



सत्यमेव जयते

INDIA NON JUDICIAL

Government of National Capital Territory of Delhi

e-Stamp

Certificate No. : IN-DL32643814813970M
Certificate Issued Date : 12-Mar-2014 12:01 PM
Account Reference : IMPACC (IV)/ dl740903/ DELHI/ DL-DLH
Unique Doc. Reference : SUBIN-DL74090362709561253666M
Purchased by : MCX Stock Exchange Limited
Description of Document : Article 12 Award
Property Description : NA
Consideration Price (Rs.) : 0
(Zero)
First Party : MCX Stock Exchange Limited
Second Party : NA
Stamp Duty Paid By : MCX Stock Exchange Limited
Stamp Duty Amount(Rs.) : 100
(One Hundred only)



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BEFORE SHRI TEJINDER SINGH LASCHAR, SOLE ARBITRATOR
In the matter of Arbitration under the Bye Laws & Regulations of MCX Stock Exchange
Limited.

AM No (DEL-17/2013)/2014

Between

Ashish Gupta
Constituent

Applicant

And
Cliick2TradeCapital Ltd.
Trading Member

Respondent

AWARD
(Passed on 13th March 2014)

Statutory Alert:

1. The authenticity of this Stamp Certificate should be verified at "www.shcilestamp.com". Any discrepancy in the details on this Certificate and as available on the website renders it invalid.
2. The onus of checking the legitimacy is on the users of the certificate.
3. In case of any discrepancy please inform the Competent Authority.

AWARD

The Constituent Mr. Ashish Gupta who was an investor with the Trading Member Click2Trade Capital Ltd., had ^{made a} complaint of unauthorized trading in his account. The matter was taken up in a conciliation meeting at MCX Stock Exchange Ltd. on May 31st 2013. The constituent had made a claim of Rs.71, 642.95. However, no compromise could be reached in the matter. Subsequently an arbitration application was filed by the constituent with MCX Stock Exchange Ltd. on 29.10.2013. The amount claimed from the Trading Member was 71,642.95.

Two hearings took place in this matter, on the February 03, 2014 & March 11, 2014. In the first hearing a compromise solution between the two parties was agreed to. As per this reconciliation proposal, a payment of Rs. 25,000 had to be made by a bank draft by the Trading Member to the Constituent. This amount was deposited by the Constituent, in the Trading Member's account on February 01, 2013. In addition, it was agreed that any amount outstanding against the Constituent, as debit would be there after written off. However, the same has not been adhered to in the twenty days given to complete this transaction on February 03, 2014.

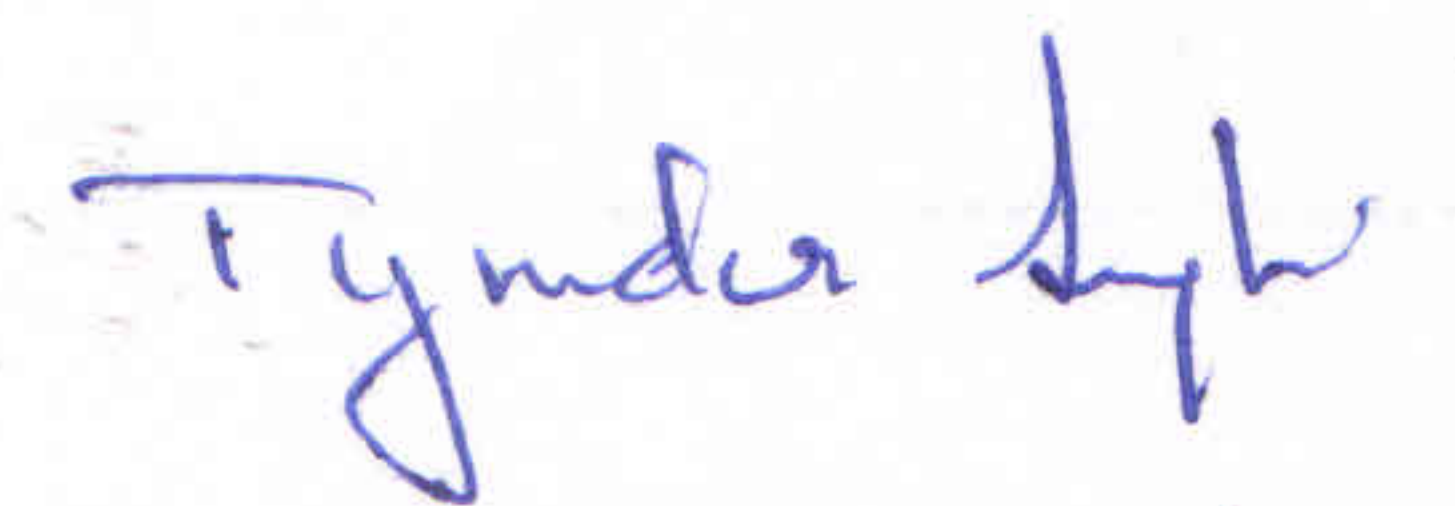
In the hearing of March 11, 2014 the Trading Member has given his consent that as per the Bye-laws of the MCX Stock Exchange Ltd., this amount of Rs.25, 000 may now be deducted from their deposit money with MCX Stock Exchange Ltd. and given ~~to~~ to the constituent.

The MCX Stock Exchange Ltd. is now asked to make this payment of Rs. 25,000 from the deposit money of the Trading Member and release the same to Mr. Ashish Gupta, the Applicant of this matter, as per the Bye-Laws of the MCX Stock Exchange Ltd.

It is once again clarified that with the above mentioned payment of Rs. 25,000 any amount outstanding against the constituent as debit balance would be totally written off. As per the request of the Trading Member the Cheque of Rs. 25,000, towards settlement, given earlier by him, to the Constituent, may be returned. This should be done immediately once the release of Rs. 25,000 to the Constituent is made by the MCX Stock Exchange Ltd.

Award

New Delhi
13th March 2014


Tejinder Singh Laschar
Sole Arbitrator