

## CV OF S RAGHUNATHAN

**Education:** M Com, PGDBM (XLRI), LLB, CAIIB. 3 months sabbatical in 1999 at School of Management, IIT, Mumbai to update - Merger & Acquisitions, Financial Engineering and Advanced Financial Management.

### Professional Experience

Name of Company	Job Title	Years	Responsibilities handled
Canara Bank (joined as Direct Recruit Officer)	Asst Manager/ Manager	12 (1982- 94)	Branch Management, Loans, Investments, Legal aspects, Organization Development, Research/Operations, Housing Finance, HRD, Technology, Marketing/Business Development and Strategy. Doubled EPS for Housing finance division of Canara Bank, including Real Estate investments as a distinct asset class.
Stock Holding Corporation of India Ltd (SHCIL)	Asst Vice President (Operatio ns)	5 (1994- 2000)	Stock Market operations for large Mutual Funds and Foreign Portfolio Investors, Regulations, Legal issues in Investment Agreements, Investment Research, Technology, Audit, Compliance and Marketing/Business Development.
UTI Investment Advisory Services Ltd (Regulated by US-SEC)	Vice President	2 (2000- 02)	Investment Management covering front/back/mid-office including Fund Accounting, legal issues, Compliance to US-SEC as Investment Advisor(10K filings), HRD, Fund raising globally and Investment Risk Management policies.
TCS Ltd	Associate Consultant	5 (02-07)	Domain consultancy in Banking, Financial Services, with work experience in both USA and Europe. SME role include legal, regulations and compliance issues in Financial sector.
CAMS P Ltd (HDFC affiliate)	General Manager	4 (07-11)	Investor Servicing of Mutual Funds, HRD, Client Interface, Risk issues, Technology, Legal/Regulations/Compliance, due diligence in Investor servicing and Business Development.
IFMR Trust (ICICI Bank affiliate)	Executive Advisor	1 (11-12)	Fund raising for Micro Finance business from financial markets, Legal, business strategy, & Risk Management.
Central Depository Services Ltd (CDSL)	Regional Manager	4 (12-16)	Business development, risk and regulatory policy issues, New projects, Client/Government Interface, Technology. Lead thought leadership thru NADeL project collaboration, well received by PMO/Banks/Ministry of Finance/RBI.
National E- Governance Services Ltd. (NESL) Bangalore, India.	Executive Director	3 (June 16- March 19)	Established a Union Govt Company, NESL, with institutional shareholding support from SBI, BoB, Canara Bank, ICICI Bank, HDFC, Axis Bank, LIC, etc as first Information Utility under new law- Insolvency and Bankruptcy Code. Initiated steps with in principle approval from RBI to set up under NBFC(Assets Aggregator) Directions 2016.

**Current Engagement:** Practicing as Advocate from June 2019 in Bangalore (Karnataka Bar council registration no: 2099/2012), working at M/s S Parthasarathy, Advocate, Pranav Complex, 5<sup>th</sup> Cross, Malleswaram, Bangalore 560 003 in direct taxation area.

### Personal data:

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