

1. Name of Entity: Metropolitan Stock Exchange of India Limited (Unlisted - considered as listed for this report)												
2. Quarter ending : September 30, 2023												
I. Composition of Board of Directors												
Title (Mr. / Ms/Mr s.)	Name of the Director	PAN & DIN \$	Category (Chairperson/Executiv e/Non- Executive/independe nt/Nominee &	Initial Date of Appointment	Date of Re-appointment	Date of Cessation	Tenure*	Date of Birth	No of Directorship in listed entities including this listed entity (with referce to Regulation 17A(1))	No of Independent Directorship in listed entities including this listed entity (with referce to Regulation 17A(1) & 17A(2))	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (with referce to Regulation 26(1) of LODR Regulations)#	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (with referce to Regulation 26(1) of LODR
Mr.	Dinesh Chander Patwari	PAN: AALPP8274C DIN: 10060352	Public Interest & Independent Director (Chairman)	May 22 2023	—	—	4 Month 10 days	August 01 1962	1	1	2	1
Mr.	Ajai Kumar	PAN:AILPK2079C DIN: 02446976	Public Interest & Independent Director	April 5 2017	October 23 2020	—	35 months and 9 days	June 26 1953	3	3	2	1
Ms.	Trishna Guha	PAN: ACWPG2765L DIN: 08200779	Public Interest & Independent Director (Woman)	October 04 2018	October 04, 2021	—	59 months and 28 days	August 21 1957	2	2	3	0
Ms.	Latika S. Kundu	PAN:AHCPK0733B DIN:08561873	Executive Director - MD & CEO	March 12 2020	—	—	42 months and 20 days	June 01 1970	1	0	1	0
		Whether Regular chairperson appointed - Yes										
		Whether Chairperson is related to managing director or CEO - No										
		SPAN number of any director would not be displayed on the website of Stock Exchange. &Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen. # In order to comply with Regulation 33 of SECC Regulations inter alia mandates every recognized stock exchange to comply mutatis mutandis with the disclosure and corporate governance norms as specified for listed companies , the Exchange here has been considered as a listed entity. *To be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors of the listed entity in continuity without any cooling off period.										

II. Composition of Committees					
Name of Committee	Whether Regular chairperson appointed	Name of Committee members	Category (Chairperson/Executive/Non-Executive/independent/Nominee) &	Date of Appointment	Date of Cessation
1. Audit Committee	Yes	Mr. Ajai Kumar Mr. Dinesh C. Patwari Ms. Trishna Guha	Chairman-Independent - Public Interest Director Independent - Public Interest Director Independent - Public Interest Director	11/11/2020 24/05/2023 02/11/2018	–
2. Nomination & Remuneration Committee	Yes	Mr. Ajai Kumar Mr. Dinesh C. Patwari Ms. Trishna Guha	Chairman - Independent - Public Interest Director Independent - Public Interest Director Independent - Public Interest Director	11/11/2020 24/05/2023 26/03/2022	–
3. Risk Management Committee	Yes	Mr. Dinesh C. Patwari Mr. Ajai Kumar Mr. Suresh Viswanathan	Chairman - Independent - Public Interest Director Independent - Public Interest Director External Expert	17/06/2023 11/11/2020 13/11/2019	–
4. Stakeholders Relationship Committee	Yes	Mr. Dinesh C. Patwari Ms. Latika S. Kundu	Chairman - Independent - Public Interest Director MD & CEO	17/06/2023 17/03/2020	–
& Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen					
III. Meeting of Board of Directors					
Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met* (YES/NO)	Number of Directors present*	Number of Independent directors present*	Maximum gap between any two consecutive meetings (in number of days)
17-Apr-23	06-Jul-23	Yes	3	3	13
30-May-23	10-Aug-23	Yes	4	3	35
23-Jun-23	09-Sep-23	Yes	4	3	30
	29-Sep-23	Yes	4	3	20
*to be filled in only for current quarter meeting					
IV. Meeting of Committees - Audit Committee					
Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met* (YES/NO)	Number of Directors present*	Number of Independent directors present*	Date(s) of Meeting (if any) in the previous quarter	Maximum gap between any two consecutive meetings (in number of days)**
10-Aug-23	Yes	3	3	30-May-23	72
*to be filled in only for current quarter meeting					
**to be filled in only for current quarter meeting This information has to mandatorily be given for audit committee, for rest of the committees giving this information is optional					

IV. Meeting of Committees - Nomination & Remuneration Committee					
Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met* (YES/NO)	Number of Directors present*	Number of Independent directors present*	Date(s) of Meeting (if any) in the previous quarter	Maximum gap between any two consecutive meetings (in number of days)**
06-Jul-23	YES	3	3	12-Jun-23	6
10-Aug-23	YES	3	3	23-Jun-23	35
09-Sep-23	YES	3	3	30-Jun-23	30
29-Sep-23	YES	3	3		20
*to be filled in only for current quarter meeting					
**to be filled in only for current quarter meeting This information has to mandatorily be given for audit committee, for rest of the committees giving this information is optional					
IV. Meeting of Committees - Risk Management Committee					
Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met* (YES/NO)	Number of Directors present*	Number of Independent directors present*	Date(s) of Meeting (if any) in the previous quarter	Maximum gap between any two consecutive meetings (in number of days)**
29-Sep-23	YES	2	2	No meeting was held in the previous quarter	NA
*to be filled in only for current quarter meeting					
**to be filled in only for current quarter meeting This information has to mandatorily be given for audit committee, for rest of the committees giving this information is optional					
IV. Meeting of Committees - Stakeholders Relationship Committee					
Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met* (YES/NO)	Number of Directors present*	Number of Independent directors present*	Date(s) of Meeting (if any) in the previous quarter	Maximum gap between any two consecutive meetings (in number of days)**
No meeting was held during the relevant quarter	NA	NA	NA	27-Jun-23	NA
*to be filled in only for current quarter meeting					
**to be filled in only for current quarter meeting This information has to mandatorily be given for audit committee, for rest of the committees giving this information is optional					
V. Related Party Transactions					
Subject		Compliance status (Yes/No/NA)			
Whether prior approval of audit committee obtained		NA			
Whether shareholder approval obtained for material RPT		NA			
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee		NA			
Note					
1. In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A.. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.					
2. If status is "No" details of non-compliance may be given here.					

VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI Securities Contracts (Regulation) (Stock Exchanges and Clearing Corporations) Regulations, 2018 [SECC Regulation, 2018].
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 & SECC Regulation,2018.
 - a. Audit Committee
 - b. Nomination & remuneration committee
 - c. Stakeholders relationship committee
 - d. Risk management committee (applicable to the top 1000 listed entities)
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015 & SECC Regulation, 2018.
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015 & SECC Regulation, 2018.
5. This report and/or the report submitted in the previous quater has been placed before the Board of Director. Any comments/observations/advice of the board of directors may be mentioned here.

Date : October 17, 2023

sd/-

Durgesh Kadam

Head - Legal and Company Secretary

sd/-

Saket Bhansali

Chief Financial Officer

ANNEX 5		
Format to be submitted by listed entity at the end of 6 months from the close of financial year		
Affirmations		
Broad heading	Regulation Number	Compliance status (Yes/No/NA)refer note below
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on Website	46(2)	NA
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	NA
Presence of Chairperson of the nomination and remuneration committee at the annual general Meeting	19(3)	NA
Presence of Chairperson of the Stakeholder Relationship committee at the annual general Meeting	20(3)	NA
Disclosure of the Secretarial Audit Report of the listed entity and the material subsidiaries in the Annual Report	24A(1)	NA
Submission of Annual Secretarial Compliance Report	24A(2)	NA
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	NA
Note: 1. In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated. 2. 2 If status is "No" details of non-compliance may be given here. 3. The Registrar of Companies, Mumbai has vide its order dated September 18, 2023 extended the time period for holding the Annual General Meeting by 3 (three) months. Therefore, the compliance status of Annex 5 shall be provided with the Corporate Governance Report for the quarter ended December 31, 2023.		
Sd/- Durgesh Kadam Head - Legal and Company Secretary		
Sd/- Saket Bhansali Chief Financial Officer		

ANNEX 6

Format to be submitted twice a year, on a half yearly basis by the listed entity at the end of every 6 months of the financial year

Half year ending - September 30, 2023

I. Disclosure of Loans / guarantees / comfort letters / securities etc. refer note below

(A) Any loan or any other form of debt advanced by the listed entity directly or indirectly to:

Entity	Aggregate amount advanced during six months	Balance outstanding at the end of six months
Promoter or any other entity controlled by them	NA	NA
Promoter Group or any other entity controlled by them	NA	NA
Directors (including relatives) or any other entity controlled by them	NA	NA
KMPs or any other entity controlled by them	NA	NA

Note: (1) The Company does not have any Promoters/ Promoter Group.

(B) Any guarantee/ comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months (taking into account any invocation)
Promoter or any other entity controlled by them	NA	NA	NA
Promoter Group or any other entity controlled by them	NA	NA	NA
Directors (including relatives) or any other entity controlled by them	NA	NA	NA
KMPs or any other entity controlled by them	NA	NA	NA

Note: (1) The Company does not have any Promoters/ Promoter Group.

(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type of security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
Promoter or any other entity controlled by them	NA	NA	NA
Promoter Group or any other entity controlled by them	NA	NA	NA
Directors (including relatives) or any other entity controlled by them	NA	NA	NA
KMPs or any other entity controlled by them	NA	NA	NA

Note: (1) The Company does not have any Promoters/ Promoter Group.

II. Affirmations:

All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company. - **The Exchange does not have any Promoter/ Promoter Group. Other details (if applicable) have been provided in the format above.**

Sd/-

Mr. Saket Bhansali

Chief Financial Officer

Note

1. These disclosures shall exclude any loan (or other form of debt), guarantee / comfort letter (by whatever name called) or security provided in connection with any loan or any other form of debt;

a) by a government company to/ for the Government or government company

b) by the listed entity to/for its subsidiary [and joint-venture company] whose accounts are consolidated with the listed entity.

c) by a banking company or an insurance company ; and

d) by the listed entity to its employees or directors as a part of the service conditions

2. If the Listed Entity would like to provide any other information, the same may be indicated as Para D in the above table.