

MCX Stock Exchange Ltd.

Disciplinary Action Committee (DAC)

Expulsion order against M/s Prime Broking Company (India) Ltd

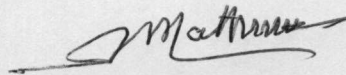
We note that, National Stock Exchange of India Limited (NSEIL) has expelled M/s Prime Broking Company (India) Ltd from membership on their Exchange with effect from March 07, 2014 and NSEIL has intimated the same to MCX Stock Exchange Limited (MCX-SX) vide letter NSE/COMP/EXP/232484-K dated March 07, 2014.

M/s. Prime Broking Company (India) Ltd is a registered member on MCX-SX. The Details are as under:

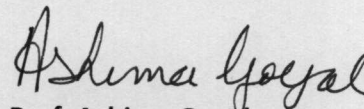
Segment	Membership Type	Date of Registration	SEBI Reg. no.	Trading status
Capital Market	SCM	January 30, 2013	INB260690731	Not Enabled for Trading
Futures & Options	TCM	January 30, 2013	INF260690731	Not Enabled for Trading

The member was advised to show-cause why Exchange should not expel them from membership of Capital Market and Futures & Options segments of MCX-SX in accordance with the provisions of Bye Laws of MCX-SX vide letter dated March 20, 2014 and given an opportunity of hearing before the DAC at its meeting held on March 31, 2014. Since the member has not replied to the show-cause notice issued to them nor turned up for hearing, it is presumed that the member does not have anything to say on the proposed expulsion.

In view of the above and in exercise of the powers delegated to us by the relevant authority, we hereby expel M/s Prime Broking Company (India) Limited from the membership of Capital Market and Futures & Options segments of MCX-SX with immediate effect.



Thomas Mathew T
Member



Prof. Ashima Goyal
Member

Date: March 31, 2014

Place: Mumbai