE TRADERS PRIVATE LIMITED	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD/SR/AO/80/2018-19	30-04-2024
AAFCA3058E	RVL SECURITIES PVT. LTD.	SEBI SETTLEMENT SCHEME 2024 IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS (ISO) AT BSE	SEBI/EFD1/SEC/8918/1	02-05-2024
BBPPR7839K	SUSHMA VIMALKUMAR RAVA	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND SECTION 23–I OF SECURITIES CONTRACT (REGULATION) ACT, 1956 AND RULE 5 OF SECURITIES CONTRACT (REGULATIONS) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER NO. Order/GR/PU/2022-23/20625-20642	07-05-2024
ABNPD1798C	CHETAN DOGRA	UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUCATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD/SR/VV/AO/45/2018-19	07-05-2024
AAACK2230P	KRISHA INCAP SERVICES PRIVATE LIMITED	NOTICE UNDER REGULATION 25(1) OF SECUTIES AND EXCHANGE BOARD OF INDIA(INTERMEDIARIES) REGULATIONS. 2008 IN RESPECT OF KRISHA INCAP SERVICES PRIVATE LIMITED	EAD-IO/ENQ/SM/AS/OW/44663/1/2023	07-05-2024
AAACM2862M	EXELON INFRASTRUCTURE LIMITED	UNDER SECTION 15-1 OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PANALTIES BY ADJUDICATING OFFICER) RULES, 1995.	EAD/BJD/VS/24-33/2017-18	04-07-2024

ATFPA3651B	Gaurav Agarwal			04-07-2024
AABCO2030J	OLIWONDERS FINANCIAL SERVICES PRIVATE LIMITED			10-07-2024
AAECA3869N	Shree Aeran Marketing Pvt Ltd			10-07-2024
AAACR0210J	Roshi Appliances Pvt Ltd			10-07-2024
AACCN7650G	Neevan Capital Markets Private Limited			10-07-2024
AAECM7271J	Mridul Securities Pvt Ltd			10-07-2024
AAFCA6229B	Advance India Shares & Securities Pvt Ltd			10-07-2024
AAECR4581N	Royal Theme Products India Pvt			10-07-2024
AAACR0210J	Roshi Appliances Pvt Ltd			10-07-2024
AAIHA3468A	Avinash Pitale HUF			10-07-2024
AMVPG6042M	SAURABH KUMAR RASIKLAL GANDHI			10-07-2024
AGSPC0944K	Manish Chaturvedi			10-07-2024
AAACI7325P	Taksheel Solutions Limited			10-07-2024
AFBPB2887C	SANTOSH VASANT BHELEKAR			10-07-2024
AAACA7300E	Amadhi Investments Ltd.			10-07-2024
AAACA8504G	Castex Technologies Ltd.	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING AND INQUIRY IMPOSING PENALTIES RULES 1995 AND SECTION 23I OF SECURITIES CONTRACT (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PANALTIES) RULES, 2005.	ADJUDICATING ORDER NO: Order/AA/MG/2019-20/3506-3507	10-07-2024
AHSPP7976M	Dipali Nilay Patel			12-07-2024
ADSPR1560P	Kusum Deepak Rathod			12-07-2024
AABCG0986D	Commex Technology Limited			12-07-2024
AAACP4032A	M/s PACL Ltd			16-07-2024
AAQPN1499Q	N. Nagesh			16-07-2024
AMVPL4417B	Lakhani Babulal Hansraj			16-07-2024
DMKPS4150Q	Vinod Solanki			16-07-2024
DHGPP1260K	Mahendrabhai Naranbhai Patel			16-07-2024
ATJPT1108Q	Dhirajbhai Baladevji Thakor			16-07-2024
GDWPS4583K	Ramanlal Ravchand Shah			16-07-2024
CZTPS4626L	BHARTI SHARMA			16-07-2024
AACCL0133G	Limestone Properties P Ltd			16-07-2024
AAICA2155K	M/s Aristo Media And Entertainment Private Limited			16-07-2024
AACCA7725M	Acute Consultancy Limited			16-07-2024

AACCB8181G	BLC Trading and Agencies Pvt Ltd			16-07-2024
AABCI6628L	Innovist India Pvt. Ltd.			16-07-2024
AADCB6654M	Bluebell Agencies Pvt. Ltd.			16-07-2024
AKIPL0751H	mukesh ramchandra lade			16-07-2024
AACCJ3651K	Jesse Trading Pvt Ltd			16-07-2024
ACHPC5141R	Karsan Chitroda			16-07-2024
ACTPC6124A	Mukesh Chauhan			16-07-2024
ACXPJ1278Q	Jaymani Joshi			16-07-2024
AMPPG0185K	Samir Ghosh			16-07-2024
AAACM7494B	M/S Acclaim Industries Ltd			16-07-2024
AAVCS5813R	Singhania Media Solutions Private Limited (SMSPL)			16-07-2024
AMCPS0309L	Rajesh Shah			16-07-2024
AHOPV1194R	Shekhar D Vaishnav			16-07-2024
AAMCS8457N	S5 Trading Pvt Ltd			16-07-2024
AAFPM9963R	RAJESH MEHTA			16-07-2024
AACCE2069E	Everready Marketing Private Limited			16-07-2024
APOPS5722A	ABHAYRAJ RAMPHER SHUKLA			16-07-2024
AAACJ9577E	Kajol Impex Limited.			16-07-2024
AHJPR6079C	R Ravichandran			16-07-2024
AABCF1127B	First Futures and Stocks Private Ltd			16-07-2024
AGOPG2342M	Chennai Commodities			16-07-2024
DUWPS2496M	Sathish Kumar N			16-07-2024
AEPPV7309L	Varalakshmi P			16-07-2024
ARHPN2907P	S Nagarajan			16-07-2024
AMXPM1460H	K Manikandan			16-07-2024
BSBPM8534R	M Sasikala			16-07-2024
BKDPR6611L	Raja P			16-07-2024
BLJPS1968J	Solomon Y			16-07-2024
BILPS7862N	M Sethujanani			16-07-2024
DKLPS1799L	S Sengolancy Beaula			16-07-2024
BESPS4830M	Subhalakshmi M S			16-07-2024
AACCS8246B	Secunderabad Healthcare Limited			16-07-2024
BCTPS9910B	Dheeraj Sisodia			16-07-2024
AALCA9216G	Al Mareija Precious Metals & Bullions			18-07-2024
AAICM7748N	Mankool General Trading			18-07-2024
AALCA9215F	Al Juraina Precious Metals & Bullions			18-07-2024

ATSPK8706G	Neeraj Kedia	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATING ORDER NO: AO/SBM/EAD-3/35-56/2017	18-07-2024
AADCG3026G	Growfast Realtor Pvt. Ltd	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATING ORDER NO: AO/SBM/EAD-3/35-56/2017	18-07-2024
ARJPS0674C	Pramod Ramdin Sharma	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATING ORDER NO: AO/SBM/EAD-3/35-56/2017	18-07-2024
AAECA3909P	Amrapali Aadya Trading & Investment Pvt Ltd			23-07-2024
AAACB1655R	H Bhavesh Securities and Commodities Private Limited			24-07-2024
AAFCA2670L	Alishan Estaste Pvt. Ltd.	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATING ORDER NO: AO/SBM/EAD-3/35-56/2017	23-07-2024
AAJCS1020N	Shridhan Jewellery Pvt. Ltd.	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATING ORDER NO: AO/SBM/EAD-3/35-56/2017	23-07-2024
ACHPB8147L	Pulak Bagchi	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATING ORDER NO: AO/SBM/EAD-3/35-56/2017	23-07-2024
AABCJ5609C	Jagarnath Consultants Pvt. Ltd.	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATING ORDER NO: AO/SBM/EAD-3/35-56/2017	23-07-2024

BGCPS8001A	Umesh Singh	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATING ORDER NO: AO/SBM/EAD-3/35-56/2017	23-07-2024
AACCM0740J	Sunshine Housecon Ltd.	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATING ORDER NO: AO/SBM/EAD-3/35-56/2017	23-07-2024
BLZPS6412H	Biraj Sonakar			23-07-2024
AABCR6000R	Ranklin Solutions Limited			26-07-2024
AABCR6000R	Ranklin Solutions Limited			26-07-2024
AQOPP1860D	Manoj Kumar Pandit			29-07-2024
BLEPS0813B	Anup Kumar Sharma			29-07-2024
ADVPI9206N	Ifteqaar			29-07-2024
ABGFM6563R	Money Streets Advisory Services LLP			29-07-2024
BFYPS4464N	Anil Sharma			30-07-2024
ABZPG3628G	Vithalbhai Gajera			01-08-2024
ACUPV1785B	Girdharbhai J Vagadia			01-08-2024
AFXPB0128C	Kalpesh Babaraya			01-08-2024
AACPR2189P	Brijmohan Rathi			01-08-2024
BRHPK7160G	Sarvesh Kumar			02-08-2024
ABTPZ7804K	Zulfiqar Ahmed			02-08-2024
CODPM3115F	Manish Kumar Mahaseth			02-08-2024
AAPPM2859L	S Madhavan			02-08-2024
AAUFG1283H	Garuda Finance			05-08-2024
BROPP5797B	Sashi Pandey	CERTIFICATE UNDER 28A OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX, 1961	RECOVERY CERTIFICATE NUMBER 7863 OF 2024	05-08-2024
DPSPS8381L	Mahesh Sharma	CERTIFICATE UNDER 28A OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX, 1961	RECOVERY CERTIFICATE NUMBER 7864 OF 2024	05-08-2024
CYBPP2362R	Bhagavatiben Vishnubhai Patel			07-08-2024
ADSPR1560P	Kusum Deepak Rathod			07-08-2024
AAACG1479J	Aplaya Creations Ltd			07-08-2024
ABRPG4589Q	Pramod Kumar Gupta			07-08-2024
AABCE1275F	Edelweiss Estates Pvt Ltd.			07-08-2024
AAACE2875N	Excel Paints Private Ltd			07-08-2024
AAHCS4914K	Suryamangal Media Entertainment Limited			07-08-2024

AACCC0591P	Cornhill Trading Company Pvt Ltd			07-08-2024
AAACM7948J	Manusi Securities Pvt. Ltd.			07-08-2024
CIGPM5834A	Balram Mukherjee			08-08-2024
BSCPA7915B	Akash	CERTICATE UNDER SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961	RECOVERY CERTIFICATE NO. 7836 OF 2024	13-08-2024
BNEPP5649A	Vimala Sanjay Patel			13-08-2024
AIDPD4564F	Barun Kumar Das			14-08-2025
AALCA9217H	Al Layyah General trading FZE	CORRIGENDUM TO THE ORDER DATED JUNE 17 , 2021 BEARING REFERENCE NUMBER WTM/MB/CFD/DCR/12284/2021-22 IN THE MATTER OF ATLAS JEWELLERY INDIA LIMITED	WTM/MB/CFD/DCR/12284A/2021-22	19-08-2024
AAACA4718J	Bonanza Industries Limited	CERTIFICATE UNDER 28A OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 7908/2024	22-08-2024
AHZPM5440L	Namdeo H More	CERTIFICATE UNDER 28A OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 7422 OF 2023	27-08-2024
AOAPR7655G	Nitesh Ranjan			27-08-2024
AADCK9235D	King Empire Tradexim Pvt. Ltd.	CERTIFICATE UNDER 28A OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 7925 OF 2024	28-08-2024

AAECA3909P	Amrapali Aadya Trading & Investments Pvt. Ltd.	CERTIFICATE UNDER 28A OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23JB OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH SECTION 222 OF THE INCOME TAX, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23JB OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956.	CERTIFICATE NO 7909 OF 2024	29-08-2024
AAGCA5007J	Adept Lifespaces Private Limited Formerly- Adept Creations Private Limited	CERTIFICATE UNDER 28A OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23JB OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH SECTION 222 OF THE INCOME TAX, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23JB OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956.	CERTIFICATE NO 7874 OF 2024	29-08-2024
AADCB1809L	Best Cure Private Limited (New Name-Devera Developers Pvt. Ltd.)	CERTIFICATE UNDER 28A OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23JB OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH SECTION 222 OF THE INCOME TAX, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23JB OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956.	CERTIFICATE NO 7875 OF 2024	29-08-2024
AADCR6690P	Rexcin Finance Private Limited	CERTIFICATE UNDER 28A OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23JB OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH SECTION 222 OF THE INCOME TAX, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23JB OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956.	CERTIFICATE NO 7877 OF 2024	29-08-2024

AAGCA5140E	Artifice Properties Private Limited	CERTIFICATE UNDER 28A OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23JB OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH SECTION 222 OF THE INCOME TAX, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23JB OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956.	CERTIFICATE NO 7878 OF 2024	29-08-2024
AACCC7690K	Carol Securities Private Limited	CERTIFICATE UNDER 28A OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 6792 OF 2023	02-09-2024
AACCK6859L	Karn Merchant Bankers	UNDER SECTION-I OF SECURITIES AND EXCHANGE RULE NO 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALITIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO: EAD/BJD/BKM/99/2017-18	05-09-2024
AADCB8132G	Bholebaba Suppliers Pvt.Ltd.	UNDER SECTION-I OF SECURITIES AND EXCHANGE RULE NO 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALITIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO: Order/AA/MG/2019-20/4766-72	06-09-2024
AAECV8139N	Vinahast Dealcom Private Limited	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: Order/BD/VS/2019-20/7382-7409	06-09-2024
AADCE6828J	Ekaparnik Vintrade Private Limited	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: Order/BD/VS/2019-20/7382-7409	06-09-2024
AACCH5764P	Keynote Painting Dealers Private Limited	UNDER SECTION 15-I (2) OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 AND RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: Order/MC/HP/2020-21/9517-9532	06-09-2024

AAECD0600N	Delicious Diamonds Private Limited	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-7/BJD/NJMR/2019-20/3805,3807 to 3823	06-09-2024
AACCE8491Q	Eversafe Promoters Pvt. Ltd.	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. Order/AA/MG/2019-20/4766-72	06-09-2024
AAQCS4922A	Subhankar Exim Pvt. Ltd.	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. Order/AA/MG/2019-20/4766-72	06-09-2024
AACCO0086H	Onceover Deal Trade Pvt Ltd.	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: Order/BD/VS/2019-20/7382-7409	06-09-2024
AADCI5560R	Indrawati Commosales Private Limited	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: Order/BD/VS/2019-20/7382-7409	06-09-2024
AACCO0067C	Outstripe Suppliers Private Limited	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: Order/BD/VS/2019-20/7382-7409	06-09-2024
BRVPM0273J	Firoz Hanifbhai Memon	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHRDULE AND TO THE INCOME TAX ACT,1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,192 (AS amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961	CERTIFICATE No.RC3675/2021	09-09-2024

AAQFA3264Q	Aashish Developer	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHRDULE AND TO THE INCOME TAX ACT,1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT,192 (AS amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961	CERTIFICATE No.RC3231/2021	09-09-2024
AHZPP1406F	Prasad V Potluri	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. ASK/AO/172-73/2014-15	09-09-2024
AAFCS5114D	Sunil Shares & Stock Broking Pvt. Ltd.	ORDER UNDER SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT READ WITH RULE 5(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY THE ADJUDICATING OFFICER) RULES, 1995 IN THE MATTER OF ADJUDICATION PROCEEDINGS AGAINST SUNIL SHARES AND STOCK PVT. LTD	ADJUDICATION ORDER NO. - BS/AO-14/2008	09-09-2024
AJIPM5196M	Sujal Sharma	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NOs. ASK/AO/62-67/2014	09-09-2024
ALBPT6629A	Jitendra Kumar Tiwari	UNDER SECTIONS 11(1), 11(4), 11B(1) 11B(2) AND 11(4A), OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI(PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	WTM/SM/IVD/ID3/150 09/2021-22	09-09-2024
AARFP4833F	P.P Capital Management Services	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992/SECTION 23JB OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956/ SECTION 19-IB OF THE DEPOSITORIES ACT, 1996 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961	CERTIFICATE NO.7325 of 2023	11-09-2024

AFJPC3081P	Mr. P S Chadha HUF	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992/SECTION 23JB OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956/ SECTION 19-IB OF THE DEPOSITORIES ACT, 1996 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961	CERTIFICATE NO.7325 of 2023	11-09-2024
AACCC8085A	Corporate Strategic Allianz Pvt Ltd	POST-ENQUIRY SHOW CAUSE NOTICE UNDER REGULATION 27(1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (INTERMEDIARIES) REGULATION, 2008 IN RESPECT OF CORPORATE STRATEGIC ALLIANZ PVT LTD.	EFD/DRA4/BS/OW/24069/2/2024	11-09-2024
AACCK0438R	Swarnajyothi Agrotech Ltd. (Earlier known as Kushal Software Ltd)	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	[ADJUDICATION ORDER NO.: - AIL/AO/SKS/SG/DCR/01/2012]	13-09-2024
ABSPS8464M	R. Shivagurunathan	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	[ADJUDICATION ORDER NO. BM/AO-115/2011]	13-09-2024
ADIPJ1942R	Rameshchandra K. Jain	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	[ADJUDICATION ORDER NO.: - SD/AO/90/2010]	13-09-2024
AACHS3486G	Govind Gendalal Somani (HUF)	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	[ADJUDICATION ORDER NO. PKK/AO/21/2011]	13-09-2024
AJUPP5517G	Devjibhai Parmar	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	[ADJUDICATION ORDER NO.: - SD/AO/161/2009]	13-09-2024

ADAPT8178K	Amit Tripathi	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	[ADJUDICATION ORDER NO. PKK/AO/227/2010]	13-09-2024
ACXPT5866A	Dharmendra S Thapa	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	[ADJUDICATION ORDER NO. PKB/AO–23/2010]	13-09-2024
AACPM2147G	J C Mansukhani	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	[ADJUDICATION ORDER NOs. ASK/AO/62-67/2014]	13-09-2024
AGOPM7538H	Awanish Kumar Mishra	UNDER SECTIONS 11(1), 11(4), 11B(1) 11B(2) AND 11(4A), OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI(PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	WTM/SM/IVD/ID3/150 09/2021-22	13-09-2024
AAZFM1357R	Money Mishra Financial Services	UNDER SECTIONS 11(1), 11(4), 11B(1) 11B(2) AND 11(4A), OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI(PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	WTM/SM/IVD/ID3/150 09/2021-22	13-09-2024
AAJCM8612N	Money Mishra Overseas Pvt. Ltd	UNDER SECTIONS 11(1), 11(4), 11B(1) 11B(2) AND 11(4A), OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI(PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	WTM/SM/IVD/ID3/150 09/2021-22	13-09-2024
AABCS4046C	Sunciti Financial Services Private Limited	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	[ADJUDICATION ORDER NO.EAD-5/SVKM/DS/AO/47/2015-16]	13-09-2024

AACCL0866A	Lithmus Capital Consultance Ltd	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA AT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 3 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 2005	ADJUDICATION ORDER NO. ORDER/BM/DS/2022-23/18147-18164	13-09-2024
AAECK2699A	Kesarisuta Vinimay Pvt. Ltd.	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961, NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 7910 OF 2024	18-09-2024
AAACB4604N	Bhojawani Securities Pvt Ltd	Under section 15-I of the Securities and Exchange Board of India Act, 1992 read with rule 5 of the Securities and Exchange Board of India (Procedure for Holding Inquiry & Imposing Penalties) Rules, 1995	Adjudication Order: Order/SM/AS/2023-24/29325-29337	19-09-2024
AACCB3144P	Best Health Management Pvt Ltd	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) BY RULES, 1995 AND SECTION 23 I OF THE SECURITIES CONTRACTS (REGULATIONS) ACT 1956, R/W RULE 5 OF THE SECURITIES IMPOSING PENALTIES) RULES, 2005 IN THE MATTER OF RELIGARE ENTERPRISES LTD.	ADJUDICATION ORDER NO. Order/DS/DK/2022-23/20903-20964	23-09-2024
AAFCK3314D	Kautirya Vanijya Private Limited	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961, NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE NO. 7887 OF 2024	23-09-2024

AAFCK3315C	Kautirya Trading Private Limited	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961, NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE NO. 7886 OF 2024	23-09-2024
ALBPT6629A	Jitendra Kumar Tiwari	UNDER SECTION 11(1), 11(4), 11B(2), AND 11D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, AND SECTION 12A(1) AND 12A(2) OF THE SECURITIES CONTRACTS (REGULATION) ACT 1956 READ WITH SECTION 23D OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 IN THE MATTER OF ALLIED FINANCIAL SERVICES PVT. LTD..	WTM/AB/MIRSD-SEC-4/21079/2022-23	23-09-2024
AABCW4428J	Wakeeta Commercial Private Limited	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961, NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE NO. 7889 OF 2024	24-09-2024
AAHCA5673K	Active Nirman Private Limited	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961, NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE NO. 7952 OF 2024	27-09-2024
AABHA9528A	A. K. Roongta Huf	UNDER SCTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALITIES) RULES, 1995	Adjudication Order No: Order/GR/PU/2022-23/18215-18256	10-10-2024
AAACH9471P	Roongta Rising Stock Pvt Ltd	UNDER SCTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALITIES) RULES, 1995	Adjudication Order No: Order/GR/PU/2022-23/18215-18256	10-10-2024
CGUPS0090N	Anil Kumar Sharma			14-10-2024

AIMPG6205H	Mr. Girish Chandra Gupta	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961, NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 6390 OF 2023	14-10-2024
BMHPS8135C	Ms. Bhawani Srivastava	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961, NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 6390 OF 2023	14-10-2024
BDDPJ0903L	Jagadeesan S	Under Section 11(1), 11(4), 11(4A), 11B (1) and 11B (2) of the Securities and Exchange Board of India Act, 1992 read with Rule 4 of the Securities and Exchange Board of India (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995.	QJA/GR/SRO/SRO/29770/2023-24	15-10-2024
BZAPM0098N	Nitu Marethia	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO 7348 OF 2023	15-10-2024
CDDPS5928P	Satyendra Singh	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AS AMENDED BY THE SECURITIES LAWS)(AMENDMENT) ACT, 2014.	CERTIFICATE NO 1914/2019	15-10-2024
CLHPK5064N	Afasar Khan	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AS AMENDED BY THE SECURITIES LAWS)(AMENDMENT) ACT, 2014.	CERTIFICATE NO 1914/2019	15-10-2024

BHIPP4346J	Santosh Pawar	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE NO. RC3022/2020	15-10-2024
CBIPS7345R	Abadh Kumar Singh	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE NO. RC3032/2020	15-10-2024
AAKCA5452F	Adamant Infrastructures Limited	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE NO. RC3314/2021	15-10-2024
BCVPS0290L	Ms. Soma Sharma	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE NO. RC4297/2021	15-10-2024
AAJCA8863D	Active Rural Development India Limited	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992/SECTION 23JB OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956/SECTION 19-IB OF THE DEPOSITORIES ACT, 1996.	CERTIFICATE NO. RC4759/2022	15-10-2024

DFDPS3198A	Ms. Vandana Saha	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992/SECTION 23JB OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956/SECTION 19-IB OF THE DEPOSITORIES ACT, 1996.	CERTIFICATE NO. RC4759/2022	15-10-2024
ABGPD2004E	Shri Dilip Kumar Diwakar	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992/SECTION 23JB OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956/SECTION 19-IB OF THE DEPOSITORIES ACT, 1996.	CERTIFICATE NO. RC4759/2022	15-10-2024
ASKPK2385C	Shri Atendra Kumar	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992/SECTION 23JB OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956/SECTION 19-IB OF THE DEPOSITORIES ACT, 1996.	CERTIFICATE NO. RC4760/2022	15-10-2024
AAHCA6607P	Option One Industries Ltd	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992/SECTION 23JB OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956/SECTION 19-IB OF THE DEPOSITORIES ACT, 1996.	CERTIFICATE NO. RC4807/2022	15-10-2024

AHVPC3063F	Shri Vivek Chaurasia	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992/SECTION 23JB OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956/SECTION 19-IB OF THE DEPOSITORIES ACT, 1996.	CERTIFICATE NO. RC4807/2022	15-10-2024
CMCPS8260C	Shri Vinod Kumar Shrivastava	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992/SECTION 23JB OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956/SECTION 19-IB OF THE DEPOSITORIES ACT, 1996.	CERTIFICATE NO. RC4807/2022	15-10-2024
AADCL2283J	M/S. Loftier Investment Advisor and Research Pvt. Ltd	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 7760/2024	15-10-2024
AACCL2342M	Life Care Infrastructures India Limited	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1	CERTIFICATE NO. RC3160/2020	15-10-2024
AAFCP3908E	Pragya Dairies and Agro Limited	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE No.RC3030/2020	15-10-2024
DGXPK9360P	Jitendra Kumar			15-10-2024

CTNPP8328L	Mahendrabhai Panchal	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. RC7271/2023	15-10-2024
BVPPJ3417H	M/s.Billionaire Solution (Sole Proprietor Mr. Akash Jaiswal	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. RC7397/2023	15-10-2024
EDRPR4810K	Shri Rajesh Nath	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 7544/2024	15-10-2024
DXSPR9776L	Shri Shubham Rai			15-10-2024
NSSPS1252P	M/s.Sanchi Research Proprietor-Mr. Ramgopal Set	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 7670/2024	15-10-2024
NSSPS1252P	Mr. Ramgopal Set			15-10-2024
AABFY3695C	Y P Enterprises	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 8033 OF 2024	16-10-2024
AAECA8718H	AMS Powertronic Private Limited			16-10-2024
DAIPS9917R	Columbia Sales			16-10-2024

AXFPR4439L	Ram Prakash & Its proprietorship firm viz. Khan Enterprise Aggarwal Traders			16-10-2024
ADGPT9294C	Shashank Tekriwal			18-10-2024
AAKPG2688Q	Hem Chand Gupta			18-10-2024
AAHCS7579Q	Sikhar Infrastructure Private Limited			18-10-2024
DLZPS9807N	Jayanti Singh			18-10-2024
AETPA7820D	Gopal Hari Agarwal			18-10-2024
AGDPA4644F	Jatin Ahuja			18-10-2024
GUUPM8688E	Mr. Rajat Mishra			18-10-2024
CGJPS6216D	Hemant Solapurkar			18-10-2024
AACCR5455P	M/s Ripples Advisory Private Limited			18-10-2024
CGJPS6216D	Hemant Solapurkar			18-10-2024
AACCR5455P	M/s Ripples Advisory Private Limited			18-10-2024
AAQCS7850M	Sanwaria Investment Consultants Pvt Ltd	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 7346 OF 2023	21-10-2024
AJJPB1169R	Dinesh Singh Bhadoriya	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AS AMENDED BY THE SECURITIES LAW (AMENDMENT) ACT, 2014.	CERTIFICATE NO. RC1914/2019	21-10-2024
AWTPK1049A	Sunil Kumar	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE No.RC3032/2020	21-10-2024

ABUPG5799B	Shri Sanjay Gupta	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE NO. RC3256/2021	21-10-2024
AABCG5430A	Neesa Technologies Limited	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE NO. RC3256/2021	21-10-2024
ABIPJ6029P	Shri Kamlendra Joshi	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE NO. RC3256/2021	21-10-2024
ADVPG4051D	Shri Yogesh Ghisumal Gemawat	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE NO. RC3256/2021	21-10-2024
AQYPM7781N	Mr. Anand Mohan	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE NO. RC3314/2021	21-10-2024
ARWPS6298R	Mr. Prashant Sharma			21-10-2024

AAHPU7040F	Nilesh Upadhyay	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992/SECTION 23JB OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956/SECTION 19-IB OF THE DEPOSITORIES ACT, 1996.	CERTIFICATE NO. RC4591/2022	21-10-2024
ABOPY8869L	Shri Bipin Singh Yadav	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992/SECTION 23JB OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956/SECTION 19-IB OF THE DEPOSITORIES ACT, 1996.	CERTIFICATE NO. RC4760/2022	21-10-2024
AHWPY1598A	Shri Rajkumar Yadav	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992/SECTION 23JB OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956/SECTION 19-IB OF THE DEPOSITORIES ACT, 1996.	CERTIFICATE NO. RC4760/2022	21-10-2024
AJWPG8924E	Shri Siba Prosad Ghosh	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992/SECTION 23JB OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956/SECTION 19-IB OF THE DEPOSITORIES ACT, 1996.	CERTIFICATE NO. RC4760/2022	21-10-2024

ALIPJ5869M	Shri Anuj Jain	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992/SECTION 23JB OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956/SECTION 19-IB OF THE DEPOSITORIES ACT, 1996.	CERTIFICATE NO. RC4807/2022	21-10-2024
AESPA2305N	Shri Rajendra Agarwal	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992/SECTION 23JB OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956/SECTION 19-IB OF THE DEPOSITORIES ACT, 1996.	CERTIFICATE NO. RC4807/2022	21-10-2024
AAACR9384B	RFL International Limited	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO.RC7276/2023	21-10-2024
AXPPT8469C	Amit Tripathi	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 7760/2024	21-10-2024
APQPM8659A	Sanjoy Kumar Maiti	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1	CERTIFICATE NO. RC3160/2020	21-10-2024

AJNPM1411D	Sujit Mitra	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1	CERTIFICATE NO. RC3160/2020	21-10-2024
ADPPG0695P	Partha Pratim Ghosh	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1	CERTIFICATE NO. RC3160/2020	21-10-2024
ACAPL9691A	Banabarilal Lodhi	NOTICE OF DEMAND UNDER RULE OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 read with SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (As amended by the Securities Laws (Amendment) Act, 2014) READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961.	CERTIFICATE No.RC3030/2020	21-10-2024
ACQPS5387Q	Shrenik Shah	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 7732/2024	21-10-2024
BWTPS4387N	Anurag Srivastava	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 7750/2024	21-10-2024
AJDPJ2709F	Lokendra Jain	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 7750/2024	21-10-2024

FFDPS9776G	Sandeep Shriwas	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 7750/2024	21-10-2024
AMJPT3407D	Vijay Singh Tomar	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 7750/2024	21-10-2024
BWTPS4387N	Anurag Srivastava	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 7750/2024	21-10-2024
AJDPJ2709F	Lokendra Jain	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 7750/2024	21-10-2024
FFDPS9776G	Sandeep Shriwas	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 7750/2024	21-10-2024

AMJPT3407D	Vijay Singh Tomar	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 7750/2024	21-10-2024
BNMPP8375B	Anamika Pandey	SUB SHOW CAUSE NOTICE UNDER REGULATION 25(1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (INTERMEDIARIES) REGULATIONS, 2008 IN THE MATTER OF RIPPLES ADVISORY PRIVATE LIMITED- SEBI REGISTERED IA. SUB SHOW CAUSE NOTICE UNDER RULE 4 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 IN THE MATTER OF RIPPLES ADVISORY PRIVATE LIMITED- SEBI REGISTERED IA.	SEBI/EAD-5/AN/RG/29107/6/2024. SEBI/EAD-5/AN/RG/29102/6/2024	24-10-2024
CHCPD0925J	Balvinder Dhillon	SUB SHOW CAUSE NOTICE UNDER REGULATION 25(1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (INTERMEDIARIES) REGULATIONS, 2008 IN THE MATTER OF RIPPLES ADVISORY PRIVATE LIMITED- SEBI REGISTERED IA	SEBI/EAD-5/AN/RG/29102/7/2024. SEBI/EAD-5/AN/RG/29102/10/2024	24-10-2024
AAACO7265J	TOPLINE FABRICS PRIVATE LIMITED	UNDER SECTION 15-I (3) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA, 1992	WTM/ASB/IVD/ID2/19296/2022-23	25-10-2024
AIDPG5053A	Sheetal Gupta	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	SEBI/NRO/OW/P/2023/32950/1 CERTIFICATE NO. 7070 OF 2023	28-10-2024
BCFPK2068C	Devinder Kumar	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	SEBI/NRO/OW/P/2023/41085/1 CERTIFICATE NO. 7211 OF 2023	28-10-2024

AAACD3040L	DAYA BUILDERS PRIVATE LIMITED	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 8144 OF 2024	30-10-2024
AAACO7265J	TOPLINE FABRICS PRIVATE LIMITED (NOW KNOWN AS M.A. MARKET SERVICES PRIVATE LIMITED)	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 8143 OF 2024	30-10-2024
AARHS9086F	SUNIL KUMAR LODHA HUF	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/SM/S./2023-24/27833	31-10-2024
AAACW9754E	Weird Infrastructure Corporation Limited	SCN NO. SEBI/NRO/SCN/SR/P/OW/2024/26601/1, DATED 22 AUGUST HAS BEEN ISSUED IN THE CAPTIONED MATTER . THE SAID SCN WAS SENT THROUGH REGISTERED POST AT YOUR LAST KNOWN ADDRESS, HOWEVER SAME HAS RETURNED UNDELIVERED.	SEBI/NRO/P/OW/2024/28542/3, SEBI/NRO/P/OW/2024/28542/4	31-10-2024
ANUPJ8465D	Mr Jadunath Jena	SCN NO. SEBI/NRO/SCN/SR/P/OW/2024/26601/1, DATED 22 AUGUST HAS BEEN ISSUED IN THE CAPTIONED MATTER . THE SAID SCN WAS SENT THROUGH REGISTERED POST AT YOUR LAST KNOWN ADDRESS, HOWEVER SAME HAS RETURNED UNDELIVERED.	SEBI/NRO/P/OW/2024/28542/5	31-10-2024
AANCS2863F	Sunrise Legal Advisor and Consultant Private Limited	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 7993 OF 2024	04-11-2024

AAHCS0386H	Sri Jagannath Alloys Pvt Ltd	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 7359 OF 2023	04-11-2024
AAECP9398K	Paramdham Vinimay Pvt Ltd	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 7296 OF 2023	04-11-2024
AACCK4103F	Kaustav Construction Private Limited	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8001 OF 2024	04-11-2024
BWIPS3911H	Ameet Singh	NOTICE OF HEARING IN THE MATTER OF RE INDIA INFRATECH LIMITED	SEBI/NRO/GN/SR/OW/HN/2024/21533/1	05-11-2024
AABCC5031H	CHP Finance Private Limited	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 8050 OF 2024	06-11-2024
AAACF3149C	Frontline Biosystems Ltd.	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ISD/SL/AO/DRK-DS/EAD3-517-527/61-71-2014	07-11-2024

AAOPG1125C	SWATI GUPTA	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 8172 OF 2024	07-11-2024
AABCF2582N	FUTURESTIC INTERNATIONAL PRIVATE LIMITED	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8141 OF 2024	07-11-2024
AVHPG5782H	Mohan Garg & his Proprietorship firm viz. Garg Traders & Suppliers	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 8032 OF 2024	07-11-2024
AAGHG6952F	GAURAV BHATIA AND SONS HUF	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 8094 OF 2024	07-11-2024
AARCS6606E	Subhrekha Dealmark Pvt Ltd	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 7992 OF 2023	08-11-2024

ACIPA1867D	Pushpa Devi Agarwal	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 7363 OF 2023	08-11-2024
AAICM8785F	MC Securities Ltd	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 7292 OF 2023	08-11-2024
AAECM7068B	Manas Mercantile Pvt Ltd	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 7291 OF 2023	08-11-2024
AAKHS2700K	BH Shah HUF	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. Order/SM/AS/2023-24/29352-29356	08-11-2024
AAQFB5938G	Bakul Garments LLP	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. Order/AN/HP/2023-24/29914-29945	11-11-2024
AYFPA9042F	Namita Aggarwal	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	SEBI/NRO/OW/P/2023/44952/1 CERTIFICATE NO. 7336 OF 2023	11-11-2024

AAECC3138N	Capacious Farming Private Limited	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 R/W SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	SEBI/NRO/OW/P/2024/ 3482/1 CERTIFICATE NO. 7534 OF 2024	11-11-2024
ACQPY5145E	Gaurav Yadav	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	SEBI/NRO/OW/P/2024/ 3482/1 CERTIFICATE NO. 7534 OF 2024	11-11-2024
BCLPS1117G	Gurbakhsh Singh	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	SEBI/NRO/OW/P/2024/ 3482/1 CERTIFICATE NO. 7534 OF 2024	11-11-2024
AACCE0416B	Eco Friendly Food Processing Park Ltd.	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 8022 OF 2024	11-11-2024
ALBPT6629A	Jitendra Kumar Tiwari	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 8062 OF 2024	11-11-2024
AACCN9621P	Neelkamal Dealcom P. Ltd.	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO:AO/SBM/EAD-3/35-56/2017	13-11-2024

AAECP8654J	Pushpanjali Hirise Pvt. Ltd.	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO:AO/SBM/EAD-3/35-56/2017	13-11-2024
ARAPS8707R	Romil B Shah	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER SM/AS/2023-24/29352-29356	14-11-2024
CDEPK3717K	Mr. Mrityunjay Kumar	UNDER SECTIONS 11(4), 11 (B)(1), 11(4A) AND 11(B)(2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 3(1) OF THE SEBI (INVESTMENT ADVISERS) REGULATIONS, 2013 AND UNDER SECTION 15-I OF THE SEBI ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	QJA/AA/NRO/NRO/30187/2023-24	14-11-2024
AAJPS3797D	Sarbjit Singh	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 7304 OF 2024	18-11-2024
ABMPA7898P	Munna Lal Agarwal	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 7334 OF 2023	18-11-2024
CLKPM2478P	Muskaan Arora	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	SEBI/NRO/OW/P/2023/44953/1 CERTIFICATE NO. 7337 OF 2023	18-11-2024

AWWPK8525E	Satendra Kumar & his Proprietorship firm viz. Bright Securities, A R Enterprise, Nisha Traders	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	SEBI/NRO/OW/P/2023/ 32964/1 CERTIFICATE NO. 7074 OF 2023	18-11-2024
AWWPK8525E	Satendra Kumar & his Proprietorship firm viz. Bright Securities, A R Enterprise, Nisha Traders	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 8020 OF 2024	18-11-2024
AACCG6377M	M/s. Goldline International Finvest Ltd.	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 8021 OF 2024	18-11-2024
ARUPK1589P	Sumit Kumar & its Proprietorship firm viz. Vijay Bhagwandas & Co., Durga Prasad & Co.	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 8024 OF 2024	18-11-2024
AAKHA1804N	Anil Kumar Garg HUF	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 8025 OF 2024	18-11-2024

AAIHD6045G	Deva Nand Aggarwal HUF	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 8026 OF 2024	18-11-2024
AACCR3509E	Fern Healthcare Private Limited	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 8058 OF 2024	18-11-2024
AAACM0216F	Modland Wears Pvt. Ltd	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 8059 OF 2024	18-11-2024
AGOPM7538H	Awanish Kumar Mishra	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 8061 OF 2024	18-11-2024
AEYPJ0761M	Kamla Jain	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 8063 OF 2024	18-11-2024

AAUPN5467E	Radhika Nevatia	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 8091 OF 2024	18-11-2024
AAOCS8998N	Sumpoorna Portfolio Limited	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 8133 OF 2024	18-11-2024
DYLPS2734D	Prem Shankar	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 8132 OF 2024	18-11-2024
AAAHB9543D	Banwari Lal Arora HUF	RECOVERY CERTIFICATE NO. 7020 OF 2023 AGAINST BANWARI LAL ARORA HUF IN THE MATTER OF DEALINGS IN ILLIQUID STOCK OPTIONS AT THE BOMDAY STOCK EXCHANGE	RECOVERY CERTIFICATE NO. 7020 OF 2023	18-11-2024
ANUPK3333M	Manish Kumar Kanodia	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	SEBI/NRO/OW/P/2023/ 44884/1 CERTIFICATE NO. 70328 OF 2023	18-11-2024
AABCR5196G	Brain Strong Consulting Ltd. (Earlier Wellindia Securities Ltd.)	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	SEBI/NRO/OW/P/2023/ 30506/1 CERTIFICATE NO. 7016 OF 2023	18-11-2024

AUYPV2775R	Mr. Mangilal Verma	NOTICE OF PERSONAL HEARING IN THE MATTER OF EPIC TRADERS-PROPRIETOR-MR MANGILAL VERMA	SEBI/WRO/ILO/NKS/OW/P/34015/1/2024, SEBI/WRO/ILO/NKS/OW/P/34014/1/2024	21-11-2024
AAGCA8260K	Atlas Jewellery Private Limited	Under Sections 11 (1), 11(4) and 11B (1) of the Securities and Exchange Board of India Act, 1992 read with Section 12A of the Securities Contracts (Regulation) Act, 1956 In Re: Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011, Securities Contracts (Regulation) Rules, 1957 and Listing Agreement In the matter of Atlas Jewellery India Limited	WTM/MB/CFD/DCR/12284A/2021-22	21-11-2024
AAACI0202K	International Diamond Services Ltd.	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. BM/AO-18/2010	22-11-2024
AUYPV2775R	Epic Traders, Proprietor – Mr. Mangilal Verma	NOTICE OF PERSONAL HEARING IN THE MATTER OF EPIC TRADERS-PROPRIETOR-MR MANGILAL VERMA	SEBI/WRO/ILO/NKS/OW/P/34014/1/2024	22-11-2024
CUEPS1687K	Sukhwinder Singh	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	Certificate no. 3165 of 2020, NRO/OW/20476	27-11-2024
CLEPS7179D	Gurwinder Singh	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	Certificate no. 3165 of 2020, NRO/OW/20476	27-11-2024
BQXPS7815M	Kuldeep Singh	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	Certificate no. 3165 of 2020, NRO/OW/20476	27-11-2024

CRRPS1400A	Mahavir Singh	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	Certificate no. 3165 of 2020, NRO/OW/20476	27-11-2024
COUPS1306H	Sukhchain Singh	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	Certificate no. 3165 of 2020, NRO/OW/20476	27-11-2024
AQXPR2438L	Prince Rana	BEFORE THE SECURITIES AND AND EXCHANGE BOARD OF INDIA CORAM : KAMLESH C VARSHNEY, WHOLE THE MEMBER FINAL ORDER, UNDER SECTION 11, 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	WTM/KV/EFD/DRA/30 326/2024-25	28-11-2024
ADSPA9050B	Mr. Anil Kumar Agarwal	UNDER THE SEBI (PROCEDURE FOR HOLDINGS INQUIRY AND IMPOSING PENALTIES BY THE ADJUDICATION OFFICER) RULES, 1995	ORDER	28-11-2024
AABCE7405K	EXCEL BUILDTECH PRIVATE LIMITED	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 8249 OF 2024	29-11-2024
AIFPM3626B	USHA MAURYA	BASED ON THE INFORMATION PROVIDED BY THE EFD -1\DRA5 IN FORM-1 VIDE OFFICE NOTE ON OCTOBER 29,2024, I, KSHAMA P. WAGHERKAR, RECOVERY OFFICER CERTIFY THAT A SUM OF RS. 5,96,000/- (RUPEES FIVE LAKH NINETY SIX THOUSAND ONLY) IS DUE FROM USHA MAURYA (PAN AAKCM1468M) AS GIVEN ALONG WITH FURTHER INTEREST, ALL COST, CHARGES AND EXPENSES INCURRED IN RESPECT OF ALL PRECEEDINGS TAKEN FOR RECOVERY OF THE SAID SUM	RECOVERY CERTIFICATE NO. 8250 OF 2024	29-11-2024

AAKCM1468M	Money Mishra Securities Private Limited	UNDER SECTION 11(1), 11(4), 11B(1), 11(4A) AND 11(B)(2) OF THE SEBI ACT, 1992 READ WITH REGULATION 3 OF THE SEBI (PORTFOLIO MANAGERS) REGULATIONS, 1993READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	QJA/AA/IMD/IMD-SEC-1/30316/2024-25	29-11-2024
AADCG6785A	Gaungour Suppliers Private Limited	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: Order/AA/AR/2020-21/8690-8721]	03-12-2024
AHPPA3304H	PARTHASARTHI ADHIKARI	BEFORE THE SECURITIES AND AND EXCHANGE BOARD OF INDIA CORAM : KAMLESH C VARSHNEY, WHOLE THE MEMBER FINAL ORDER, UNDER SECTION 11, 11(4) AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	WTM/KV/EFD/DRA/30326/2024-25	04-12-2024
ADIPS2660P	Chirag Shah	UNDER SECTION 15-1 OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER MO. Pkk/A0/191/2011	05-12-2024
AAZFM1357R	Money Mishra Financial Services	UNDER SECTION 11(1), 11(4), 11B(1), 11(4A) AND 11(B)(2) OF THE SEBI ACT, 1992 READ WITH REGULATION 3 OF THE SEBI (PORTFOLIO MANAGERS) REGULATIONS, 1993READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	QJA/AA/IMD/IMD-SEC-1/30316/2024-25	05-12-2024
AUXPA3314Q	Himanshu Arora	UNDER SECTION 11(1), 11(4), 11B(1), 11(4A) AND 11(B)(2) OF THE SEBI ACT, 1992 READ WITH REGULATION 3 OF THE SEBI (PORTFOLIO MANAGERS) REGULATIONS, 1993READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	QJA/AA/IMD/IMD-SEC-1/30316/2024-25	05-12-2024
AACCG5385R	Gulistan Vanijya Pvt Ltd	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: Order/AA/AR/2020-21/8690-8721	06-12-2024

AACCJ0062C	Janvi Tanvi Share Traders Private Limited	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: EAD-3/VKV/GSS/2019-20/6861-6869	06-12-2024
AABCH4523R	Heranba Finvest Services Private Limited	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: Order/AA/AR/2020-21/8690-8721	06-12-2024
AABCS5265M	South Asia Portfolios Private Limited	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: EAD-3/VKV/GSS/2019-20/6861-6869	06-12-2024
AAACS5142E	Sukusama Trading and Investments Private Limited	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: Order/AA/AR/2020-21/8690-8721	06-12-2024
AADCR7368C	Ranisati Dealer Pvt Ltd	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: Order/AA/AR/2020-21/8690-8721	06-12-2024
AADCN8108N	Nikita Enterprise Private Ltd	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: Order/SM/MG/2020-21/10579-10580	06-12-2024
BDJPG6082P	Usha Gupta	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 7961 OF 2024	12-12-2024

AAOCS7903F	Sunbright Commodities Trade Private Limited	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8146 OF 2024	12-12-2024
AAECG1347F	Ghar Aangan Distributors Private Limited	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8211 OF 2024	12-12-2024
AJAPS0361D	Bina Singhania	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8002 OF 2024	12-12-2024
AAJHA7611L	Atul Tanna HUF	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8106 OF 2024	12-12-2024
AAFCA1262N	Appu Marketing and Manufacturing Limited (Now known as Ejecta Marketing Limited)	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8209 OF 2024	12-12-2024
AACMS9183M	Saraswati Sales Pvt Ltd	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: Order/VV/NK/2023-24/27773	12-12-2024

AADCD0686P	Devbhumi Suppliers Pvt Ltd	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8057 OF 2024	13-12-2024
AAECG5218M	Gokul Retailers Pvt Ltd	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 7323 OF 2024	13-12-2024
AABCK1567G	Golden Bull Research and Growth Ltd	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8085 OF 2024	13-12-2024
AUWPJ3225E	Mithilesh Kumar Jha	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8120 OF 2024	13-12-2024
AVZPS6488G	Nalini Sanwal	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8100 OF 2024	13-12-2024

AADCN7274M	Nufact Merchandise Pvt Ltd	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 7289 OF 2023	13-12-2024
AESPS2135J	Vasant C Shah	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO: PKB/AO-116/2010	16-12-2024
ABSPN2640J	Altaf Ahmed G.Nagad	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO: RA/DPS/14-15 OF 2015	16-12-2024
ADBPS6310F	GIRRAJ SINGH	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 8064 OF 2024	16-12-2024
AADCG6999E	Goodlife Commercial P. Ltd.	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO: BS/DP/2017-18/1673-1676	16-12-2024
AAACW8385R	Welkin Investment Consultants Private Limited	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 7999 OF 2024	18-12-2024

AABCU1506F	Uttam Dealers Private Limited	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8000 OF 2024	18-12-2024
AALCS6093F	Seva Bhawan Private Limited	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8167 OF 2024	18-12-2024
AKIPM9260E	Pratyoosh Kumar Mishra	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8102 OF 2024	18-12-2024
AAGCM5438B	Mastery Marketing Private Limited	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8147 OF 2024	18-12-2024
AAAFK8628A	Khera Motor Finance Private Limited	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 7998 OF 2024	18-12-2024

AABCJ6752E	Jayanta Fanzen Lighting Industries Private Limited	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8212 OF 2024	18-12-2024
AACCD8763E	Devalaya Commercial Private Limited	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8055 OF 2024	18-12-2024
AHCPK7426P	Mr. Kamal Jitendra Katkoria	UNDER SECTION 11N AND 11(4) OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/MPB/SEBI/EFD/ DRA-3/05/2018	18-12-2024
AAOCS7375K	Soundlight Projects Private Limited	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 7979 OF 2024	18-12-2024
AABCW2566K	Worldwealth Dealtrade Private Limited	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 7990 OF 2024	18-12-2024
AAECK2819G	Karabi Dealers Private Limited	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8003 OF 2024	18-12-2024

AAECK6455C	Kirteshwari Vintrade Private Limited	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8004 OF 2024	18-12-2024
ANFPB6460K	Sanjay Kantibhai Baria	NOTICE OF DEMAND UNDER RULE 2OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014	CERTIFICATE NO. RC2338/2019/RV	18-12-2024
ADIPR9087A	Ratnaram B Rabari	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014 WITH SECTION 222 OF THE INCOME TAX ACT, 1961	CERTIFICATE NO. RC2608/2019	18-12-2024
AACMS9183M	SARSWATI SALES PVT LTD	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO: Order/VV/NK/2023-24/27773	19-12-2024
AICPR0141F	Prakash Kumar Ramuka	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8097 OF 2024	20-12-2024
AAXPD9770F	Sulochana Dalmia	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 7977 OF 2024	24-12-2024

AAACQ1691G	Queen Tie-Up Private Limited	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8086 OF 2024	24-12-2024
AADCC5615P	Crest Vanijya Private Limited	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8096 OF 2024	24-12-2024
AADCS5722R	Sonali Suppliers Private Limited	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8152 OF 2024	24-12-2024
ADJPB3183D	Usha Bansal	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8153 OF 2024	24-12-2024
AELPC5083L	Ratnaram Bhavraram Choyal	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014 WITH SECTION 222 OF THE INCOME TAX ACT, 1961	CERTIFICATE NO. RC2608/2019	24-12-2024
EXHPS9436L	Henal Hemantbhai Shah	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AS AMENDED BY THE SECURITIES LAWS (AMENDMENT) ACT, 2014 WITH SECTION 222 OF THE INCOME TAX ACT, 1961	CERTIFICATE NO. RC2876/2020	24-12-2024

AFEPV5742H	HINA VIJAYKUMAR VORA	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO: Order No. AK/AO-15-20/2015	26-12-2024
BTGPM3916H	Kuber Capital	Under Section 11(1), 11(4), 11(B) (1), 11(4A), and 11B (2) of the Securities and Exchange Board of India Act, 1992 read with regulation 3(1) SEBI (Investment Advisers) Regulation 2013.	QJA/AA/WRO/WRO/22645/2022-23	30-12-2024
AAECR3716R	Raghukul Shares India Private Limited	Under 11(1), 11 (4), 11B (1) and 11 D of the Securities and Exchange Board of India Act, 1992 In the matter of Raghukul Shares India Private Limited	WTM / SM / MIRSD / MIRSD-SEC-4 / 26976 / 2023-24	03-01-2025
ACIPS7085F	Jitendra J shah	ORDER AGAINST SHRI JITENDRA J SHAH UNDER RULE 5(1) OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	EMAIL BASED SCN DATED 29-06-2004	03-01-2025
AAKCA2392Q	Aromatic Tie Up Pvt. Ltd.	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8309 OF 2024	06-01-2025
AACCN8485F	Namaskar Dealcom Private Limited	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8341 OF 2024	06-01-2025
BSMPS0016F	Swati Bajaj	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8353 OF 2024	06-01-2025

ADYPG3727C	Vivek Company	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8236 OF 2024	06-01-2025
BFSPR4717P	Saloni Ruia	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8383 OF 2024	07-01-2025
AADHC6879C	Chetan Manwani HUF	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8409 OF 2024	07-01-2025
AAACO7265J	Topline Fabrics Private Limited	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8449 OF 2024	07-01-2025
BCNPQ7383N	Subhra Garg	PENALTY IMPOSED BY THE ADJUDICATING OFFICER VIDE ORDER NO. ORDER NO. Order/AK/AJ/2023-24/29013 DATED AUGUST 30, 2023 IN THE MATTER OF DEALINGS IN ILLIQUID STOCKS OPTIONS AT BSE	CERTIFICATE NO. 8453 OF 2024	07-01-2025
ATUPS9251J	Amreen Sukhyani	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 8360 OF 2024	08-01-2025

AJTPM2056N	Ratanlal Mardia	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: Order/GR/KG/2019-20/7154-7157	09-01-2025
AAAPI2428J	Mr. Kollenmareth Oomman Isaac	UNDER SECTION 11 & 11B OF THE SECURITIES & EXCHANGE BOARD OF INDIA ACT, 1992.	WTM/SKM/EFD1-DRAI/02/2019-20	09-01-2025
AACCP6748B	Pigeon Construction Pvt Ltd	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995 AND SECTION 23-I OF THE SECURITIES CONTRACT (REGULATIONS) ACT 1956, ACT READ WITH RULE 5 OF THE SECURITIES CONTRACTS (REGULATIONS) (PROCEDURE FOR HOLDINGS INQUIRY AND IMPOSING PENALTIES) RULES, 2005 AND SECTION 19H OF THE DEPOSITORIES ACT, 1996 READ WITH RULE 4 OF DEPOSITORIES (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 2005	ADJUDICATION ORDER NO: Order/GR/KG/2019-20/7154-7157	09-01-2025
ADYPM7131K	Atul Mardia	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: Order/GR/KG/2019-20/7154-7157	09-01-2025
AAQPM1219H	Sanjay Rai Malhotra	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995 AND SECTION 23-I OF THE SECURITIES CONTRACT (REGULATIONS) ACT, 1956 READ WITH RULES 5 OF THE SECURITIES CONTRACT (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER NO: Order/GR/KG/2019-20/7154-7157	09-01-2025
AAECR1541A	RAGHAV CAPITAL AND INFRASTRUCTURE	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO: ORDER/GR/RR/2020-21/9536-9539	10-01-2025

AANFB7695P	M/s. Bourse India Investment Advisor	Under Section 11(1), 11(4), 11(B) (1), 11(4A), 11B (1) and 11B (2) of the Securities and Exchange Board of India Act, 1992 read with Rule 4 of the securities and Exchange Board of India (Procedure for holding inquiry and imposing penalties) Rules, 1995	QJA/AA/WRO/WRO/22645/2022-23	10-01-2025
AHWPN9045N	NIRMALA RAGHAV	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO: ORDER/GR/RR/2020-21/9536-9539	10-01-2025
AADCG3846Q	Glorious Vincom Private Limited	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8345 OF 2024	10-01-2025
AAHCM6553G	Malcom Suppliers Private Limited	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8371 OF 2024	10-01-2025
ABBPJ2880M	Nemichand Kasturchand Jain	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 8395 OF 2024	13-01-2025
AAGCM2757D	Magus Cars Limited	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERYIFICATE NO. 8382 OF 2024	13-01-2025

AGJPM6202B	Virender Pal Mehta	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 8454 OF 2024	13-01-2025
AAKCS7804F	SSE Commodities Private Limited	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 8251 OF 2024	14-01-2025
AAHHD4142B	Deepak Goel HUF	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 8358 OF 2024	14-01-2025
ACTPG7828D	Pankaj Goel	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 8390 OF 2024	14-01-2025
AADCC4915E	Carona Infra Projects Private Limited (Now known as Alright Merchantile Private Limited)	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 8394 OF 2024	14-01-2025

AGFPA8312C	Vivek Aggarwal	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 8452 OF 2024	14-01-2025
AJAPG0901B	Kirtidan K Gadhavi	CORRIGENDUM TO CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 8372 OF 2024	14-01-2025
AJAPG0901B	Kirtidan K Gadhavi	CORRIGENDUM TO CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 8373 OF 2024	14-01-2025
AJAPG0901B	Kirtidan K Gadhavi	CORRIGENDUM TO CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 8374 OF 2024	14-01-2025
AJAPG0901B	Kirtidan K Gadhavi	CORRIGENDUM TO CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 8375 OF 2024	14-01-2025

AJAPG0901B	Kirtidan K Gadhavi	CORRIGENDUM TO CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 8376 OF 2024	14-01-2025
AJAPG0901B	Kirtidan K Gadhavi	CORRIGENDUM TO CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 8377 OF 2024	14-01-2025
AJAPG0901B	Kirtidan K Gadhavi	CORRIGENDUM TO CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 8378 OF 2024	14-01-2025
AJAPG0901B	Kirtidan K Gadhavi	CORRIGENDUM TO CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 8379 OF 2024	14-01-2025
AJRPS7737C	Ashish Panalal Shah	CORRIGENDUM TO CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 8372 OF 2024	14-01-2025

AJRPS7737C	Ashish Panalal Shah	CORRIGENDUM TO CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 8373 OF 2024	14-01-2025
AJRPS7737C	Ashish Panalal Shah	CORRIGENDUM TO CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 8374 OF 2024	14-01-2025
AJRPS7737C	Ashish Panalal Shah	CORRIGENDUM TO CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 8375 OF 2024	14-01-2025
AJRPS7737C	Ashish Panalal Shah	CORRIGENDUM TO CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 8376 OF 2024	14-01-2025
AJRPS7737C	Ashish Panalal Shah	CORRIGENDUM TO CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 8377 OF 2024	14-01-2025

AJRPS7737C	Ashish Panalal Shah	CORRIGENDUM TO CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 8378 OF 2024	14-01-2025
AJRPS7737C	Ashish Panalal Shah	CORRIGENDUM TO CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 8379 OF 2024	14-01-2025
ATJPC5720H	Shenkey Chaurasia	Under Section 11(1), 11(4), 11B (1),and 11B (2) of the Securities and Exchange Board of India Act, 1992 read with Rule 4 of the Securities and Exchange Board of India (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995	QJA/KS/WRO/WRO/28945/2023-24	16-01-2025
AXTPK1590M	MR. PANKAJ KHANCHANDANI	Under Section 11(1), 11(4), 11B,and 11D of the Securities and Exchange Board of India Act, 1992.	WTM/ASB/WRO/WRO/19310/2022-23	16-01-2025
AAACP4032A	PACL Ltd.	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO: ORDER/GR/KG/2019-20/3359	16-01-2025
AABCF8592J	Fastglow Distributors Private Limited	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8286 OF 2024	20-01-2025
AABCK8634L	Kirti Tracon Private Limited	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8367 OF 2024	20-01-2025

AACCE9351K	Ecospace Distributors Pvt. Ltd.	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8285 OF 2024	20-01-2025
AACCJ5456G	Jyotiraditya Vincom Pvt. Ltd.	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8365 OF 2024	20-01-2025
AADCD5746L	Daredevil Agencies Pvt. Ltd.	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8288 OF 2024	20-01-2025
AADCV5277G	Versatile Saree Trader Pvt. Ltd	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8272 OF 2024	20-01-2025
AADCV5566C	Vidyavaridhi Dealtrade Pvt. Ltd.	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8252 OF 2024	20-01-2025

AAJHS6712E	SK Agarwal and Sons HUF	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8354 OF 2024	20-01-2025
AAYHS3088Q	Sanjay Shastri HUF	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8355 OF 2024	20-01-2025
AYWPK0382M	Sangita Karmakar	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8364 OF 2024	20-01-2025
BMXPA3387E	Minakshi Agarwal	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8363 OF 2024	20-01-2025
AAHCC3377N	M/S CREFIN INDIA MANAGEMENT P.LTD	UNDER SECTION 11 AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	WTM/AN/WRO/WRO/ 29258/2023-24	21-01-2025
AACCU2196G	UDAY INTELLICALL PVT. LTD.	UNDER SECTION 11(1), 11(4), AND 11B(1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	WTM/AB/WRO/WRO/2 6445/2023-24	21-01-2025
IUKPS1044F	MR. RAJAT SARAF	UNDER SECTION 11(1), 11(4), AND 11B(1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	WTM/AB/WRO/WRO/2 6445/2023-24	21-01-2025
ASAPJ7659J	MS. KALPANA JAIN	UNDER SECTION 11(1), 11(4), AND 11B(1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	WTM/AB/WRO/WRO/2 6445/2023-24	21-01-2025
AADCI0022Q	Indo global Financial Services and Consultancy Pvt. Ltd.	UNDER SECTION 11(1), 11(4), AND 11B(1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	WTM/AB/NRO/NRO/2 7876/2023-24	21-01-2025

ALOPB7339L	Tilak Bhardwaj	UNDER SECTION 11(1), 11(4), AND 11B(1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	WTM/AB/NRO/NRO/27876/2023-24	21-01-2025
BERPR1999P	MUSE TRADERS	UNDER SECTION 11(1), 11(4), 11B (1) AND 11B(2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 3(1) OF THE SEBI (INVESTMENT ADVISERS) REGULATIONS, 2013	QJA/GG/QJC/QJC-2/26152/2023-24	21-01-2025
BBOPJ6519H	PURE TRADERS	UNDER SECTION 11(1), 11(4), 11B (1) AND 11B(2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 3(1) OF THE SEBI (INVESTMENT ADVISERS) REGULATIONS, 2013	QJA/GG/QJC/QJC-2/26152/2023-24	21-01-2025
EWEPB1991R	STANDARD CHARTISTS - PROPRIETOR MR. HEET MANISH	UNDER SECTION 11(1), 11(4), 11B AND 11D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 3(1) OF THE SEBI (INVESTMENT ADVISERS) REGULATIONS, 2013	QJA/AA/WRO/WRO/30066/2023-24	22-01-2025
AZQPR2305G	ANANT RATHORE	UNDER SECTION 11, 11B (1) AND 11(4)ALONG WITH SECTION 114(A) AND 11B(2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	WTM/AB/WRO/WRO/25157/2022-23	22-01-2025
AWVPN0180D	W Gain Research and Development Proprietor Shri	Under Section 11(1), 11(4), 11B (1), 11B (2) and 11D of the Securities and Exchange Board of India Act, 1992 read with Rule 4 of the Securities and Exchange Board of India (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995	QJA/SVMDR/WRO/WRO/29848/2023-24	22-01-2025
AAFCP5386A	PREVIEW VINIMAY PRIVATE LIMITED	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO: ORDER/BM/GN/2024-25/30872	24-01-2025
AABCB4470B	Dwitiya Trading Limited	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND RULE 5 OF THE SECURITIES CONTRACTS (REGULATIONS) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 2005 READ WITH SECTION 23-I OF THE SECURITIES CONTRACTS (REGULATIONS) ACT, 1956.	ADJUDICATION ORDER NO: ORDER/GR/KG/2021-22/12619	24-01-2025

AADCN0586A	Newedge Vinimay Pvt. Ltd.	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8054 OF 2024	24-01-2025
AABCU1005D	Pedestal Infra Tech Services Pvt. Ltd.	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8054 OF 2024	24-01-2025
AACCE9181F	Expertise Vintrade Pvt. Ltd.	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8289 OF 2024	24-01-2025
AADCC8835H	Cosmos DIstributors Pvt. Ltd.	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8287 OF 2024	24-01-2025
AAECK2586N	Kinetic Vyapaar Pvt. Ltd.	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8253 OF 2024	24-01-2025

AAEHN7129R	Neeraj Gandhi HUF	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8366 OF 2024	24-01-2025
AAGCR0172D	Rudramukhi Infrastructure Pvt. Ltd.	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8343 OF 2024	24-01-2025
AAJFN3554B	Surendra Sharma	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8271 OF 2024	24-01-2025
AAPCS2265R	Satellite Infraprojects Pvt. Ltd.	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8310 OF 2024	24-01-2025
ACNFS2256B	Saru Trading Co.	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8351 OF 2024	24-01-2025

AEKPA8540F	M/s R. Foundry	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8160 OF 2024	24-01-2025
DMEPK3997P	Roshni Kumari	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8244 OF 2024	24-01-2025
AJIPR8427K	RAJ KUMAR RAGHAV	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1995 AND RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO: ORDER/GR/RR/2020-21/9536-9539	24-01-2025
NSSPS1252P	SANCHI RESEARCH PROPRIETOR RAMGOPAL SET	Under Section 11(1), 11(4), 11B (1), 11B (2) and 11D of the Securities and Exchange Board of India Act, 1992 read with SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of M/S Sachi Research, Proprietor - Mr. Ramgopal Set.	WTM/SM/WRO/WRO/ 24649/2022-23	24-01-2025
AOAPS0373N	Shri Ameet Savant Proprietor of Ventura	UNDER SCTION 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AN/WRO/WRO/ 29258/2023-24	27-01-2025
AVEPP8927C	MR.JEEVENDRA KUMAR PODDAR	UNDER SCTION 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AN/WRO/WRO/ 29258/2023-24	27-01-2025
BTKPS6899H	Ms. Shipra Singh	UNDER SCTION 11A AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AN/WRO/WRO/ 29258/2023-24	27-01-2025
AAKCM6309D	Minance Technologies Private Limited (MTPL)	UNDER SECTION 11(1), 11(4), 11B AND 11D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/SROSRO/16539/2022-23	27-01-2025
AKPPN5003M	CAPITAL GAINS FINANCIAL SERVICES PROPRIETOR MR R N	UNDER SECTION 11(1), 11(4) and 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/WRO/WRO/21389/2022-23	27-01-2025
BLVPM9284R	MONEY CLASSIC INVESTMENT ADVISER	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1995 AND RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/GR/RR/2020-21/9536-9539	27-01-2025

BMAPT1283P	Mr. Sandeep Tiwari	UNDER SECTION 11(1), 11(4) and 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 3(1) OF SEBI (INVESTMENT ADVISERS) REGULATIONS, 2013.	QJA/AA/WRO/WRO/28401/2023-24	27-01-2025
AVTPB7780L	Umesh Kumar Bhambhu	UNDER SECTION 11(1), 11(4), AND 11B(1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/AB/NRO/NRO/27876/2023-24	27-01-2025
BSKPG9592A	SHIVAAY INVESTMENT	UNDER SECTION 11(1), 11(4), 11(4A), 11B (1) and 11B(2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 4 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	QJA/KS/WRO/WRO/29694/2023-24	27-01-2025
BVBPB4487K	MRS RUPABEN K BHATT	UNDER SECTION 11(1), 11(4), 11(4A), 11B (1) and 11B(2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 4 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	QJA/KS/WRO/WRO/29694/2023-24	27-01-2025
BKIPB0010L	Mr.Kelvinbhai Bhatt	UNDER SECTION 11(1), 11(4), 11(4A), 11B (1) and 11B(2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 4 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	QJA/KS/WRO/WRO/29694/2023-24	27-01-2025
BWRPK1312F	TAJ ENTERPRISES	UNDER SECTION 11(1), 11(4), 11(4A), 11B (1) and 11B(2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATIONS 3(1) OF THE SEBI (INVESTMENT ASVISERS) REGULATIONS, 2013	QJA/GG/QJC/QJC-2/26152/2023-24	27-01-2025
AAFCG6444C	Grovalue Securities Private Limited	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	CERTIFICATE NO. 8396 OF 2024	28-01-2025
AAICM8924A	MC COMMODITIES LIMITED	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1995 AND RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO: ORDERVV/AS/2023-24/28806-28805	29-01-2025

ADKPD7701J	OM PRAKASH DALMIA	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1995 AND RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO: ORDER/GR/HK/2023-24/27927	29-01-2025
AHJPR6079C	Mr. R. Ravichandran	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1995 AND RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995 AND UNDER SECTION 23-I OF SECURITIES CONTRACTS (REGILATIONS) ACT, 1956 READ WITH RULE 5 OF THE SECURITIES CONTRATS (REGULATIONS) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER NO: ORDER/SM/AD/2022-23/16841-16848	29-01-2025
AAACM2862M	Exelon Infrastructure Ltd.	UNDER SECTION 23I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956, SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES 2005, AND RULES 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES 1995	ADJUDICATION ORDER NO: EAD-7/BJD/NJMR/2018-19/1979-2006	29-01-2025
AAACY5598E	YOCHANA VYAPAAR PRIVATE LIMITED	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1995 AND RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO: ORDERVV/JR/2020-21/8582	29-01-2025
AAECG5613Q	GANESHVANI STEEL PRIVATE LIMITED	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1995 AND RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO: ORDERVV/NK/2023-24/27771	29-01-2025
AAKCA3654M	AUROPLUS MARKETING PRIVATE LIMITED	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1995 AND RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO: ORDER/AK/VP/2023-24/26511	29-01-2025

AOTPA5434M	Subh Laxmi Trading Co	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8462 OF 2024	29-01-2025
AAGHS3174M	Subhash Kumar Poddar HUF	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8438 OF 2024	29-01-2025
BBDPC8554N	FLANKING RESEARCH INVESTMENT ADVISOR PROPRIETOR MR. TARUN CHANDANI	UNDER SECTION 11(1), 11(4), 11(4A), 11B(1) AND 11B(2) OF THE SEFCURITIES AND EXCHANGE NOARD OF INDIA ACT, 1992	CGM/GG/QJC2/WRO/ WRO/21679/2022-23	30-01-2025
AABCL0873C	Lakhi Packaging Pvt Ltd	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1995 AND RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO: ORDER/MC/DS/2020-21/8114-8141	04-02-2025
AAECT6598B	TOPTECH ENTERPRISES PRIVATE LIMITED	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1995 AND RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO: ORDER/VV/JR/2019-20/7321	04-02-2025
AUAPS8547G	Srinivas Yadav Sher	UNDER SECTION 23I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956, SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES 2005, AND RULES 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES 1995	ADJUDICATION ORDER NO: EAD-7/BJD/NJMR/2018-19/1979-2006	04-02-2025

ABVPD6656R	Veerabhadra D	UNDER SECTION 23I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956, SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES 2005, AND RULES 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES 1995	ADJUDICATION ORDER NO: EAD-7/BJD/NJMR/2018-19/1979-2006	04-02-2025
BCKPK1101P	MAKKENA RAMA KRISHNA	UNDER SECTION 23I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956, SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES 2005, AND RULES 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES 1995	ADJUDICATION ORDER NO: EAD-7/BJD/NJMR/2018-19/1979-2006	04-02-2025
AJXPR4789F	Ravi Chakravati	UNDER SECTION 23I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956, SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES 2005, AND RULES 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES 1995	ADJUDICATION ORDER NO: EAD-7/BJD/NJMR/2018-19/1979-2006	04-02-2025
AEZPB3408A	Shaik Ameer Basha	UNDER SECTION 23I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956, SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES 2005, AND RULES 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES 1995	ADJUDICATION ORDER NO: EAD-7/BJD/NJMR/2018-19/1979-2006	04-02-2025

AOAPK4529E	Nagendra Komera	UNDER SECTION 23I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956, SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES 2005, AND RULES 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES 1995	ADJUDICATION ORDER NO: EAD-7/BJD/NJMR/2018-19/1979-2006	04-02-2025
ABTPV8259J	Ajitbhai P Vasa	UNDER SECTION 23I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956, SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES 2005, AND RULES 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES 1995	ADJUDICATION ORDER NO: EAD-7/BJD/NJMR/2018-19/1979-2006	04-02-2025
BVSPK9348F	Salma Sharafat Khan	UNDER SECTION 23I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956, SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES 2005, AND RULES 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES 1995	ADJUDICATION ORDER NO: EAD-7/BJD/NJMR/2018-19/1979-2006	04-02-2025
AMIPJ5748G	Sharadbhai R Jhaveri	UNDER SECTION 23I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956, SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES 2005, AND RULES 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES 1995	ADJUDICATION ORDER NO: EAD-7/BJD/NJMR/2018-19/1979-2006	04-02-2025

AZMPS2291J	Manish Ratilal Shah	UNDER SECTION 23I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956, SECTION 15I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES 2005, AND RULES 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES 1995	ADJUDICATION ORDER NO: EAD-7/BJD/NJMR/2018-19/1979-2006	04-02-2025
AABCB4470B	Dwitiya trading limited	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1995 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND RULE 5 OF THE SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 2005 READ WITH SECTION 23-I OF THE SECURITIES CONTRACTS (REGULATIONS) ACT, 1956.	ADJUDICATION ORDER NO: ORDER/GR/KG/2021-22/12617-12619	04-02-2025
ACCPP5173B	VISHNUBHAI SUNDERLAL PAREKH	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1995 AND RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/AS/2021-22/15779-15780	04-02-2025
AACPC3302M	Laxmi Manoharlal Chaturvedi	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1995 AND RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/SBM/VS/2022-23/16857-16859	04-02-2025
AAQPN1499Q	Mr. N. Nagesh (Company Secretary of BPSL)	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1995 AND RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/GR/KG/2021-22/11512	04-02-2025
AADCT8675L	TOPMOST COMMERCIAL PRIVATE LIMITED	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1995 AND RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/VV/JR/2020-21/7766	04-02-2025
AAJCS0291D	Simple Mining And Power Pvt Ltd	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1995 AND RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/MC/DS/2020-21/8114-8141	04-02-2025

AADCR0189F	Ramkrishna Fabrication & Machineries P Ltd.	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1995 AND RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO: ORDER/MC/DS/2020-21/8114-8141	04-02-2025
AACCK5953H	Krishnum Investments Pvt Ltd	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1995 AND RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO: ORDER/MC/DS/2020-21/8114-8141	04-02-2025
AAACJ6647H	JIT FINANCE PRIVATE LIMITED	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1995 AND RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: ORDER/GR/PU/2023-24/29323	04-02-2025
AAECB8238J	Bestway Dealcom Private Limited	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8423 OF 2024	04-02-2025
AALCS5336D	Fendra Infrastructure Private Limited	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8471 OF 2024	04-02-2025
ACBPT1028L	Kanak Lata Tibrewal	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8467 OF 2024	04-02-2025
AACCG6377M	Goldline International Finvest Ltd.	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8518 OF 2024	05-02-2025

AIJPD7329J	Madhukar Dubey & its Proprietorship firm viz. Alliance Traders, N V Sales Corporation, A One Furniture, Magnum Industrial	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8023 OF 2024	05-02-2025
AADCC8189N	Castle Realcon Private Limited	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8357 OF 2024	05-02-2025
AABCS7132F	Samarth Fincap Services Private Limited	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8385 OF 2024	05-02-2025
AAACF6715A	Fortis Healthcare Holdings Private Limited	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8386 OF 2024	05-02-2025
AADCB6654M	Bluebell Agencies Pvt Ltd	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8488 OF 2024	05-02-2025

AACCB7383E	Balley Builders and Developers	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8374 OF 2024	05-02-2025
AADCJ0092M	Jitney Investments Private Limited	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8320 OF 2024	05-02-2025
KVSPS2783B	Shrawan	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8322 OF 2024	05-02-2025
AALCA3008J	Ashworth Constructions Pvt. Ltd.	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8323 OF 2024	05-02-2025
HFDPS1131F	Deepika Sharma	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8373 OF 2024	05-02-2025

DSZPR7910C	Romika	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8311 OF 2024	05-02-2025
DSZPR7910C	Romika	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8375 OF 2024	05-02-2025
AALCA2837R	Ancient Education Pvt. Ltd.	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8314 OF 2024	05-02-2025
AALCA2837R	Ancient Education Pvt. Ltd.	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8372 OF 2024	05-02-2025
CFRPD1265B	Om Consultancy (Proprietor: Suraj Lalji Dubey	UNDER SECTION 11(1), 11(4), 11B, 11B(1) AND 11B(2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	QJA/GR/MIRSD/MIRSD-SEC-1/310042024-25	05-02-2025
AAZHS0892R	Sanjay Kumar Agarwal HUF	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8402 OF 2024	05-02-2025

AAHCM7170M	Mahamani Suppliers Private Limited	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8408 OF 2024	05-02-2025
AASPI2273F	Kashi Nath Ishar	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8412 OF 2024	05-02-2025
AKNPK3850F	Sanjay Kumar Kochar	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8416 OF 2024	05-02-2025
AAACW2920N	ANUGRAH STOCK AND BROKING PVT. LTD.	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 UNDER SECTION 23-I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005 AND UNDER SECTION 19H OF THE DEPOSITORS ACT, 1996 READ WITH RULE 5 DEPOSITORIES (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 2005	ADJUDICATION ORDER NO. ORDER/PM/SM/2020-21/10794	10-02-2025

ACTPG7828D	PANKAJ GOEL	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 UNDER SECTION 23-I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. ORDER/BM/JR/2022-23/20387	10-02-2025
AFSPG7531F	MEENU GOEL	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 UNDER SECTION 23-I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. ORDER/BM/JR/2022-23/20379-20387	10-02-2025
AFAPB7100D	Dharmendra Harilal Bhojak	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1995 AND RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/BM/JR/2022-23/19742-19750	10-02-2025
AAACK3482B	Kaynet Finance Limited	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1995 AND RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND SECTION 23-I OF SECURITIES CONTRACTS REGULATION ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATION OFFICER) RULES, 2005.	ADJUDICATION ORDER NO: ORDER/SBM/VS/2022-23/16857-16859	10-02-2025
ADCPJ1922H	ANIL JATHAN	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. ORDER/AS/RM-AK/2023-24/29701-29717	10-02-2025
CIGPM5834A	BALRAM MUKHERJEE	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1995 AND RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. ORDER/AS/RM-AK/2023-24/29701-29717	10-02-2025

AAQCS6937F	Smart Infraproperties Private Limited	SHOW CAUSE NOTICE UNDER RULE 4 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 READ WITH SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF CRESSANDA SOLUTION LTD	SEBI/EAD-2/NH/YK/38434/2024	11-02-2025
AADCG5049H	Gravity Commodeal Private Limited	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8404 OF 2024	11-02-2025
AAKCM6309D	Minance Technologies Private Limited	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 7953 OF 2024	11-02-2025
AVEPB6967G	Mr. Anurag Bhatia	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 7953 OF 2024	11-02-2025
ACDFS3492D	Nityanand Thakur	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8270 OF 2024	11-02-2025
AACCH8359J	Highview Agents Private Limited	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. 8407 OF 2024	11-02-2025

BMMPS9407G	Harshawardhan Hanmant Sabale	UNDER SECTION 11(1), 11(4) AND 11(B) READ WITH SECTION 19 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA, 1992	WTM/ASB/CFD/CFD-SEC-3/30894/2024-25	17-02-2025
AAZCS6264J	Varanium Cloud Ltd	UNDER SECTION 11(1), 11(4) AND 11(B) READ WITH SECTION 19 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA, 1992	WTM/ASB/CFD/CFD-SEC-3/30894/2024-25	17-02-2025
AIZPV1760F	Jayarajbhai Vala	Under Section 11(1), 11(4), 11(4A), 11B(1) and 11B(2) of the Securities and Exchange Board of India Act, 1992 read with Rule 4 of the Securities and Exchange Board of India (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995	QJA/KS/WRO/WRO/29694/2023-24	19-02-2025
AABCN0541M	N M Impex Pvt. Ltd	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-6/PM-AB/75/2018-19	20-02-2025
AAFCM9728H	MARSH VINIMAY PVT LTD	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. Order/AK/AJ/2023-24/28938	25-02-2025
AAHCM2762P	Manomay Dealmark Private Limited	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME-TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.	RECOVERY CERTIFICATE NO. RC8589 OF 2025	28-02-2025
AABCP0367K	Aarm Finser India Limited	ORDER UNDER SECTION 15-1 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND UNDER SECTION 23-1 OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SCR(R) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER NO.: OrderNV/NK/2021-22115778-15795]	28-02-2025
AAFCG4666C	Godavari Real Estate Pvt Ltd	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO: EAD/PM-RR/A0/2019-20/7039-7054	28-02-2025

AAOCS2712F	Satshri Multitrade Pvt. Ltd.	UNDER SECTION 15-1 OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. EAD-2/DSR/RG/ 686-781/2017]	28-02-2025
AAGFI4791L	INVESTMENT INDIA FINANCIAL SERVICES	Under Section 11, 11B(1) and 11(4) along with sections 11(4A) and 11B(2) of the Securities and Exchange Board of India Act, 1992	WTM/AB/WRO/WRO/25157/2022-23	04-03-2025
AAGCP2758K	Paramjyoti Tradelinks Private Limited	Certificate under section 28A of the Securities and Exchange Board of India Act, 1992 read With Section 222 of the Income Tax Act, 1961	RECOVERY CERTIFICATE NO. 8499 OF 2025	06-03-2025
AALHA8228H	Amit Kumar Choudhary HUF	Certificate under section 28A of the Securities and Exchange Board of India Act, 1992 read With Section 222 of the Income Tax Act, 1961	RECOVERY CERTIFICATE NO. 8503 OF 2025	06-03-2025
AALHP4980R	Pankaj Kumar Agrawal HUF	Certificate under section 28A of the Securities and Exchange Board of India Act, 1992 read With Section 222 of the Income Tax Act, 1961	RECOVERY CERTIFICATE NO. 8548 OF 2025	06-03-2025
AACCC2960N	Consolidated Mercantiles Private Limited	Certificate under section 258A of the Securities and Exchange Board of India Act, 1992 read With Section 222 of the Income Tax Act, 1961	RECOVERY CERTIFICATE NO. 8582 OF 2025	06-03-2025
AABCE9232C	Equastone Properties Pvt. Ltd.	ORDER UNDER SECTION 15-1 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND UNDER SECTION 23-1 OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SCR(R) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	[ADJUDICATION ORDER NO.: OrderNV/NK/2021-22115778-15795]	06-03-2025
AAKCA4090M	Aavia Buildtech Private Limited	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING BY ADJUDICATIG OFFICER RULES, 1995	ADJUDICATION ORDER NO. Order/BD/BM/2020-21/7650-7674	06-03-2025
AAKCA4089N	Aavia Softech Private Limited	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING BY ADJUDICATIG OFFICER) RULES, 1995	ADJUDICATION ORDER NO. Order/BD/BM/2020-21/7650-7674	06-03-2025

AATCS2130B	Steady Capital Advisory Sercices Private Limited	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING BY ADJUDICATIG OFFICER) RULES, 1995	ADJUDICATION ORDER NO. Order/BD/BM/2020-21/7650-7674	06-03-2025
AATCS2129L	Sure Portfolio Services Private Limited	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING BY ADJUDICATIG OFFICER) RULES, 1995	ADJUDICATION ORDER NO. Order/BD/BM/2020-21/7650-7674	06-03-2025
AACCN6386M	NATURE INFOSOFT PRIVATE LIMITED	UNDER SECTION 15-10F SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	[ADJUDICATION ORDER NO. Order/SM/DD/2021-22114328-14339]	06-03-2025
AADCN8028M	NIKITA FOREX PRIVATE LIMITED	•UNDER SECTION 15-10F SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND UNDER SECTION 23-1 OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING • INQUIRY AND IMPOSING PENALTIES) RULES, 2005	[ADJUDICATION ORDER NO. Order/SM/DD/2021-22114328-14339]	06-03-2025
AABCK3433H	Edoptica Retail India Ltd	Under section 11(1), 11(4) and 11B of the Securities and Exchange Board of India Act, 1992	WTM/AB/CFID/CFID-TPD/31115	06-03-2025

AACCB5249H	BMD Exports Private Limited	UNDER SECTION 15-1 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23-1 OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND RULE 5 OF THE SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	(ADJUDICATION ORDER NO: Order/PM/GD/2022-23116782-16811)	06-03-2025
AAECB9628A	Bhargabi Trading Pvt Ltd	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	(ADJUDICATION ORDER NO: EAD/PM-RR/A0/2019-20/7039-7054)	06-03-2025
AACCJ8180F	Jagsakti Tower Pvt Ltd	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	(ADJUDICATION ORDER NO: EAD/PM-RR/A0/2019-20/7039-7054)	06-03-2025
AACCJ8181E	Jahman Agency Pvt Ltd	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	(ADJUDICATION ORDER NO: EAD/PM-RR/A0/2019-20/7039-7054)	06-03-2025
AAFCR8047L	Ritudhan Marketing Pvt Ltd	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	(ADJUDICATION ORDER NO: EAD/PM-RR/A0/2019-20/7039-7054)	06-03-2025
AADCB7966J	Buddhisagar Shares And Services Private Limited	UNDER SECTION 15-1 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23-1 OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND RULE 5 OF THE SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	(ADJUDICATION ORDER NO: Order/PM/GD/2022-23116782-16811)	06-03-2025

AADCG6323N	Ghantakarna Shares And Services Private Limited	UNDER SECTION 15-1 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23-1 OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND RULE 5 OF THE SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	(ADJUDICATION ORDER NO: Order/PM/GD/2022-23116782-16811)	06-03-2025
AAECP2532R	Poojan Tradecom Private Limited	UNDER SECTION 15-1 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23-1 OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND RULE 5 OF THE SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	(ADJUDICATION ORDER NO: Order/PM/GD/2022-23116782-16811)	06-03-2025
AAECR4211M	Ratnasuri Shares and Services Private Limited	UNDER SECTION 15-1 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23-1 OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND RULE 5 OF THE SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	(ADJUDICATION ORDER NO: Order/PM/GD/2022-23116782-16811)	06-03-2025
AACCR4046C	Real Marketing Private Limited	UNDER SECTION 15-1 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23-1 OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND RULE 5 OF THE SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	(ADJUDICATION ORDER NO: Order/PM/GD/2022-23116782-16811)	06-03-2025

AANCS2340B	Sumtinath Shares And Services Pvt Ltd	UNDER SECTION 15-1 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23-1 OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND RULE 5 OF THE SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005		06-03-2025
AADCT2274R	Tirthankar Shares and Service Private Limited	UNDER SECTION 15-1 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23-1 OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND RULE 5 OF THE SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	(ADJUDICATION ORDER NO: Order/PM/GD/2022-23116782-16811)	06-03-2025
AAECC0376J	Cellworth Mercantile Pvt. Ltd.	With reference to the subject proceedings, please find enclosed copy of the Adjudication Order dated August 02, 2017 passed by the Adjudicating Officer against you in terms of Rule 6 of the SEBI (Procedure for Holding Inquiry and Imposing Penalty by the Adjudicating Officer) Rules, 1995, for necessary action at your end.	EAD-2/DSR/RG/1848311120 17	06-03-2025
AACCJ3884G	Jaimini Trading Pvt. Ltd.	With reference to the subject proceedings, please find enclosed copy of the Adjudication Order dated August 02, 2017 passed by the Adjudicating Officer against you in terms of Rule 6 of the SEBI (Procedure for Holding Inquiry and Imposing Penalty by the Adjudicating Officer) Rules, 1995, for necessary action at your end.	EAD-2/DSR/RG/1848311120 17	06-03-2025
AAACW8578N	Wonder Vincom Pvt. Ltd.	With reference to the subject proceedings, please find enclosed copy of the Adjudication Order dated August 02, 2017 passed by the Adjudicating Officer against you in terms of Rule 6 of the SEBI (Procedure for Holding Inquiry and Imposing Penalty by the Adjudicating Officer) Rules, 1995, for necessary action at your end.	EAD-2/DSR/RG/1848311120 17	06-03-2025

AACCV6426E	Virgo Mercantile Pvt. Ltd.	With reference to the subject proceedings, please find enclosed copy of the Adjudication Order dated August 02, 2017 passed by the Adjudicating Officer against you in terms of Rule 6 of the SEBI (Procedure for Holding Inquiry and Imposing Penalty by the Adjudicating Officer) Rules, 1995, for necessary action at your end.	EAD-2/DSR/RG/1848311120 17	06-03-2025
AABCL5709K	Lona Mercantile Pvt. Ltd.	With reference to the subject proceedings, please find enclosed copy of the Adjudication Order dated August 02, 2017 passed by the Adjudicating Officer against you in terms of Rule 6 of the SEBI (Procedure for Holding Inquiry and Imposing Penalty by the Adjudicating Officer) Rules, 1995, for necessary action at your end.	EAD-2/DSR/RG/1848311120 17	06-03-2025
AABCJ9074F	Jagdamba Complex Pvt. Ltd.	With reference to the subject proceedings, please find enclosed copy of the Adjudication Order dated August 02, 2017 passed by the Adjudicating Officer against you in terms of Rule 6 of the SEBI (Procedure for Holding Inquiry and Imposing Penalty by the Adjudicating Officer) Rules, 1995, for necessary action at your end.	EAD-2/DSR/RG/1848311120 17	06-03-2025
AAFCM8822N	Mili Commodities Pvt. Ltd.	With reference to the subject proceedings, please find enclosed copy of the Adjudication Order dated August 02, 2017 passed by the Adjudicating Officer against you in terms of Rule 6 of the SEBI (Procedure for Holding Inquiry and Imposing Penalty by the Adjudicating Officer) Rules, 1995, for necessary action at your end.	EAD-2/DSR/RG/1848311120 17	06-03-2025
AACCJ0675M	Jasmine Dealcom Pvt. Ltd.	With reference to the subject proceedings, please find enclosed copy of the Adjudication Order dated August 02, 2017 passed by the Adjudicating Officer against you in terms of Rule 6 of the SEBI (Procedure for Holding Inquiry and Imposing Penalty by the Adjudicating Officer) Rules, 1995, for necessary action at your end.	EAD-2/DSR/RG/1848311120 17	06-03-2025
AADCK9953C	Ksema Finsecure Consultants Ltd.	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	[ADJUDICATION ORDER NO. EAD/BJD/BKM/33 - 38/2017-18)	06-03-2025

BMHPS8135C	Ms. Bhawani Srivastava	UNDER SECTION 15-1 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23-1 OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND RULE 5 OF THE SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	[ADJUDICATION ORDER NO. Order/SM/S./2022-23/21263-21266]	10-03-2025
AHAPG3817G	Pushpa Gupta	UNDER SECTION 15-1 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23-1 OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND RULE 5 OF THE SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	[ADJUDICATION ORDER NO. Order/SM/S./2022-23/21263-21266]	10-03-2025
AIMPG6205H	Girish Chandra Gupta	UNDER SECTION 15-1 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23-1 OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND RULE 5 OF THE SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	[ADJUDICATION ORDER NO. Order/SM/S./2022-23/21263-21266]	10-03-2025

AACCP1163C	Paramount Bio-tech Industries Ltd.	UNDER SECTION 15-1 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23-1 OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND RULE 5 OF THE SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	[ADJUDICATION ORDER NO. Order/SM/S./2022-23/21263-21266]	10-03-2025
CIRPK5759F	Ranjit Kaur	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	[ADJUDICATION ORDER NO. EAD/KS/VB/AO/65-69/2017-18]	10-03-2025
BKHPS1704D	Kulbir Singh	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	[ADJUDICATION ORDER NO. EAD/KS/VB/AO/65-69/2017-18]	10-03-2025
CGFPS6608M	Harjit Singh	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	[ADJUDICATION ORDER NO. EAD/KS/VB/AO/65-69/2017-18]	10-03-2025
CLEPS7179D	Gurwinder Singh	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	[ADJUDICATION ORDER NO. EAD/KS/AA/AO/74-79/2017-18]	10-03-2025
AUUPK3066A	Shri Lalit Kumar	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	[ADJUDICATION ORDER NO. AO/JS/VRP/71-74-2018]	10-03-2025

CXEPS9337R	Mr. Abdul Slam	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIR Y AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. RA/DPS/ 128-134 /20171	12-03-2025
BVPPJ3417H	Billionaire Solution	UNDER SECTION 11(1), 11(4), AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA, 1992 IN THE MATTER OF M/S BILLIONAIRE SOLUTIONS, SOLE PROPRIETOR MR. AKASH JAISWAL	WTM/SM/WRO/WRO/ 14717/2021-22	12-03-2025
AANFC2170Q	M/s. Capital Worth Research House Partners Mr. Mohammed Aamir Sheikh Mr. Ankit Shrivastav Mr. Shahid Rangrej Sameer Menon	UNDER SECTION 11(1), 11(4), AND 11B (1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA, 1992.	WTM/ASB/WRO/WRO /24011/2022-23	12-03-2025
BJVPS0029N	Devendra Pal Singh	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. EAD/KS/VB/AO/183-188/2018-19	12-03-2025
AAECK1255G	Karmbhoomi Real Estate Limited	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD/KS/VB/AO/183-188/2018-19	12-03-2025
ATHPS3605F	Nirmal Kumar Singh	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. EAD/KS/VB/AO/183-188/2018-19	12-03-2025
AACCL5027D	Levia Trading Pvt Ltd.	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. Order/MC/HP/2020-21/8754-8756	12-03-2025
AACCL5027D	Levia Trading Pvt Ltd.	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. Order/MC/HP/2020-21/8754-8756	12-03-2025

AWPPK9383F	Shri Rakesh Kumar	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. AO/JS/VRP/71-74/2018	17-03-2025
AIUPR8350C	Shri Praveen Kumar Rohilla	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. AO/JS/VRP/71-74/2018	17-03-2025
AAACC7451L	Ekveera Computers Private Limited	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. BM/AO-19 - 27/2012	18-03-2025
DFQPP5370N	SUNEEL KUMAR PATEL	UNDER SECTION 11, 11B AND 11D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992. IN THE MATTER OF GOOWILL RESEARCH	WTM/GM/WRO/WRO/13952/2021-22	19-03-2025
BBSPK1145A	Nikhil Kumar	UNDER SECTION 11(1), 11(4), AND 11B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA, 1992 IN THE MATTER OF HYBRID RESEARCH ADVISORY SERVICES, PROPRIETOR MR. NIKHIL KUMAR	WTM/SM/WRO/WRO/14467/2021-22	19-03-2025
FNXPS2212D	Ankit Shrivastav	UNDER SECTION 11(1), 11(4), AND 11B (1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA, 1992.	WTM/ASB/WRO/WRO/24011/2022-23	19-03-2025
CAHPR9501A	Shahid Rangrej	UNDER SECTION 11(1), 11(4), AND 11B (1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA, 1992.	WTM/ASB/WRO/WRO/24011/2022-23	19-03-2025
DBUPM4094J	Sameer Memon	UNDER SECTION 11(1), 11(4), AND 11B (1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA, 1992.	WTM/ASB/WRO/WRO/24011/2022-23	19-03-2025
AVFPM1559G	M/s. All Gainers Research & Brokerage Firm Proprietor Mr. Anand Kand Mishra	UNDER SECTION 11(1), 11(4), AND 11B (1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA, 1992.	WTM/AB/WRO/WRO/21993/2022-23	19-03-2025
EQGPS3663P	Capital Trading Cash (Proprietor: Saudan Singh))	UNDER SECTION 11(1), 11(4), AND 11B AND 11D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 3(1) OF SEBI (INVESTMENT ADVISERS) REGULATIONS, 2013	QJA/AA/WRO/WRO/25839/2023-24	19-03-2025

BBIPS1965G	Manoj Kumar Sengar	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD/KS/VB/AO/183-188/2018-19	19-03-2025
ABDPS2787C	Sardar Singh	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	[ADJUDICATION ORDER NO. EAD/KS/VB/AO/183-188/2018-19	19-03-2025
AAACU2984J	Uniflex Carrying Co.	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-9/AO/SM/52-86/2019-20	19-03-2025
AADCB8850F	Blue Peacock Securities P Ltd	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD/BJD/BKM/78/2017-18	19-03-2025
AAACV2921N	Vishvas Securities Ltd.	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-2/AO/174-175/2013	19-03-2025
AACCN3910B	Nilkanth Dealers Private Limited.	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-2/DSR/KM/122-127/2014	19-03-2025
AABCS7644G	Sundeep Credits Pvt. Ltd.	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-2/DSR/KM/122-127/2014	19-03-2025
AABCS7644G	Sundeep Credits Pvt. Ltd.	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-2/DSR/KM/122-127/2014	19-03-2025

AACCE0264H	Ecologix Knowledge Solutions Pvt. Ltd.	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995 AND UNDER SECTION 23-I OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SCR(R) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES 2005.	ADJUDICATION ORDER NO. ORDER/VV/NK/2021-22/15778-15795	20-03-2025
AACCI9518C	Insight Multitrading Private Limited	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES,1995	ADJUDICATION ORDER NO. EAD/BJD/NJMR/2018-19/2143	24-03-2025
AAFCA2440N	Aparna Commodities Pvt. Ltd.	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (“SEBI ACT”) READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-9/ AO/SM/52 - 86 /2019-20	24-03-2025
AAACV7147C	VRP Financial Services Pvt. Ltd.	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 (“SEBI ACT”) READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-9/ AO/SM/52 - 86 /2019-20	24-03-2025
DZDPS7841Q	Mohammed Aamir Sheikh	UNDER SECTION 11(1), 11(4), AND 11B(1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	WTM/ASB/WRO/WRO /24011/2022-23	25-03-2025
ATSPS3033N	Mahipal Singh	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD/KS/VB/AO/183-188/2018-19	25-03-2025
AACCT2473R	Taitan Management Services Pvt Ltd	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. Order/MC/DS/2020-21/8114-8141]	26-03-2025

AYYPS1602E	Mr Mahesh Kumar Sharma	UNDER SECTION 11(1), 11(4), 11(4A), AND 11B(2) READ WITH SECTIONS 15A, 15C AND 15HB OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATIONS 23 OF THE SEBI (VENTURE CAPITAL FUNDS) REGULATIONS, 1996 READ WITH REGULATION 39 OF THE SEBI (ALTERNATIVE INVESTMENTS FUNDS) REGULATIONS, 2012.	QJA/AA/AFD-1/AFD-1/SEC/29964/2023-24	02-04-2025
AGVPD0300K	Sumitra Devi	Certificate under section 28A of the Securities and Exchange Board of India Act, 1992 Read With section 222 of the income Tax Act, 1961	Certificate No. 8643 of 2025	11-04-2025
AARCS2965G	Shivrashi Real Estates Private Limited	Certificate under section 28A of the Securities and Exchange Board of India Act, 1992 Read With section 222 of the income Tax Act, 1961	Recovery Certificate No. 8635 of 2025	11-04-2025
AACCE1213E	Encord Trading Private Limited	Certificate under section 28A of the Securities and Exchange Board of India Act, 1992 Read With section 222 of the income Tax Act, 1961	Recovery Certificate No. 8634 of 2025	11-04-2025
AAAHO5377G	Omprakash Agarwal HUF	Certificate under section 28A of the Securities and Exchange Board of India Act, 1992 Read With section 222 of the income Tax Act, 1961	Recovery Certificate No. 8617 of 2025	11-04-2025
AADCK8520D	Khushi Merchandise Pvt Ltd	Certificate under section 28A of the Securities and Exchange Board of India Act, 1992 Read With section 222 of the income Tax Act, 1961	Recovery Certificate No. 8620 of 2025	11-04-2025
ACQPA6844C	Sunita Agarwal	Certificate under section 28A of the Securities and Exchange Board of India Act, 1992 Read With section 222 of the income Tax Act, 1961	Recovery Certificate No. 8593 of 2025	21-04-2025
AADCE1166H	Eveningstar Dealtrade Private Limited	Certificate under section 28A of the Securities and Exchange Board of India Act, 1992 read with section 222 of the Income Tax Act, 1961	RECOVERY CERTIFICATE No. 8680 of 2025	24-04-2025
AADCG4713A	Gokul Financial Advisors Private Limited	Certificate under section 28A of the Securities and Exchange Board of India Act, 1992 read with section 222 of the Income Tax Act, 1961	RECOVERY CERTIFICATE No. 8681 of 2025	24-04-2025
EGGPS8029K	King Traders, Proprietor- Sagar Dhanjibhai Sutar	UNDER SECTIONS 11(1), 11(4), 11(4A), 11B(1), 11B(2) AND 11D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 11(1)(d) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/KV/MIRSD/MIRSD-SEC-5/31188/2024-25	25-04-2025

EPGPP1022C	Gemini Enterprise, Proprietor-Mr. Suresh Parmashivam	UNDER SECTIONS 11(1), 11(4), 11(4A), 11B(1), 11B(2) AND 11D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 11(1)(d) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/KV/MIRSD/MIRSD-SEC-5/31188/2024-25	25-04-2025
AKVPD3098G	United Enterprises, Proprietor-Mr. Jigar Rameshbhai Dawada	UNDER SECTIONS 11(1), 11(4), 11(4A), 11B(1), 11B(2) AND 11D OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH REGULATION 11(1)(d) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROHIBITION OF FRAUDULENT AND UNFAIR TRADE PRACTICES RELATING TO SECURITIES MARKET) REGULATIONS, 2003	WTM/KV/MIRSD/MIRSD-SEC-5/31188/2024-25	25-04-2025
AAFCP0003L	Param Commodities Private Limited	Under 11(1), 11 (4), 11B (1), 11B (2) 11 D of the Securities and Exchange Board of India Act, 1992 and Section 12A (1) and 12A (2) of the Securities Contract Regulation Act. In the matter of Guiness Securities Limited	WTM / SM / MIRSD / MIRSD_DPTEA / 17646 / 2022-23	30-04-2025
AAGCA3264P	Apurva Commodities Private Limited	Under 11(1), 11 (4), 11B (1), 11B (2) 11 D of the Securities and Exchange Board of India Act, 1992 and Section 12A (1) and 12A (2) of the Securities Contract Regulation Act. In the matter of Guiness Securities Limited	WTM / SM / MIRSD / MIRSD_DPTEA / 17646 / 2022-23	30-04-2025
AAFCP0005N	Paramarth Agro Marketing Private Limited	Under 11(1), 11 (4), 11B (1), 11B (2) 11 D of the Securities and Exchange Board of India Act, 1992 and Section 12A (1) and 12A (2) of the Securities Contract Regulation Act. In the matter of Guiness Securities Limited	WTM / SM / MIRSD / MIRSD_DPTEA / 17646 / 2022-23	30-04-2025
AAHCM3288Q	Market Pulse Finsoft Pvt Ltd	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. BD/NR/2020-21/8060-8080	30-04-2025
AAHCA2094C	Aadya Commodities Private Ltd	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND UNDER SECTION 23-I OF THE SECURITIES CONTRACTS (REGULATIONS) ACT, 1956.	ADJUDICATION ORDER NO. Order/VV/AS/2022-23/16241-16249	30-04-2025

AAFCP0004P	Pawantar Agro Agencies Pvt Ltd	Under 11(1), 11 (4), 11B (1), 11B (2) 11 D of the Securities and Exchange Board of India Act, 1992 and Section 12A (1) and 12A (2) of the Securities Contract Regulation Act. In the matter of Guiness Securities Limited	WTM / SM / MIRSD / MIRSD_DPTEA / 17646 / 2022-23	30-04-2025
AAJCS7871F	Saga Financial Services Pvt Ltd	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. Order/VV/AS/2022-23/16241-16249	07-05-2025
AAECS4607A	Superfast Tours and Travels Private Limited	Under 11(1), 11 (4), 11B (1), 11B (2) 11 D of the Securities and Exchange Board of India Act, 1992 and Section 12A (1) and 12A (2) of the Securities Contract Regulation Act. In the matter of Guiness Securities Limited	WTM / SM / MIRSD / MIRSD_DPTEA / 17646 / 2022-23	07-05-2025
AAECA9172C	Awadhoot Marketing Private Limited	Under 11(1), 11 (4), 11B (1), 11B (2) 11 D of the Securities and Exchange Board of India Act, 1992 and Section 12A (1) and 12A (2) of the Securities Contract Regulation Act. In the matter of Guiness Securities Limited	WTM / SM / MIRSD / MIRSD_DPTEA / 17646 / 2022-23	07-05-2025
AAMCS1499N	Shri Vishnu Krupa Commodities Private Limited	Under 11(1), 11 (4), 11B (1), 11B (2) 11 D of the Securities and Exchange Board of India Act, 1992 and Section 12A (1) and 12A (2) of the Securities Contract Regulation Act. In the matter of Guiness Securities Limited	WTM / SM / MIRSD / MIRSD_DPTEA / 17646 / 2022-23	07-05-2025
AAFCM2750F	Meghana Mercantile Pvt Ltd	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. Order/VV/AS/2022-23/16241-16249	07-05-2025
AAGCA6077J	Akhila Mercantile Pvt Ltd	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. Order/VV/AS/2022-23/16241-16249	07-05-2025
AACCR9863K	Robosoft Trading Co. Pvt Ltd	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO. Order/VV/AS/2022-23/16241-16249	07-05-2025
AAHCS3335G	S K B Securities Ltd	Under 11(1), 11 (4), 11B (1), 11B (2) 11 D of the Securities and Exchange Board of India Act, 1992 and Section 12A (1) and 12A (2) of the Securities Contract Regulation Act. In the matter of Guiness Securities Limited	WTM / SM / MIRSD / MIRSD_DPTEA / 17646 / 2022-23	07-05-2025
AANPD5593J	Pradeep Kumar Dhoot	Certificate under section 28A of the Securities and Exchange Board of India Act, 1992 read with section 222 of the Income Tax Act, 1961	CERTIFICATE No. 8458 of 2024	08-05-2025

AACCV1835D	VKJ Trexim Private Limited	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. Order/AK/AJ/2023-24/29002	16-05-2025
AEKPJ8257J	Mr. Anand Kumar Jha	UNDER SECTION 15- I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD - 3/JS/GSS/2540-2548/2018-19	04-06-2025
BEMPK1322J	Mr. Asif Khan	UNDER SECTION 15- I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD - 3/JS/GSS/2540-2548/2018-19	04-06-2025
CNVPM6593E	Mr. Bibeka Nand Mandal	UNDER SECTION 15- I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD - 3/JS/GSS/2540-2548/2018-19	04-06-2025
AAWPF1464H	Mr. Mohammad Faisal	UNDER SECTION 15- I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD - 3/JS/GSS/2540-2548/2018-19	04-06-2025
AJPPM9356A	Mr. Mokarram Mohammad	UNDER SECTION 15- I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD - 3/JS/GSS/2540-2548/2018-19	04-06-2025
CENPK6085G	Mr. Wasim Khan	UNDER SECTION 15- I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD - 3/JS/GSS/2540-2548/2018-19	04-06-2025

AAJCS2508K	Sunshine Global Agro Ltd	UNDER SECTION 15- I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD - 3/JS/GSS/2540-2548/2018-19	04-06-2025
AUTPR3288E	Shri Ramesh Sharma	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. AO/VKV/AS/AO-11/2017	04-06-2025
AXJPK0099D	Mr. Sarif Khan	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. RA/DPS/ 128-134 /2017]	04-06-2025
AANCS0417R	Servehit Housing and Infrastructure India Ltd	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-7/BJD/BKM/2018-19/1669-1674	04-06-2025
BUZPS4365J	Shri Sukhmander Singh	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-7/BJD/BKM/2018-19/1669-1674	04-06-2025
CRRPS1400A	Shri Surjeet Kumar	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-7/BJD/BKM/2018-19/1669-1674	04-06-2025
ADEPC5281C	Mr. Amarjeet Singh Cheema	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. Order/SM/S./2022-23/17293-17295	04-06-2025

AAACV4431F	En Aromatic & Petro Chemicals Limited	UNDER SECTION 15-I (2) OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	[ADJUDICATION ORDER NO. MC/CB/2019-20/1-6]	04-06-2025
AIVPC7790E	Satyam Shivam Sundaram Trading	SHOW CAUSE NOTICE UNDER SECTIONS 11(1),11(4),11(4A),11B(1),11B(2),15HA and 15EB OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE WITH RULE 4 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES)RULES,1995 IN THE MATTER OF UNREGISTERED INVESTMENT ADVISOR M/S SATYAM SHIVAM SUNDARAM TRADING	SEBI/ERO-OTHER/P/OW/2025/2672/1	04-06-2025
AAECR8905J	Re India Infratech Limited	UNDER SECTION 11(1), 11(4), 11(4A), 11A AND 11B(1) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF RE INDIA INFRATECH LIMITED	QJA/AA/NRO/NRO-DIV-III/31208/2024-25	04-06-2025
CAAPC8584R	Satyam Suman Choudhary	Show Cause Notice under Sections 11(1), 11(4), 11(4A), 11B(1), 11B(2), 15HA and 15EB of the Securities and Exchange Board of India Act, 1992 read with Rule 4 of the Securities and Exchange Board of India (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in the matter of Unregistered Investment Advisor M/s Satyam Shivam Sundaram Trading	SEBI/ERO-OTHER/P/OW/2025/2672/4	06-10-2025
CKWPC0798P	Chandan Chaurasiya	Under Sections 11(1), 11(4) and 11B(1)} of the Securities and Exchange Board	WTM/AB/CFID/CFID-TPD/31324/2024-25	06-10-2025
EXFPM7561C	Mahadev Manubhai Makwana	Under Sections 11(1), 11(4) and 11B(1)} of the Securities and Exchange Board	WTM/AB/CFID/CFID-TPD/31324/2024-25	06-10-2025
AJIPR3232L	Ranjitsinh Ishwarsinh Rajput	Under Sections 11(1), 11(4) and 11B(1)} of the Securities and Exchange Board	WTM/AB/CFID/CFID-TPD/31324/2024-25	06-10-2025
OKOPS4711J	Nikhil Rajesh Singh	Under Sections 11(1), 11(4) and 11B(1)} of the Securities and Exchange Board	WTM/AB/CFID/CFID-TPD/31324/2024-25	06-10-2025
ACGPH4147P	Mr. Mohammad Ahteshamul Haq	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	Adjudication Order No. EAD-3 /1S/GSS/ 2540-2548 / 2018-19	06-10-2025

AVVPS8411M	Kishan Pal Singh	UNDER SECTION 15-1 (2} OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 AND RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER} RULES, 1995.	ADJUDICATION ORDER NO, MC/CB/2019-20/1-6	06-10-2025
BJJPM1012K	Deenanath Maurya	UNDER SECTION 15-1 (2} OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 AND RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER} RULES, 1995.	ADJUDICATION ORDER NO, MC/CB/2019-20/1-6	06-10-2025
AJAPR7516B	Bijaya Kumar Rout	UNDER SECTION 15-1 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. ORDER/SR/2022-23/01-09/21011-21019	06-10-2025
AFXPC5598K	Suman Choudhary	SHOW CAUSE NOTICE UNDER SECTION 11(1), 11(4), 11(4A), 11B(1), 11B(2)15HA AND 15EB OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 4 OF THE SECURITIES EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES,1995 IN THE MATTER ON UNREGISTERED INVESTMENT ADVISOR M/S SATYAM SHIVAM SUNDARAM TRADING	SEBI/ERO-OTHER/P/OW/2025/2672/6	06-10-2025
BACPS9359B	Mr. Nitesh Kumar Singh	UNDER SECTION 11(1), 11(4), 11(4A), 11A AND 11B(1) OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF RE INDIA INFRATECH LIMITED	QJA/AA/NRO/NRO-DIV-III/31208/2024-25	06-10-2025
AKQPS6527G	Mr. Awdesh Kumar Singh	UNDER SECTION 11(1), 11(4), 11(4A), 11A AND 11B(1) OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF RE INDIA INFRATECH LIMITED	QJA/AA/NRO/NRO-DIV-III/31208/2024-25	06-10-2025
AYBPS9084M	Mr. Chandra Vikram Singh	UNDER SECTION 11(1), 11(4), 11(4A), 11A AND 11B(1) OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF RE INDIA INFRATECH LIMITED	QJA/AA/NRO/NRO-DIV-III/31208/2024-25	06-10-2025
EBAPS3617G	Mr. Ajay Singh	UNDER SECTION 11(1), 11(4), 11(4A), 11A AND 11B(1) OF THE SECURITIES EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF RE INDIA INFRATECH LIMITED	QJA/AA/NRO/NRO-DIV-III/31208/2024-25	06-10-2025
AAICA1716G	Annex Infrastructure India Limited	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 r/w SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE No.8748 of 2025	23/6/2025

ABGPM9614H	Asit Kumar Majumder	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 r/w SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE No.8748 of 2026	23/6/2025
BAYPM6700N	Rabin Kumar Mal	NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 r/w SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	RECOVERY CERTIFICATE No.8748 of 2027	23/6/2025
AAECS0527R	Superdeal Fincom Private Limited	Certificate under section 28A of the Securities and Exchange Board of India Act, 1992 read with section 222 of the income Tax Act, 1961	Recovery Certificate No. 8726 of 2025	27-06-2025
AKGPM9017P	Prasenjit Mazumder	Certificate under section 28A of the Securities and Exchange Board of India Act, 1992 read with section 222 of the income Tax Act, 1961	Recovery Certificate No. 8748 of 2025	27-06-2025
ALNPD5607J	Asim Das	Certificate under section 28A of the Securities and Exchange Board of India Act, 1992 read with section 222 of the income Tax Act, 1961	Recovery Certificate No. 8748 of 2025	27-06-2025
AGPPJ7304P	Nirmal Kr. Jha	Certificate under section 28A of the Securities and Exchange Board of India Act, 1992 read with section 222 of the income Tax Act, 1961	Recovery Certificate No. 8748 of 2025	27-06-2025
BCFPM0940P	Subrat Kr. Mazumder	Certificate under section 28A of the Securities and Exchange Board of India Act, 1992 read with section 222 of the income Tax Act, 1961	Recovery Certificate No. 8748 of 2025	27-06-2025
AISPR0927R	Shantonu Roy	Certificate under section 28A of the Securities and Exchange Board of India Act, 1992 read with section 222 of the income Tax Act, 1961	Recovery Certificate No. 8748 of 2025	27-06-2025
AAGCS0343F	Sukhisansar Construction Private Limited	Certificate under section 28A of the Securities and Exchange Board of India Act, 1992 read with section 222 of the income Tax Act, 1961	Recovery Certificate No. 8727 of 2025	27-06-2025
AAOCS5535R	SGI Research & Analysis Ltd.	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. AO/VKV/AS/AO-11/2017	01-07-2025
AARPS7233G	Sanjeev Sharma	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. AO/VKV/AS/AO-11/2017	01-07-2025
AAFCM9358H	Maitreya Plotters and Structures Private Limited	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. ORDER/KS/AA/2020-21/8031-33	01-07-2025

BIVPS7069D	Gurdeep Singh	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. Order/SM/S./2022-23/17293-17295	01-07-2025
AAECL3050A	Lifeinspire Knowledge Solutions Private Limited	Show Cause Notice under Sections 11(1), 11(4), 11(4A), 11B(1), 11B(2), 15HA and 15EB of the Securities and Exchange Board of India Act, 1992 read with Rule 4(1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules 1995 with respect to the alleged unregistered investment advisory services/activities by Lifeinspire Knowledge Solutions Private Limited	SEBI/HO/QJC/QJC-2/OW/2025/12112/1-3	02-07-2025
AJUPN9153C	SHYAM SUNDER NAGLA	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO: Order/GR/PU/2021-22/14130-14162	04-07-2025
AACCR3443B	Munirabad Trading Limited	Show Cause Notice under Sections 11(1), 11(4), 11(4A), 11B(1), 11B(2) of the Securities and Exchange Board of India Act, 1992 read and Section 12A(1) 12A(2) of Securities Contracts (Regulation) Act, 1956 in the matter of GB Global Limited (earlier known as Mandhana Industries Limited)	SEBI/HO/QJC/QJC-2/OW/2025/14375/1	04-07-2025
AAJCA5716B	Aassem Mutitrade Private Limited	Show Cause Notice under Sections 11(1), 11(4), 11(4A), 11B(1), 11B(2) of the Securities and Exchange Board of India Act, 1992 read and Section 12A(1) 12A(2) of Securities Contracts (Regulation) Act, 1956 in the matter of GB Global Limited (earlier known as Mandhana Industries Limited)	SEBI/HO/QJC/QJC-2/OW/2025/14375/1	04-07-2025
AABCB1805E	Britex Cotton International Limited	Show Cause Notice under Sections 11(1), 11(4), 11(4A), 11B(1), 11B(2) of the Securities and Exchange Board of India Act, 1992 read and Section 12A(1) 12A(2) of Securities Contracts (Regulation) Act, 1956 in the matter of GB Global Limited (earlier known as Mandhana Industries Limited)	SEBI/HO/QJC/QJC-2/OW/2025/14375/1	04-07-2025
AAFCM3365A	Micro Infratech Pvt Ltd	Show Cause Notice under Sections 11(4), 11(4A), 11B(1), 11B(2) read with section 11(1), 15A(b), 15HA, and 15HB of the Securities and Exchange Board of India Act, 1992 read and Rule 4 of the Securities and Exchange Board of India (Procedure for Holding Inquiry and Imposing Penalties) Rules 1995, in the matter of M/s Drone Acharya Aerial Innovations Limited (DAIL)	SEBI/HO/QJC/QJC-1/OW/2025/13314/1	04-07-2025

AKNPP6001B	Mr. Janardan Arvind Parulekar	UNDER SECTION 15-1 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO: Order/KS/AA/2020-21/8031-33)	07-07-2025
ACEPS9276L	Varsha Madhusudan Satpalkar	UNDER SECTION 15-1 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO: Order/KS/AA/2020-21/8031-33)	07-07-2025
ACEPS9276L	Varsha Madhusudan Satpalkar	UNDER SECTION 15-1 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO: Order/KS/AA/2020-21/8031-33)	07-07-2025
ADNPV5504C	Vadlamudi Srikrishna	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO: Order/GR/PU/2021-22/14130-14162	10-07-2025
AADCS9595G	Santowin Corporation Ltd	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND UNDER SECTION 23-I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER NO. Order/SM/MG/2021-22/ 12172-12173	10-07-2025
ABRPG0167E	ANOOP GARG	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY ANDIMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. Order/GR/AE/2020-21/8751	10-07-2025
ANSPK6624M	Seema Khandelwal	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. Order/PB/AS/2021-22/12815	10-07-2025

AAHPU7040F	Nilesh Upadhyay	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. HB/AO - 06/2010	10-07-2025
AAEHP9499Q	PRADEEP KUMAR JINDAL & SONS HUF	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. Order/PB/AS/2021-22/12825	10-07-2025
AALPK3774C	Subodh Kumar Khandelwal	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. Order/PB/AS/2021-22/12812	10-07-2025
ACZFS4147H	Skynet Solutions	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. Order/GR/BM/2021-22/14700-14701	10-07-2025
AJXPR4881J	RAVI SURYANARAYANA	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO: Order/GR/PU/2021-22/14130-14162	10-07-2025
BMLPS9664N	GUDIMETLA HANUMATH SUNDER	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO: Order/GR/PU/2021-22/14130-14162	10-07-2025
ACAPY5774B	ANITHA YARLAGADDA	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO: Order/GR/PU/2021-22/14130-14162	10-07-2025
AJXPR4790C	RAVI SWARAJYA BHARATHI	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO: Order/GR/PU/2021-22/14130-14162	10-07-2025

AAMPI3761J	INAGANTI VISHNUSEKHAR	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO: Order/GR/PU/2021-22/14130-14162	10-07-2025
CTYPS9045Q	MADDIKERI SREENIVASULU	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO: Order/GR/PU/2021-22/14130-14162	10-07-2025
AMIPP2715R	SRIKANTH PATCHAVA	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO: Order/GR/PU/2021-22/14130-14162	10-07-2025
AACCV7693M	Dhana Energy Pvt Ltd	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO: Order/GR/PU/2021-22/14130-14162	10-07-2025
ACQPM0876L	SRINIVAS RAO MARUPUDI	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO: Order/GR/PU/2021-22/14130-14162	10-07-2025
ADTPR5863J	Venkata Sivaram Prasad Raavi	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO: Order/GR/PU/2021-22/14130-14162	10-07-2025
ACQPM0876L	SRINIVAS RAO MARUPUDI	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO: Order/GR/PU/2021-22/14130-14162	10-07-2025
APEPK0161R	PAVAN KUMAR BABU KONERU	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO: Order/GR/PU/2021-22/14130-14162	10-07-2025

AIJPB0977G	Yogesh Bansal	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-6/AO/PM/NK/010/2019-20	10-07-2025
ABVPD6656R	VEERABHADRA RAO DANDAMUDI	UNDER SECTION 15 - I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO: Order/GR/PU/2021-22/14130-14162	10-07-2025
AASCS5122L	Swarathma Gartex Private Limited	SHOW CASUE NOTICE UNDER SECTION 11(1), 11(4), 11(4A), 11B(1), 11B(2), OF SECURITIES CONTRACT (REGULATION) ACT, 1956 IN THE MATTER OF GB GLOBAL LIMITED (EARLIER KNOWN AS MANDHANA INDUSTRIES LIMITED)	SEBI/HO/QJC/QJC-2/OW/2025/14375/1	10-07-2025
AALCA2477M	Azarel Fashions Private Limited	SHOW CASUE NOTICE UNDER SECTION 11(1), 11(4), 11(4A), 11B(1), 11B(2), OF SECURITIES CONTRACT (REGULATION) ACT, 1956 IN THE MATTER OF GB GLOBAL LIMITED (EARLIER KNOWN AS MANDHANA INDUSTRIES LIMITED)	SEBI/HO/QJC/QJC-2/OW/2025/14375/1	10-07-2025
AADCB4591K	Bhadresh Trading Corporation Limited	SHOW CASUE NOTICE UNDER SECTION 11(1), 11(4), 11(4A), 11B(1), 11B(2), OF SECURITIES CONTRACT (REGULATION) ACT, 1956 IN THE MATTER OF GB GLOBAL LIMITED (EARLIER KNOWN AS MANDHANA INDUSTRIES LIMITED)	SEBI/HO/QJC/QJC-2/OW/2025/14375/1	10-07-2025
AACPM0152D	Bhadresh Vasantrai Mehta	SHOW CASUE NOTICE UNDER SECTION 11(1), 11(4), 11(4A), 11B(1), 11B(2), OF SECURITIES CONTRACT (REGULATION) ACT, 1956 IN THE MATTER OF GB GLOBAL LIMITED (EARLIER KNOWN AS MANDHANA INDUSTRIES LIMITED)	SEBI/HO/QJC/QJC-2/OW/2025/14375/1	10-07-2025
AAAHI6088L	Indra Deo Tripathi HUF	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO. 8065 OF 2024	11-07-2025

AACCL1899B	LMR Green Realty Private Limited	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO. 8083 OF 2024	11-07-2025
AFUPA7917B	Kamlesh Agrawal	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO. 8103 OF 2024	11-07-2025
AAOHR7338L	Ram Kumar Agarwal HUF	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO. 8131 OF 2024	11-07-2025
FTRPS4532J	Satyawati	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO. 8319 OF 2024	11-07-2025
AANCS4635F	SVPL Developers Private Limited	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO. 8424 OF 2024	11-07-2025

CPWPS7287E	Nidhi Singh	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO. 8436 OF 2024	11-07-2025
AAFPA1251Q	Mr. Madhur Agarwal	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO. 8624 OF 2024	11-07-2025
AAFPA1252P	Mr. Shiv Kumar Agarwal	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO. 8624 OF 2024	11-07-2025
AACCB0938K	Basil International Limited	UNDER SECTION 15 - I (2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO: MC/DPS/2019-20/3180-3194	14-07-2025
AJCPA6064F	Mr. Mohammed Afaque Ahmad	UNDER SECTION 15 - I (2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER NO: MC/DPS/2019-20/3180-3194	14-07-2025
CAXPK4388N	SM Global Research/ Shashikant Kumar	UNDER SECTION 11(1), 11(4), 11(4A), 11B(1), AND 11B (2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 4 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	QJA/GR/ERO/ERO/30575/2024-25	15-07-2025

BAMPS0082D	Anil Singh	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. ORDER/SR/2022-23/01-09/21011-21019	15-07-2025
AKOPM1988P	Dipak Kumar Mohanty	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. ORDER/SR/2022-23/01-09/21011-21019	15-07-2025
AIAPP3677Q	Choudhury Niranjan Pradhan	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. ORDER/SR/2022-23/01-09/21011-21019	15-07-2025
AJHPG6989J	Bipinkumar Gandhi	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. SRP/JP/AO:199/2011	16-07-2025
ARVPG7849R	Prakash Gupta and his proprietorship firm viz. Shri Trading Co	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO. 8080 OF 2024	17-07-2025
ADIPV7096C	Rajiv Verma	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO. 8101 OF 2024	17-07-2025

ANIPK8791P	Kamaljeet Singh	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO. 8496 OF 2024	17-07-2025
AAACD1157A	Dee Kartavya Finance Limited	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO. 8592 OF 2024	17-07-2025
AAACM5584A	Moongipa Investments Limited	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO. 8624 OF 2024	17-07-2025
AAFPA1250R	Mr. Sudhir Agarwal	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO. 8624 OF 2024	17-07-2025
ASFPB6456J	Mr. Nirmalendu Bhowmik	UNDER SECTION 15-I (2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. MC/DPS/2019-20/3180-3194	18-07-2025
AGJPJ1097K	Mr. Susanta Kumar Jana	UNDER SECTION 15-I (2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. MC/DPS/2019-20/3180-3194	18-07-2025
BLWPS1911G	Profit Guru	Under Sections 11(1), 11 (4), 11B (1) and 11 D of the Securities and Exchange Board of India Act, 1992	WTM/ASB/WRO/WRO /22668/2022-23	24-07-2025

AEFPJ9735E	Shiv Impexs	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 (1) OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. IVD-ID5/ESL-SA/AO/DRK/ASG/EAD 3-304/70-2011	24-07-2025
AADCM4340F	togo retail marketing ltd	Under Sections 11, 11 (4), 11A and 11B of the Securities and Exchange Board of India Act, 1992	WTM/MPB/EFD-1-DRA-III/57/2019	25-07-2025
AMEPB0155F	Prafulla Subhash Chandra Bhat	Under Sections 11(1), 11(4), 11(4A), 11B(1) And 11B(2) Of the Securities and Exchange Board of India Act, 1992 read With Rule 5 of the Securities and Exchange Board of India (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995	WTM/ASB/IVD/ID18/30583/2024-25	30-07-2025
AAFCA2276C	Ambaji Papers Private Limited	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. Order/MC/DS/2020-21/8114-8141	30-07-2025
AAECK5818R	Kepler Information Systems Pvt Ltd	CERTIFICATE UNDER SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECTION 222 OF THE INCOME TAX ACT, 1961. NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992	CERTIFICATE NO. 8729 OF 2024	31-07-2025
AKWPP8819L	Ritesh Popli	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. EAD-3/JS/GSS/2030-41/2018-19	31-07-2025
AOAPS8866A	Prithi Paul Singh Sethi	Under 11, 11(4), 11A and 11B of the Securities and Exchange Board of India Act, 1992	WTM/MPB/EFD-1-DRA-III/57/2019	31-07-2025
CDEPK3717K	Mr. Mrityunjay Kumar	UNDER SECTION 11(4), 11(B)(1), 11(4A) AND 11(B)(2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA CT, 1992 READ WITH REGULATION 3(1) OF THE SEBI (INVESTMENT ADVISERS) REGULATION, 2013 AND UNDER SECTION 15-I OF THE SEBI ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	QJA/AA/NRO/30187/2023-24	31-07-2025
AHUPM0896M	Rajit Ram Maurya	Under 11, 11(4), 11A and 11B of the Securities and Exchange Board of India Act, 1992	WTM/MPB/EFD-1-DRA-III/57/2019	31-07-2025

CDEPK3717K	Mr. Mrityunjay Kumar	UNDER SECTION 11(4), 11(B)(1), 11(4A) AND 11(B)(2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA CT, 1992 READ WITH REGULATION 3(1) OF THE SEBI (INVESTMENT ADVISERS) REGULATION, 2013 AND UNDER SECTION 15-I OF THE SEBI ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	QJA/AA/NRO/30187/2023-24	31-07-2025
ADHPV6732E	Girraj Vashistha	Under 11, 11(4), 11A and 11B of the Securities and Exchange Board of India Act, 1992	WTM/MPB/EFD-1-DRA-III/57/2019	31-07-2025
AAACM2105K	MODEX INTERNATIONAL SECURITIES LTD.	NOTICE OF HEARING UNDER THE RULE 4 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 ("ADJUDICATION RULES") IN THE MATTER OF TRADING MEMBER IN ILLIQUID STOCK OPTIONS - MODEX INTERNATIONAL SECURITIES LTD.	SEBI/HO/EAD2/JS/RJ/2025/182981/1	01-08-2025
AAECS9203L	Shreerath Marketing P. Ltd.	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. BM/AO-115/2010	04-08-2025
AAIPZ3605N	Gautamsingh Shivsingh Zala	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. Order/BD/VS/2020-21/8584-8664	04-08-2025
AABCH0280M	Har-siddhi Manpower Services P. Ltd.	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. BM/AO-113/2020	04-08-2025
AABCP8561B	Dhyana Finstock Ltd	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. ORDER/BD/VS/2020-21/8584-8664	04-08-2025

AACCR9947E	Runicha Alloys And Steel Pvt Ltd	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	[ADJUDICATION ORDER NO. Order/MC/DS/2020-21/8114-8141]	05-08-2025
AACCK5740L	Kanhaiya Mining And Minerals Private Limited	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	[ADJUDICATION ORDER NO. Order/MC/DS/2020-21/8114-8141]	05-08-2025
AABCI3615H	Inco Infrastructures Private Limited	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	[ADJUDICATION ORDER NO. Order/MC/DS/2020-21/8114-8141]	05-08-2025
AACCR9946F	Ramji Agri Business Private Limited	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	[ADJUDICATION ORDER NO. Order/MC/DS/2020-21/8114-8141]	05-08-2025
AAFCR6421E	Rahul Inn Hospitality Limited	Under Section 11, 11(4), 11A and 11B of the Securities and Exchange board of india Act, 1992	WTM/MPB/EFD-1DRA-IV/57/2017	06-08-2025
AAFCV0106J	Virtual Business Solution Pvt. Ltd.	Under Section 11, 11(4), 11A and 11B of the Securities and Exchange board of india Act, 1992	WTM/AB/ISD/ISD-SEC-5/31442/2025-2026	06-08-2025
AMCPG5914F	Arpan Gupta	Under Section 11, 11(4), 11A and 11B of the Securities and Exchange board of india Act, 1992	WTM/AB/ISD/ISD-SEC-5/31442/2025-2026	06-08-2025
ANEPD9669B	Sulabh Dikshit	Under Section 11, 11(4), 11A and 11B of the Securities and Exchange board of india Act, 1992	WTM/AB/ISD/ISD-SEC-5/31442/2025-2026	06-08-2025
ATDPD4055C	Dipak Dwiwedi	Under Section 11, 11(4), 11A and 11B of the Securities and Exchange board of india Act, 1992	WTM/AB/ISD/ISD-SEC-5/31442/2025-2026	06-08-2025
AEQPK2126K	Mr. Sunil KantiKar	Under Section 11, 11(4), 11A and 11B of the Securities and Exchange board of india Act, 1992	WTM/PS/32/IMD/NRO/AUG/2015	07-08-2025
ALHPS5680C	Mr. Ravinder Singh	Under Section 11, 11(4), 11A and 11B of the Securities and Exchange board of india Act, 1992	WTM/PS/32/IMD/NRO/AUG/2015	07-08-2025
AACCB0938K	Basil International Limited	Under Section 11, 11A and 11B of the Securities and Exchange board of india Act, 1992	WTM/PS/70/NRO/FEB/2015	07-08-2025
AJCPA6064F	Mr. Mohammed Afaque Ahmad	Under Section 11, 11A and 11B of the Securities and Exchange board of india Act, 1992	WTM/PS/70/NRO/FEB/2015	07-08-2025

AAEPC9775G	Chandra Shekhar Chauhan	Under section 11 and 11B of the Securities and Exchange board of india Act, 1992 read with regulation 65 and 73 of the securities and exchange board of india Act (Collective Investment Schemes) Regulations,1999 in the matter of Alchemist Infra Realty Limite.	WTM/PS/10/NRO-CIS/JUNE/2013	07-08-2025
AANCS2816A	Swarnabhumi Developers Limited	Under Section 11, 11A and 11B of the Securities and Exchange board of india Act, 1992	WTM/PS/189/ERO/MAR/2026	07-08-2025
ALAPK1950E	Satya Narayan Karmakar	Under Section 11, 11A and 11B of the Securities and Exchange board of india Act, 1992	WTM/PS/70/NRO/Feb/2015	07-08-2025
AKDPK3211E	Quraisha Khatoon	Under Section 11, 11(4),11A and 11B of the Securities and Exchange board of india Act, 1992 and regulation 28 of the securties and exchange Board of India (Issue and Listing of Debt Securities) Regulation, 2008, in respect of:	WTM/RKA/EFD/125/2016	07-08-2025
ABYPW7604D	Ruhi Ghazala Warsi	Under Section 11, 11(4),11A and 11B of the Securities and Exchange board of india Act, 1992 and regulation 28 of the securties and exchange Board of India (Issue and Listing of Debt Securities) Regulation, 2008, in respect of:	WTM/RKA/EFD/125/2016	07-08-2025
AJMPA3089L	Iftekhar Ahmed	Under Section 11, 11(4),11A and 11B of the Securities and Exchange board of india Act, 1992 and regulation 28 of the securties and exchange Board of India (Issue and Listing of Debt Securities) Regulation, 2008, in respect of:	WTM/RKA/EFD/125/2016	07-08-2025
ADQPC5674F	Prasanta Chatterjee	Under Section 11, 11A and 11B of the Securities and Exchange board of india Act, 1992	WTM/MPB/EFD-1-IV/64/2017	07-08-2025
ABQPW7759R	Imtiaz Khan Warsi	Under Section 11, 11(4),11A and 11B of the Securities and Exchange board of india Act, 1992 and regulation 28 of the securties and exchange Board of India (Issue and Listing of Debt Securities) Regulation, 2008, in respect of:	WTM/RKA/EFD/125/2016	07-08-2025
ACSPD7017Q	Manas Kumar Dan	Under Section 11, 11A and 11B of the Securities and Exchange board of india Act, 1992	WTM/MPB/EFD-1-IV/64/2017	07-08-2025
ASKPK2385C	Atendra Kumar	Under Section 11, 11A and 11B of the Securities and Exchange board of india Act, 1992	WTM/MPB/EFD-1-DRA-IV/139/2018	07-08-2025
AZIPA5516C	Atul Agarwal	Under Section 11, 11A and 11B of the Securities and Exchange board of india Act, 1992	WTM/MPB/EFD-1-DRA-IV/10/2018	07-08-2025
AUIPS7765C	Apurba Kumar Saha	Under Section 11, 11A and 11B of the Securities and Exchange board of india Act, 1992	WTM/MPB/EFD-1-DRA-IV/10/2018	07-08-2025
BOGPS0921F	Bipin Kumar Singh	Under Section 11, 11A and 11B of the Securities and Exchange board of india Act, 1992	WTM/MPB/EFD-1-DRA-IV/10/2018	07-08-2025
ARCPC9106E	Chandan Chakraborty	Under Section 11, 11A and 11B of the Securities and Exchange board of india Act, 1992	WTM/MPB/EFD-1-DRA-IV/10/2018	07-08-2025

AAHCM3265H	Merlin Agri Projects India Limited	Under Section 11, 11A and 11B of the Securities and Exchange board of india Act, 1992	WTM/MPB/EFD-1-DRA-IV/20/2018	07-08-2025
AVWPG6845L	Amit Dipakbhai Gajjar	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER)	[ADJUDICATION ORDER NO. Order/BD/VS/2020-21/8584-8664]	08-08-2025
AADCA2919E	Amit Easy Finance Ltd.	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER)	[ADJUDICATION ORDER NO.BM/AO-116/2010]	08-08-2025
AAGCP6796D	Parin Infrastructure Private Limited	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	[ADJUDICATION ORDER NO. Order/BD/VS/2020-21/8584-8664]	08-08-2025
AHPPC9620A	Vivek Chauhan	Under Section 11, 11A and 11B of the Securities and Exchange board of india Act, 1992	WTM/AB/ISD/ISD-SEC-5/31442/2025	08-08-2025
AGPPM2953H	Hitendra Chaturbhai Makwana	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	[ADJUDICATION ORDER NO.BM/AO-125/2010]	08-08-2025
AAUPW5249D	Renuka Aggarwal	Under Section 11, 11A and 11B of the Securities and Exchange board of india Act, 1992	WTM/AB/ISD/ISD-SEC-5/31442/2025	08-08-2025
AACCH3216L	High Spirits Sales Agencies Pvt Ltd	Under Section 11, 11A and 11B of the Securities and Exchange board of india Act, 1992	WTM/AB/ISD/ISD-SEC-5/31446/2025-2026	08-08-2025
AADCT4840M	Taru Pallav Projects Private Limited	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	[ADJUDICATION ORDER NO. Order/BD/VS/2020-21/8584-8664	08-08-2025
AHBPJ4545M	Ravi Dipakbhai Joshi	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	[ADJUDICATION ORDER NO. Order/BD/VS/2020-21/8584-8664	08-08-2025

BQEPP5529G	Mihir Consultancy & Trading Company	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	[ADJUDICATION ORDER NO. Order/BD/VS/2020-21/8584-8664	08-08-2025
AFHPJ7902E	Devangkumar Arvindkumar Jani	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	[ADJUDICATION ORDER NO. Order/BD/VS/2020-21/8584-8664	08-08-2025
BQMPS6009Q	Jayshreeben Kiritkumar Shah	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	[ADJUDICATION ORDER NO. Order/BD/VS/2020-21/8584-8664	08-08-2025
BEEPS9764J	Trivendra Shambhu Singh	Under Section 11(1), 11(4), 11(4A), 11B (1), 11B(2) and 15I of the securities and exchange board of india Act, 1992 read with Rules 5 of the SEBI (Procedure for Holding inquiry and Imposing Penalties) Rules, 1995 and Sections 12A(1), 12A(2) and 23I of Securities Contracts (Regulations) Act, 1956 read with Rule 5 of the Securities Contracts (Regulaton) (Procedure for Holding Inquiry and imposing Penalties) Rules, 2025	WTM/AB/IVD/ID/ID19/12999/2021-22	11-08-2025
AADCC2898Q	Century Buildmart Pvt Ltd	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO. PM/NR/2020-21/9160-9167	11-08-2025
AAMCS8925R	Salputri Commerce Pvt Ltd	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO.EAD-3/JS/SP/50/2018	11-08-2025
AAECR4244E	Regus Impex Pvt Ltd	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	ADJUDICATION ORDER NO.EAD-3/JS/SP/46/2018	11-08-2025

AADCS3116p	Blazon Marbles Ltd	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	[ADJUDICATION ORDER NO. EAD-12/ AO/SM/40-47/2017]	11-08-2025
BTDPS0022F	Jikisha Kiritbhai Shah	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	[ADJUDICATION ORDER NO. EAD-12/ AO/SM/40-47/2017]	11-08-2025
ABJPS8612E	Mansukh SanghviIndependent director	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	[ADJUDICATION ORDER NO. EAD-12/ AO/SM/40-47/2017]	11-08-2025
AADCC2898Q	Century Buildmart Pvt Ltd	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. PM/NR/2020-21/9151-9159	11-08-2025
AAGCS7590C	Vibgyor Allied Industries Limited	Under Sections 11(1), 11(4) AND 11B of the Securities and Exchange board of india Act, 1992, In the Matter of VIBGYOR ALLIED INDUSTRIES LTD.	WTM/GM/IMD/98/2017-18	11-08-2025
AAMCS8925R	Salputri Commerce Pvt Ltd	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-3/JS/SP/50/2018	11-08-2025
AAECR4244E	Regus Impex Pvt Ltd	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-3/JS/SP/46 /2018	11-08-2025

ANQPB1332F	Shobha Bansal	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	[ADJUDICATION ORDER NO. Order/BD/AA/2020-21/9325-9343]	11-08-2025
DMKPS4150Q	Vinod Solanki	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	[ADJUDICATION ORDER Ref No.: Order/SS/VS/2019-20/6066-6090]	11-08-2025
AIDPG1944N	SAUROVEMOY GHOSH	Under Section 11, 11A and 11B of the Securities and Exchange board of india Act, 1992	WTM/MPB/EFD-1-DRA-IB/60/2017	11-08-2025
AKEPS8245D	KHUDIRAM SOUNTH	Under Section 11, 11A and 11B of the Securities and Exchange board of india Act, 1992	WTM/MPB/EFD-1-DRA-IB/60/2017	11-08-2025
AIKPB8188N	Shri Rahul Banerje	Under Section 11, 11A and 11B of the Securities and Exchange board of india Act, 1992	WTM/MPB/EFD-1-DRA-IV/57/2017	12-08-2025
AJCPM9999F	Shri Abhijit Majumdar	Under Section 11, 11A and 11B of the Securities and Exchange board of india Act, 1992	WTM/MPB/EFD-1-DRA-IV/57/2017	12-08-2025
CJSPS8350Q	Ms. Korobi Sengupta	Under Section 11, 11A and 11B of the Securities and Exchange board of india Act, 1992	WTM/PS/70/NRO/FEB/2015	13-08-2025
ABOPN1278J	Mr. Sadhan Kumar Nandi	Under Section 11, 11A and 11B of the Securities and Exchange board of india Act, 1992	WTM/PS/70/NRO/FEB/2015	13-08-2025
AGJPJ1097K	Mr. Susanta Kumar Jana	UNDER SECTION 15-I (2) OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	[ADJUDICATION ORDER NO. MC/DPS/2019-20/3180-3194]	13-08-2025
AXFPS2809F	Sarmistha Sengupta Saika	Under Section 11, 11A and 11B of the Securities and Exchange board of india Act, 1992	WTM/PS/70/NRO/FEB/2015	13-08-2025
AEFPM9008P	Mr. Sourindra Nath Mukherjee	Under Section 11, 11A and 11B of the Securities and Exchange board of india Act, 1992	WTM/PS/70/NRO/FEB/2015	13-08-2025
AKGPA7112H	Mohammad Shadab Ali	Under Section 11, 11A and 11B of the Securities and Exchange board of india Act, 1992 and regulation 28 of the Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulation, 2008, in respect of:	WTM/RKA/EFD/125/2016	13-08-2025
AJWPG8924E	Siba Prosad Ghosh	Under Section 11, 11A and 11B of the Securities and Exchange board of india Act, 1992	WTM/MPB/EFD-1-DRA-IV/139/2018	13-08-2025
ASFPB6456J	Mr. Nirmalendu Bhowmik	UNDER SECTION 15-I (2) OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	[ADJUDICATION ORDER NO. MC/DPS/2019-20/3180-3194]	13-08-2025

AANCS8087M	Swasata Steel Industries Limited	Under Section 11, 11A and 11B of the Securities and Exchange board of india Act, 1992	WTM/MPB/EFD-1-DRA-IV/64/2017	13-08-2025
AANCS8087M	Swasata Steel Industries Limited	Under Section 11, 11A and 11B of the Securities and Exchange board of india Act, 1992	WTM/MPB/EFD-1-DRA-IV/64/2017	18-08-2025
AWCPR5539J	Raval Dineshkumar Ravishankar	UNDER SECTION 15-I (2) OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	[ADJUDICATION ORDER NO. PM/NR/2021-22/312940]	18-08-2025
BQIPS8097A	Padam Singh	UNDER SECTION 15-I (2) OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	[ADJUDICATION ORDER NO. EAD/SR/VV/AO/59-61-2017-18]	18-08-2025
AXCPK5554K	Vishnu Prakash Kabra	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	[ADJUDICATION ORDER NO. EAD-12/ AO/SM/40-47/2017]	18-08-2025
AAACJ2871P	Jord Engineers (I) Ltd.	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	[ADJUDICATION ORDER NO. PG/AO-77/2011]	18-08-2025
AAACA8777F	Asahi Infrastructure & Projects Ltd	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND SECTION 23-I(1) OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	(ADJUDICATION ORDER NO: Order/KS/VC/2020-21/7742-7743)	18-08-2025
ACUPV1795B	Vinod D Patel	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	[ADJUDICATION ORDER NO. MC/AO-10/2012]	18-08-2025

ABZPG3628G	Vithalbhai V. Gajera	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	[ADJUDICATION ORDER NO. MC/AO-10/2012]	18-08-2025
AFBPP0203Q	Dalsukhbhai D Patel	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	[ADJUDICATION ORDER NO. MC/AO-10/2012]	18-08-2025
AACPZ7827J	Tushar S Jhaveri	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	[ADJUDICATION ORDER NO. MC/AO-07/2012]	18-08-2025
AHKPC2993F	Bijay Kumar Chourasia	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	[ADJUDICATION ORDER NO. EAD-6/AO/PM/NK/011/2019-20]	18-08-2025
AJDPD4775H	Tapan Kumar Dey	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	[ADJUDICATION ORDER No.: Order/SS/VS/2019-20/6385-6413]	18-08-2025
AACCN2950K	Suncap Commodities Ltd	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	[ADJUDICATION ORDER NO. EAD-8/JS/NS/30/2018	18-08-2025
AEBPV3957N	Kishan Lal Verma	ORDER UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992, READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995, IN RESPECT OF:	[ADJUDICATION ORDER NO. : ORDER/VV/HS/2019-20/6588-6603]	18-08-2025
AZVPM5431B	Ashoka Majumdar	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	[ADJUDICATION ORDER NO. EAD-6/AO/PM/NK/011/2019-20]	18-08-2025

ASKPD3685N	Samar Dey	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	[ADJUDICATION ORDER NO. EAD-6/AO/PM/NK/011/2019-20]	18-08-2025
AADCN0132C	Nirbharant Management Consultants Pvt. Ltd.	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	[ADJUDICATION ORDER NO. Order/KS/PP-AE/2020-21/10987-10991]	18-08-2025
AIPPR8860G	Girishkumar Prabhudas Ruparel	UNDER SECTION15I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES,1995	ADJUDICATION ORDER NO. PM/NR/2020-21/10422-10428	18-08-2025
ADFPJ0754L	Pravin Kumar Jain	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	[ADJUDICATION ORDER NO. PKB/AO-49/2010]	18-08-2025
AADCC6583G	Cityon Nano Technology Pvt Ltd	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	[ADJUDICATION ORDER NO. Order/KS/PP-AE/2020-21/10987-10991]	18-08-2025
AAGCA4053L	Accurate Buildwell Private Limited	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. PM/NR/2020-21/9151-9159	18-08-2025
AJKPY8234D	Vishal Yadav	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. PM/NR/2020-21/9151-9159	18-08-2025
DWOPS8186Q	Amratbhai Chaturdas Patel	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. PM/NR/2020-21/9151-9159	18-08-2025

ASPPV7875F	Sunila Rai Verma	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. PM/NR/2020-21/9151-9159	18-08-2025
AEKPK6751Q	Santosh Kumar	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. PM/NR/2020-21/9151-9159	18-08-2025
AKFPV6256L	Ashvin Verma	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. PM/NR/2020-21/9151-9159	18-08-2025
DRUPS8079D	Stallion Trading Co.(Proprietor Sapna)	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. PM/NR/2020-21/9151-9159	18-08-2025
AAICM3230H	Murlidhargiridhar Trading Private Limited	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	[ADJUDICATION ORDER NO. Order/PM/AN/2021-22/11782-11795]	18-08-2025
BCAPS7959F	ATUL SHARMA	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. BD/NR/2020-21/8355-8356	18-08-2025
AIDPB1831B	Rana Bhadra	Under Sections 11(1), 11(4) AND 11B of the Securities and Exchange board of india Act, 1992, In the Matter of VIBGYOR ALLIED INDUSTRIES LTD.	WTM/GM/IMD/98/2014-18	18-08-2025
AAQPW8347L	Abdul Wahid	Under Section 11, 11A and 11B of the Securities and Exchange board of india Act, 1992	WTM/MPB/EFD-1-DRA-IV/139/2018	18-08-2025
AGVPB3263D	Raja Bhadra	Under Sections 11(1), 11(4) AND 11B of the Securities and Exchange board of india Act, 1992, In the Matter of VIBGYOR ALLIED INDUSTRIES LTD.	WTM/GM/IMD/98/2017-18	18-08-2025
AAACU5891J	Utkrishta Exports Private Limited	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	[ADJUDICATION ORDER NO. Order/BD/AA/2020-21/9346-9369]	18-08-2025

ADKPB3250C	Ms. Monami Basu	Under Section 11, 11A and 11B of the Securities and Exchange board of india Act, 1992	WTM/PS/70/NRO/FEB/2015	18-08-2025
AIGPS4315L	Navi Kiran Enterprises Prop Rakesh Kumar Sharma	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	[ADJUDICATION ORDER NO. Order/BD/AA/2020-21/9346-9369]	18-08-2025
AIMPY3580D	Roxtech Securities Services Prop Parmanand Yadav	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	[ADJUDICATION ORDER NO. Order/BD/AA/2020-21/9346-9369]	18-08-2025
AIRPY0807E	Yogesh Trading Comy Prop Shashi Yadav	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	[ADJUDICATION ORDER NO. Order/BD/AA/2020-21/9346-9369]	18-08-2025
AXNPC3713G	Prem Chand	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	[ADJUDICATION ORDER NO. Order/BD/AA/2020-21/9346-9369]	18-08-2025
AAHCA4664Q	Alfa Netsol Private Limited	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	[ADJUDICATION ORDER NO. Order/BD/AA/2020-21/9346-9369]	18-08-2025
AADCN3085E	Nanon Distributors Private Limited	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	[ADJUDICATION ORDER NO. Order/BD/AA/2020-21/9325-9343]	18-08-2025
AABCT5830B	Tushar India Private Limited	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	[ADJUDICATION ORDER NO. Order/BD/AA/2020-21/9325-9343]	18-08-2025
APJPK8855K	Pawan Kumar Kaul	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. PM/NR/2020-21/9160-9167	18-08-2025

AKFPV6256L	Ashvin Verma	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. PM/NR/2020-21/9160-9167	18-08-2025
AJKPY8234D	Vishal Yadav	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. PM/NR/2020-21/9160-9167	18-08-2025
AAGCA4053L	Accurate Buildwell Private Limited	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. PM/NR/2020-21/9160-9167	18-08-2025
AAGCP6892C	Placido Marketing Private Limited	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	[ADJUDICATION ORDER NO. Order/BD/AA/2020-21/9325-9343]	18-08-2025
AAFCM3624G	Mountain View Developers Private Limited	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	[ADJUDICATION ORDER NO. Order/PM/SM/2020-21/10806-10809]	18-08-2025
DQHPS8149Q	Ashok Sharma	UNDER SECTION 15-I (2) OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	[ADJUDICATION ORDER: Order/MC/VS/2020-21/10215-10221]	18-08-2025
AUKPJ3133D	Sailesh Jha	UNDER SECTION 15-I (2) OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	[ADJUDICATION ORDER: Order/MC/VS/2020-21/10215-10221]	18-08-2025
ATJPT1108Q	Dhirajbhai Baladevji Thakor	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	[ADJUDICATION ORDER Ref No.: Order/SS/VS/2019-20/6066-6090]	18-08-2025
CYMPP9929D	Jitin Popatlal Parikh	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	[ADJUDICATION ORDER Ref No.: Order/SS/VS/2019-20/6066-6090]	18-08-2025

BBBPR1121E	Karan Subhashchandra Ravde	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	[ADJUDICATION ORDER Ref No.: Order/SS/VS/2019-20/6066-6090]	18-08-2025
CYMPP9923K	Maulik Vinitkumar Parikh	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	[ADJUDICATION ORDER Ref No.: Order/SS/VS/2019-20/6066-6090]	18-08-2025
AMVPL4417B	Lakhani Babulal Hansraj	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	[ADJUDICATION ORDER Ref No.: Order/SS/VS/2019-20/6066-6090]	18-08-2025
BOOPP9405H	Narendrabhai Shivabhai Parmar	UNDER SECTION 15-I (2) OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	[ADJUDICATION ORDER NO. RA/JP/ 250-254 / 2017]	18-08-2025
AYZPP7771L	Amratbhai Chaturdas Patel	UNDER SECTION 15-I (2) OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	[ADJUDICATION ORDER NO. RA/JP/ 250-254 / 2017]	18-08-2025
BJXPP9015P	Sandipkumar Prakashbhai Prajapati	UNDER SECTION 15-I (2) OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	[ADJUDICATION ORDER NO. RA/JP/ 250-254 / 2017]	18-08-2025
CGKPP1066M	Savan Keshavlal Prajapati	UNDER SECTION 15-I (2) OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995.	[ADJUDICATION ORDER NO. RA/JP/ 250-254 / 2017]	18-08-2025
GKCPS4278A	SHAH SUBHADRABEN RAMESHCHANDRA	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	[ADJUDICATION ORDER Ref No.: Order/SS/VS/2019-20/6066-6090]	18-08-2025
GDWPS4583K	Ramanlal Ravchand Shah	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	[ADJUDICATION ORDER Ref No.: Order/SS/VS/2019-20/6066-6090]	18-08-2025

BQBPA5642J	Mushabber Imranali Ahmadi	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	[ADJUDICATION ORDER Ref No.: Order/SS/VS/2019-20/6066-6090]	18-08-2025
CYNPP0545E	Vinitkumar Popatlal Parikh	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	[ADJUDICATION ORDER Ref No.: Order/SS/VS/2019-20/6066-6090]	18-08-2025
CYBPP2362R	Bhagavatiben Vishnubhai Patel	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	[ADJUDICATION ORDER Ref No.: Order/SS/VS/2019-20/6066-6090]	18-08-2025
CYBPP2358H	Hardasbhai Babubhai Patel	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	[ADJUDICATION ORDER Ref No.: Order/SS/VS/2019-20/6066-6090]	18-08-2025
CDRPD3445A	Upendra Hiralal Dalal	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	[ADJUDICATION ORDER Ref No.: Order/SS/VS/2019-20/6066-6090]	18-08-2025
GFDPS9389C	Vijaya Parsharam Salvi	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	[ADJUDICATION ORDER Ref No.: Order/SS/VS/2019-20/6066-6090]	18-08-2025
BPDPA3589K	Roshanlal Daulatram Aggarwal	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	[ADJUDICATION ORDER Ref No.: Order/SS/VS/2019-20/6066-6090]	18-08-2025
AJEPK9164G	Barindra Nath Koley	Under Section 11, 11A and 11B of the Securities and Exchange board of india Act, 1992	WTM/MPB/EFD-1-DRA-IV/20/2018	18-08-2025
AABCI0538B	Incap Financial Services Limited	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	[ADJUDICATION ORDER NO. Order/SR/PP/2019-20/7292/204]	18-08-2025

AACPM1058Q	Prashant Mulekar	ORDER UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995, AND SECTION 23-I OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF THE SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 2005	[ADJUDICATION ORDER NO. EAD-4/SRP/AE/AO/2018-19/19]	18-08-2025
CYLPP1635B	Manoj Parmar	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	[ADJUDICATION ORDER Ref No.: Order/SS/VS/2019-20/6066-6090]	18-08-2025
AIJPA1781D	Prem Agarwal	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	[ADJUDICATION ORDER NO. Order/SR/PP/2019-20/7293/205]	18-08-2025
AOVPR2657D	Rama Rani	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	[ADJUDICATION ORDER NO. Order/BD/VS/2020-21/7626-7650]	18-08-2025
ACYPH7822F	Ramjikarsanhirani	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	[ADJUDICATION ORDER NO. Order/BD/VS/2020-21/8480-8505]	18-08-2025
ACAPM2372B	AJAY SURESHCHANDRA MALOO	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 and 23-I OF THE SECURITIES CONTRACTS (REGULATIONS) ACT, 1956	[ADJUDICATION ORDER No.: ORDER/AP/AS/2020-21/11064-11076]	18-08-2025

AACCT6697B	TULIP EXPOTRADE PVT LTD	UNDER SECTION 23I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956, SECTION15I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 2005 AND RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES,1995.	ADJUDICATION ORDER NO. EAD-7/BJD/NJMR/219-230/2018-19	18-08-2025
ARPPC9647M	Alpeshkumar Pratapbhai Chaudhari	UNDER SECTION 15-I (2) OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	[ADJUDICATION ORDER NO. Order/MC/VS/2020-21/8762-8775]	18-08-2025
AACCL2013K	Looklike Trade Pvt. Ltd.	UNDER SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	(ADJUDICATION ORDER NO: Order/SBM/JR/2020-21/11256)	18-08-2025
AACHC5554P	Chetan Dogra HUF	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES,1995	ADJUDICATION ORDER NO. EAD-7/BJD/NJMR/115-116/2018-19	18-08-2025
AAACF1838N	Focus Industrial Resources Limited	UNDER SECTION 23-I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACT REGULATION (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 2005	ADJUDICATION ORDER NO. EAD-7/BJD/NJMR/2018-19/2396	18-08-2025
ALRPB9295L	Bhavik Satish Badani	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-12/ AO/SM/40-47/2017	19-08-2025
AFKPR4332K	Puppala Obul Reddy	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER/SS/AKS/18/28/2018-19	22-08-2025

ABFPN1325F	Gayatri Nadupalli	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD/AO/BJD/VS/380/2018-19	22-08-2025
AOUPG7497K	Santosh Grover	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-2/DSR/RG/498/2015	22-08-2025
BWKPK4464K	Konatala krishnaveni	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER/SS/AS/2018-19/1129	22-08-2025
AAACG5378G	Global Resort and Spa Ltd	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-2/DSR/RG/495/2015	22-08-2025
ATXPS3071M	Suresh Kumar	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-2/DSR/RG/492/2015	22-08-2025
AITPS2152A	Aditya Narayan Singh	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD/SR/VV/AO/59-61/2017-18	22-08-2025
BKLPB2837A	Sandip Laxmanbhai Bhamani	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-5/BS/AO/09/2017-18	22-08-2025
AEZPB3408A	Shaik Ameer Basha	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD/BJD/VS/24-33/2017-18	22-08-2025

AAGCM6101M	Majestic Garments P.Ltd (AAGCM6101M)	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. AO/BJD/MAS/EAD/128-143/2018	22-08-2025
CYSPP9743H	Rohini Popatlal Parikh	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-7/BJD/NJMR/2019-20/3332-3345	22-08-2025
AERPC1412P	Bakul Chandrakant Chitalia (AERPC1412P)	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. AO/BJD/MAS/EAD/128-143/2018	22-08-2025
ACIPP5500E	Brijesh Jayantibhai Patel	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD-5/B/AO/10/2017-18	22-08-2025
AABCF4604R	Fiber Texfab P. Ltd (AABCF4604R)	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. AO/BJD/MAS/EAD/128-143/2018	22-08-2025
AADCT3496R	eduexel infotainment ltd	UNDER SECTION 15 I (2) OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. RA/CB/269/2017	22-08-2025
AAACT5701E	T J R FINANCE LIMITED	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. AO/JS/VRP/12-13/2018	22-08-2025
AACCT4504F	Tungarali Tradeplace Pvt. Ltd.	UNDER SECTION 15 I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. ISD/F&O-TTPL/AO/DRK/SS/EAD-3/141-09/44-2010	22-08-2025

AAACM4527H	Maars Software International Ltd.	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995 AND SECTION 23-I OF SECURITIES CONTRACTS (REGULATION) ACT, 1956 READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) REGULATIONS, 2005	ADJUDICATION ORDER NO: Order/KS/VC/2020-21/8357-8360	22-08-2025
APJPK8855K	Pawan Kumar Kaul	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. PM/NR/2020-21/9151-9159	22-08-2025
AABCN1135D	Nokia Finance International Pvt. Ltd.	ADJUDICATION ORDER AGAINST NOKIA FINANCE INTERTOINAL PVT. LTD. IN THE MATTER OF ACCURATE EXPORTS LTD., UNDER SECTION 15 I OF THE SEBI ACT READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENATIES BY ADJUDICATING OFFICER) RULES, 1995.	AP/AO- 09/2005	22-08-2025
AACCT6697B	TULIP EXPOTRADE PVT LTD	UNDER SECTION 23-I OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956, READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 2005	ADJUDICATION ORDER NO. BS/AO/53-60/2018-19	22-08-2025
ABJPJ7168G	Bela H Kayastha	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	ADJUDICATION ORDER NO. BM/AO-30/2010	22-08-2025
ADDPR2448D	Manish Manubhai Raja	UNDER SECTION15I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES,1995	ADJUDICATION ORDER NO. PM/NR/2020-21/10422-10428	22-08-2025
GTPPS2917N	Nilesh Rameshchandra Shah	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995.	ADJUDICATION ORDER Ref No.: Order/SS/VS/2019-20/6066-6090	25-08-2025

ABKPC4815K	Kaushtubh Credit and Holdings Pvt Ltd.	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES,1995	ADJUDICATION ORDER NO. EAD-2/AO/40/2012	25-08-2025
AAOCS2986G	Sampati Broking Private Limited	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES,1995	ADJUDICATION ORDER NO. EAD-8/JS/SP/54/2018-19	25-08-2025
ABFPN1324E	N Rama Krishna Rao	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES,1995	ADJUDICATION ORDER NO. EAD/AO/BJD/VS/381/2018-19	26-08-2025
AJXPM3547Q	NINA AJAY MALOO	UNDER SECTION 15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 and 23-I OF THE SECURITIES CONTRACTS (REGULATIONS) ACT, 1956.	ADJUDICATION ORDER No.: ORDER/AP/AS/2020-21/11064-11076	26-08-2025
AHQPA4971P	Mr. Rohit Arora	UNDER RULE 4(1) OF THE SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES 1995, READ WITH SECTION 15-I OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 IN THE MATTER OF MARKET MANUPULATION USING SOCIAL MEDIA IN THE SCRIP OF MOKSH ORNAMENTS LIMITED	SEBI/EAD-2/JS/YK/16320/I-27	26-08-2025
AELPC7853A	Ranjan Choudhary	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 1995	ADJUDICATION ORDER NO. EAD/BJD/BKM/ 100 /2017-18	26-08-2025
ABFPN1326G	N Parvatavardhani	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES,1995	ADJUDICATION ORDER NO. EAD/AO/BJD/VS/2018-19/2442	01-09-2025
ALQPD5735L	Dantara Amish Vijaykumar	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES,1995	ADJUDICATION ORDER REF NO. EAD-2/SS/VS/2019-20/3225-3233	01-09-2025

BRVPM0273J	Firoz Hanifbhai Memom	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES,1995	ADJUDICATION ORDER NO. EAD/BJD/VS/388-398/2018-19	01-09-2025
BBBPR1121E	Karan Subhashchandra Ravde	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES,1995	ADJUDICATION ORDER NO. EAD-7/BJD/NJMR/2019-20/3332-3345	01-09-2025
EXHPS9436L	Henal Hemantbhai Shah	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES,1995	ADJUDICATION ORDER REF NO. EAD-2/SS/VS/2019-20/3225-3233	01-09-2025
BPDPA3589K	Roshanlal Daulatram Aggarwal	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES,1995	ADJUDICATION ORDER NO. EAD-7/BJD/NJMR/2019-20/3332-3345	01-09-2025
AMVPL4417B	Lakhani Babulal Hansraj	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES,1995	ADJUDICATION ORDER NO. EAD-7/BJD/NJMR/2019-20/3332-3345	01-09-2025
CWWPP7459J	Kalpesh Dineshbhai Patel	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES,1995	ADJUDICATION ORDER NO. EAD-7/BJD/NJMR/2019-20/3332-3345	01-09-2025
AJPPM5500P	Mohini Dilip Mewada (AJPPM5500P)	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES,1995	ADJUDICATION ORDER NO. AO/BJD/MAS/EAD/128-143/2018	01-09-2025
CKZPS8073E	Arif Gulammustafa Shaikh	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES,1995	ADJUDICATION ORDER NO. EAD-9/AO/SM/183-205/2018-19	01-09-2025

AIFPT7789N	Snehlata Rajendraprasad Tiwari	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES,1995	ADJUDICATION ORDER NO. EAD-7/BJD/NJMR/2018-19/2422-2425	01-09-2025
CYMPP9929D	Jitin Popatlal Parikh	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES,1995	ADJUDICATION ORDER NO. EAD-7/BJD/NJMR/2019-20/3332-3345	01-09-2025
CYLPP1635B	Manoj Parmar	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES,1995	ADJUDICATION ORDER NO. EAD-7/BJD/NJMR/2019-20/3332-3345	01-09-2025
ARSPG2051Q	Miteshgiri Chandangiri Goswami	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES,1995	ADJUDICATION ORDER NO. EAD-9/AO/SM/183-205/2018-19	01-09-2025
AABCN0105B	NISSAN COPPER LTD.	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES,1995	ADJUDICATION ORDER NO. ORDER/GR/KG/2019-20/7154-7157	01-09-2025
APVPJ6362A	Mukeshsing M Jagarval	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SEBI(PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES,1995	ADJUDICATION ORDER NO. EAD-7/BJD/NJMR/2018-19/2422-2425	01-09-2025
CYMPP9923K	Maulik Vinitkumar Parikh	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SEBI(PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES,1995	ADJUDICATION ORDER NO. EAD-7/BJD/NJMR/2019-20/3332-3345	01-09-2025

ASXPP1371L	Nimesh Jitendrabhai Purani	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SEBI(PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES,1995	ADJUDICATION ORDER NO. EAD-7/BJD/NJMR/2019-20/3332-3345	01-09-2025
AAFCP5340J	Pummy Garments Pvt. Ltd.	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES,1995	ADJUDICATION ORDER NO. ORDER/SRP/AE/2019-20/2860-2870	01-09-2025
AAHHA4059E	AJEIT P S RAJBANS HUF	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES,1995	ADJUDICATION ORDER REF NO. EAD-2/SS/AS/2019-20/4422	01-09-2025
AAACE7849N	Edynamics Solutions Limited	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 AND SECTION 23-I OF SECURITIES CONTRACTS (REGULATIONS) ACT, 1956	ADJUDICATION ORDER: ORDER/AP/SK/2020-21/10071-10075	01-09-2025
EKVPS7657J	JAGDISH SINGH	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES,1995	ADJUDICATION ORDER NO. MC/DPS/2018-19/2457	01-09-2025
AAACO4802B	OASIS TRADELINK LTD	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES,1995	ADJUDICATION ORDER NO. AO/SBM/EAD-3/116-118/2018	01-09-2025
BKMPS6129L	Tanu Sudan	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES,1995	ADJUDICATION ORDER NO. EAD/BJD/NJMR/VS/83-92/2018-19	01-09-2025
AAACS4896P	Shark Communications	UNDER SECTION 23-I OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956, READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 2005	ADJUDICATION ORDER NO. BS/AO/33-40/2018-19	01-09-2025

AGZPK9556G	Sanjay Kumar	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES,1995 AND UNDER SECTION 23-I OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956, READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER NO. ORDER/KS/VC/2019-20/6967-6968	04-09-2025
AIOPL1626K	Lalit	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES,1995.	ADJUDICATION ORDER NO. ORDER/BD/AA/2020-21/9826-9847	04-09-2025
CGUPP2139R	preeti	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES,1995.	ADJUDICATION ORDER NO. ORDER/BD/AA/2020-21/9826-9847	04-09-2025
BIVPM7456G	Suleman Sadru Merchant	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES,1995.	ADJUDICATION ORDER NO. ORDER/BD/AA/2020-21/9325-9343	04-09-2025
ACBPT1812C	Bharat Vasantlal Thakker	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES,1995.	ADJUDICATION ORDER NO. ORDER/BD/VS/2020-21/8480-8505	04-09-2025
AFAPB7100D	Dharmendra Bhojak	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES,1995 AND UNDER SECTION 23-I OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956, READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER NO. ORDER/SR/PP/2019-20/6629/158	04-09-2025

BBOPS1405H	Jigar Dilip Shah	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES,1995 AND THE SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 2005	ADJUDICATION ORDER NO. EAD/SR/SM/AO/79-85/2019-20/3444-3450	04-09-2025
AJXPV3261A	Reena Verma	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES,1995	ADJUDICATION ORDER NO. EAD/BJD/NJMR/VS/83-92/2018-19	05-09-2025
BZBPS7580L	Mithun Singh	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES,1995	ADJUDICATION ORDER NO. EAD/BJD/NJMR/VS/83-92/2018-19	05-09-2025
ALJPD0195P	Ravi Dewan	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES,1995	ADJUDICATION ORDER NO. EAD/BJD/NJMR/VS/83-92/2018-19	05-09-2025
ATKPA9288R	Motilal Ahuja	UNDER SECTION15-I OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT,1992 READ WITH RULE 5 OF SEBI (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES,1995	ADJUDICATION ORDER NO. EAD/BJD/NJMR/VS/83-92/2018-19	05-09-2025
AAACO6061G	Ours Trading and Holdings Pvt Ltd	UNDER SECTION 23-I OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956, READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 2005	ADJUDICATION ORDER NO. BS/AO/33-40/2018-19	05-09-2025
AACCD5023B	DMC International Limited	UNDER SECTION 23-I OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956, READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 2005	ADJUDICATION ORDER NO. BS/AO/33-40/2018-19	05-09-2025

AHCPG7242H	Anjana Gupta	UNDER SECTION 23-I OF THE SECURITIES CONTRACTS (REGULATION) ACT, 1956, READ WITH RULE 5 OF SECURITIES CONTRACTS (REGULATION) (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES BY ADJUDICATING OFFICER) RULES, 2005	ADJUDICATION ORDER NO. BS/AO/62/2018-19	05-09-2025
AFWPY1505P	PRIYANKA YADAV PROPRIETOR OF TRADE MONEY RESEARCH	UNDER SECTION 11(1), 11(4), 11(4A), 11B(1), AND 11B (2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 READ WITH SECURITIES AND EXCHANGE BOARD OF INDIA (PROCEDURE FOR HOLDING INQUIRY AND IMPOSING PENALTIES) RULES, 1995	QJA/MN/MIRSD/MIRSD-SEC-2/31552/2005-26	15-09-2025